

Microelectronics and Micromechanics

Peter Hadley

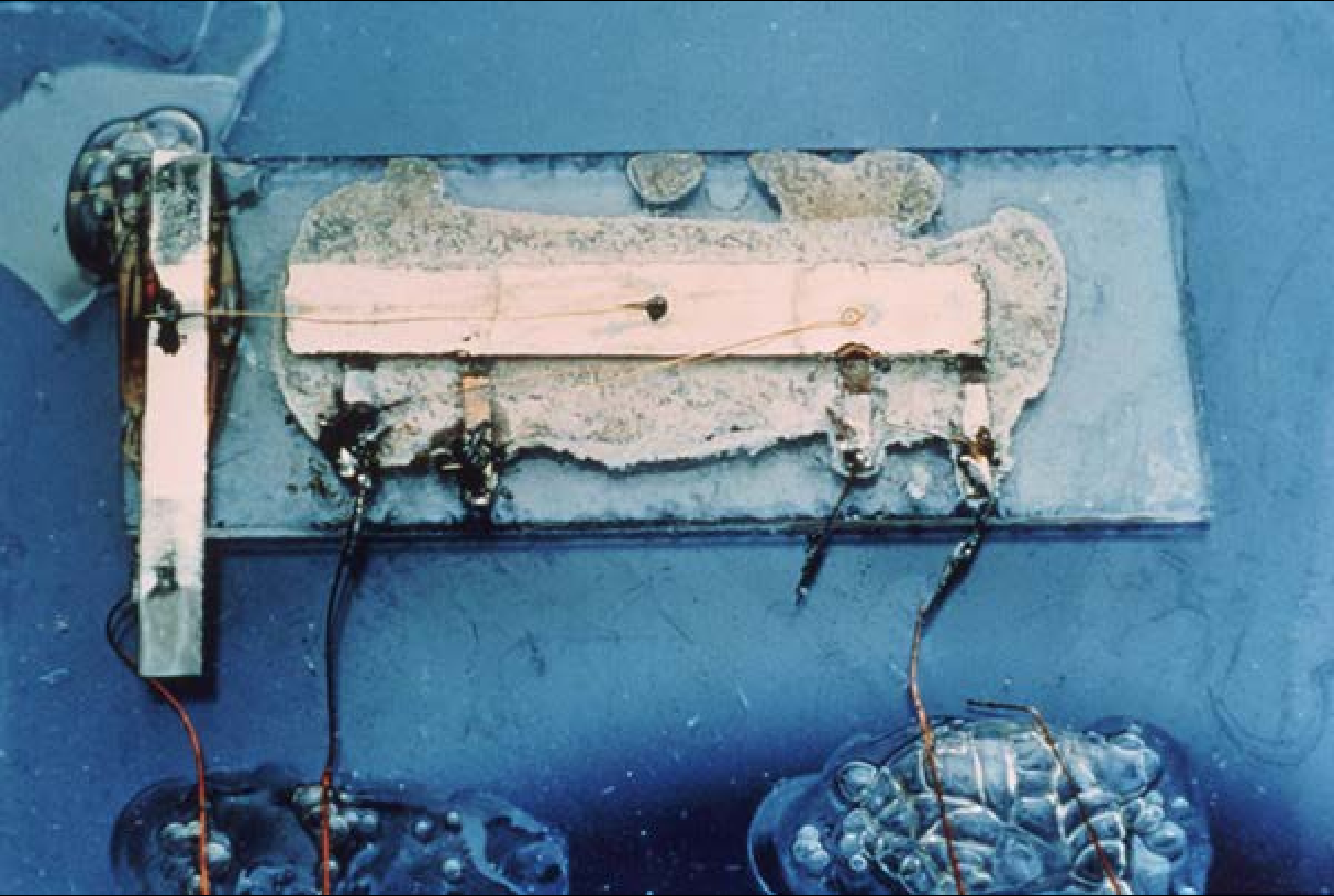
1 Mikro- und Nanotechnologien in der Mikroelektronik

1.1 Grundprozesse

Ausgangspunkt ist der Siliziumwafer (früher Ge, heute auch GaAs). Ist ein Einkristall mit sehr geringer Defektdichte und hochrein (typisch einige Defekte/cm²). Herstellung meist nach dem Czochralskiverfahren (Ziehen aus der Schmelze).

Zur Zeit typische Waferdurchmesser 10 cm (4") bis 20 cm (8"). Länge des Einkristalls bis 2 m; Dicke der Wafer \approx 0.5 mm. (Fa. Wacker Chemie/Burghausen, Bayern).

Auf dem Wafer werden in vielfacher Anzahl die IC's (integrated circuits) hergestellt (chips, dies), typische Größen: 5x6mm² (Logikbausteine), 4x10 mm² (Speicher). Pro chip mehrere Millionen Bausteine (Transistoren, Kondensatoren). Dimensionen im μ m und sub- μ m Bereich.

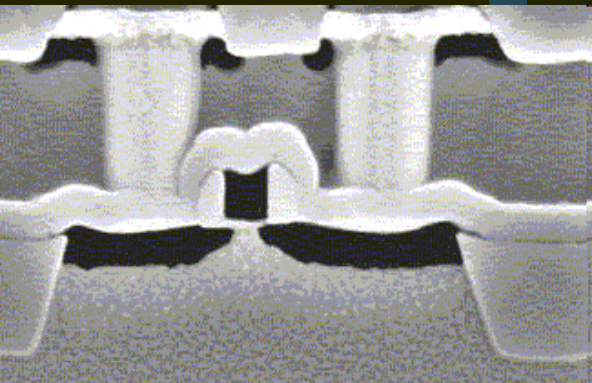
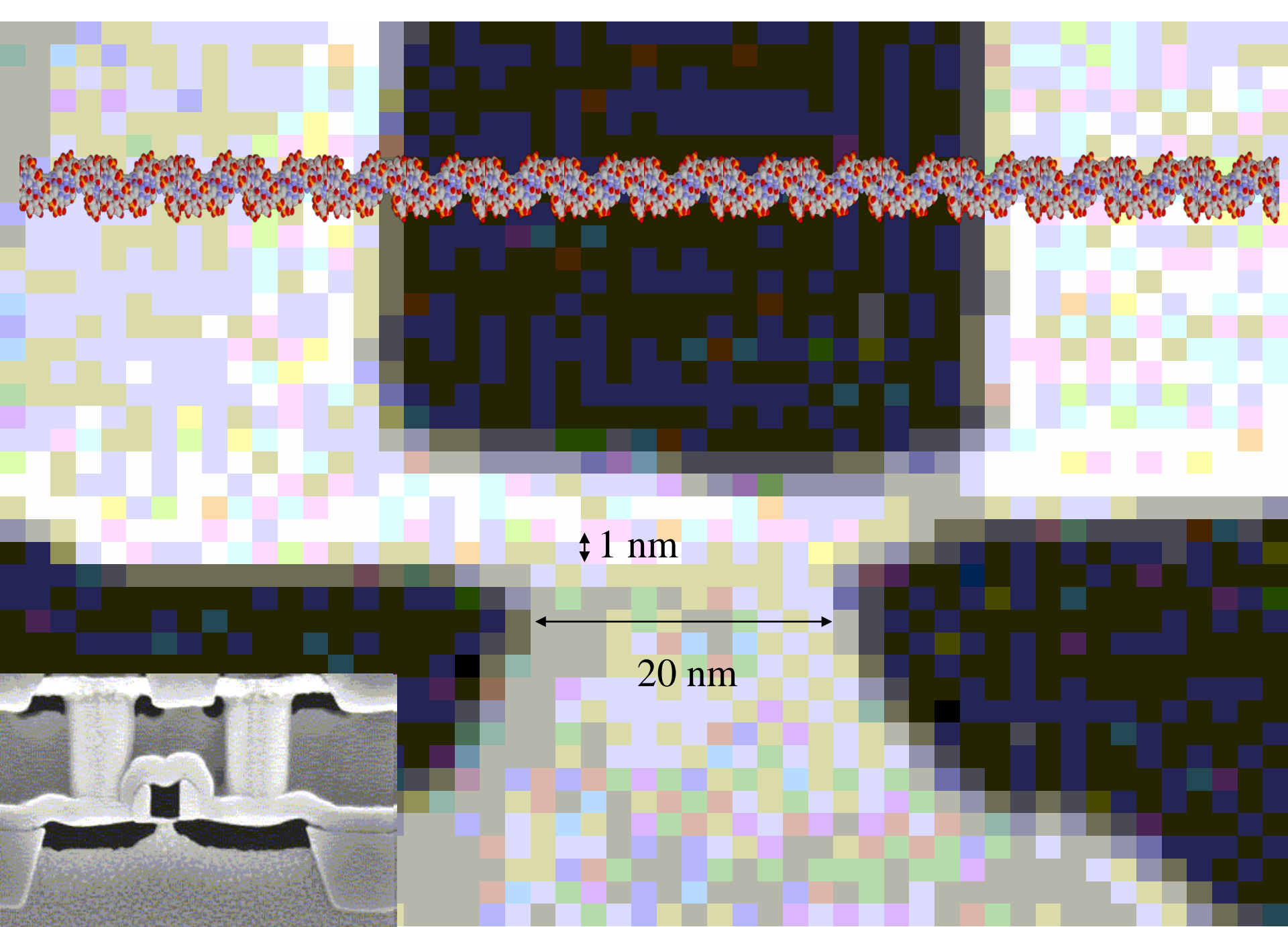


