

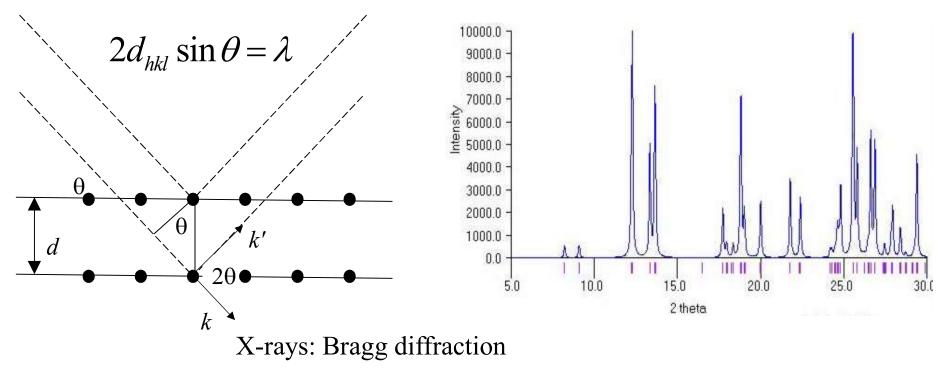
Technische Universität Graz

Institute of Solid State Physics

# Diffraction Phonons

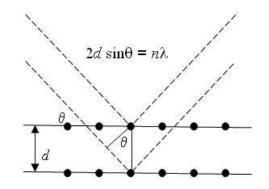
## **Powder diffraction**

Powder diffraction is performed on a powder of many small crystals. Ideally, every possible crystalline orientation is represented equally in a powdered sample. The relative intensities of the diffraction peaks indicate which crystal structures are present.

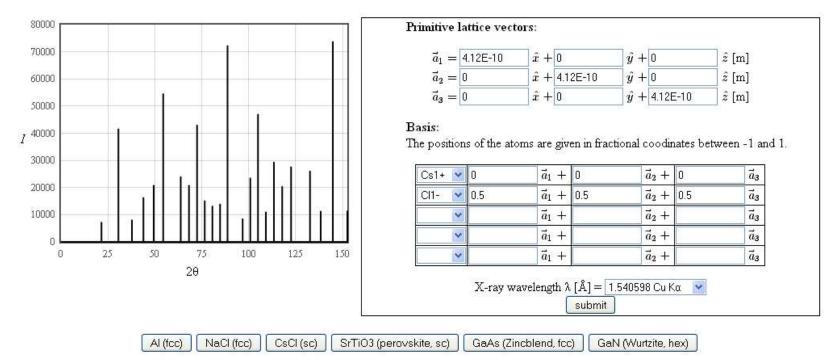


#### **Powder diffraction**

For powder diffraction, a crystal is ground into a fine powder so that there are many small crystals with random orientations. X-rays strike the surface of the sample at an angle  $\theta$  and an x-ray detector is placed at an angle  $\theta$  to the surface. Only planes parallel to the surface will diffract x-rays to the detector.



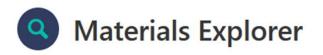
Since there are many small crystals with random orientations in the sample, all possible crystal planes that can diffract the x-rays will contribute to the measured signal when  $\theta$  satisifies the Bragg condition. The form below can calculate the powder diffraction pattern for any crystal with up to five atoms per primitive unit cell. Some buttons are provided that load the form with the data for certain crystals.



## http://rruff.geo.arizona.edu/AMS/all\_minerals.php

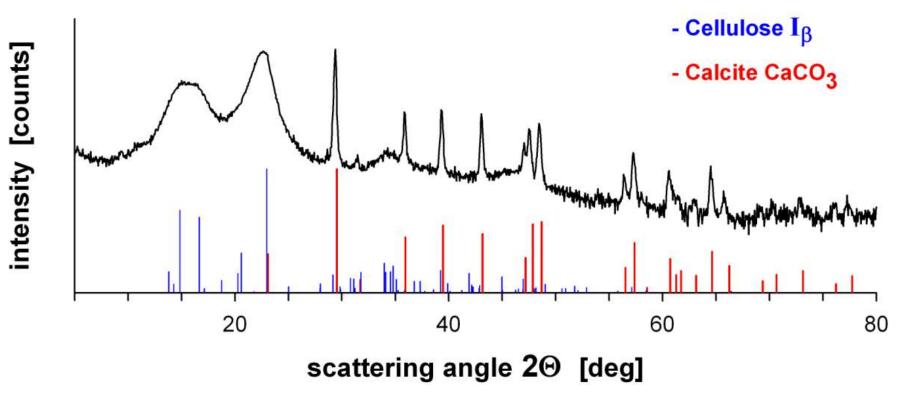
#### American Mineralogist Crystal Structure Database

