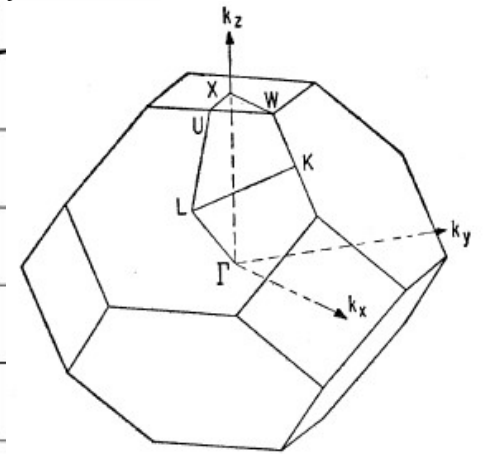
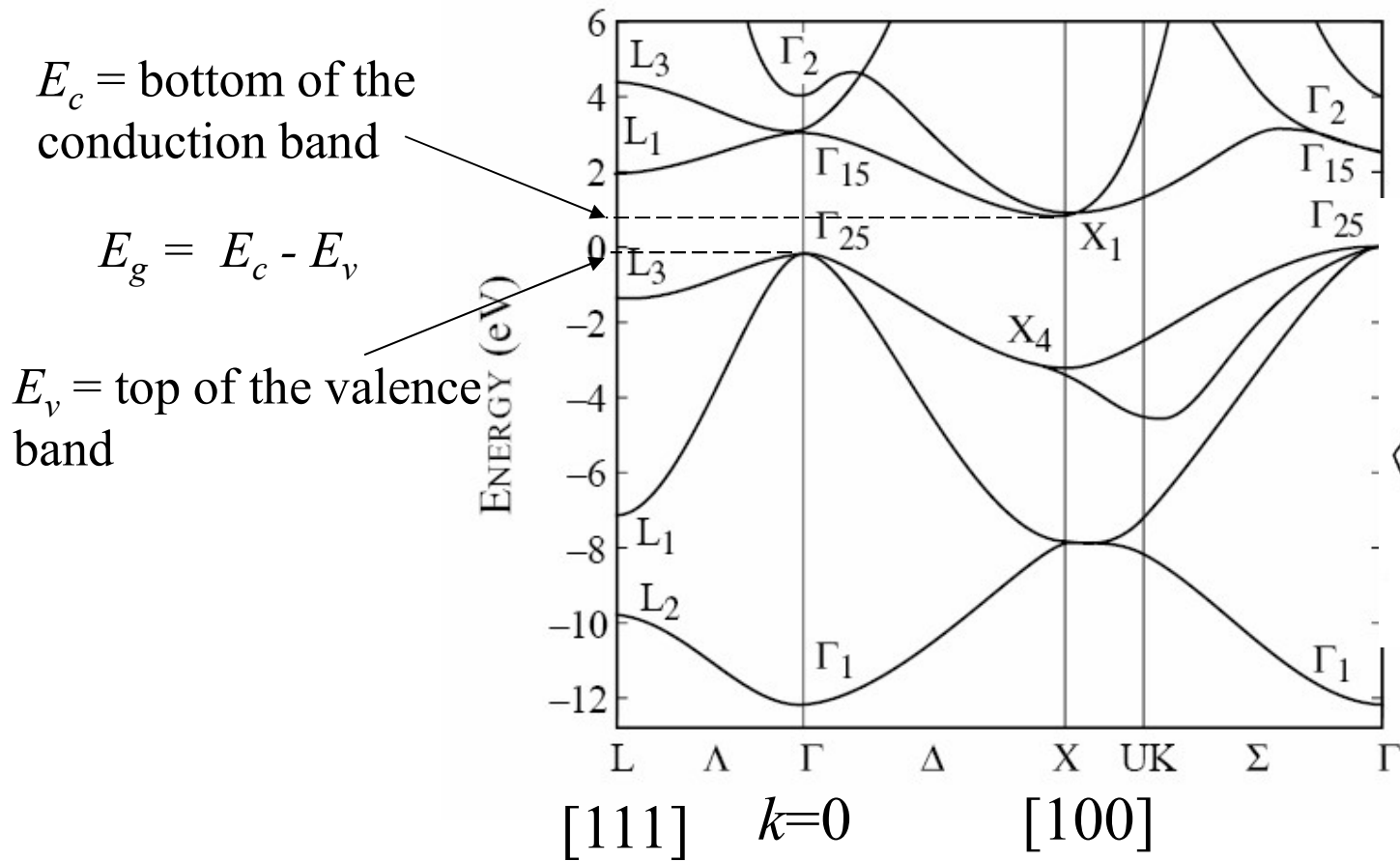


Intrinsic semiconductors

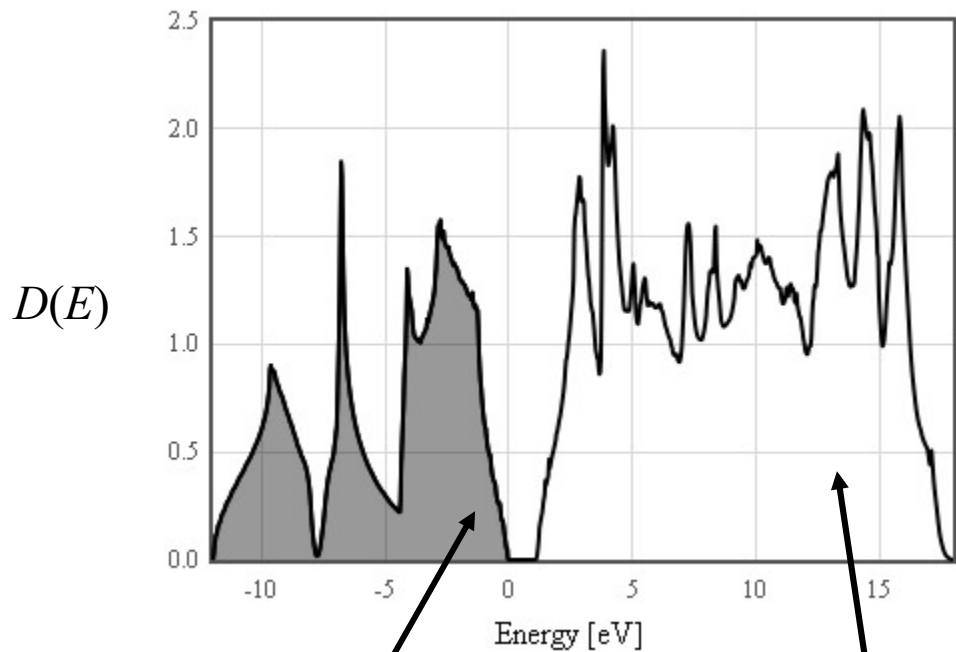
- More details of the band structure of silicon.
- Effective mass
- Electrons in the conduction band
- Holes in the valence band
- Intrinsic carrier densities

