

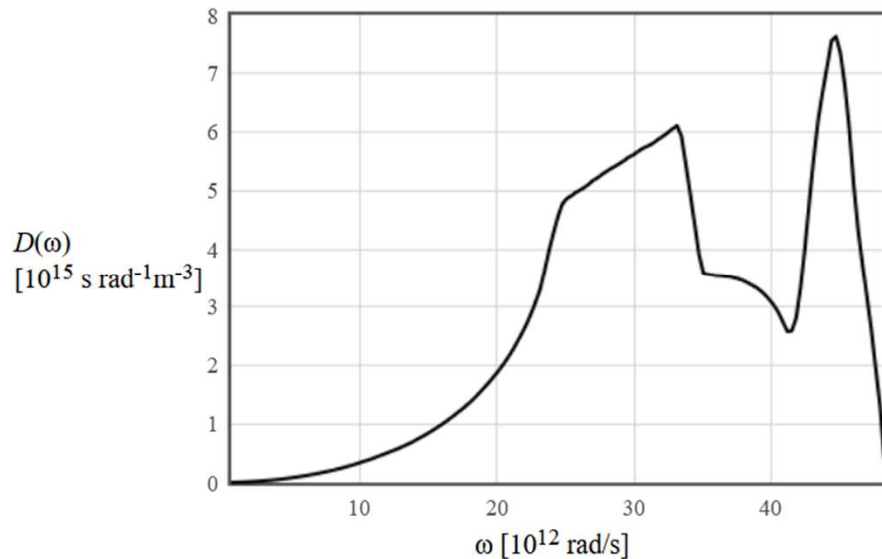
# Phonons / Electrons

---

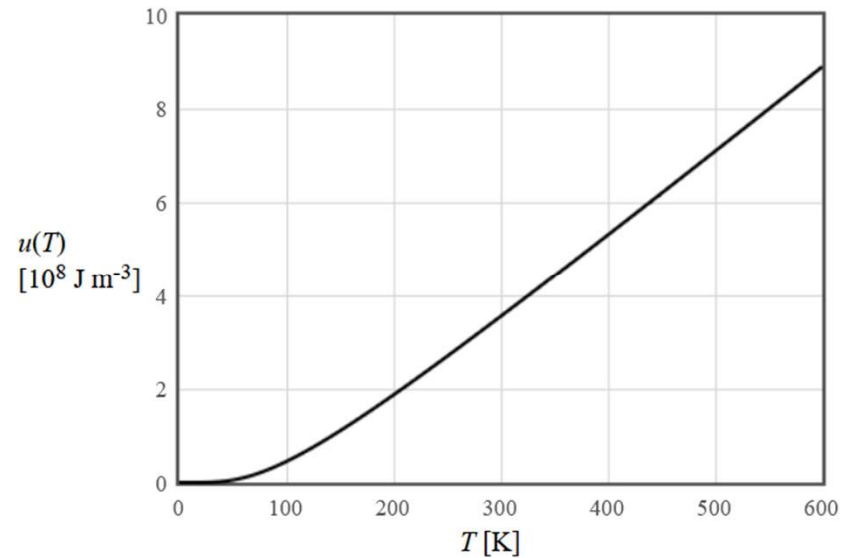
# Density of states $\rightarrow$ Internal energy density

---

$$u(T) = \int_0^{\infty} \frac{\hbar\omega D(\omega)}{\exp\left(\frac{\hbar\omega}{k_B T}\right) - 1} d\omega$$



DoS  $\rightarrow$   $u(T)$



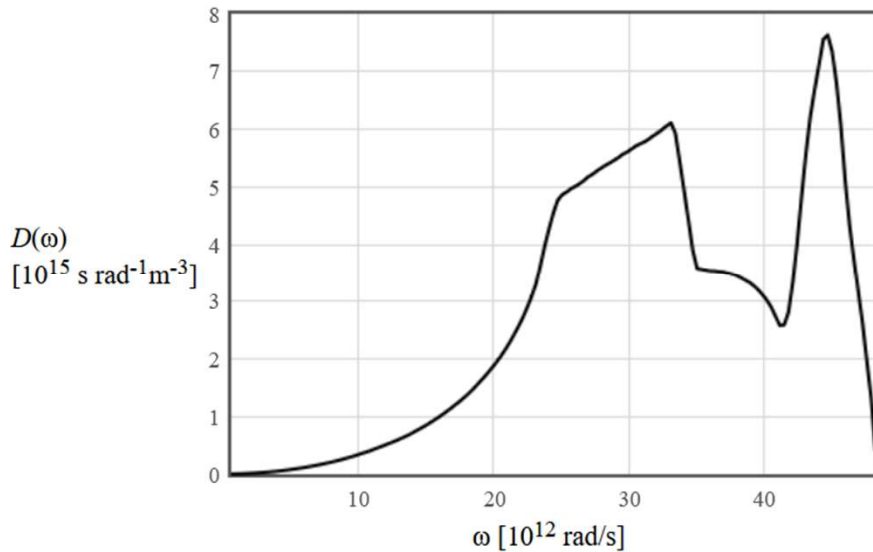
<http://lampx.tugraz.at/~hadley/ss1/phonons/table/dos2ut.html>

# Specific Heat

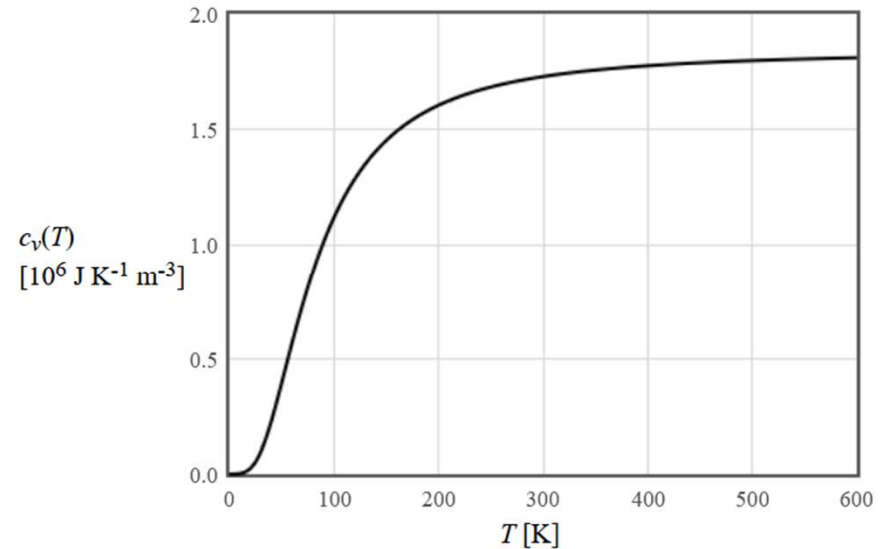
$$c_v = \left( \frac{\partial u}{\partial T} \right)_{N,V}$$