| Abellaite                      | Abelsonite            | Abenakiite-(Ce)                | Abernathyite                            | Abhurite    |                                 |            |        |     |        |            |
|--------------------------------|-----------------------|--------------------------------|---|-------------|---------------------------------|------------|--------|-----|--------|------------|
| Abswurmbachite                 | Acanthite             | Acetamide                      | Acetylene-hydrate                       | Achavalite  |                                 |            |        |     |        |            |
| Actinium                       | Actinolite            | Acuminite                      | Adachiite                               | Adamantane  |                                 |            |        |     |        |            |
| Adamantane-methane-<br>hydrate | Adamite               | Adamsite-(Y)                   | Adelite                                 | Admontite   |                                 |            |        |     |        |            |
| Adolfpateraite                 | Adranosite            | Adranosite-(Fe)                | Aegirine                                | Aenigmatite |                                 |            |        |     |        |            |
| Aerinite                       | Aerugite              | Aechunite (12)                 | Aacchunita_(V)                          | Afghanite   |                                 |            |        |     |        | í          |
| Afmite                         | Afwillite             | A                              | merican Mine                            | ralogist    | Crystal St                      | ructure [  | )ato   | ıha | Se     |            |
| Agardite-(Y)                   | Agrellite             | / \\                           |   | laiogisi    | crystar on                      |            |        | 100 | 50     | ł          |
| Ahlfeldite                     | Ahrensite             |                                |   |             |                                 |            |        |     |        |            |
| <u>Ajoite</u>                  | Akaganeite 4 matching | records for this search.       |   |             |                                 |            |        |     |        |            |
| Akhtenskite                    | Akimotoite            |                                |   |             |                                 |            |        |     |        |            |
| Aktashite                      | Alabandite 🗌 Aluminiu | <u>m</u>                       |   |             |                                 |            |        |     |        |            |
| Albertiniite                   | Albite 🛞 Wyckof       | FRWG                           |   |             |                                 |            |        |     |        |            |
| Alcaparrosaite                 | Alflarsenite          |                                |   |             |                                 |            |        |     |        |            |
| Algodonite                     | Alinite Crysta.       | l Structures 1 (196            | 53) 7-83                                |             |                                 |            |        |     |        |            |
| Allanite-(Ce)                  | Allanite-(La) Second  | edition. Interscie             |   |             |                                 |            |        |     |        |            |
| Allantoin                      | Allargentum Cubic     | closest packed, ccp            | , str Wyckoff R W                       |             |                                 |            |        |     |        |            |
| Alloriite                      | Allugivito            | ase_code_amcsd 0011            | Crystal Str                             |             |                                 |            |        |     |        |            |
| Almeidaite                     | Alnaperboe            |                                | Second euro                             |             | cience Publis                   |            | lork,  | New | York   |            |
| Altaite                        | Althausite 4.04958    | 8 4.04958 4.04958 9            |   |             | ccp, structur                   | e          |        |     |        |            |
| <u>Alum-(Na)</u>               | Aluminite atom        | x y z                          | _database_c                             | ode_amcsd 0 | 0011137                         |            |        |     |        |            |
| Aluminoceladonite              | Aluminoceri Al (      | 0 0 0                          | CELL PARAME                             |             | 0496 4.0496                     | 4,0496     | 90.0   | 200 | 90.00  | 00 000     |
| Aluminotaramite                | Aluminum              | d and data (ifour Test f       |   |             | 4.0496                          | 4.0496     | 90.0   | 000 | 90.00  | 90.000     |
| Alumotantite                   | Alumice               | d AMC data (View Text Fi       |   |             | 1.541838                        |            |        |     |        |            |
| Amarantite                     | And mice              | d CIF data (View Text File     |   |             |                                 |            |        |     |        |            |
| Americium                      | LIII COTCO            | d diffraction data (View T     | ext File Doncity (g/                    |             | 2.698                           |            |        |     |        |            |
|                                | View JMC              | <u>DL 3-D Structure</u> (pamal | ink) MAX. ABS. I                        |             |                                 | 34.6143    | 9413   |     |        |            |
|                                |                       |                                |   | .177        |                                 |            |        |     |        |            |
|                                |                       |                                | RIR based o                             | n corundum  | from Acta Cry                   | stallograp | nica / | 438 | (1982) | 733-739    |
|                                |                       |                                |   |             |                                 | D-SPACING  | Н      | К   |        | ltiplicity |
|                                |                       |                                | 3                                       | 8.50        | 100.00                          | 2.3380     | 1      | 1   | 1      | 8          |
|                                |                       | •                              | 4                                       | 4.76        | 47.49                           | 2.0248     | 2      | 0   | 0      | 6          |
|                                |                       |                                | 6                                       | 5.16        | 28.01                           | 1.4317     | 2      | 2   | 0      | 12         |
|                                |                       |                                | (i) | 8.30        | 30.71                           | 1.2210     | 3      | 1   | 1      | 24         |
|                                |                       |                                | 8                                       | 2.52        | 8.74                            | 1.1690     | 2      | 2   | 2      | 8          |
|                                |                       |                                |   | -           | Bob Downs, Ran<br>et al. (1993) | -          |        |     |        |            |





## copy paper



# Powder diffraction

#### **Phase identification**

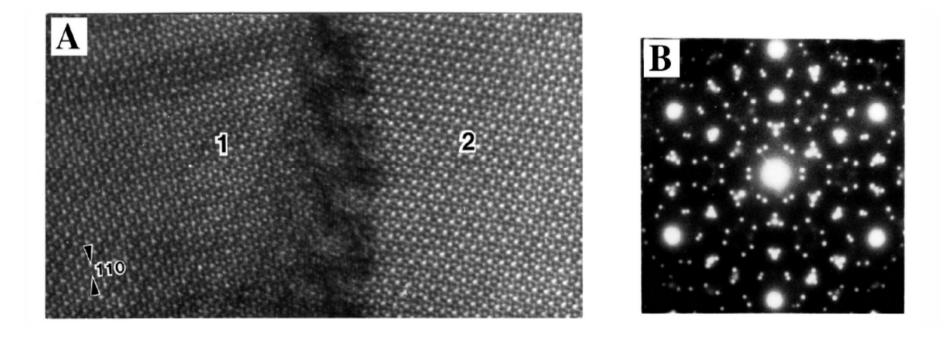
Every crystal has a specific "fingerprint" given by the positions and intensities of the diffraction peaks. The composition of a multi-phase specimen can be determined by fitting its diffraction pattern to the diffraction patterns of pure crystals which can be looked up in a database.