Jack Kilby's first integrated circuit 1958

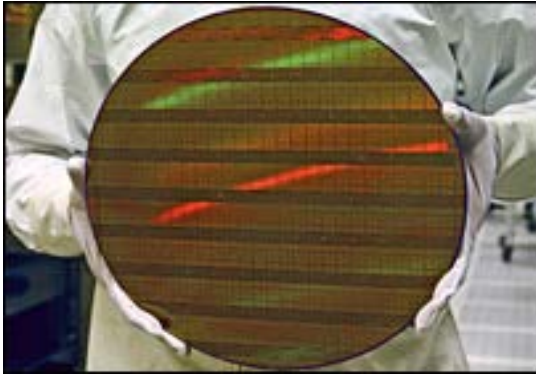
Moore's law

The complexity for minimum component costs has increased at a rate of *roughly a factor of two per year*. Certainly over the short term this rate can be expected to continue, if not to increase. Over the longer term, the rate of increase is a bit more uncertain, although there is no reason to believe it will not remain nearly constant for at least 10 years.

G. Moore, 1965



Microelectronics



Silicon chips are used in computers, mobile telephones, and microcontrollers.

1 trillion transistors are produced simultaneously on a wafer.

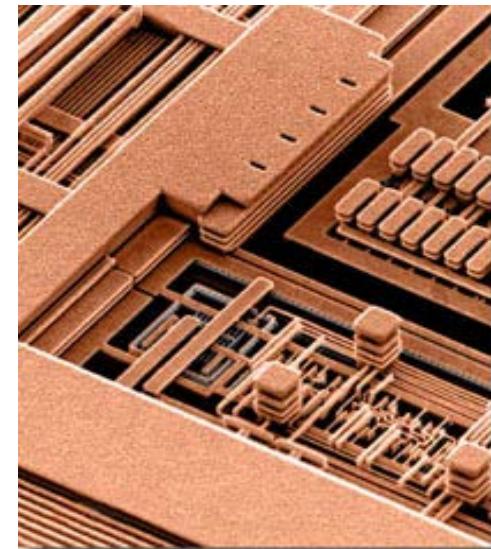
Gate length ~ 15 nm

1 μ processor ~ 1 billion transistors ~ 100 Euros

1 transistor for 10^{-5} cents.

10^5 transistors ~ 1 cent \rightarrow packaging is the major cost for simple circuits

100 euro/cm²





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<http://www.itrs.net/reports.html>



International Technology Roadmap for Semiconductors

Table PIDS2a High-performance (HP) Logic Technology Requirements - TCAD

Year of Production	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028
Logic Industry "Node Range" Labeling (nm) [based on 0.7x reduction per "Node Range" ("Node" = 2x Mx)]	"16/14"		"11/10"		"8/7"		"6/5"		"4/3"		"3/2.5"		"2/1.5"		"1/0.75"	
MPU/DASIC Metal 1 (M1) Pitch (nm) [contacted]	40	32	32	28.3	25.3	22.5	20.0	17.9	15.9	14.2	12.6	11.3	10.0	8.9	8	7.1
L_g : Physical Gate Length for HP Logic (nm)	20	18	16.7	15.2	13.9	12.7	11.6	10.6	9.7	8.8	8.0	7.3	6.7	6.1	5.6	5.1
L_{ch} : Effective Channel Length (nm) [3]	16.0	14.4	13.4	12.2	11.1	10.2	9.3	8.5	7.8	7.0	6.4	5.8	5.4	4.9	4.5	4.1
V_{dd} : Power Supply Voltage (V)																
Bulk/SOI/IMG	0.86	0.85	0.83	0.81	0.80	0.78	0.77	0.75	0.74	0.72	0.71	0.69	0.68	0.66	0.65	0.64
EOT: Equivalent Oxide Thickness																
Bulk/SOI/IMG (nm)	0.80	0.77	0.73	0.70	0.67	0.64	0.61	0.59	0.56	0.54	0.51	0.49	0.47	0.45	0.43	0.41
Dielectric constant (K) of gate dielectrics	12.5	13.0	13.5	14.0	14.5	15.0	15.5	16.0	16.5	17.0	17.5	18.0	18.5	19.0	19.5	20.0
Physical gate oxide thickness (nm)	2.56	2.57	2.53	2.51	2.49	2.46	2.42	2.42	2.37	2.35	2.29	2.26	2.23	2.19	2.15	2.10
Channel Doping (10^{18} cm^{-2}) [4]																
Bulk	6.0	7.0	7.7	8.4	9.0											
SOI/IMG	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1
Body Thickness (nm) [5]																
SOI																
MG	6.4	5.8	5.3	4.9	4.4	4.1	3.7	3.4	3.1	2.8	2.6	2.3	2.1	2.0	1.8	1.6
T_{BOX} : Buried Oxide Thickness for SOI (nm) [6]																
SOI																
CET: Capacitance Equivalent Thickness (nm) [7]																
Bulk/SOI/IMG	1.10	1.07	1.03	1.00	0.97	0.94	0.91	0.89	0.86	0.84	0.81	0.79	0.77	0.75	0.73	0.71
$C_{ch, intrinsic}$ (fF/ μm) [8]																
Bulk/SOI/IMG	0.502	0.465	0.448	0.420	0.396	0.373	0.352	0.329	0.311	0.289	0.273	0.255	0.240	0.225	0.212	0.198
Mobility ($\text{cm}^2/\text{V}\cdot\text{s}$)																
Bulk	400	400	400	400	400											
SOI																
MG	250	250	250	250	250	250	200	200	200	200	200	150	150	150	150	150
I_{off} (nA/ μm) [9]																
Bulk/SOI/IMG	100	100	100	100	100	100	100	100	100	100	100	100	100	100	100	100
$I_{d,ot}$: NMOS Drive Current ($\mu\text{A}/\mu\text{m}$) [10]																
Bulk	1,348	1,355	1,340	1,295	1,267											
SOI																
MG	1670	1,680	1,700	1,660	1,660	1,610	1,600	1,480	1,450	1,350	1,330	1,170	1,100	1,030	970	900
$V_{t,th}$ (V) [11]																
Bulk	0.306	0.327	0.334	0.357	0.378											
SOI																
MG	0.219	0.225	0.231	0.239	0.264	0.266	0.265	0.276	0.295	0.303	0.306	0.319	0.334	0.340	0.354	0.364