$$c_v = \int \hbar\omega D(\omega) \frac{\partial}{\partial T} \left( \frac{1}{e^{\frac{\hbar\omega}{k_B T}} - 1} \right) d\omega$$

$$c_v = \int \left( \frac{\hbar\omega}{T} \right)^2 \frac{D(\omega) e^{\frac{\hbar\omega}{k_B T}}}{k_B \left( e^{\frac{\hbar\omega}{k_B T}} - 1 \right)^2} d\omega$$



DoS  $\rightarrow$  cv(T)



<http://lampx.tugraz.at/~hadley/ss1/phonons/table/dos2cv.html>

# Heat capacity / specific heat

---

**Heat capacity** is the measure of the heat energy required to increase the temperature of an object by a certain temperature interval.

**Specific heat** is the measure of the heat energy required to increase the temperature of a unit quantity of a substance by a certain temperature interval.

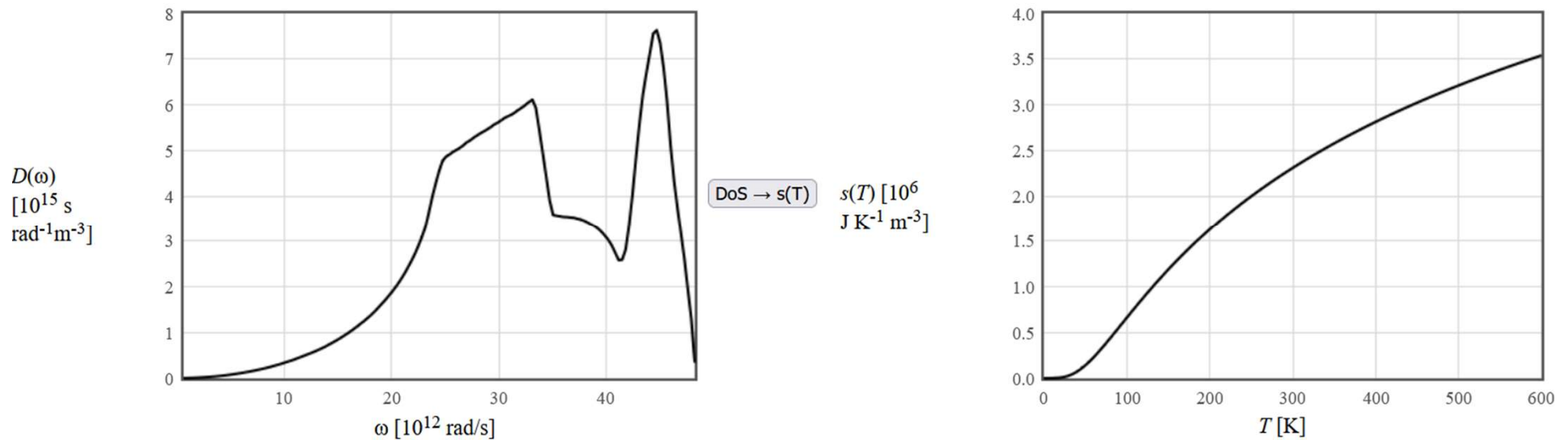
For solids, the heat capacity at constant volume and heat capacity at constant pressure are almost the same.

The heat capacity was historically important for understanding solids.

# Density of states $\rightarrow$ entropy density

$$s = \int \frac{c_v}{T} dT$$

$$s = -\frac{\partial f}{\partial T} = -k_B \int_0^{\infty} D(\omega) \left( \ln \left( 1 - e^{-\hbar\omega/k_B T} \right) + \frac{\hbar\omega}{k_B T \left( 1 - e^{-\hbar\omega/k_B T} \right)} \right) d\omega$$



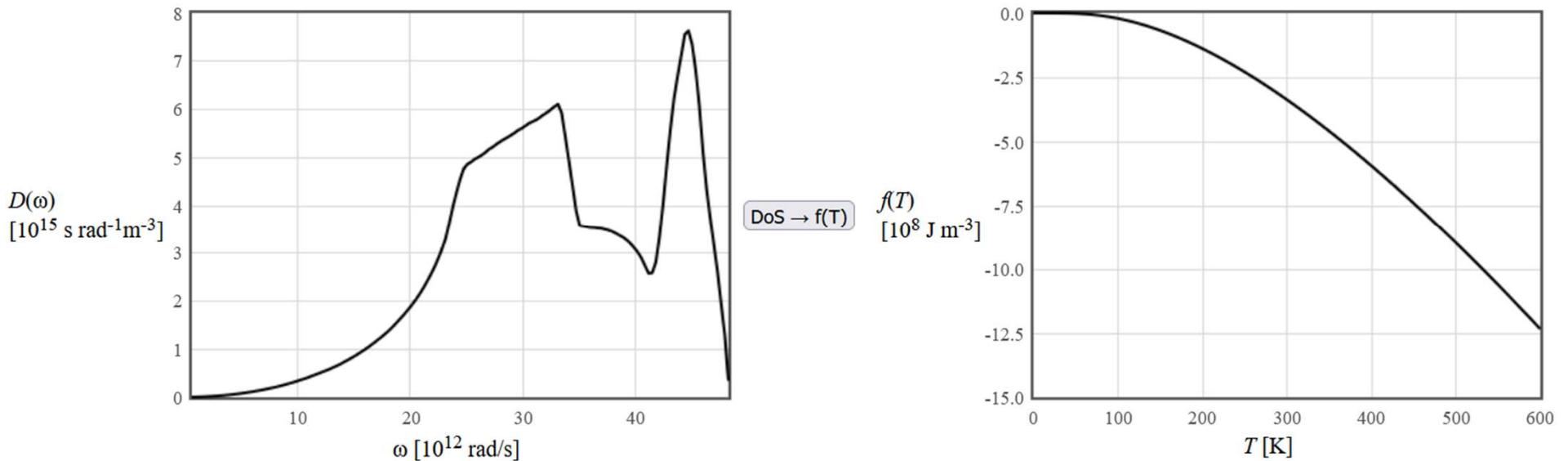
<http://lampx.tugraz.at/~hadley/ss1/phonons/table/dos2s.html>