Silicon band structure



Density of states

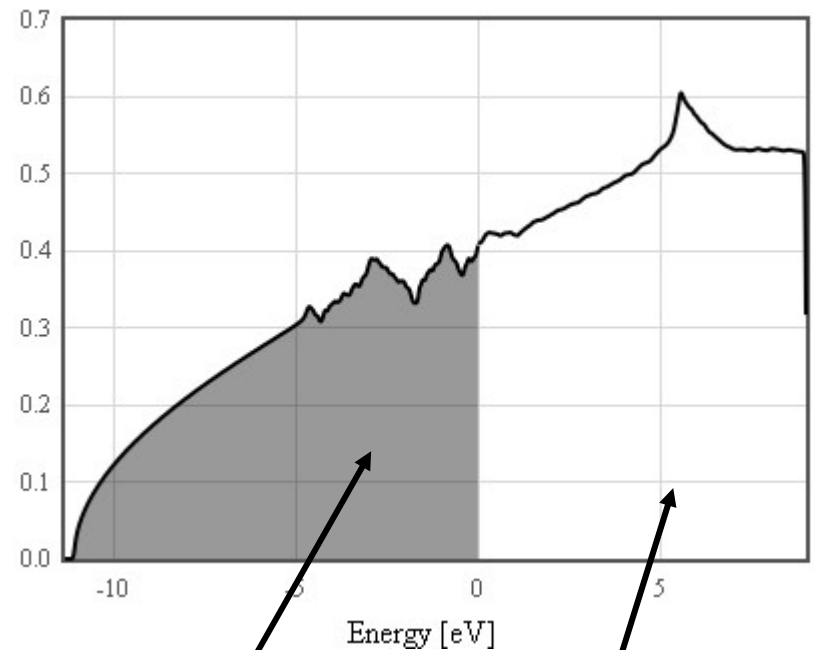
Silicon



filled states

empty states

Aluminum

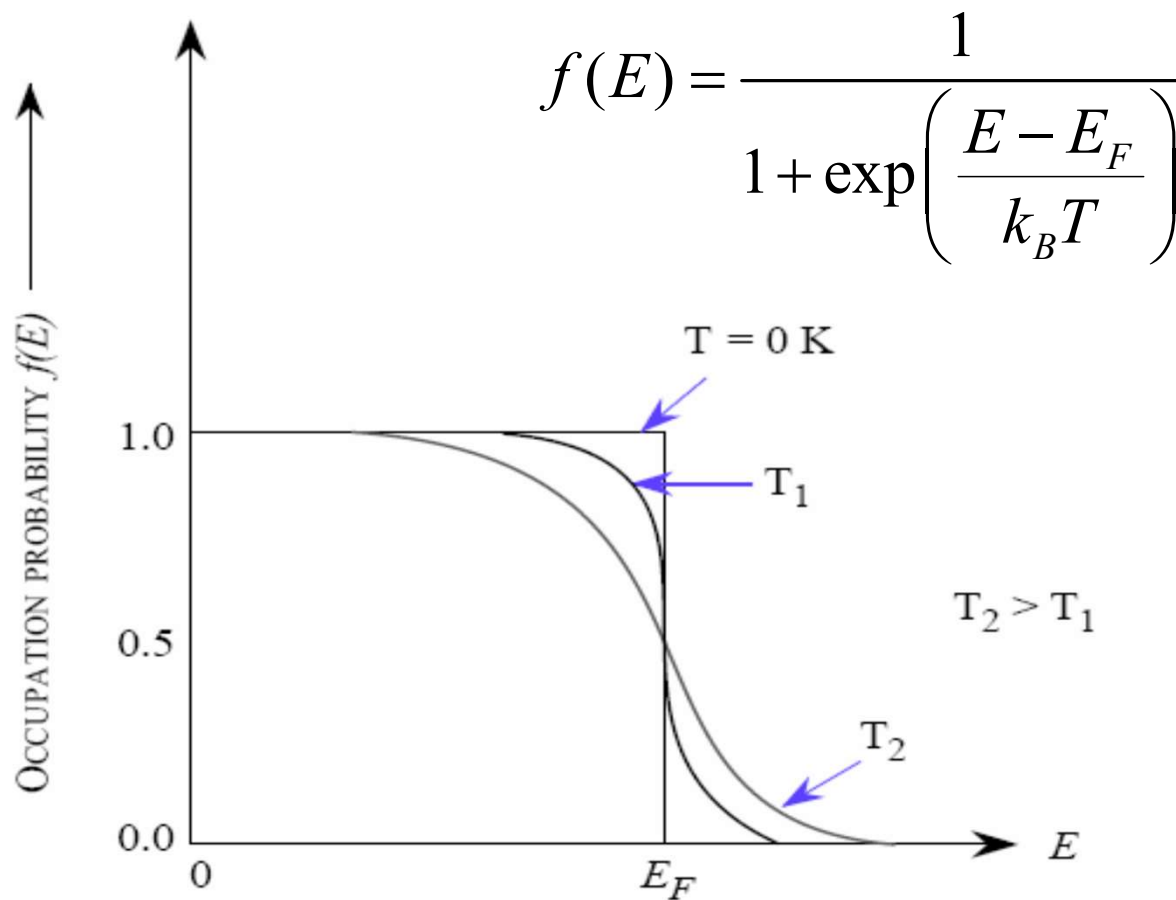


filled states

empty states

Fermi function

$f(E)$ is the probability that a state at energy E is occupied.



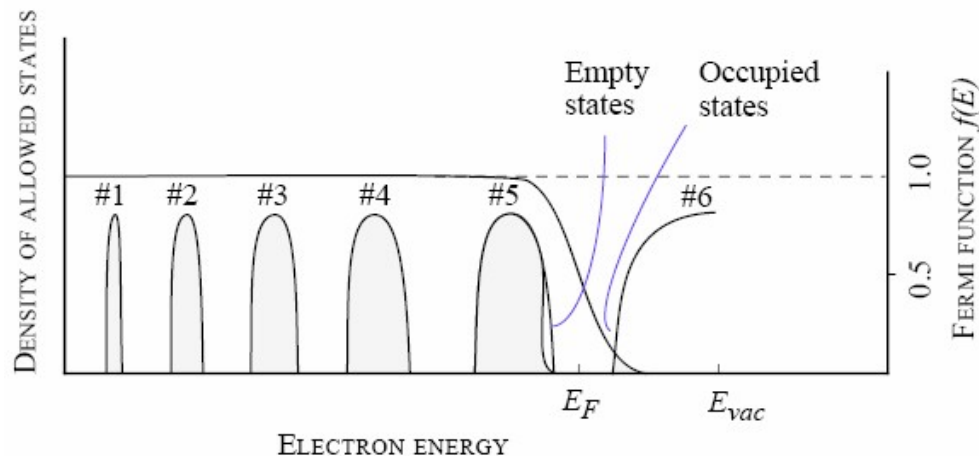
Fermi energy

The Fermi energy is implicitly defined as the energy that solves the following equation.

$$n = \int_{-\infty}^{\infty} D(E) f(E) dE$$

Here n is the electron density.

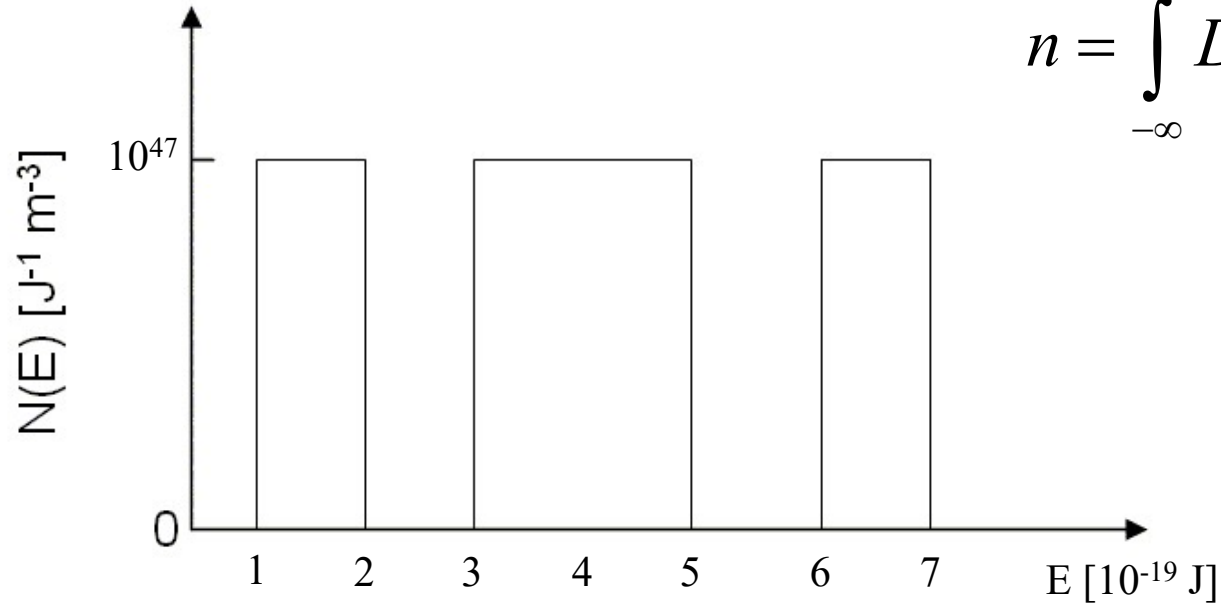
The density of states, the total number of electrons and the temperature are given. To find the Fermi energy, guess one and evaluate the integral. If n turns out too low, guess a higher E_F and if n turns out too high, guess a lower E_F .