Micromechanics

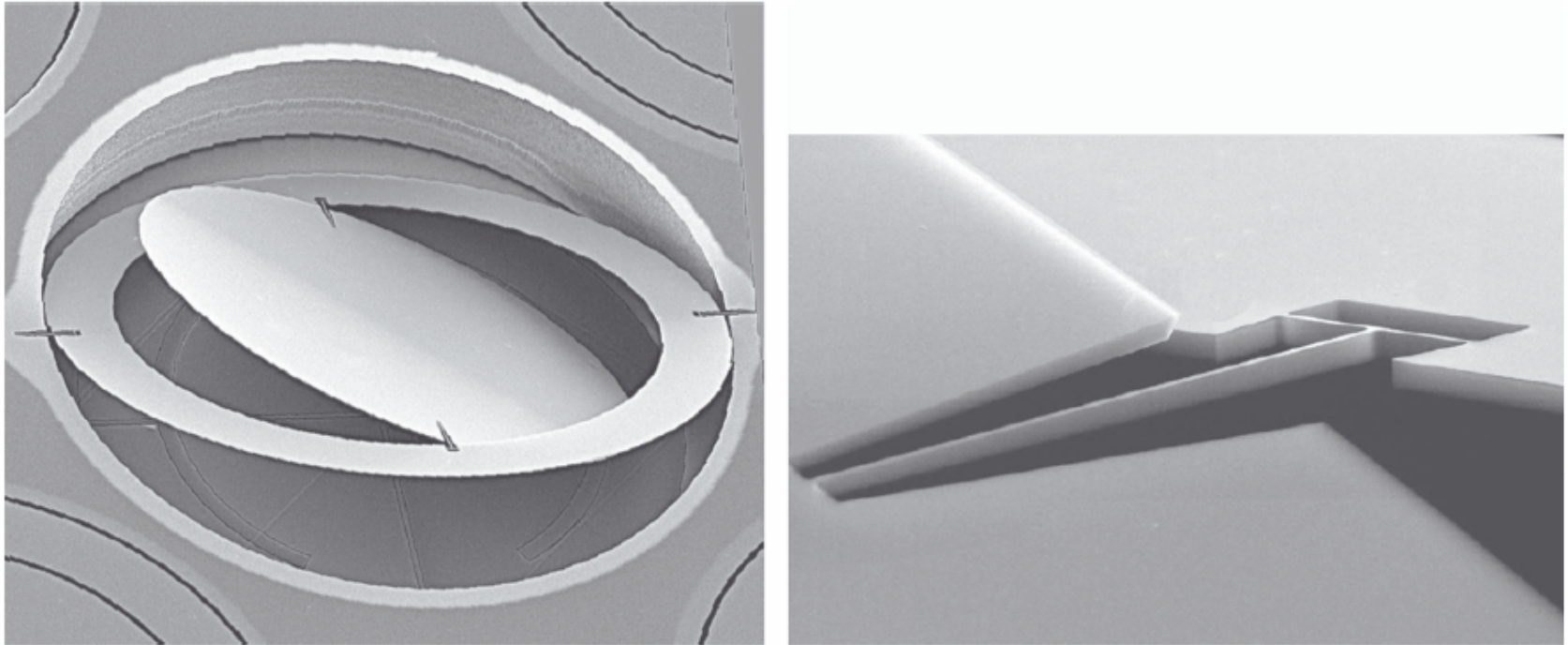
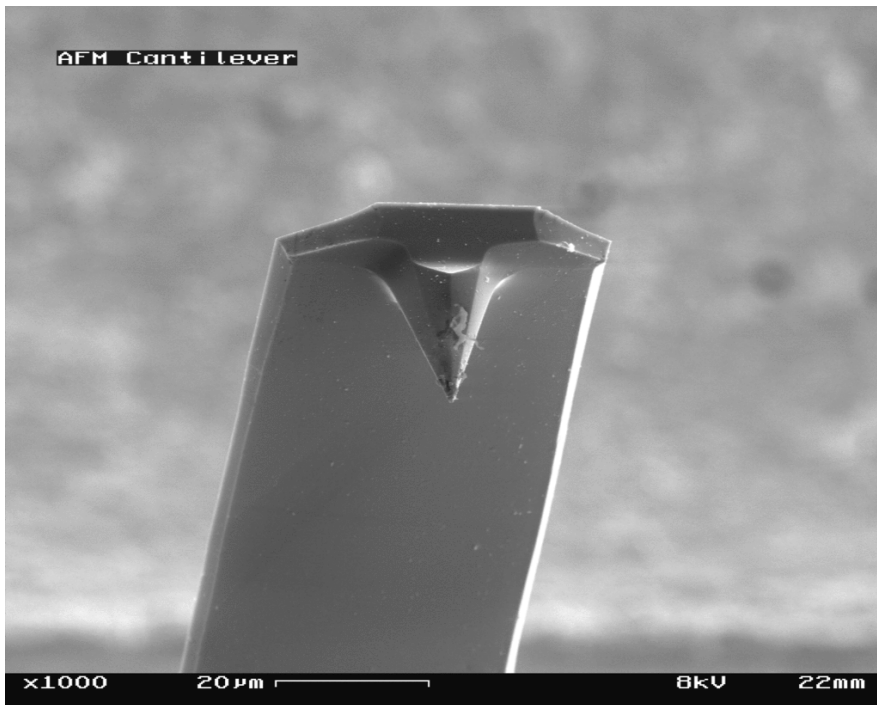


Figure 1.2 Micromirror made of silicon, 1 mm in diameter, is supported by torsion bars $1.2\mu\text{m}$ wide and $4\mu\text{m}$ thick (detail figure). Reproduced from Greywall *et al.* (2003), Copyright 2003, by permission of IEEE

Micromechanics



http://en.wikipedia.org/wiki/Cantilever#mediaviewer/File:AFM_%28used%29_cantilever_in_Scanning_Electron_Microscope,_magnification_1000_x.GIF

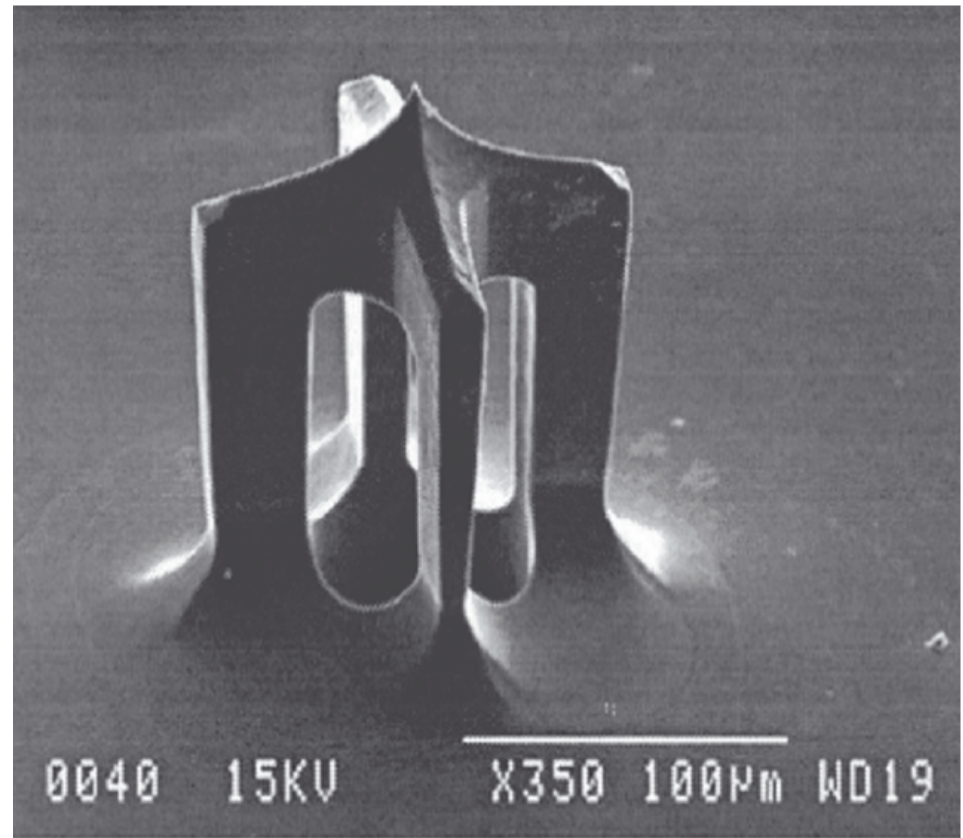


Figure 1.13 Silicon microneedle, about 100 μm. Reproduced from Griss and Stemme (2003), Copyright 2003, by permission of IEEE