## International Centre for Diffraction Data www.icdd.com

550,000 reference materials

Phase transitions, thermal expansion, piezoelectricity, piezomagnetism, bulk modulus, compliance tensor can be measured.

# Electron diffraction in a TEM



The wavelength of the electrons is typically much smaller than the lattice spacing. The diffraction peaks in the plane perpendicular to k are observed.

#### **Electron diffraction**

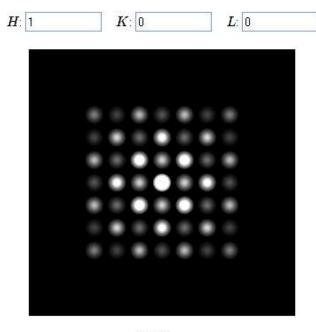
In electron diffraction, the intensity of a diffraction peak at reciprocal lattice vector  $\vec{G}$  is the square of the structure factor,  $n_{\vec{\sigma}}$ .

$$n_{\vec{G}} = \frac{1}{V} \sum_{j} f_j \Big(\vec{G}\Big) e^{-i\vec{G}\cdot\vec{r}_j} = \frac{1}{V} \sum_{j} f_j \Big(\vec{G}\Big) \Big( \cos\Bigl(\vec{G}\cdot\vec{r}_j\Bigr) - i \sin\Bigl(\vec{G}\cdot\vec{r}_j\Bigr) \Big)$$

Here V is the volume of the primitive unit cell, j sums over the atoms in the basis,  $\vec{r}_j$  are the positions of the atoms in the basis, and  $f_j(\vec{G})$  are the electron atomic form factors evaluated at  $\vec{G}$ .

The form below calculates the electron structure factors based on this formula. The crystal structure is specified by providing the primitive lattice vectors and the positions of the atoms in the basis. A basis of up to five atoms can be calculated. The script first calculates the primitive reciprocal lattice vectors and from them calculates the reciprocal lattice vectors  $\vec{G}_{hkl} = h\vec{b}_1 + k\vec{b}_2 + l\vec{b}_3$ .

On this page, the direction of the incoming electrons is given in terms of the primitive lattice vectors in reciprocal space,  $H\vec{b}_1 + K\vec{b}_2 + L\vec{b}_3$ . Usually the direction of the incoming electrons are given in terms of the conventional lattice vectors. Be aware that the [100] is a (usually) different direction if primitive lattice vectors are used than if conventional lattice vectors are used.



**Primitive lattice vectors:** 

| $\vec{a}_1 = 4.12\text{E-10}$ | $\hat{x} + 0$        | $\hat{y} + 0$        | $\hat{z}$ [m] |
|-------------------------------|----------------------|----------------------|---------------|
| $\vec{a}_2 = 0$               | $\hat{x}$ + 4.12E-10 | $\hat{y} + 0$        | $\hat{z}$ [m] |
| $\vec{a}_3 = 0$               | $\hat{x} + 0$        | $\hat{y}$ + 4.12E-10 | $\hat{z}$ [m] |

**Basis**:

The positions of the atoms are given in fractional coodinates between -1 and 1.

| Cs 💌 | 0   | $\vec{a}_1 + $ | 0   | $\vec{a}_2 +$  | 0   | $\vec{a}_3$ |
|------|-----|----------------|-----|----------------|-----|-------------|
| CI 🔽 | 0.5 | $\vec{a}_1 + $ | 0.5 | $\vec{a}_2 + $ | 0.5 | $\vec{a}_3$ |
| ~    |     | $\vec{a}_1 + $ |     | $\vec{a}_2 +$  |     | $\vec{a}_3$ |
| *    |     | $\vec{a}_1 + $ |     | $\vec{a}_2 +$  |     | $\vec{a}_3$ |
| *    |     | $\vec{a}_1 + $ |     | $\vec{a}_2 +$  |     | $\vec{a}_3$ |

submit

## Neutron diffraction

Typically a nuclear reactor is used as the neutron source

There are different atomic form factors for neutrons than for x-rays.

Determine the positions of H in biological samples.

Can for example distinguish between Fe and Co which have similar atomic form factors for x-rays.

#### Structure factor for neutrons

The structure factor for neutrons can be calculated with the following formula,

$$F_{ec{G}} = \sum_{j} b_{j} e^{-iec{G}\cdotec{r}_{j}} = \sum_{j} b_{j} \left( \cos \Bigl(ec{G}\cdotec{r}_{j}\Bigr) - i \sin \Bigl(ec{G}\cdotec{r}_{j}\Bigr) 
ight).$$

where  $\vec{r}_j$  defines the position of the atom j and  $\vec{G}$  is the reciprocal lattice vector.  $\vec{b}_j$  is called the neutron scattering length, it depends on the spin-state of the neutron-nucleus system and the isotope the neutron is scattered from. The scattering lengths can be looked up at the <u>NIST Center for Neutron Research</u>.