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Calculate the Fermi energy

The density of states for a particular material is given in the following figure.



$$n = \int_{-\infty}^{\infty} D(E) f(E) dE$$

$$n = 3 \times 10^{28} \text{ m}^{-3}$$

What is the Fermi energy at zero temperature? For a semiconductor, find the limiting value of the Fermi energy as the temperature approaches zero.

$E_f =$ eV

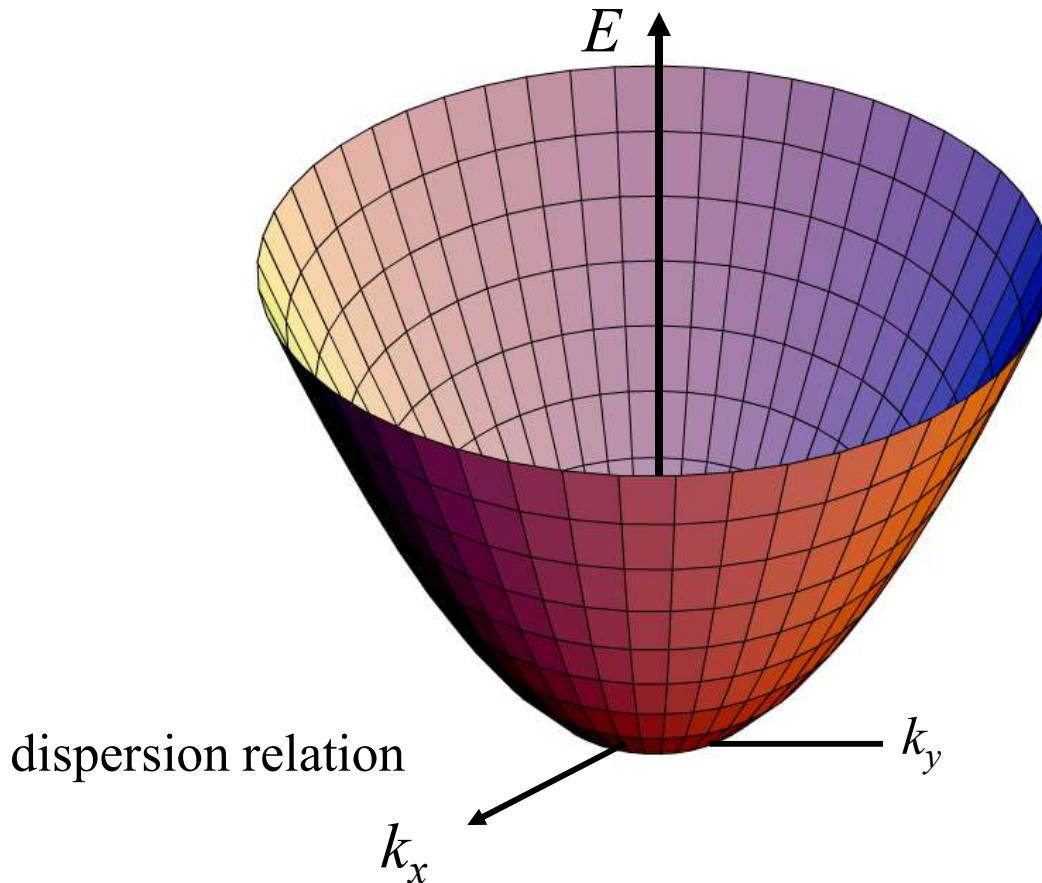
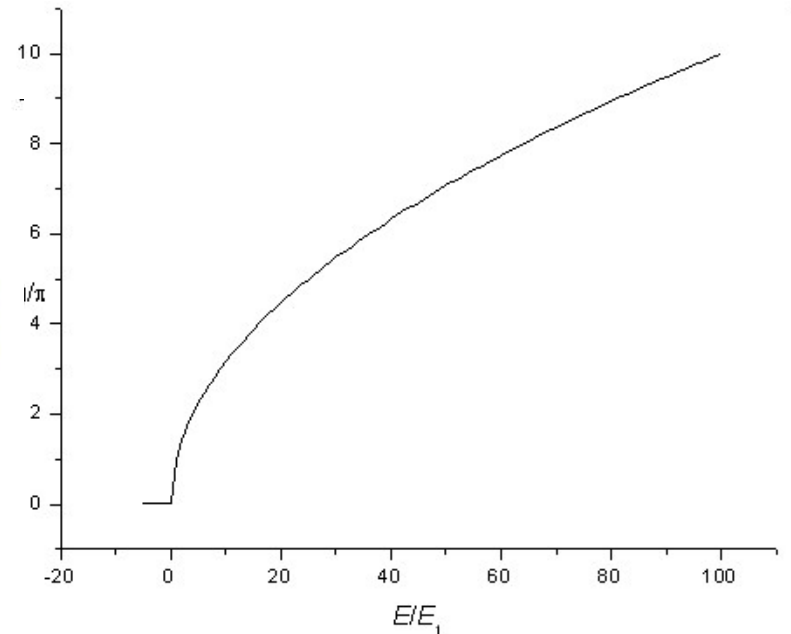
What kind of material is this?

Metal

free electrons (simple model for a metal)

$$E(\vec{k}) = \frac{1}{2}mv^2 = \frac{p^2}{2m} = \frac{\hbar^2}{2m}(k_x^2 + k_y^2 + k_z^2)$$

3-d density of states



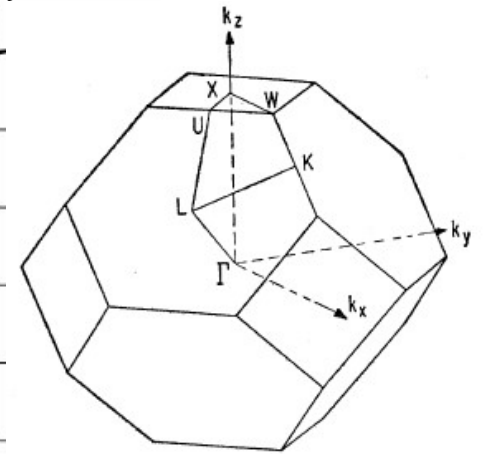
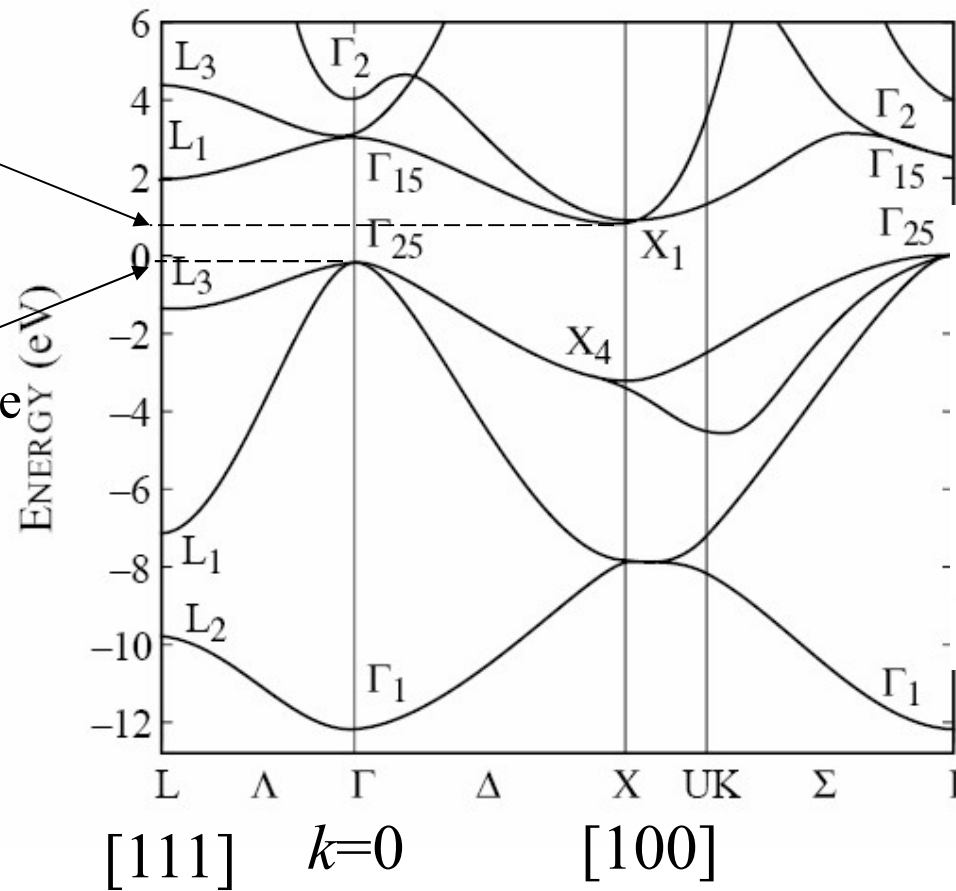
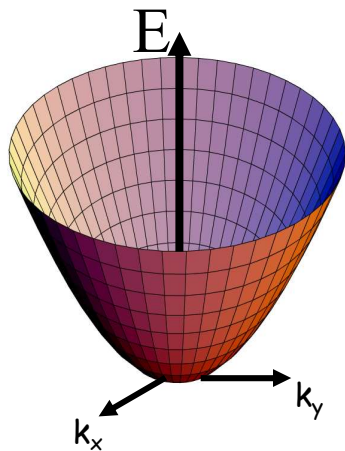
$$D(E) = \begin{cases} 0 & \text{for } E < 0 \\ \frac{(2m)^{3/2}}{2\pi^2\hbar^3} \sqrt{E} & \text{for } E > 0 \end{cases}$$