Micromechanics

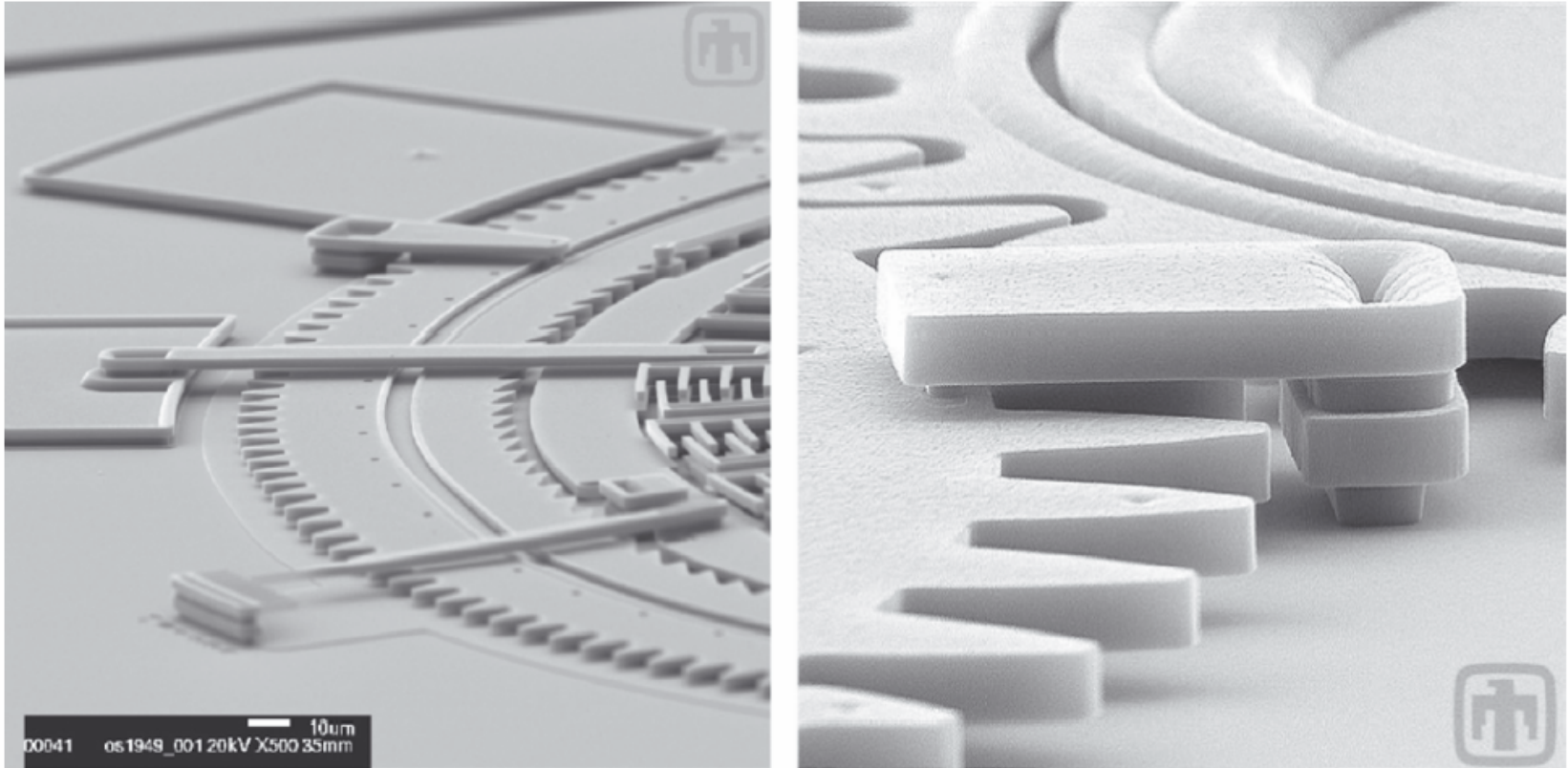
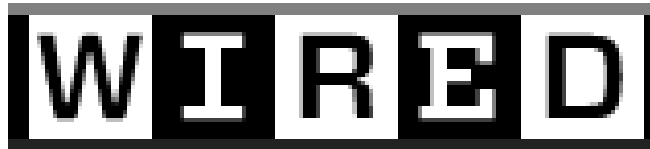


Figure 29.20 Mechanical gears made in multiple layer polysilicon process. Courtesy Sandia National Laboratories



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Why the future doesn't need us.

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Our most powerful 21st-century technologies - robotics, genetic engineering, and nanotech - are threatening to make humans an endangered species.

By Bill Joy

From the moment I became involved in the creation of new technologies, their ethical dimensions have concerned me, but it was only in the autumn of 1998 that I became anxiously aware of how great are the dangers facing us in the 21st century. I can date the onset of my unease to the day I met Ray Kurzweil, the deservedly famous inventor of the first reading machine for the blind and many other amazing things.

Ray and I were both speakers at George Gilder's Telecosm conference, and I encountered him by chance in the bar of the hotel after both our sessions were over. I was sitting with John Searle, a Berkeley philosopher who studies consciousness. While we were talking, Ray approached and a conversation began, the subject of which haunts me to this day.

PLUS

[A Tale of Two Botanies](#)

<http://www.if.tugraz.at/memm.html>



513.160 Microelectronics and Micromechanics

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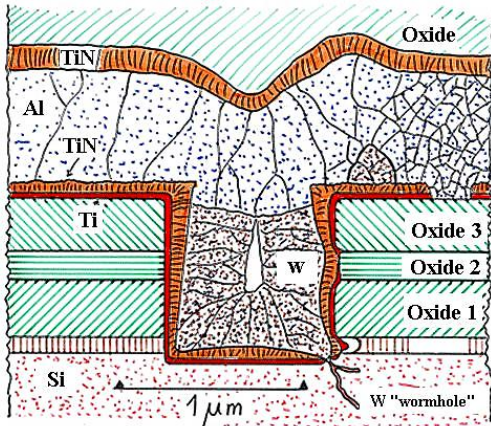
Outline

Books

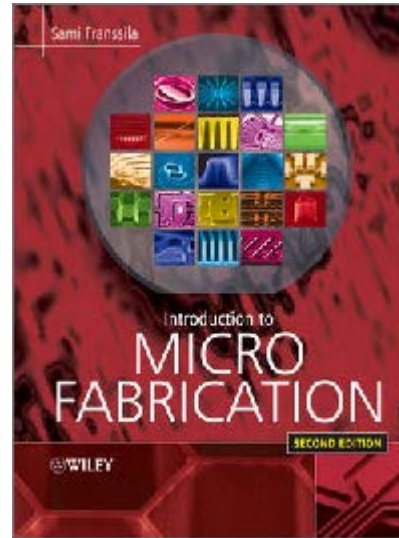
Lectures

Microfabrication is a collection of production methods that are used to create very many electrical or mechanical components simultaneously. These methods have allowed us to produce microcontrollers and computers that affect virtually all aspects of our lives. Microcontrollers are found in household appliances such as coffee makers, vacuum cleaners, dishwashers, heating systems, and televisions. Mobile telephones and the internet have transformed how we communicate. Computers are essential for transportation systems, weather prediction, science, medicine, education, industrial design, banking, and retail sales. Even though computers are so important to modern life, there are relatively few people who understand how they are made. This course describes microelectronic and micromechanical devices and how they are fabricated. We will concentrate on silicon devices produced by optical lithography.