# Density of states $\rightarrow$ Helmholtz free energy density

---

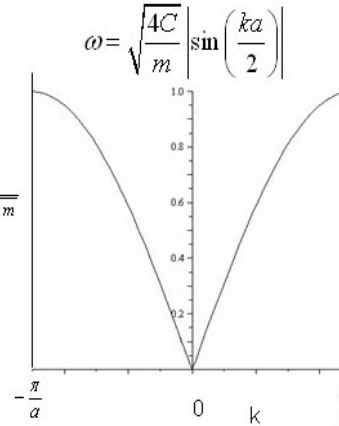
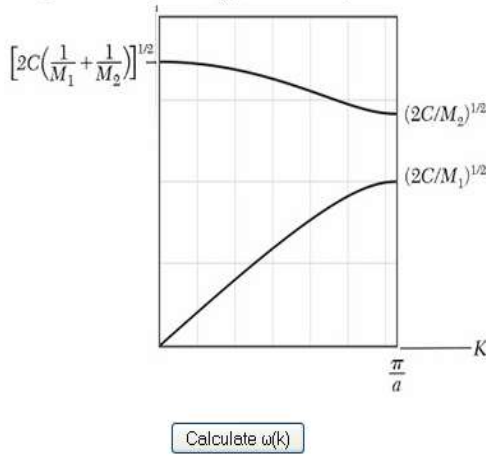
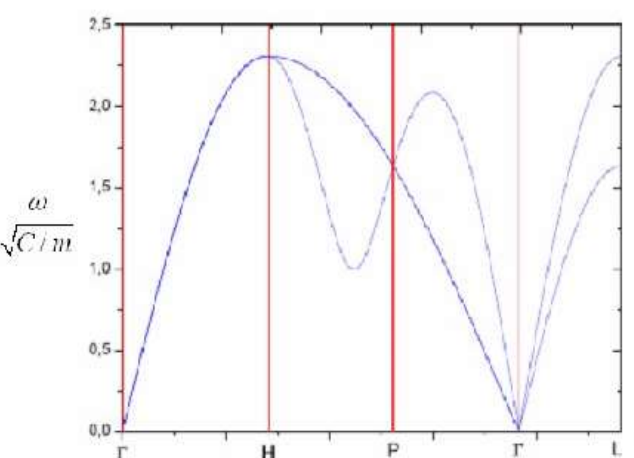
$$f(T) = k_B T \int_0^{\infty} D(\omega) \ln \left( 1 - \exp \left( \frac{-\hbar \omega}{k_B T} \right) \right) d\omega.$$

$$f = u - Ts$$



<http://lampx.tugraz.at/~hadley/ss1/phonons/table/dos2h.html>

# Phonons

	<p style="text-align: center;"><b>Linear Chain</b></p> $m \frac{d^2 u_s}{dt^2} = C(u_{s+1} - 2u_s + u_{s-1})$	<p style="text-align: center;"><b>Linear chain 2 masses</b></p> $M_1 \frac{d^2 u_s}{dt^2} = C(v_{s-1} - 2u_s + v_s)$ $M_2 \frac{d^2 v_s}{dt^2} = C(u_s - 2v_s + u_{s+1})$	<p style="text-align: right;"><u>body centered cubic</u></p> $\frac{d^2 u_{lmn}^x}{dt^2} = \frac{C}{\sqrt{3} m} [(u_{l+1m+1n+1}^x - u_{lmn}^x) + (u_{l-1m+1n+1}^x - u_{lmn}^x) + (u_{l+1m-1n+1}^x - u_{lmn}^x) + (u_{l+1m+1n-1}^x - u_{lmn}^x) + (u_{l-1m+1n-1}^x - u_{lmn}^x) + (u_{l+1m+1n+1}^y - u_{lmn}^y) - (u_{l-1m+1n+1}^y - u_{lmn}^y) - (u_{l+1m-1n+1}^y - u_{lmn}^y) - (u_{l+1m+1n-1}^y - u_{lmn}^y) + (u_{l-1m+1n-1}^y - u_{lmn}^y) + (u_{l+1m-1n-1}^y - u_{lmn}^y) + (u_{l+1m+1n+1}^z - u_{lmn}^z) - (u_{l-1m+1n+1}^z - u_{lmn}^z) - (u_{l+1m-1n+1}^z - u_{lmn}^z) - (u_{l+1m+1n-1}^z - u_{lmn}^z) + (u_{l-1m+1n-1}^z - u_{lmn}^z) - (u_{l+1m-1n-1}^z - u_{lmn}^z)]$ <p style="text-align: right;">And similar expressions for the y and z</p>
<p><b>Eigenfunction solutions</b></p>	$u_s = A_x e^{i(ksa - \omega t)}$	$u_s = u e^{i(ksa - \omega t)}$ $v_s = v e^{i(ksa - \omega t)}$	$u_{lmn}^x = u \frac{x}{k} e^{i(l \vec{k} \cdot \vec{a}_1 + m \vec{k} \cdot \vec{a}_2 + n \vec{k} \cdot \vec{a}_3)} = u \frac{x}{k} e^{i(-l -$ <p style="text-align: right;">And similar expressions for the y and z</p>
<p><b>Dispersion relation</b></p>	$\omega = \sqrt{\frac{4C}{m}} \left  \sin\left(\frac{ka}{2}\right) \right $ 	$\omega^2 = C \left( \frac{1}{M_1} + \frac{1}{M_2} \right) \pm C \sqrt{\left( \frac{1}{M_1} + \frac{1}{M_2} \right)^2 - \frac{4 \sin^2\left(\frac{ka}{2}\right)}{M_1 M_2}}$ 	<p style="text-align: right;">The dispersive</p> $\begin{aligned} & 4 - \cos\left(\frac{\alpha}{2}(k_x + k_y + k_z)\right) - \cos\left(\frac{\alpha}{2}(3k_x - k_y - k_z)\right) && -\cos\left(\frac{\alpha}{2}(k_x + k_y + k_z)\right) \\ & -\cos\left(\frac{\alpha}{2}(-k_x + 3k_y - k_z)\right) - \cos\left(\frac{\alpha}{2}(-k_x - k_y + 3k_z)\right) - \frac{m\omega^2}{\sqrt{3}C} && +\cos\left(\frac{\alpha}{2}(-k_x + 3k_y - k_z)\right) \\ & -\cos\left(\frac{\alpha}{2}(k_x + k_y + k_z)\right) + \cos\left(\frac{\alpha}{2}(3k_x - k_y - k_z)\right) && 4 - \cos\left(\frac{\alpha}{2}(k_x + k_y + k_z)\right) \\ & +\cos\left(\frac{\alpha}{2}(-k_x + 3k_y - k_z)\right) - \cos\left(\frac{\alpha}{2}(-k_x - k_y + 3k_z)\right) && -\cos\left(\frac{\alpha}{2}(-k_x + 3k_y - k_z)\right) \\ & -\cos\left(\frac{\alpha}{2}(k_x + k_y + k_z)\right) + \cos\left(\frac{\alpha}{2}(3k_x - k_y - k_z)\right) && -\cos\left(\frac{\alpha}{2}(k_x + k_y + k_z)\right) \\ & -\cos\left(\frac{\alpha}{2}(-k_x + 3k_y - k_z)\right) + \cos\left(\frac{\alpha}{2}(-k_x - k_y + 3k_z)\right) && +\cos\left(\frac{\alpha}{2}(-k_x + 3k_y - k_z)\right) \end{aligned}$ 
<p><b>Density of states <math>D(k)</math></b></p>	$D(k) = \frac{1}{\pi}$	$D(k) = \frac{1}{\pi}$	$D(k) = \frac{3k^2}{2\pi^2}$