Silicon band structure

E_c = bottom of the conduction band

$$E_g = E_c - E_v$$

E_v = top of the valence band



Near the bottom of the conduction band, the band structure looks like a parabola.

Effective mass

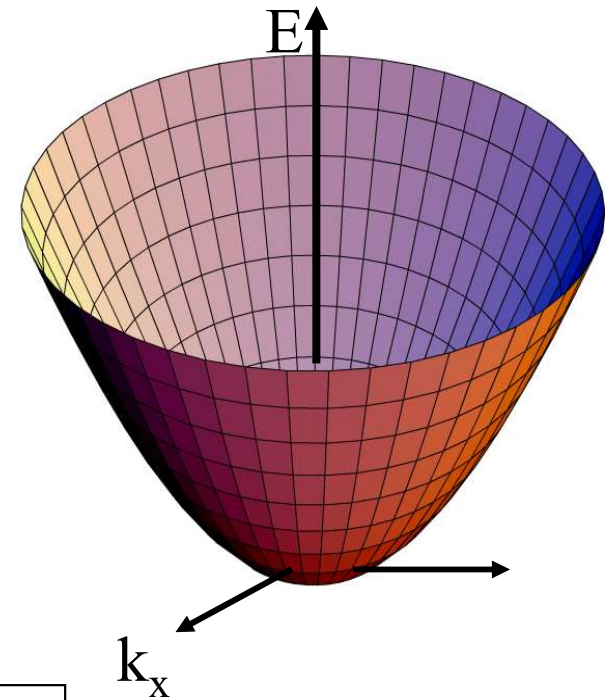
$$E(\vec{k}) = \frac{\hbar^2}{2m} (k_x^2 + k_y^2 + k_z^2) = \frac{p^2}{2m} = \frac{1}{2} m v^2$$

$$\frac{dE(\vec{k})}{dk_x} = \frac{\hbar^2 k_x}{m}$$

$$\frac{d^2 E(\vec{k})}{dk_x^2} = \frac{\hbar^2}{m}$$

Effective mass

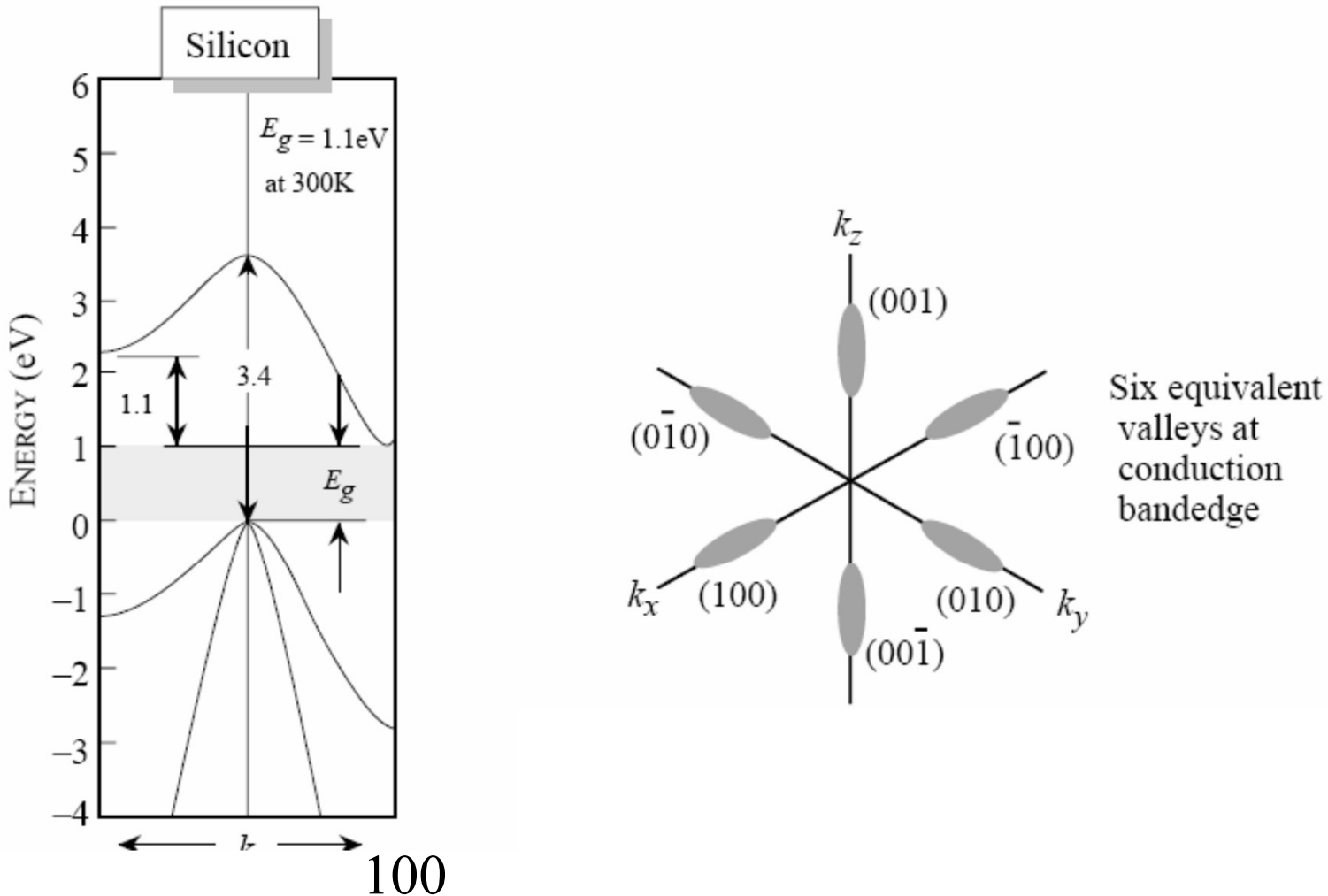
$$m_x^* = \frac{\hbar^2}{\frac{d^2 E(\vec{k})}{dk_x^2}}$$



This effective mass is used to describe the response of electrons to external forces in the particle picture.

$$\vec{F} = -e\vec{E} = m^* \vec{a}$$

Anisotropic effective mass in silicon



The electrons seem to have different masses when the electric field is applied in different directions.