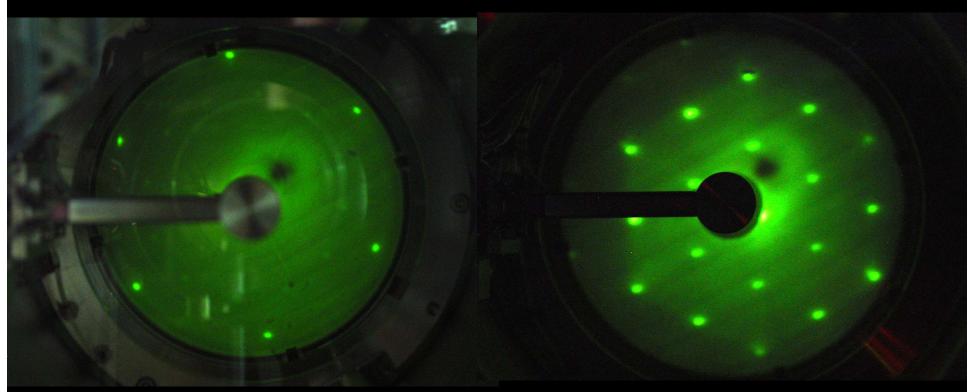
The form below calculates the neutron structure factors. The script first calculates the reciprocal lattice vectors and from them calculates the reciprocal lattice vectors  $\vec{G}_{hkl} = h\vec{b}_1 + k\vec{b}_2 + l\vec{b}_3$ . The structure factors are calculated for a few reciprocal lattice vectors and listed in a table.

|             | = 4.1  | 2E-10    | $\hat{x}$ + | 0                         |        | $\hat{y}$ + | 0  |         | <i>î</i> [m] |
|-------------|--------|----------|-------------|---------------------------|--------|-------------|--|---------|--------------|
| $\vec{a}_2$ | = 0    |          | $\hat{x}$ + | 4.128                     | -10    | $\hat{y}$ + | 0  |         | <i>î</i> [m] |
| $\vec{a}_3$ | =0     |          | $\hat{x}$ + | 0                         |        | $\hat{y}$ + | 4.12E-   | 10      | <i>î</i> [m] |
| Pb<br>Ti    |        | 0        |             | $\vec{a}_1 + \vec{a}_1 +$ |        |             | $\vec{a}_2 + \vec{a}_2 + \vec$ | (       |              |
| e positi    | ons of | the ator | ns are      | given                     | in fra | ctional     | coodi  | nates l | between      |
|             |        |          |             |                           |        |             |  | (       | ]            |
|             |        |          |             |                           |        |             |  |         |              |
| 0           |        | 0        |             | $\vec{a}_1 +$             | -      |             | $\vec{a}_2 +$  | -       |              |
| 0           | ~      | 0.5      |             | $\vec{a}_1 +$             | 0      |             | $\vec{a}_2 +$  | 0.5     |              |
| 0           | ~      | 0.5      |             | $\vec{a}_1 +$             | 0.5    |             | $\vec{a}_2 +$  | 0       |              |
|             |        |          |             | $\vec{a}_1 +$             |        |             | $\vec{a}_2 +$  |         |              |
|             | ~      |          |             | 7 1                       |        |             | $\vec{a}_2 +$  |         |              |
| 0           |        |          |             | $\vec{a}_1 +$             | L      |             |  |         |              |

## LEED

## Low Energy Electron Diffraction

 $100 \text{ V} \rightarrow k \sim 5 \times 10^{10} \text{ m}^{-1}$ 



Clean Pd (111)

Pd (111) + 0.3 ML  $VO_x$ 

LEED is surface sensitive

# LEED

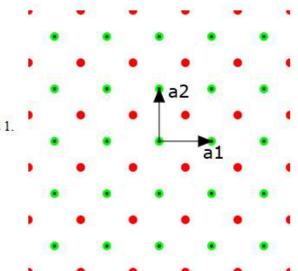
Energy of the electron beam: 100 [eV] Primitive lattice vectors:

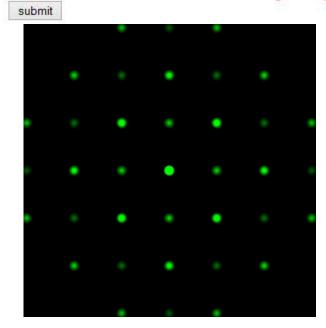
| $\vec{a}_1 =$ | 4.12E-10 | $\hat{x}$ + | 0        | ŷ [m] |
|---------------|----------|-------------|----------|-------|
| $\vec{a}_2 =$ | 0        | <b>x</b> +  | 4.12E-10 | ŷ [m] |

#### **Basis**:

The positions of the atoms are given in fractional coodinates between -1 and 1.

| Cs ∨ | 0   | $\vec{a}_1 + $ | 0   | $\vec{a}_2$ |
|------|-----|----------------|-----|-------------|
| CI 👻 | 0.5 | $\vec{a}_1 +$  | 0.5 | $\vec{a}_2$ |
| ¥    |     | $\vec{a}_1 + $ | 6   | $\vec{a}_2$ |
| ~    |     | $\vec{a}_1 +$  |     | $\vec{a}_2$ |
| ~    | S   | $\vec{a}_1 + $ | 6   | $\vec{a}_2$ |







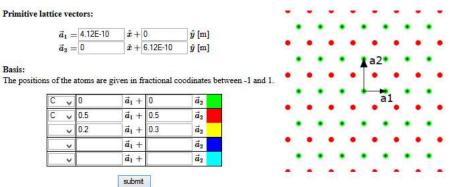
## Atomic beams

Hydrogen and Helium are used for diffraction studies

$$E = \frac{1}{2}mv^{2} = \frac{p^{2}}{2m} = \frac{\hbar^{2}k^{2}}{2m} = \frac{\hbar^{2}}{2m\lambda^{2}}$$

Low energies can be used for delicate samples. Measure the surface like LEED.