# Thermal properties

---

internal energy density  $u = \int_0^{\infty} u(\omega) d\omega = \int_0^{\infty} \frac{\hbar\omega D(\omega)}{\exp\left(\frac{\hbar\omega}{k_B T}\right) - 1} d\omega \quad [\text{J/m}^3]$

specific heat  $c_v = \frac{du}{dT} = \int \left(\frac{\hbar\omega}{T}\right)^2 \frac{D(\omega) \exp\left(\frac{\hbar\omega}{k_B T}\right)}{k_B \left(\exp\left(\frac{\hbar\omega}{k_B T}\right) - 1\right)^2} d\omega \quad [\text{J K}^{-1} \text{ m}^{-3}]$

entropy density  $s(T) = \int \frac{c_v}{T} dT = \frac{1}{T} \int_0^{\infty} \frac{\hbar\omega D(\omega)}{\exp\left(\frac{\hbar\omega}{k_B T}\right) - 1} d\omega \quad [\text{J K}^{-1} \text{ m}^{-3}]$

Helmholtz free energy density

$$f(T) = u - Ts = k_B T \int_0^{\infty} D(\omega) \ln \left( 1 - \exp\left(\frac{-\hbar\omega}{k_B T}\right) \right) d\omega \quad [\text{J/m}^3]$$



# Quartz

$\alpha$ -Quartz  
trigonal  
2.65 g/cm<sup>3</sup>

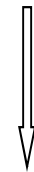
573°C  
⇒

$\beta$ -Quartz  
hexagonal  
2.53 g/cm<sup>3</sup>

870°C  
⇒

$\beta$ -Tridymite  
hexagonal  
2.25 g/cm<sup>3</sup>

1470°C



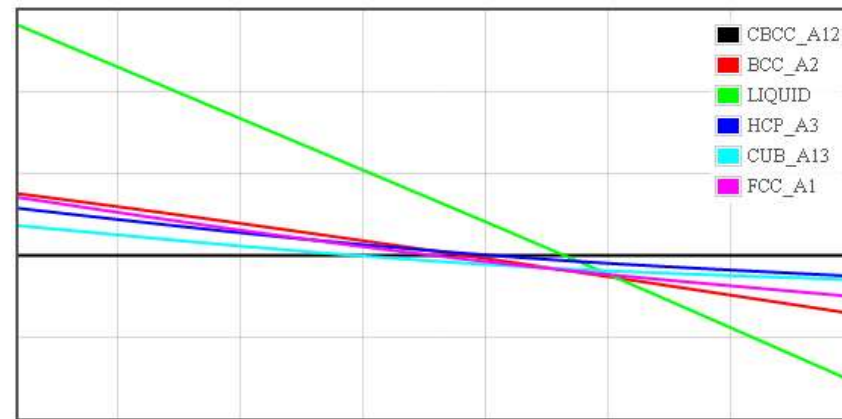
$\beta$ -Cristobalite  
cubic  
2.20 g/cm<sup>3</sup>

Silica Melt

1705°C  
⇐



$f-f_0$



$T$

# Electrons

---

# Free particles in 1-d

---

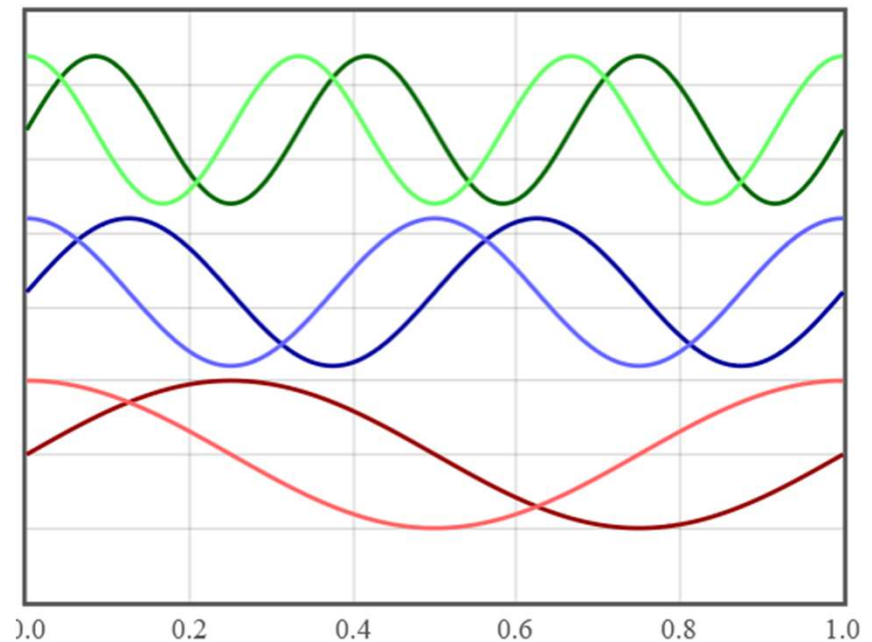
Fill the electrons states like in an atom.