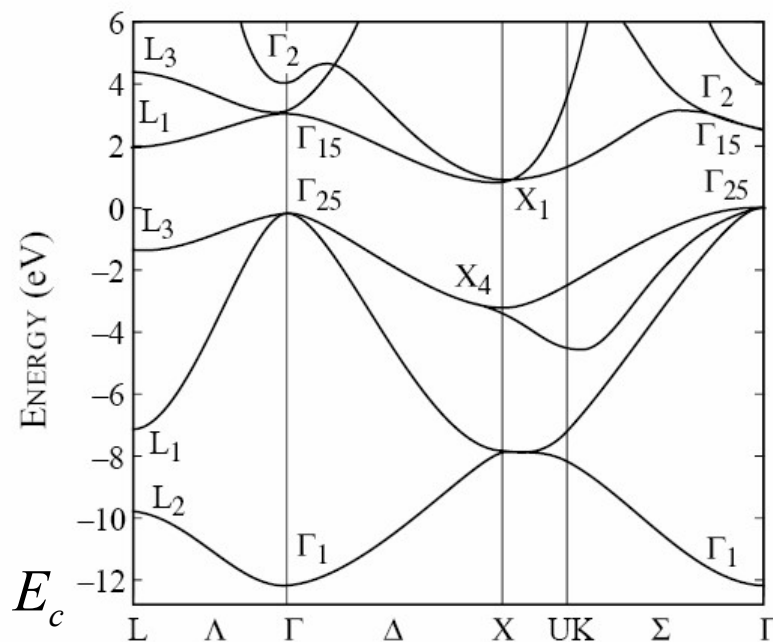
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Conduction band electron energy

In silicon, the bottom of the conduction valley along the (100) direction is at $(2\pi/a)(0.85, 0, 0)$ where $a = 0.543$ nm. Electrons in this valley have an anisotropic effective mass. The effective mass in the (100) direction is $m_l^* = 0.98m_0$ and the effective mass transverse to the [100] direction is $m_t^* = 0.19m_0$. What is the energy of an electron with a k -vector $(2\pi/a)(0.92, -0.01, 0.15)$?

$$E = \text{[input box]} \text{ eV}$$

$$E = \frac{\hbar^2 \left(k_x - 0.85 \frac{2\pi}{a} \right)^2}{2m_l} + \frac{\hbar^2 k_y^2}{2m_t} + \frac{\hbar^2 k_z^2}{2m_t} + E_c$$



Holes

When all states in a band are occupied, the band does not contribute to the current. There are as many left-moving electrons as right-moving electrons.

$$I \propto \sum_{\text{occupied } \vec{k}} (-e\vec{v}_{\vec{k}})$$

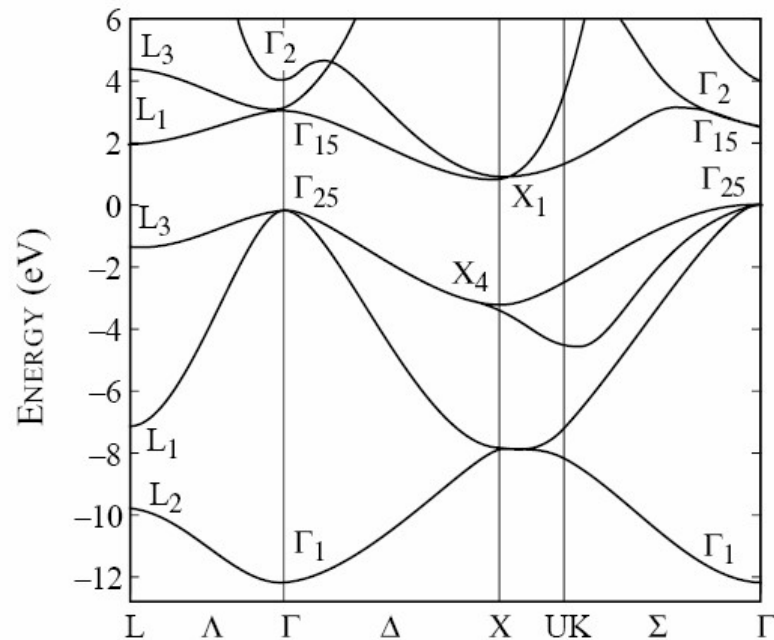
$$I \propto \sum_{\text{all } \vec{k}} (-e\vec{v}_{\vec{k}}) - \sum_{\text{empty } \vec{k}} (-e\vec{v}_{\vec{k}})$$

$$I \propto \sum_{\text{empty } \vec{k}} e\vec{v}_{\vec{k}}$$

valence band, holes

In the valence band, the effective mass is negative.

$$m^* = \frac{\hbar^2}{\frac{d^2 E(\vec{k})}{dk_x^2}} < 0$$



Holes

Charge carriers in the valence band can be considered to be positively charged holes. The number of holes in the valence band is the number of missing electrons.

m_h^* = effective mass of holes

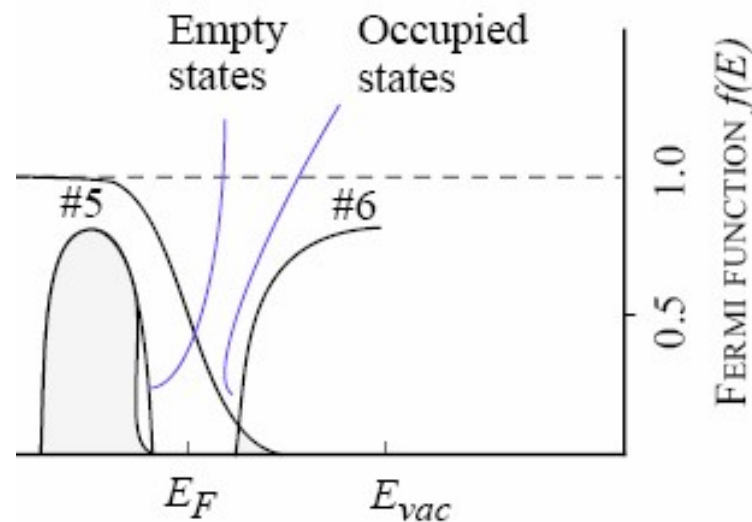
$$m_h^* = - \frac{\hbar^2}{\frac{d^2 E(\vec{k})}{dk_x^2}}$$