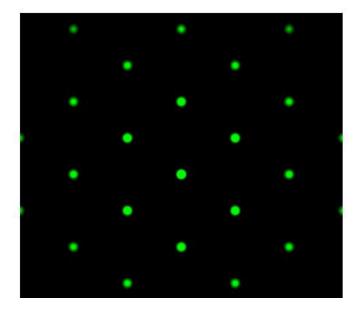
## Forbidden reflections



Primitive reciprocal lattice vectors

 $\vec{b}_1 = 2\pi \frac{R \vec{a}_2}{\vec{a}_1 \cdot R \vec{a}_2} = 1.525 \text{e}^{+10} \hat{k}_x + 0.000 \hat{k}_y \text{ [m}^{-1]}$   $\vec{b}_2 = 2\pi \frac{R \vec{a}_1}{\vec{a}_1 \cdot R \vec{a}_2} = 0.000 \hat{k}_x + -1.027 \text{e}^{+10} \hat{k}_y \text{ [m}^{-1]}$   $\text{with} \qquad R = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$ 

### Low Energy Electron Diffraction



## Forbidden reflections

 $n_{u.c.}(ec{r}) = \sum_j Z_j \delta(ec{r} - ec{r}_j).$ 

#### **Primitive reciprocal lattice vectors**

$$\begin{split} \vec{b}_1 &= 2\pi \frac{\vec{a}_2 \times \vec{a}_3}{\vec{a}_1 \cdot (\vec{a}_2 \times \vec{a}_3)} = 3.939 \ \hat{k}_x + -2.275 \text{e}{+}10 \ \hat{k}_y + 0.000 \ \hat{k}_z \ [\text{m}^{-1}] \\ \vec{b}_2 &= 2\pi \frac{\vec{a}_3 \times \vec{a}_1}{\vec{a}_1 \cdot (\vec{a}_2 \times \vec{a}_3)} = 3.939 \ \hat{k}_x + 2.275 \ \hat{k}_y + 0.000 \ \hat{k}_z \ [\text{m}^{-1}] \\ \vec{b}_3 &= 2\pi \frac{\vec{a}_1 \times \vec{a}_2}{\vec{a}_1 \cdot (\vec{a}_2 \times \vec{a}_3)} = 0.000 \ \hat{k}_x + 0.000 \ \hat{k}_y + 1.212 \text{e}{+}10 \ \hat{k}_z \ [\text{m}^{-1}] \end{split}$$

$$n_{ec{G}} = \sum_j Z_j \exp(-iec{G}\cdotec{r}_j).$$

| ma a ol lo a                         |
|--------------------------------------|
| The value of $ n_{\vec{c}} $ for the |
| 000 diffraction peak is              |
| the total number of                  |
| electrons in the primitive           |
| unit cell. The intensities           |
| of the peaks in an x-ray             |
| diffraction experiment               |
| are proportional to                  |
| $ n_{\tilde{G}} ^2$ . Note that      |
| elements with more                   |
| electrons produce                    |
| stronger diffraction                 |
| intensities.                         |

| hkl   | $ ec{G} $ Å <sup>-1</sup> | $ n_{\vec{G}} $ | $ n_{\vec{G}} ^2$ | $\operatorname{Re}\{n_{\vec{G}}\}$ | $\operatorname{Im}\{n_{\vec{G}}\}$ |
|-------|---------------------------|-----------------|-------------------|------------------------------------|------------------------------------|
| 000   | 0.000                     | 75.94           | 5767              | 75.94                              | 0.000                              |
| 0-10  | 4.549e-10                 | 37.87           | 1434              | -37.87                             | 0.02201                            |
| 010   | 4.549e-10                 | 37.87           | 1434              | -37.87                             | -0.02201                           |
| 0-20  | 9.098e-10                 | 38.17           | 1457              | -38.17                             | 0.04379                            |
| 020   | 9.098e-10                 | 38.17           | 1457              | -38.17                             | -0.04379                           |
| 0-30  | 1.365e-9                  | 75.94           | 5767              | 75.94                              | -0.1318                            |
| 030   | 1.365e-9                  | 75.94           | 5767              | 75.94                              | 0.1318                             |
| 0-3-1 | 1.212                     | 0.3909          | 0.1528            | 0.02780                            | 0.3899                             |
| 0-31  | 1.212                     | 0.3914          | 0.1532            | -0.02727                           | 0.3904                             |
| 0-2-1 | 1.212                     | 42.85           | 1836              | -7.648                             | 42.16                              |
| 0-21  | 1.212                     | 42.74           | 1827              | 7.551                              | 42.07                              |
| 0-1-1 | 1.212                     | 43.01           | 1850              | 7.610                              | -42.33                             |
| 0-11  | 1.212                     | 42.96           | 1845              | 7,561                              | -42.29                             |
| 00-1  | 1.212                     | 8.896e-8        | 7.914e-15         | -1.573e-8                          | 8.756e-8                           |
| 001   | 1.212                     | 8.896e-8        | 7.914e-15         | -1.573e-8                          | -8.756e-8                          |
| 01-1  | 1.212                     | 42.96           | 1845              | -7.561                             | 42.29                              |
| 011   | 1.212                     | 43.01           | 1850              | 7.610                              | 42.33                              |
| 02-1  | 1.212                     | 42.74           | 1827              | 7.551                              | -42.07                             |
| 021   | 1.212                     | 42.85           | 1836              | -7.648                             | -42.16                             |
| 03_1  | 1 212                     | ∩ 301⊿          | 0.1532            | _0.02727                           | _0 3004                            |