$$-\frac{\hbar^2}{2m} \frac{d^2\psi}{dx^2} + V(x)\psi = E\psi$$

$$V(x) = 0$$

$$\psi_k = \frac{e^{i(kx - \omega t)}}{\sqrt{L}}$$

$$\psi_k^* \psi_k = \frac{1}{L}$$



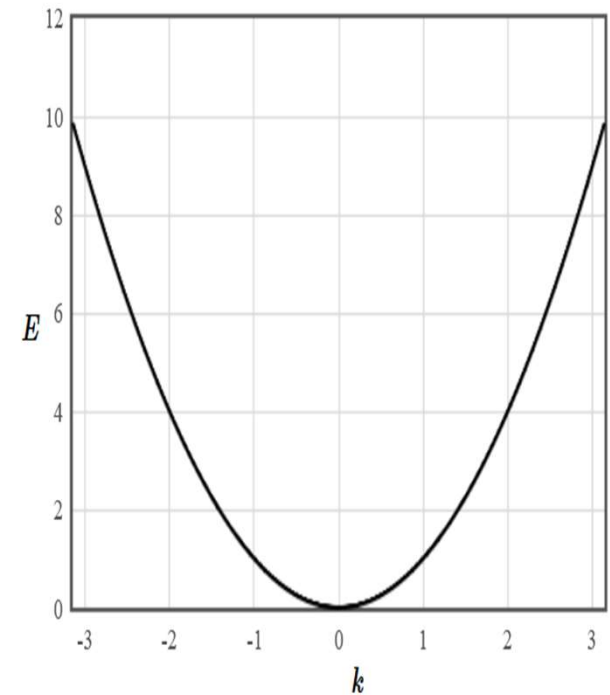
# Free particles in 1-d

---

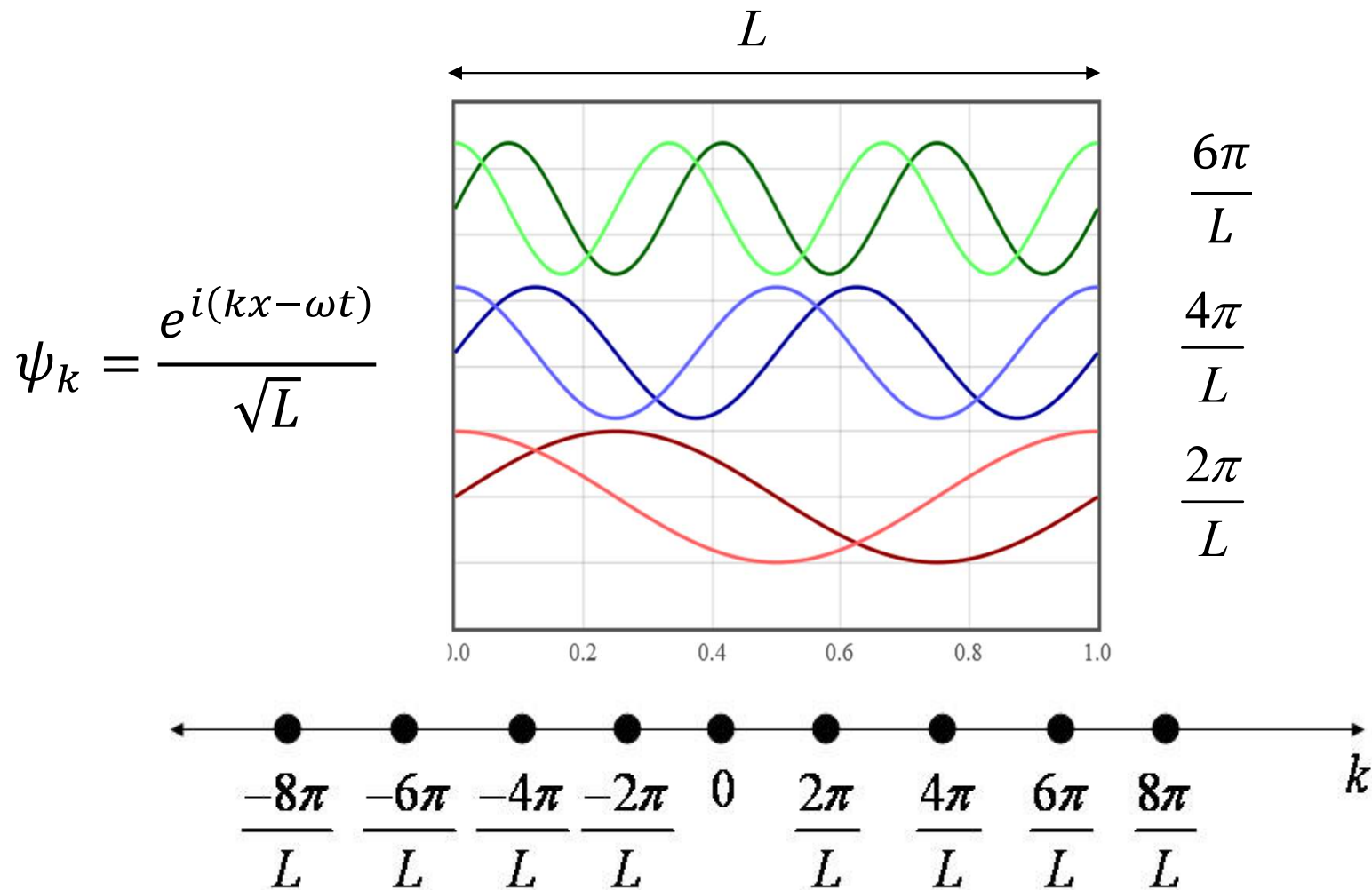
$$i\hbar \frac{\partial \psi}{\partial t} = -\frac{\hbar^2}{2m} \frac{d^2 \psi}{dx^2} \quad V = 0$$