$$\vec{F} = e\vec{E} = m_h^* \vec{a}$$

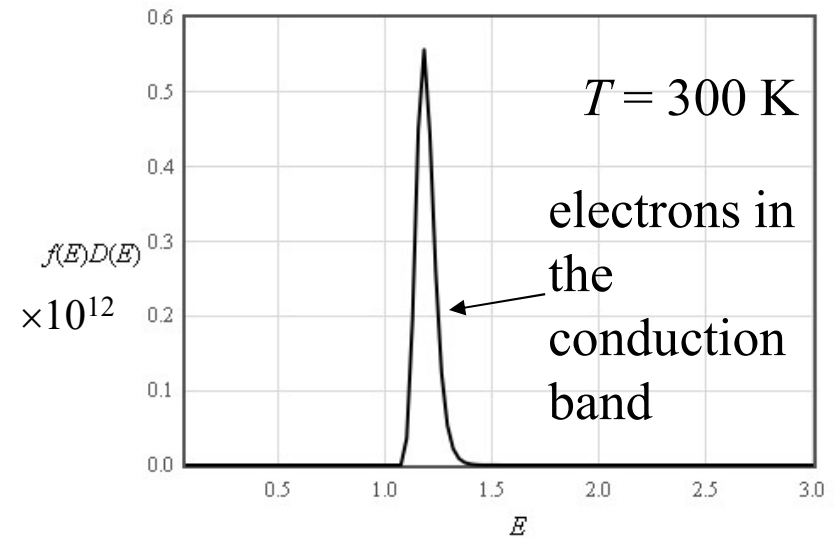
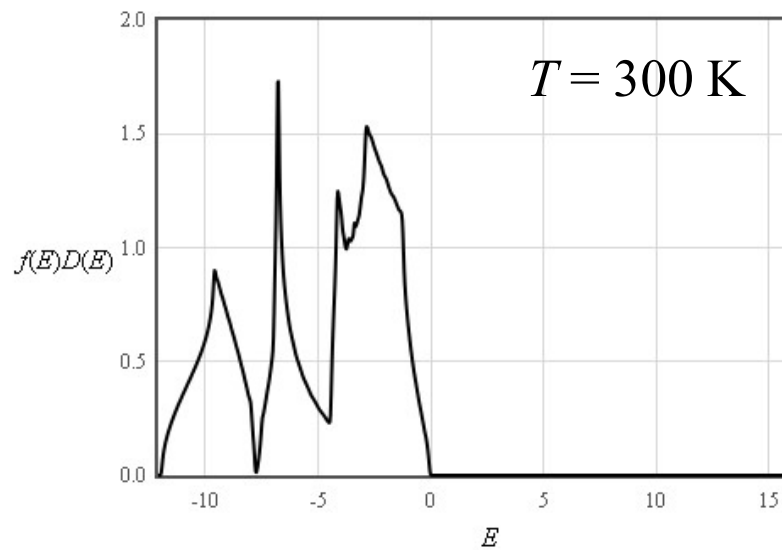
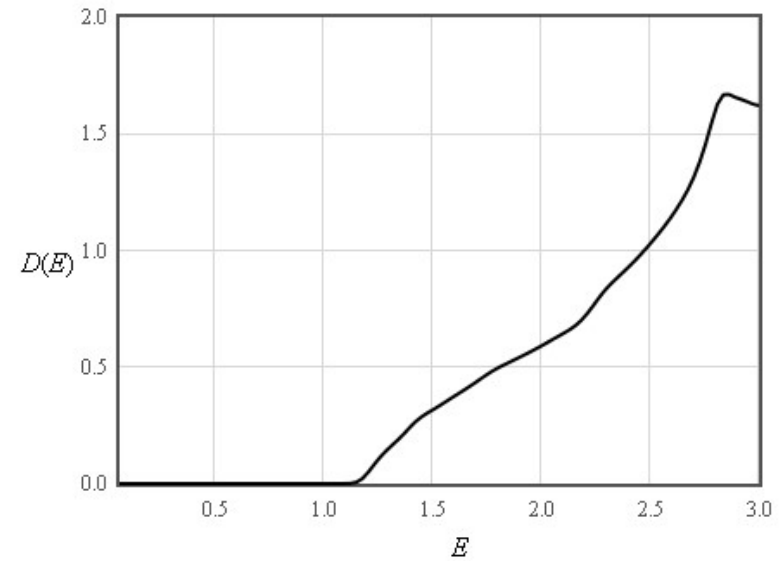
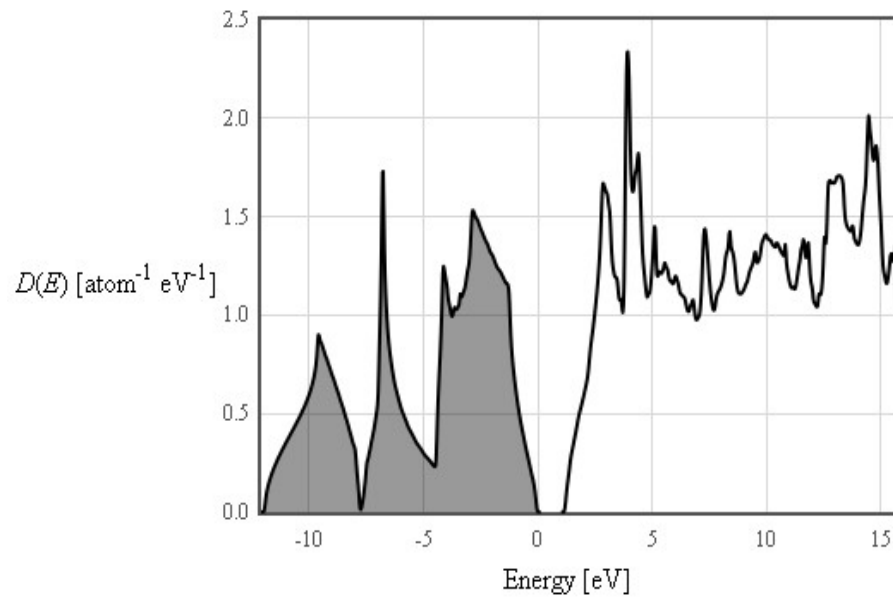
Density of electrons in the conduction band

The free electron density of states is modified by the effective mass.

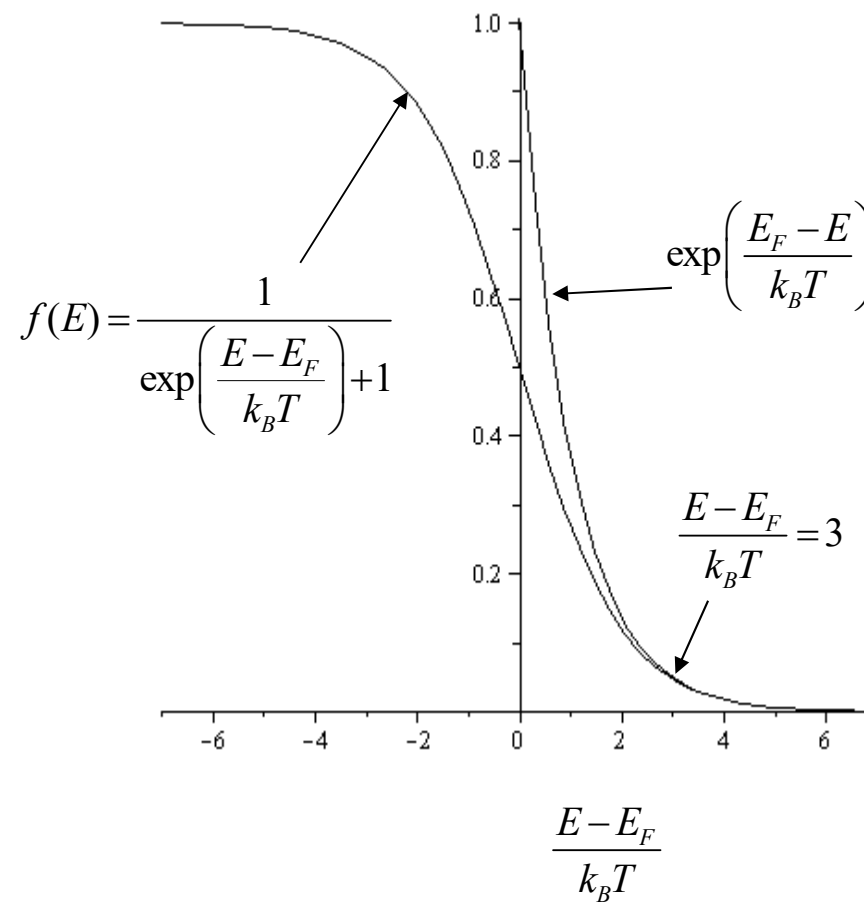
$$D(E) = \frac{\pi}{2} \left(\frac{2m^* L^2}{\hbar^2 \pi^2} \right)^{3/2} \sqrt{E - E_c} \quad f(E) = \frac{1}{1 + \exp\left(\frac{E - E_F}{k_B T}\right)} \approx \exp\left(\frac{E_F - E}{k_B T}\right)$$



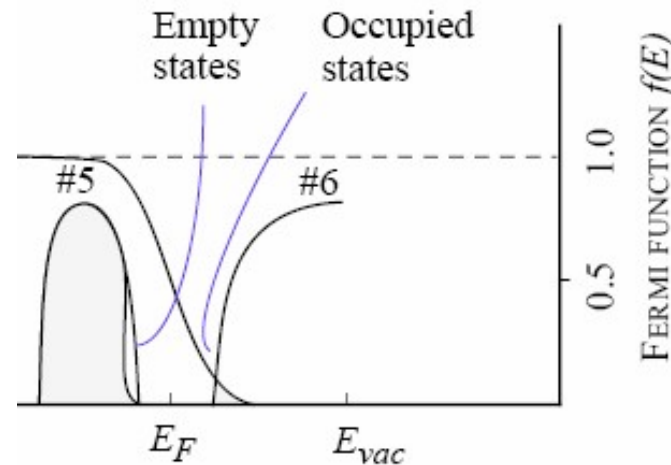
Silicon density of states



Boltzmann approximation



Density of electrons in the conduction band



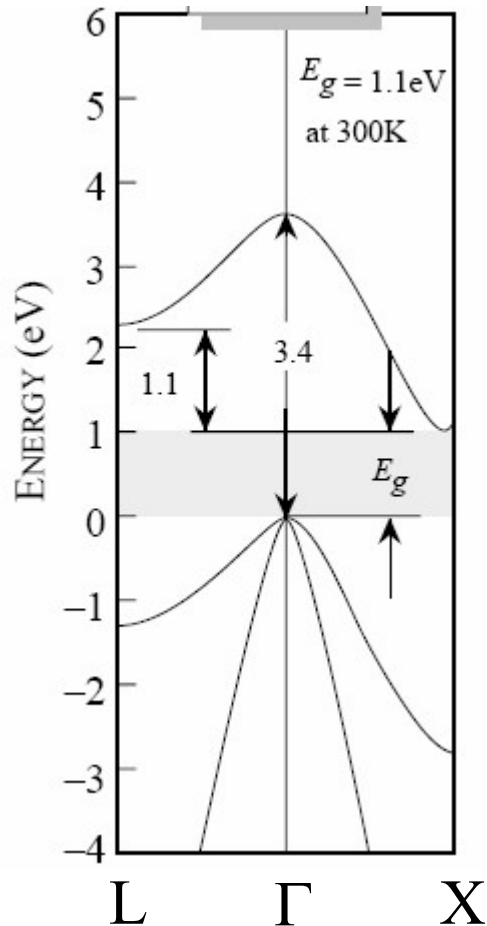
$$n = \int_{E_c}^{\infty} D(E) f(E) dE \approx \frac{\pi}{2} \left(\frac{2m^*}{\hbar^2 \pi^2} \right)^{3/2} \int_{E_c}^{\infty} \exp\left(\frac{E_F - E}{k_B T}\right) \sqrt{E - E_c} dE$$