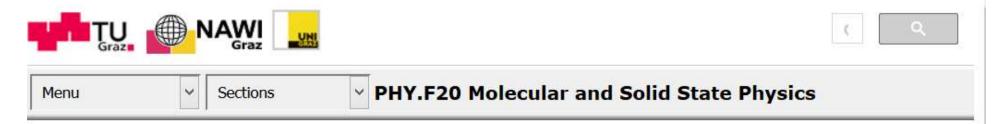
#### Structure factors



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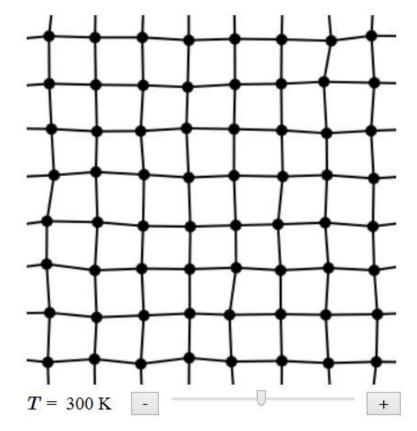
Institute of Solid State Physics

# Phonons



### **Normal Modes and Phonons**

At finite temperatures, the atoms in a crystal vibrate. In the simulation below, the atoms move randomly around their equilibrium positions.



http://lampx.tugraz.at/~hadley/ss1/phonons/phonon\_script.php

## Vibrations of a mass on a spring

$$\int \frac{d^2 x}{dt^2} = -Cx$$

The solution has the form

$$x = A e^{-i\omega t}$$

$$-\omega^2 m A e^{-i\omega t} = -C A e^{-i\omega t}$$
$$\omega = \sqrt{\frac{C}{m}}$$

## Coupled masses

Newton's law

$$M\frac{d^{2}x_{1}}{dt^{2}} = -Cx_{1} + C(x_{2} - x_{1}) \qquad \qquad M\frac{d^{2}x_{2}}{dt^{2}} = -Cx_{2} + C(x_{1} - x_{2})$$

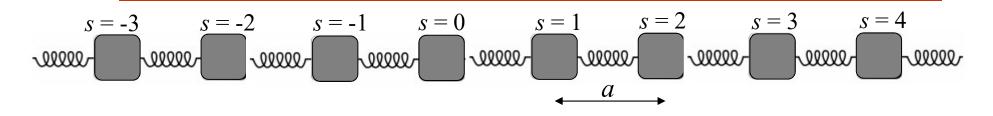
assume harmonic solutions

 $x_1(t) = A_1 \exp(i\omega t)$   $x_2(t) = A_2 \exp(i\omega t)$ 

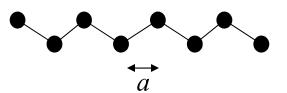
$$-\omega^{2}MA_{1}e^{i\omega t} = -2CA_{1}e^{i\omega t} + CA_{2}e^{i\omega t}$$
$$-\omega^{2}MA_{2}e^{i\omega t} = -2CA_{2}e^{i\omega t} + CA_{1}e^{i\omega t}$$
$$-\omega^{2}M\begin{bmatrix}A_{1}\\A_{2}\end{bmatrix} = \begin{bmatrix}-2C & C\\ C & -2C\end{bmatrix}\begin{bmatrix}A_{1}\\A_{2}\end{bmatrix}$$
Find the eigenvectors of this matrix

The masses oscillate with the same frequency but different phases

## Linear Chain



solution: 
$$u_{s} = A_{k}e^{i(ksa-\omega t)} = A_{k}e^{iksa}e^{-i\omega t}$$
$$\underbrace{-\pi}_{a} \frac{-8\pi}{L} \frac{-6\pi}{L} \frac{-4\pi}{L} \frac{-2\pi}{L} \frac{0}{2\pi} \frac{2\pi}{L} \frac{4\pi}{L} \frac{6\pi}{L} \frac{8\pi}{L} \frac{\pi}{a} \frac{\pi}{a} k$$



# Linear Chain

$$s = -3 \qquad s = -2 \qquad s = -1 \qquad s = 0 \qquad s = 1 \qquad s = 2 \qquad s = 3 \qquad s = 4$$

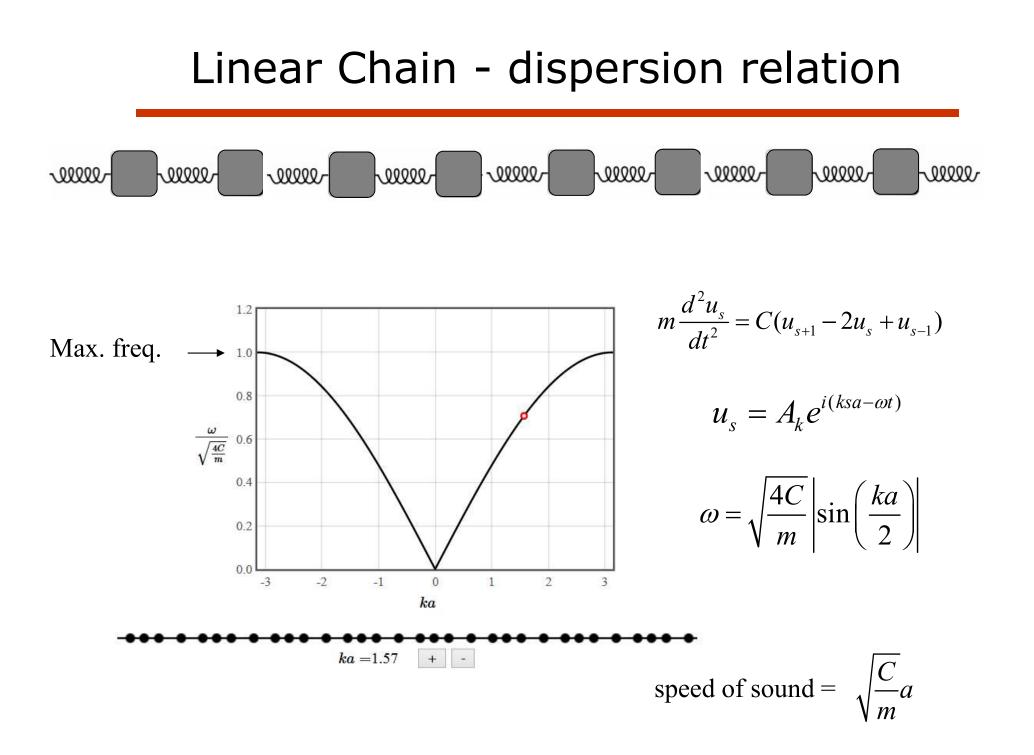
$$m \frac{d^2 u_s}{dt^2} = C(u_{s+1} - 2u_s + u_{s-1})$$
solutions:  $u_s = A_k e^{i(ksa - \omega t)}$ 