Eigen function solutions:  $\psi_k = \frac{e^{i(kx - \omega t)}}{\sqrt{L}}$

Dispersion relation:  $E = \hbar\omega = \frac{\hbar^2 k^2}{2m} = \frac{1}{2} mv^2$

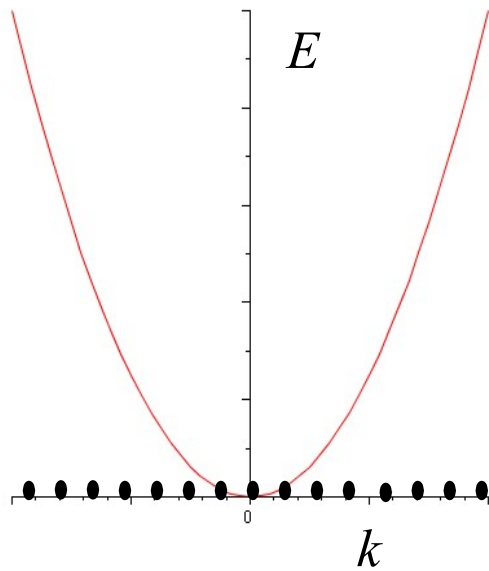


# Periodic boundary conditions

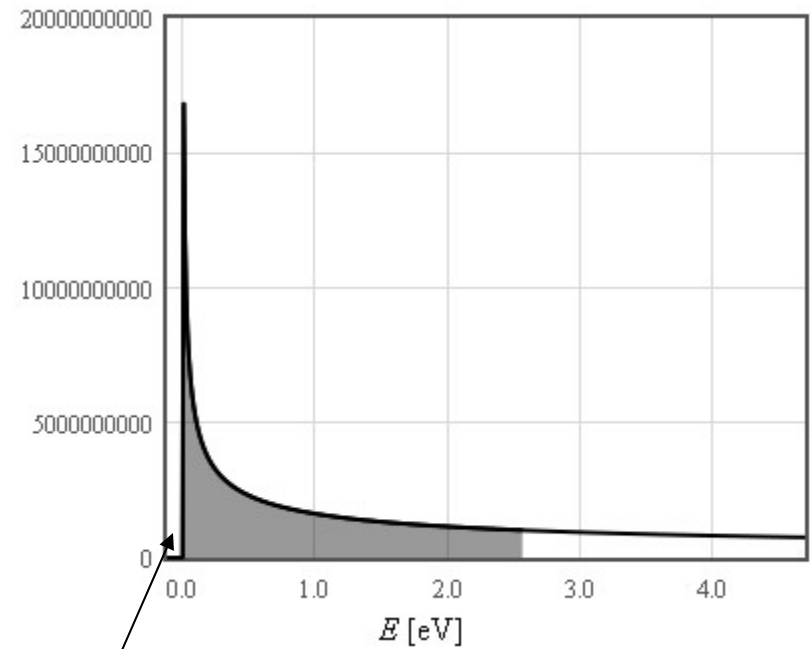


# Free particles in 1-d

## Density of states



$D(E)$



$$D(E) = \frac{1}{\pi\hbar} \sqrt{\frac{2m}{E}}$$

Van Hove singularity

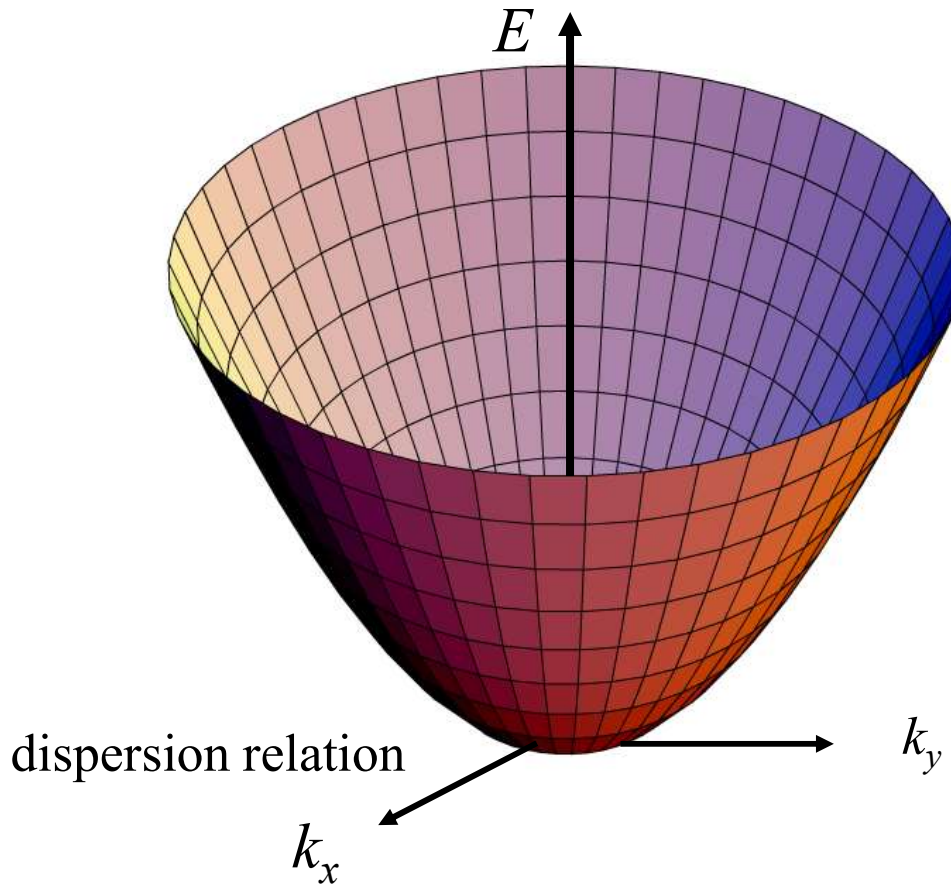
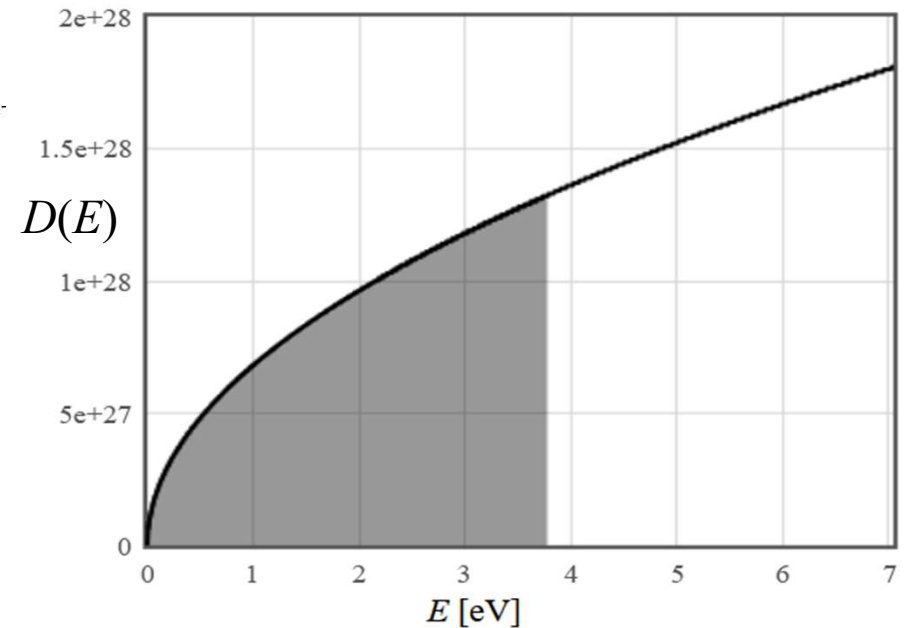
$E$