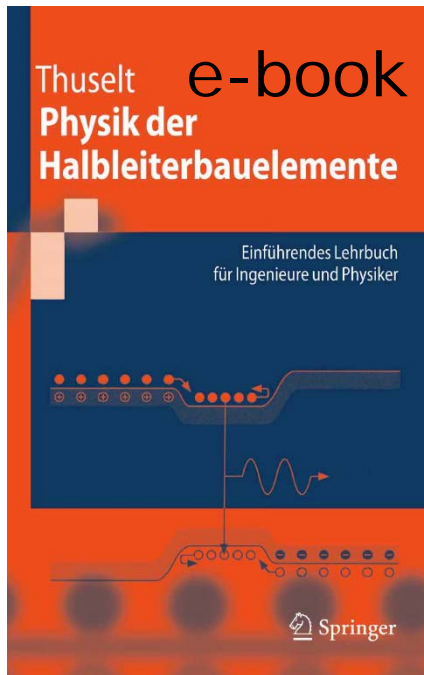
Books



Electronic Materials



International Technology Roadmap for Semiconductors



Principles of
**Semiconductor
Devices**

by
Bart Van Zeghbroeck
e-book

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Outline

- **Introduction**
 - The evolution of microelectronics
 - Moore's law
 - Why the future doesn't need us
- **Semiconductors and semiconductor devices**
 - Intrinsic semiconductors
 - Extrinsic semiconductors
 - pn-junctions
 - metal-semiconductor contacts
 - MOSFETs
 - CMOS
 - Memories
 - Semiconducting heterostructures
- **Crystal and thin film growth**
 - Purification of silicon
 - Czochralski process
 - Float Zone process
 - Molecular beam epitaxy
 - Chemical vapor deposition
 - Atomic layer deposition
 - Laser ablation
 - Thermal evaporation
 - Sputtering

Evaluation

July 2

Bring your phone (or a laptop).

Examination

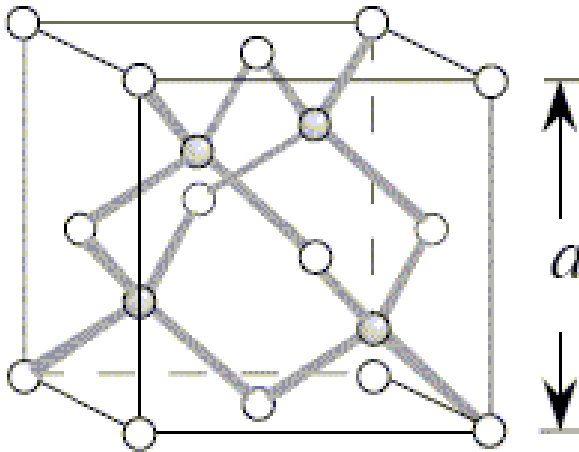
1 Contribution to improve the course

Oral exam

Silicon

- Important semiconducting material
- 2nd most common element on earth's crust (rocks, sand, glass, concrete)
- Often doped with other elements
- Oxide SiO_2 is a good insulator

2.33		28.086
	Si	14
5.43		
	$3s^2 3p^2$	
1683	DIA	625



silicon crystal = diamond crystal structure

Silicon

The first transistor was made of polycrystalline germanium in 1947. Electron mobility in germanium is higher than in silicon, and germanium was readily available. However, silicon, with its larger band gap, was favoured because of smaller leakage currents. Initially there was no consensus whether single crystalline or polycrystalline material was better, but the rapid development of single crystal silicon growth in the 1950's soon dominated the market. The real breakthrough came when the beneficial role of silicon dioxide was recognized: it provided passivation of semiconductor surfaces, and it resulted in improved transistor reliability. When it was further noticed that the SiO_2 layer could act as a diffusion mask and as isolation for integrated metallization, the way was open for the invention of the integrated circuit.

multicrystalline silicon! As short forms, c-Si is used for crystalline silicon and a-Si for amorphous silicon, while polycrystalline silicon is known simply as poly. In the solar cell industry crystalline silicon is sometimes called X-Si.

4.1 Silicon Material Properties

Silicon material properties are an excellent compromise between performance and stability. An energy gap of 1.12 eV makes silicon devices less prone to thermal noise than germanium devices with a 0.67 eV gap. Silicon is transparent in the infrared (above 1.1 μm wavelength) which means that it can be used as an optical material at 1.55 μm telecom wavelength applications.

Silicon

Table 4.1 Properties of silicon at 300 K

Structural and mechanical

Atomic weight	28.09
Atoms, total (cm^{-3})	4.995×10^{22}
Crystal structure	diamond (FCC)
Lattice constant (\AA)	5.43
Density (g/cm^3)	2.33
Density of surface atoms (cm^{-2})	(100) 6.78×10^{14} (110) 9.59×10^{14} (111) 7.83×10^{14}
Young's modulus (GPa)	190 (111) crystal orientation
Yield strength (GPa)	7
Fracture strain	4%
Poisson ratio, ν	0.27
Knoop hardness (kg/mm^2)	850

Electrical

Energy gap (eV)	1.12
Intrinsic carrier concentration (cm^{-3})	1.38×10^{10}
Intrinsic resistivity (ohm-cm)	2.3×10^5
Dielectric constant	11.8
Intrinsic Debye length (nm)	24
Mobility (drift) ($\text{cm}^2/\text{V-s}$)	1500 (electrons) 475 (holes)
Temperature coeff. of resistivity (K^{-1})	0.0017

Silicon

Thermal

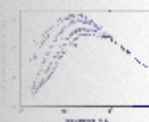
Coefficient of thermal expansion ($^{\circ}\text{C}^{-1}$)		2.6×10^{-6}
Melting point ($^{\circ}\text{C}$)		1421
Specific heat (J/kg-K)		700
Thermal conductivity (W/m-K)		150
Thermal diffusivity		$0.8 \text{ cm}^2/\text{s}$

Optical

Index of refraction	3.42	$\lambda = 632 \text{ nm}$
	3.48	$\lambda = 1550 \text{ nm}$
Energy gap wavelength	$1.1 \mu\text{m}$	(transparent at larger wavelengths)
Absorption	$> 10^6 \text{ cm}^{-1}$	$\lambda = 200\text{--}360 \text{ nm}$
	10^5 cm^{-1}	$\lambda = 420 \text{ nm}$
	10^4 cm^{-1}	$\lambda = 550 \text{ nm}$
	10^3 cm^{-1}	$\lambda = 800 \text{ nm}$
	$< 0.01 \text{ cm}^{-1}$	$\lambda = 1550 \text{ nm}$

Semiconductors

on NSM



Semiconductors

n, k database

InGaAsP

Equivalents

Si	- Silicon	Ge	- Germanium
GaP	- Gallium Phosphide	GaAs	- Gallium Arsenide
InAs	- Indium Arsenide	C	- Diamond
GaSb	- Gallium Antimonide	InSb	- Indium Antimonide
InP	- Indium Phosphide	GaAs_{1-x}Sb_x	- Gallium Arsenide Antimonide
Al_xGa_{1-x}As	- Aluminium Gallium Arsenide		
AlN	- Aluminium Nitride	InN	- Indium Nitride
BN	- Boron Nitride	GaN	- Gallium Nitride

We are going to add new data for:

Ga_xIn_{1-x}As_ySb_{1-y}	- Gallium Indium Arsenide Antimonide	Ga_xIn_{1-x}P	- Gallium Indium Phosphide
Ga_xIn_{1-x}As	- Gallium Indium Arsenide	Ga_xIn_{1-x}Sb	- Gallium Indium Antimonide
InAs_{1-x}Sb_x	- Indium Arsenide Antimonide	Ga_xIn_{1-x}As_yP_{1-y}	- Gallium Indium Arsenide Phosphide
Si_{1-x}Ge_x	- Silicon Germanium	SiC	- Silicon Carbide

Si - Silicon

Band structure and carrier concentration

[Basic Parameters](#)

[Temperature Dependencies](#)

[Dependence of the Energy Gap on Hydrostatic Pressure](#)

[Energy Gap Narrowing at High Doping Levels](#)

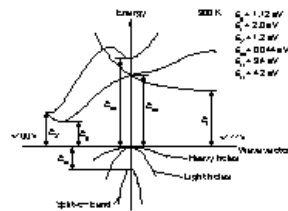
[Effective Masses](#)

[Donors and Acceptors](#)

[Most Important Deep Levels Impurities](#)

Basic Parameters

Energy gap	1.12 eV
Energy separation ($E_{\Gamma L}$)	4.2 eV
Energy spin-orbital splitting	0.044 eV
Intrinsic carrier concentration	$1 \cdot 10^{10} \text{ cm}^{-3}$
Intrinsic resistivity	$3.2 \cdot 10^5 \Omega \cdot \text{cm}$
Effective conduction band density of states	$3.2 \cdot 10^{19} \text{ cm}^{-3}$
Effective valence band density of states	$1.8 \cdot 10^{19} \text{ cm}^{-3}$



Band structure of Si at 300 K.