$$-\omega^2 m e^{i(ksa - \omega t)} = C(e^{i(k(s+1)a - \omega t)} - 2e^{i(ksa - \omega t)} + e^{i(k(s-1)a - \omega t)})$$

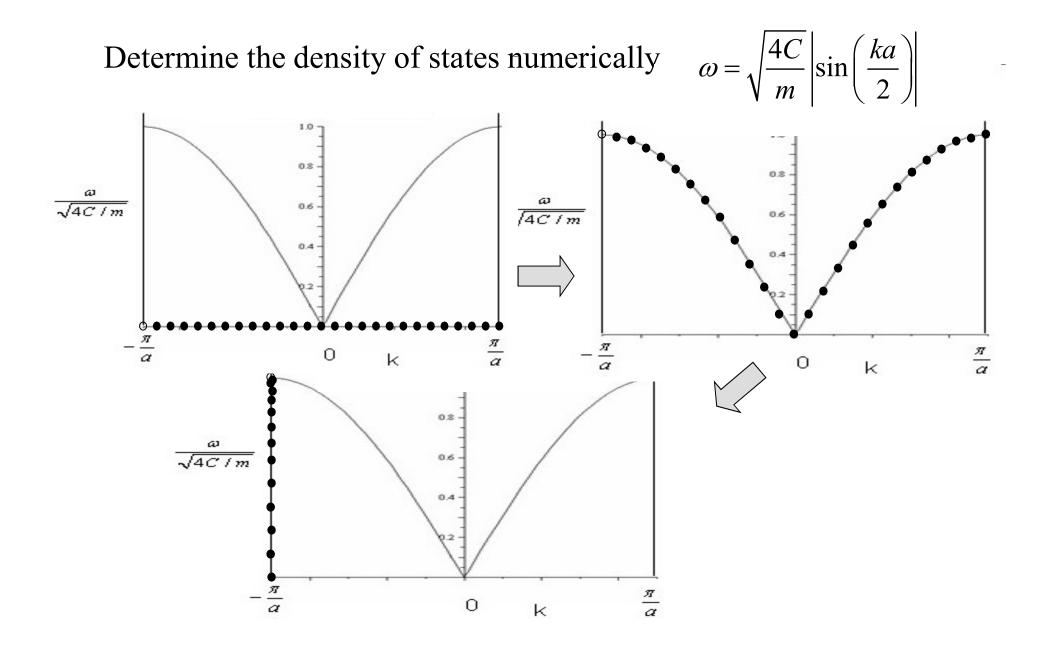
$$-\omega^2 m = C(e^{ika} - 2 + e^{-ika})$$

$$\omega^2 m = 2C(1 - \cos(ka)) \qquad \sin^2 \frac{ka}{2} = \frac{1}{2}(1 - \cos ka)$$

$$\omega = \sqrt{\frac{4C}{m}} \left|\sin\left(\frac{ka}{2}\right)\right|$$

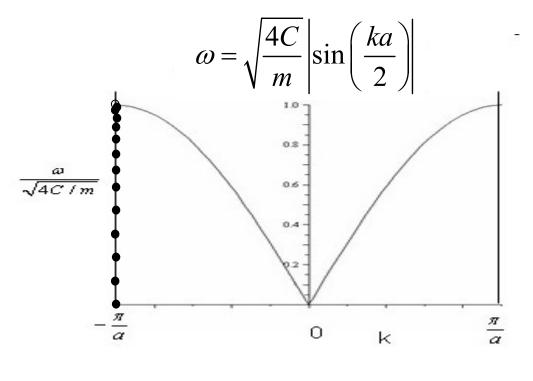


## Linear Chain - density of states



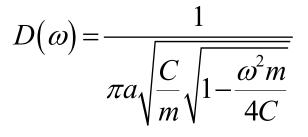
## Linear Chain - density of states

This case is an exception where the density of states can be determined analytically.