# free electrons (simple model for a metal)

$$\vec{p} = \hbar \vec{k}$$

$$E(\vec{k}) = \frac{1}{2} m v^2 = \frac{p^2}{2m} = \frac{\hbar^2}{2m} (k_x^2 + k_y^2 + k_z^2)$$

3-d density of states



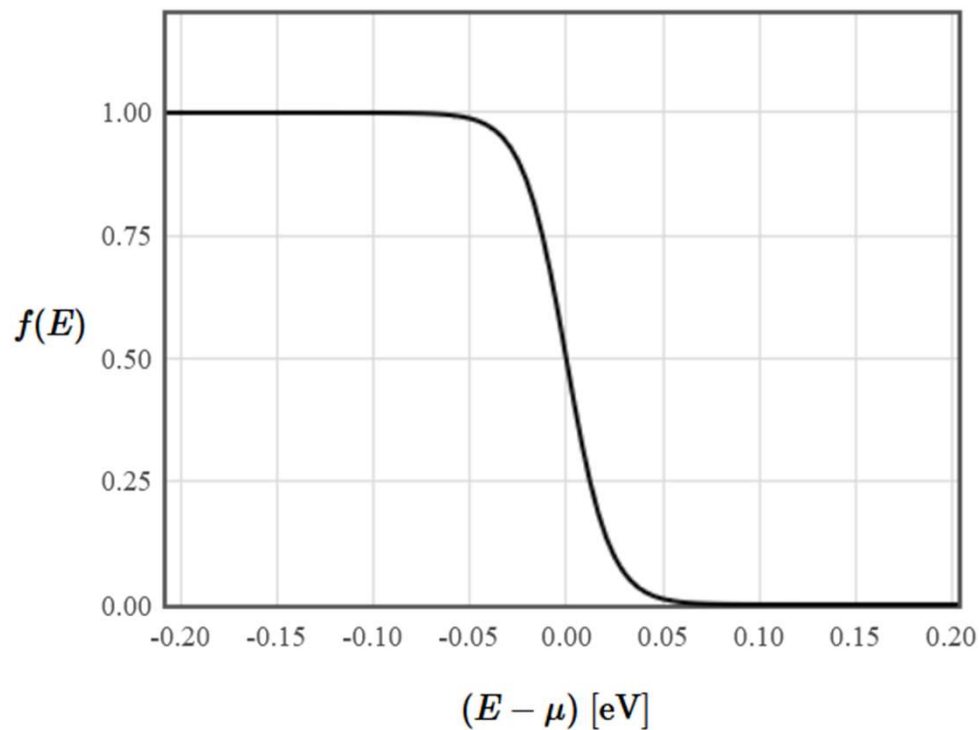
$$D(E) = \begin{cases} 0 & \text{for } E < 0 \\ \frac{(2m)^{3/2}}{2\pi^2 \hbar^3} \sqrt{E} & \text{for } E > 0 \end{cases}$$

# Fermi function

---

$f(E)$  is the probability that a state at energy  $E$  is occupied.

$$f(E) = \frac{1}{1 + \exp\left(\frac{E - \mu}{k_B T}\right)}$$



$\mu =$  chemical potential



# Chemical potential

---

$$f(E) = \frac{1}{1 + \exp\left(\frac{E - \mu}{k_B T}\right)}$$

The chemical potential is implicitly defined as the energy that solves the following equation.

$$n = \int_{-\infty}^{\infty} D(E) f(E) dE = \int_{-\infty}^{\infty} \frac{D(E) dE}{1 + \exp\left(\frac{E - \mu}{k_B T}\right)}$$

Here  $n$  is the electron density.

# Fermi energy

---

In solid state physics books,

$$E_F = \mu(T=0).$$

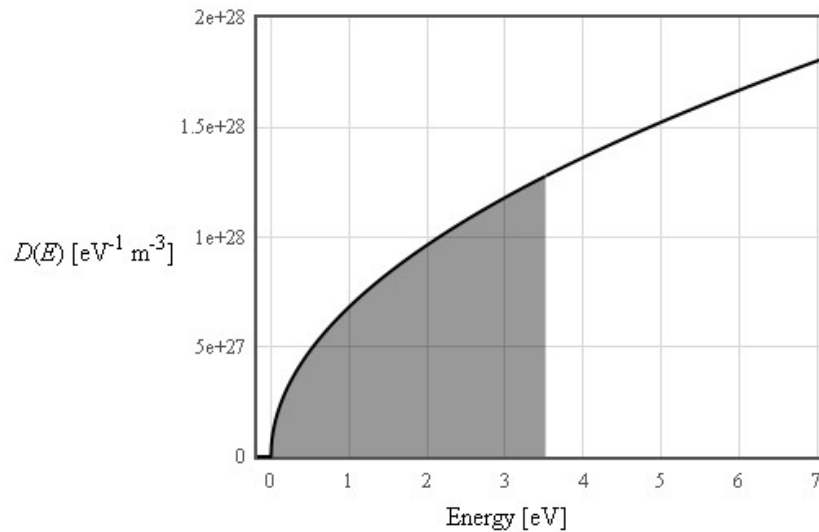
In semiconductor books,  $E_F(T) = \mu(T)$ .