$$\frac{\sqrt{\pi}}{2} = \int_0^{\infty} \sqrt{x} e^{-x} dx$$

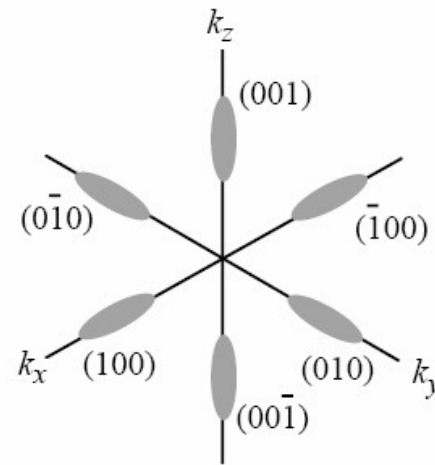
$$n = N_c \exp\left(\frac{E_F - E_c}{k_B T}\right)$$

$$N_c = 2 \left(\frac{m^* k_B T}{2\pi \hbar^2} \right)^{3/2} = \text{effective density of states}$$

Density of electrons in the conduction band



$$n = 2 \left(\frac{m^* k_B T}{2\pi \hbar^2} \right)^{3/2} \exp \left(\frac{E_F - E_c}{k_B T} \right)$$



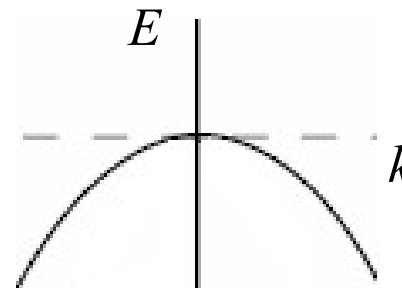
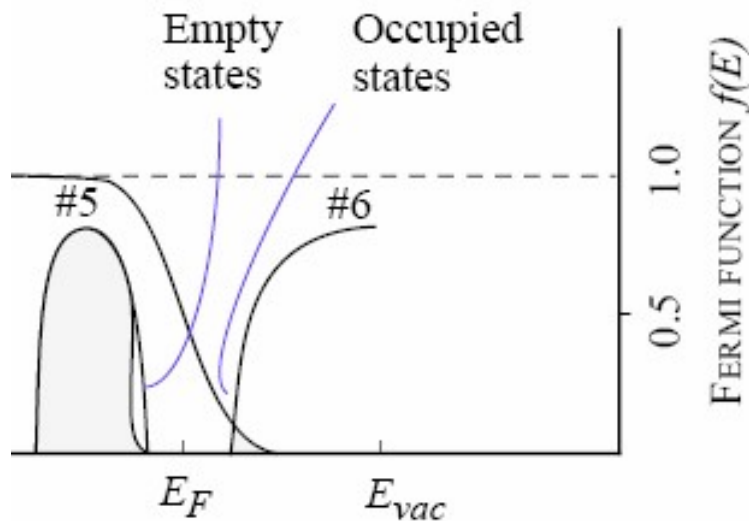
$$n = N_c \left(\frac{T}{300} \right)^{3/2} \exp \left(\frac{E_F - E_c}{k_B T} \right)$$

Properties	Si	Ge	GaAs
Bandgap E_g	1.12 eV	0.66 eV	1.424 eV
Effective density of states in conduction band (300 K) N_c	$2.78 \times 10^{25} \text{ m}^{-3}$	$1.04 \times 10^{25} \text{ m}^{-3}$	$4.45 \times 10^{23} \text{ m}^{-3}$

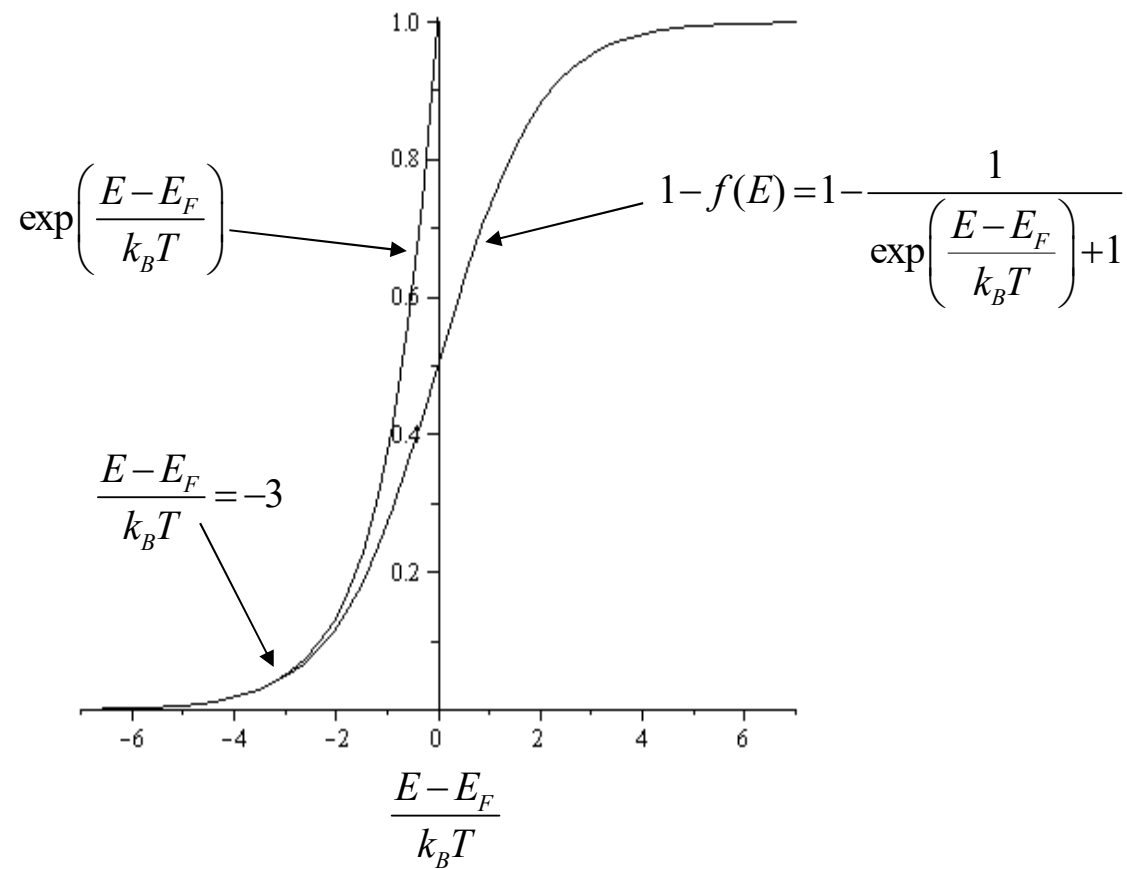
Density of holes in the valence band

$$D(E) = \frac{\pi}{2} \left(\frac{2m_h^* L^2}{\hbar^2 \pi^2} \right)^{3/2} \sqrt{E_v - E}$$