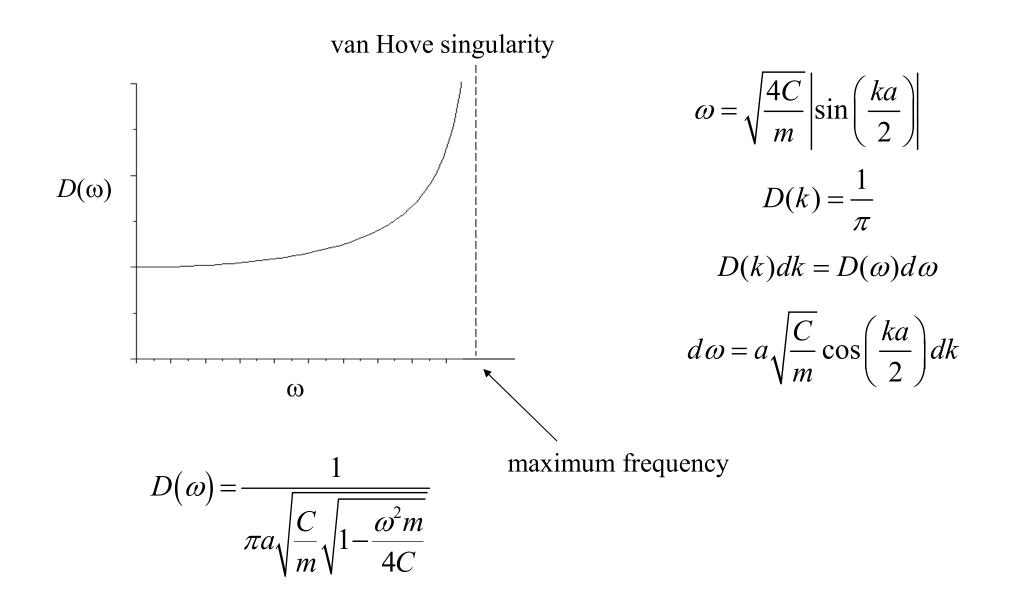
 $\omega = \sqrt{\frac{4C}{m}} \left| \sin\left(\frac{ka}{2}\right) \right|$  $D(k) = \frac{1}{\pi}$  $D(\omega) = D(k) \frac{dk}{d\omega}$  $\int \overline{C} \quad (ka) \quad A$ 

$$d\omega = a \sqrt{\frac{C}{m}} \cos\left(\frac{\kappa a}{2}\right) dk$$

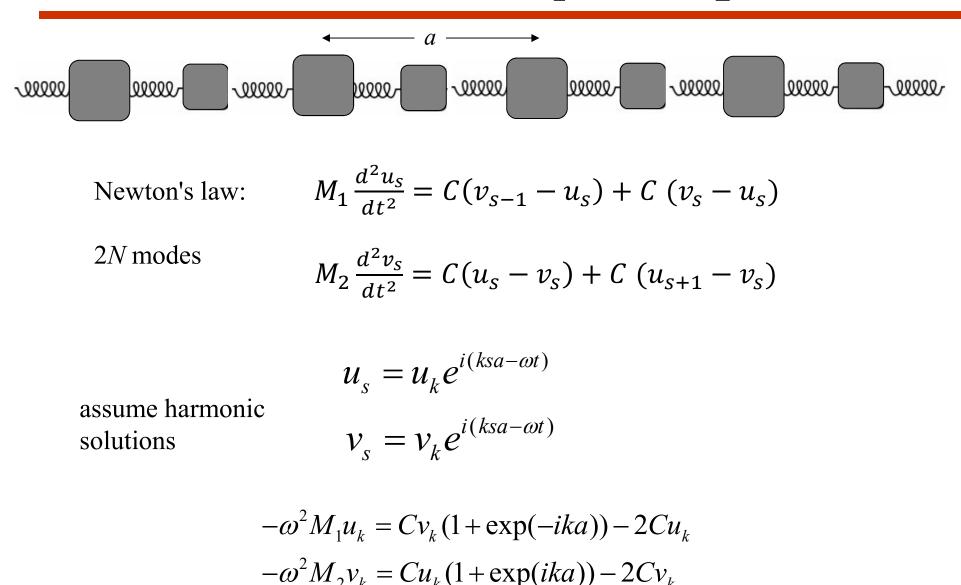


for every k calculate the frequency

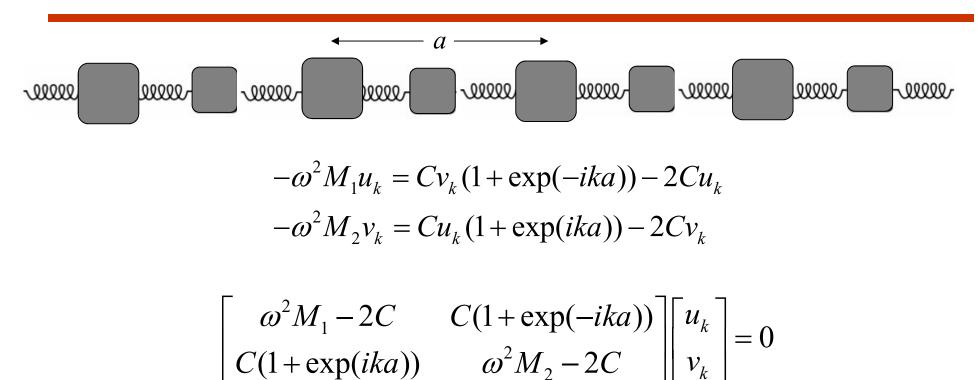
## density of states



## Linear chain $M_1$ and $M_2$



## Linear chain $M_1$ and $M_2$



$$M_{1}M_{2}\omega^{4} - 2C(M_{1} + M_{2})\omega^{2} + 2C^{2}(1 - \cos(ka)) = 0$$

## dispersion relation

$$\omega^{2} = C \left( \frac{1}{M_{1}} + \frac{1}{M_{2}} \right) \pm C \sqrt{\left( \frac{1}{M_{1}} + \frac{1}{M_{2}} \right)^{2} - \frac{4 \sin^{2} \left( \frac{ka}{2} \right)}{M_{1}M_{2}}}$$