At  $T = 0$

$$n = \int_{-\infty}^{E_F} D(E) dE$$

# Free particles in 3-d

---



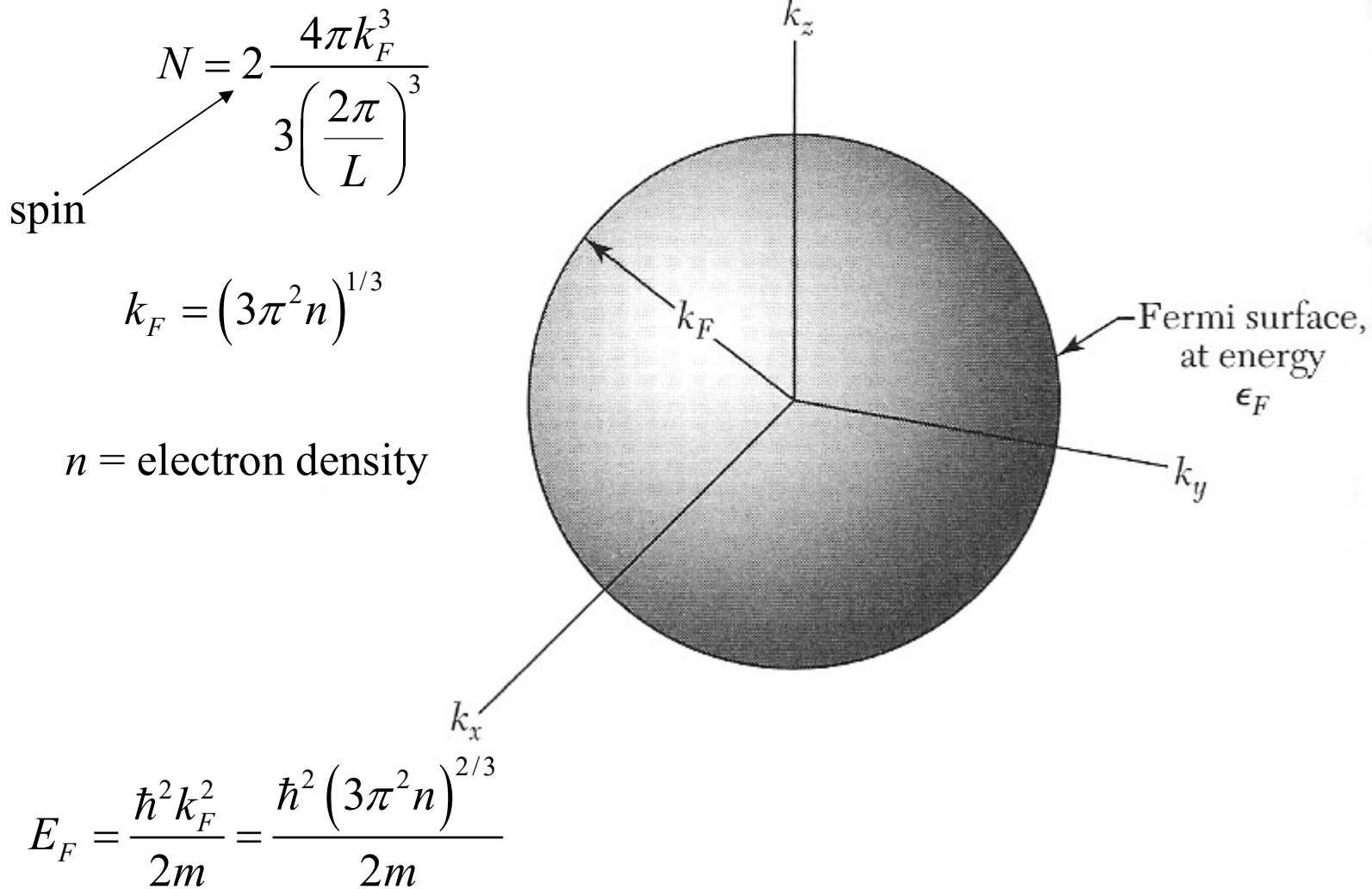
At  $T = 0$ :

$$n = \int_0^{E_F} D(E) dE$$

$$n = \frac{\sqrt{2}m^{3/2}}{\pi^2 \hbar^3} \int_0^{E_F} \sqrt{E} dE = \frac{(2m)^{3/2}}{3\pi^2 \hbar^3} E_F^{3/2}$$

$$E_F = \frac{\hbar^2 k_F^2}{2m} = \frac{\hbar^2}{2m} (3\pi^2 n)^{2/3}$$

# Fermi sphere



The thermal and electronic properties depend on the states at the Fermi surface.

# Internal energy density at $T = 0$

---

$$u = \int_{-\infty}^{\infty} ED(E)dE = \int_0^{E_f} ED(E)dE$$

$$D(E) = \frac{(2m)^{\frac{3}{2}}}{2\pi^2\hbar^3} \sqrt{E} \quad \text{J}^{-1} \text{m}^{-3}$$

$$u = \int_0^{E_f} \frac{(2m)^{\frac{3}{2}}}{2\pi^2\hbar^3} E^{3/2} dE = \frac{(2m)^{\frac{3}{2}}}{5\pi^2\hbar^3} E_f^{\frac{5}{2}}$$

$$E_F = \frac{\hbar^2}{2m} (3\pi^2 n)^{2/3} \quad u(T=0) = \frac{3}{5} n E_F$$

$$u(T=0) = \frac{\pi^{\frac{4}{3}} \hbar^2}{10m} (3n)^{\frac{5}{3}} = \frac{\pi^{\frac{4}{3}} \hbar^2}{10m} \left( \frac{3N}{V} \right)^{\frac{5}{3}}$$