- $E_g = 1.12 \text{ eV}$
- $E_L = 2.0 \text{ eV}$
- $E_X = 1.2 \text{ eV}$
- $E_{SO} = 0.044 \text{ eV}$
- $E_{\Gamma 1} = 3.4 \text{ eV}$
- $E_{\Gamma 2} = 4.2 \text{ eV}$

Temperature Dependences

Temperature dependence of the energy gap

$$E_g = 1.17 - 4.73 \cdot 10^{-4} \cdot T^2 / (T + 636) \text{ (eV)}$$

where T is temperature in degrees K.

Temperature dependence of the direct band gap $E_{\Gamma 2}$

$$E_{\Gamma 2} = 4.34 - 3.91 \cdot 10^{-4} \cdot T^2 / (T + 125) \text{ (eV)}$$

Intrinsic carrier concentration

$$n_i = (N_c \cdot N_v)^{1/2} \cdot \exp(-E_g / (2k_B T))$$

Effective density of states in the conduction band

$$N_c = 4.82 \cdot 10^{15} \cdot M \cdot (m_c / m_0)^{3/2} \cdot T^{3/2} = 4.82 \cdot 10^{15} \cdot M \cdot (m_{cd} / m_0)^{3/2} \cdot T^{3/2} \text{ (cm}^{-3}\text{)},$$

or

$$N_c = 6.2 \cdot 10^{15} \cdot T^{3/2} \text{ (cm}^{-3}\text{)},$$

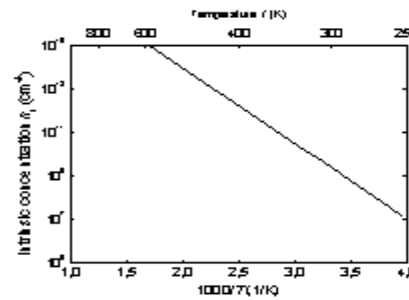
M = 6 is the number of equivalent valleys in the conduction band.

$m_c = 0.36m_0$ is the effective mass of the density of states in one valley of conduction

$m_{cd} = 1.18m_0$ is the effective mass of the density of states.

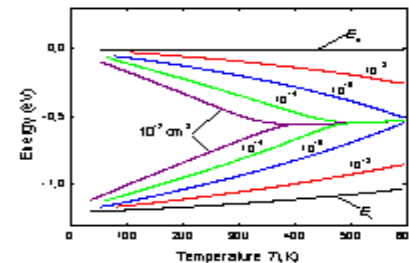
Effective density of states in the valence band

$$N_v = 3.5 \cdot 10^{15} \cdot T^{3/2} \text{ (cm}^{-3}\text{)}.$$



The temperature dependence of the intrinsic

(Shur [1990]).

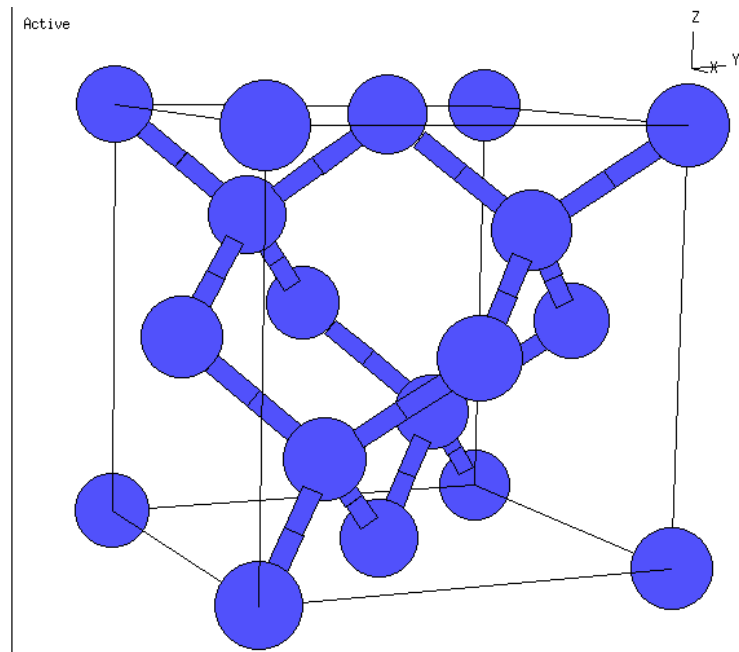
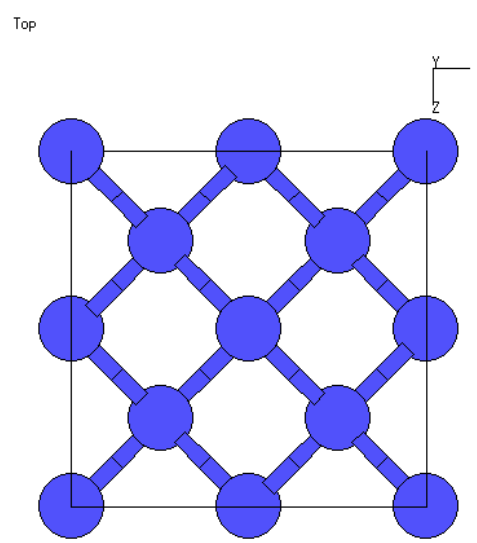
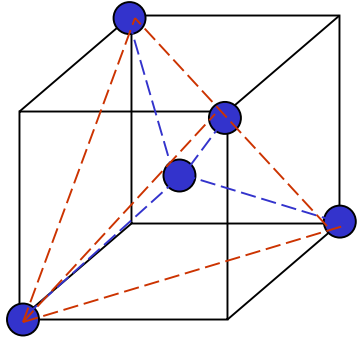


Fermi level versus temperature for different

(Grove [1967]).

diamond

C
Si
Ge



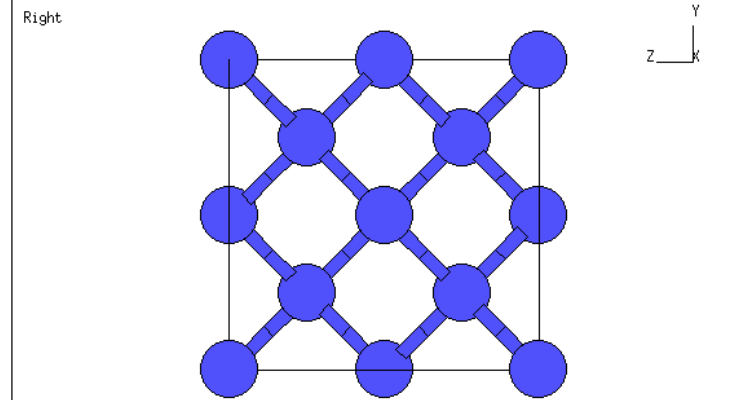
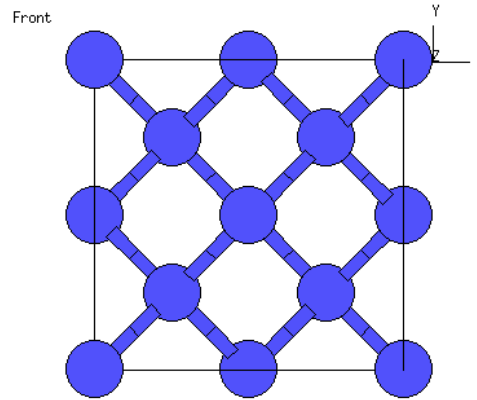
Number: 227

•Primitive Vectors:

$$\vec{a}_1 = \frac{a}{2} \hat{y} + \frac{a}{2} \hat{z}$$

$$\vec{a}_2 = \frac{a}{2} \hat{x} + \frac{a}{2} \hat{z}$$

$$\vec{a}_3 = \frac{a}{2} \hat{x} + \frac{a}{2} \hat{y} \quad a = 0.543 \text{ nm}$$

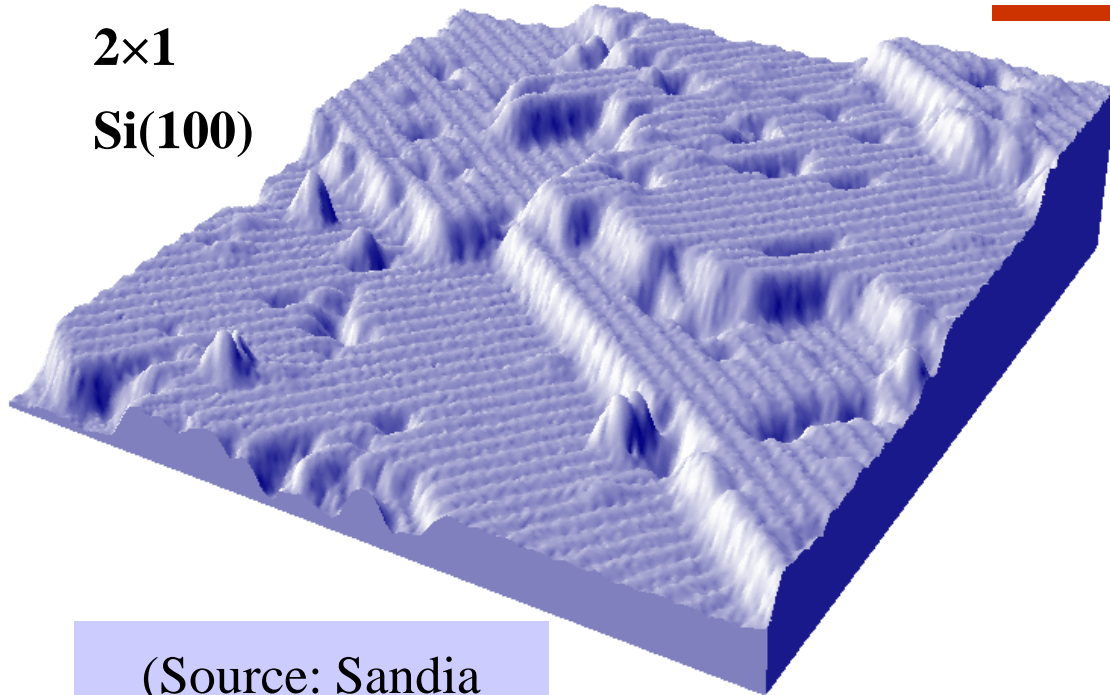


•Basis Vectors:
 $\vec{B}_1 = (0, 0, 0)$
 $\vec{B}_2 = (1/4, 1/4, 1/4)$

Point group: $m\bar{3}m$ (O_h) 6 2-fold rotations, 4 3-fold rotations, 3 4-fold rotations, 9 mirror planes, inversion

Silicon surfaces

2×1
Si(100)



(Source: Sandia
Nat.Labs.)

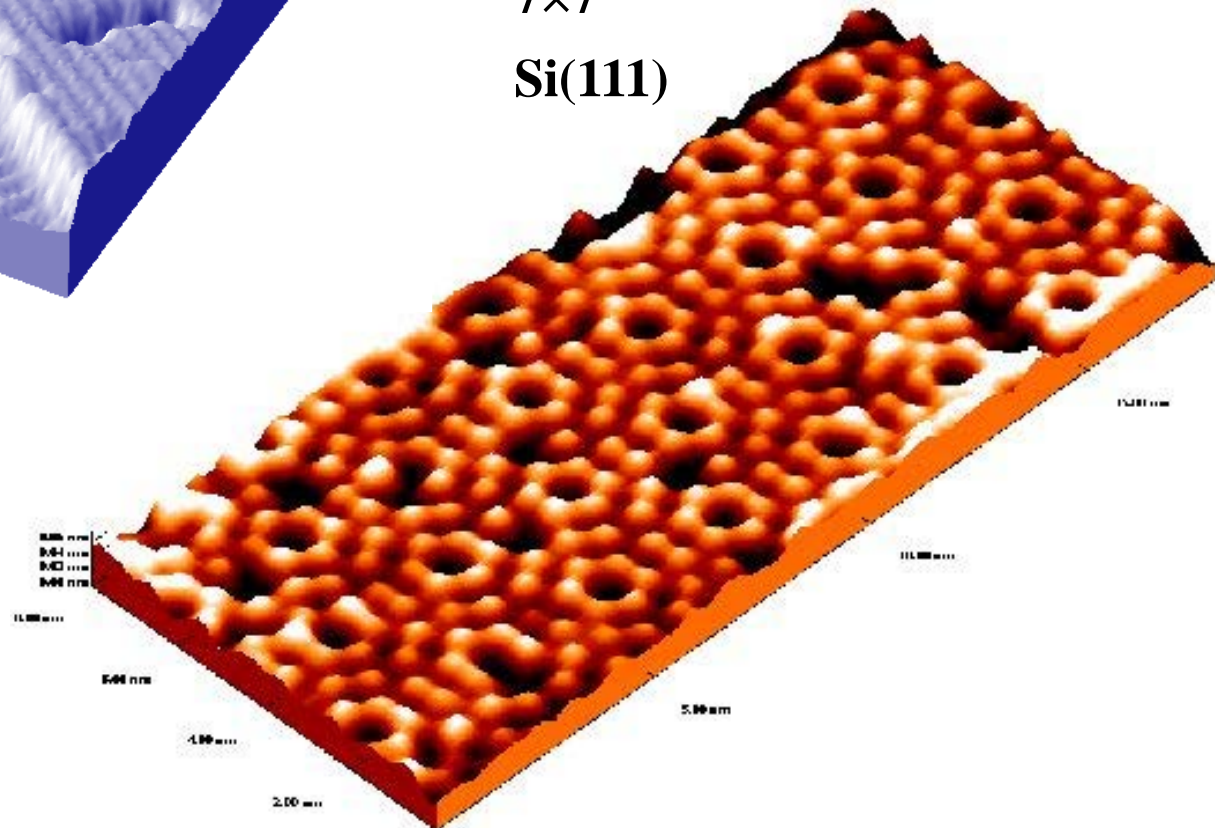
Density of surface atoms

$$(100) 6.78 \times 10^{14} \text{ 1/cm}^2$$

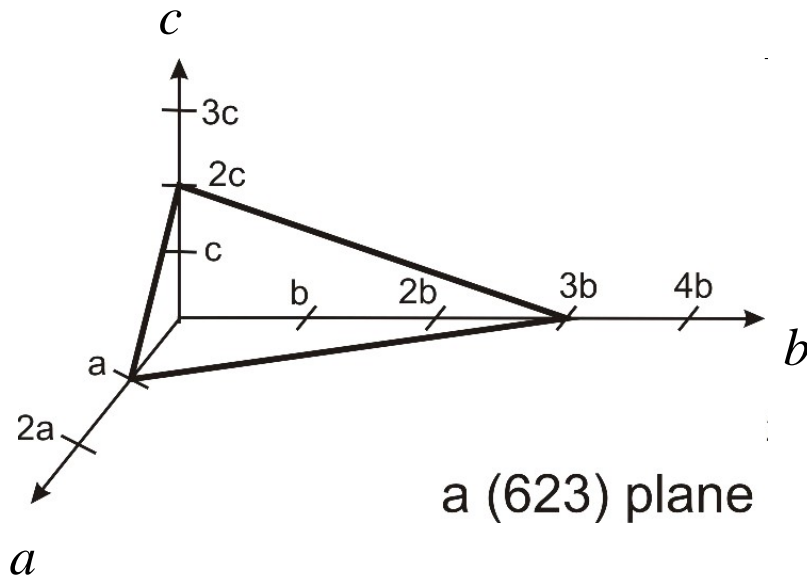
$$(110) 9.59 \times 10^{14} \text{ 1/cm}^2$$

$$(111) 7.83 \times 10^{14} \text{ 1/cm}^2$$

7×7
Si(111)



Miller indices: Crystal planes



() specific plane

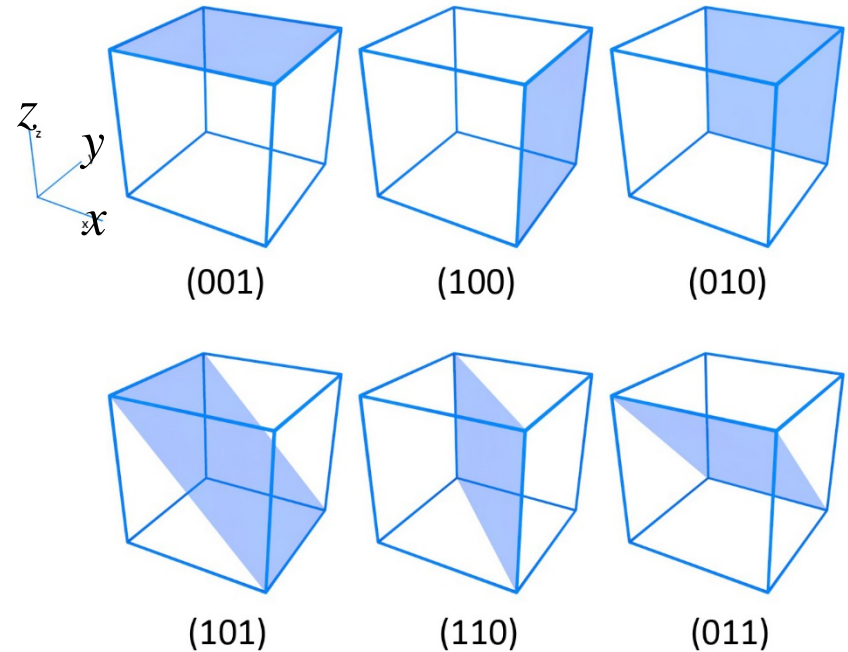
{ } family of equivalent planes



MOSFETs are made on $\langle 100 \rangle$ wafers

A plane with the intercepts $1/h, 1/k, 1/l$ is the (h,k,l) plane.

always use integers for h,k,l



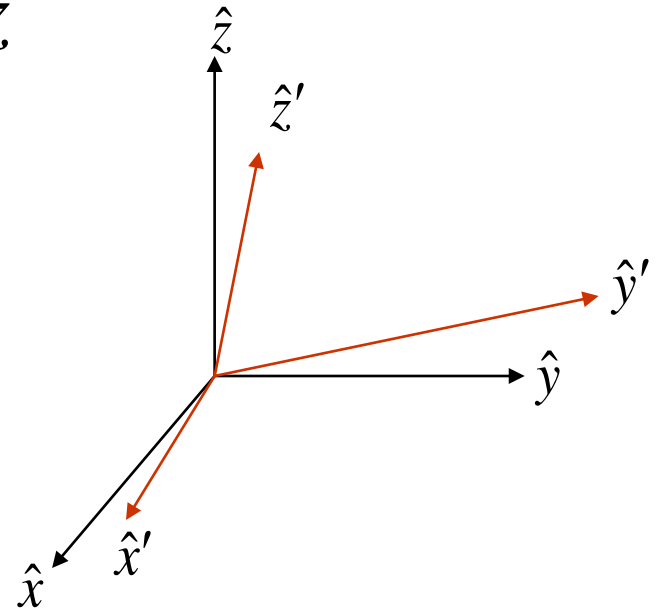
Strain

A distortion of a material is described by the strain matrix

$$x' = (1 + \varepsilon_{xx})\hat{x} + \varepsilon_{xy}\hat{y} + \varepsilon_{xz}\hat{z}$$

$$y' = \varepsilon_{yx}\hat{x} + (1 + \varepsilon_{yy})\hat{y} + \varepsilon_{yz}\hat{z}$$

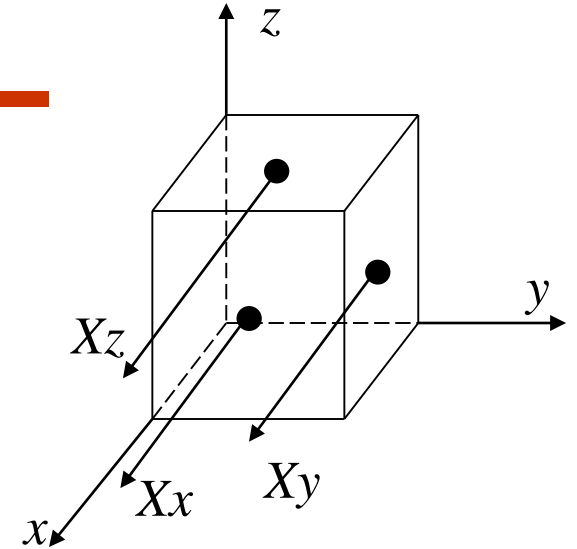
$$z' = \varepsilon_{zx}\hat{x} + \varepsilon_{zy}\hat{y} + (1 + \varepsilon_{zz})\hat{z}$$



Stress

9 forces describe the stress

$X_x, X_y, X_z, Y_x, Y_y, Y_z, Z_x, Z_y, Z_z$



X_x is a force applied in the x -direction to the plane normal to x

X_y is a shear force applied in the x -direction to the plane normal to y

stress tensor:

$$\sigma = \begin{bmatrix} \frac{X_x}{A_x} & \frac{X_y}{A_y} & \frac{X_z}{A_z} \\ \frac{Y_x}{A_x} & \frac{Y_y}{A_y} & \frac{Y_z}{A_z} \\ \frac{Z_x}{A_x} & \frac{Z_y}{A_y} & \frac{Z_z}{A_z} \end{bmatrix}$$

Stress is force/m²

Stress and Strain

$$\boldsymbol{\varepsilon}_{ij} = S_{ijkl} \boldsymbol{\sigma}_{kl}$$

The stress - strain relationship is described by a rank 4 stiffness tensor. The inverse of the stiffness tensor is the compliance tensor.

$$\boldsymbol{\sigma}_{ij} = C_{ijkl} \boldsymbol{\varepsilon}_{kl}$$

Einstein convention: sum over repeated indices.

$$\begin{aligned} \varepsilon_{xx} = & S_{xxxx} \sigma_{xx} + S_{xxxy} \sigma_{xy} + S_{xxxz} \sigma_{xz} + S_{xxyx} \sigma_{yx} + S_{xxyy} \sigma_{yy} \\ & + S_{xxyz} \sigma_{yz} + S_{xxzx} \sigma_{zx} + S_{xxzy} \sigma_{zy} + S_{xxzz} \sigma_{zz} \end{aligned}$$

Mechanical properties

The stress-strain relation for silicon is described by the tensor equation,

$$\sigma_{ij} = c_{ijkl}\epsilon_{kl},$$

where the elements of the compliance tensor are,

$$c_{11} = 165.7 \text{ GPa} = c_{xxxx} = c_{yyyy} = c_{zzzz},$$

$$c_{12} = 63.9 \text{ GPa} = c_{xxyy} = c_{xxzz} = c_{zzyy} = c_{yyxx} = c_{yyzz} = c_{zzxx},$$

$$c_{44} = 79.6 \text{ GPa} = c_{xyxy} = c_{xzzz} = c_{yzyz} = c_{yxxy} = c_{zxxx} = c_{zyzy},$$

all other components $c_{ijkl} = 0$.

Yield strength: 7 GPa

Fracture strain: 4%

Possion's ratio: 0.27

When an electric field is applied to a silicon crystal, the resulting strain can be expressed as a Taylor series,

$$\epsilon_{ij} = d_{ijk}E_k + Q_{ijkl}E_kE_l + \dots,$$

where ϵ_{ij} is the strain, E_k is the electric field, d_{ijk} is the reciprocal piezoelectric tensor, and Q_{ijkl} is the electrostriction tensor. Silicon has inversion symmetry in its point group so the reciprocal piezoelectric tensor is zero by symmetry and the leading order term is electrostriction.