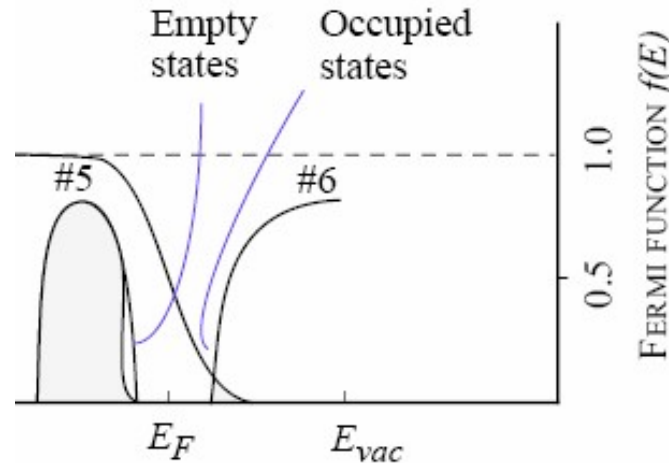
$$1 - f(E) = 1 - \frac{1}{1 + \exp\left(\frac{E - E_F}{k_B T}\right)} \approx \exp\left(\frac{E - E_F}{k_B T}\right)$$



Boltzmann approximation



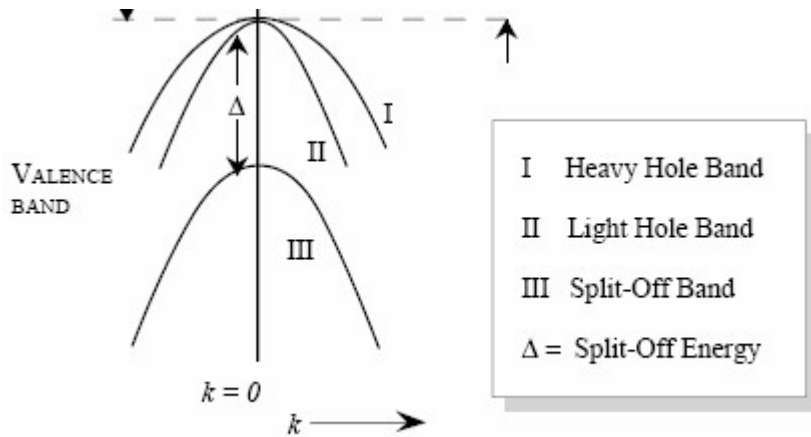
Density of holes in the valence band



$$p = \int_{-\infty}^{E_v} D(E)(1 - f(E)) dE \approx \frac{\pi}{2} \left(\frac{2m_h^*}{\hbar^2 \pi^2} \right)^{3/2} \int_{-\infty}^{E_v} \exp\left(\frac{E - E_F}{k_B T} \right) \sqrt{E_v - E} dE$$

$$p = N_v \exp\left(\frac{E_v - E_F}{k_B T} \right) \quad N_v = 2 \left(\frac{m_h^* k_B T}{2\pi \hbar^2} \right)^{3/2} = \text{Effective density of states in the valence band}$$

Density of holes in the valence band



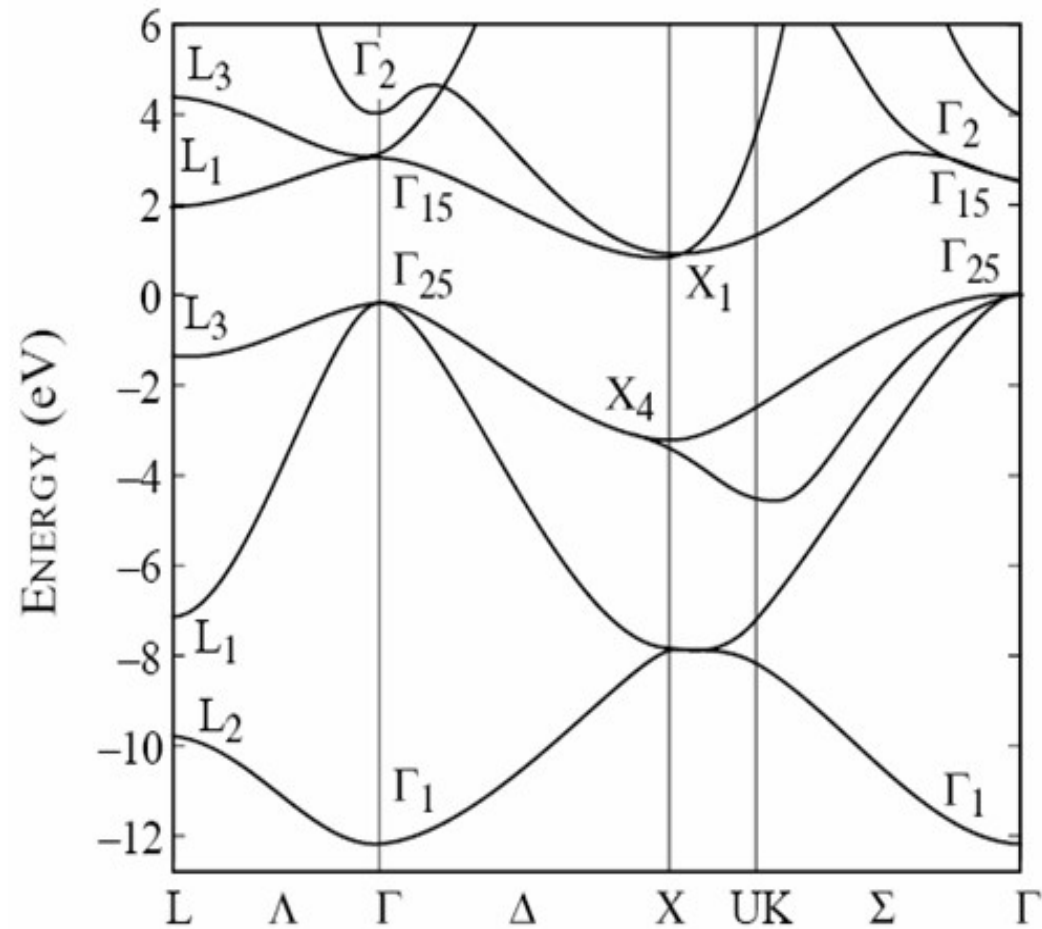
$$p = 2 \left(\frac{m_h^* k_B T}{2\pi \hbar^2} \right)^{3/2} \exp \left(\frac{E_v - E_F}{k_B T} \right)$$

$$p = N_v \left(\frac{T}{300} \right)^{3/2} \exp \left(\frac{E_v - E_F}{k_B T} \right)$$

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Effective density of states in valence band (300 K) N_v	$9.84 \times 10^{24} \text{ m}^{-3}$	$6.0 \times 10^{24} \text{ m}^{-3}$	$7.72 \times 10^{24} \text{ m}^{-3}$
Effective mass electrons m^*/m_0	$m_l^* = 0.98$ $m_t^* = 0.19$	$m_l^* = 1.64$ $m_t^* = 0.082$	$m^* = 0.067$
Effective mass holes m^*/m_0	$m_{lh}^* = 0.16$ $m_{hh}^* = 0.49$	$m_{lh}^* = 0.044$ $m_{hh}^* = 0.28$	$m_{lh}^* = 0.082$ $m_{hh}^* = 0.45$
Crystal structure	diamond	diamond	zincblende
Density	2.328 g/cm ³	5.3267 g/cm ³	5.32 g/cm ³
Atoms/m ³	5.0×10^{28}	4.42×10^{28}	4.42×10^{28}

Exam March 2007 Problem 1

The band structure of a semiconductor is shown below. The zero of energy is chosen to be the top of the valence band.

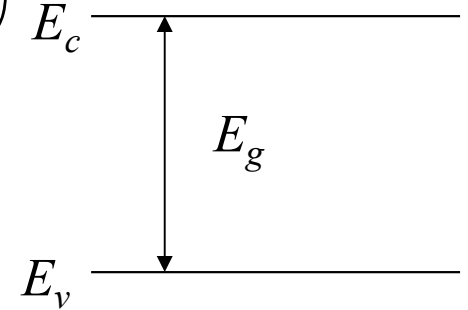


- Is this a direct or an indirect semiconductor? Why?
- What is the band gap?
- What are light holes and heavy holes? Explain how you can determine the effective mass of the holes from this diagram.

Law of mass action

$$np = N_c \exp\left(\frac{E_F - E_c}{k_B T}\right) N_v \exp\left(\frac{E_v - E_F}{k_B T}\right)$$

$$np = N_c N_v \exp\left(\frac{-E_g}{k_B T}\right)$$

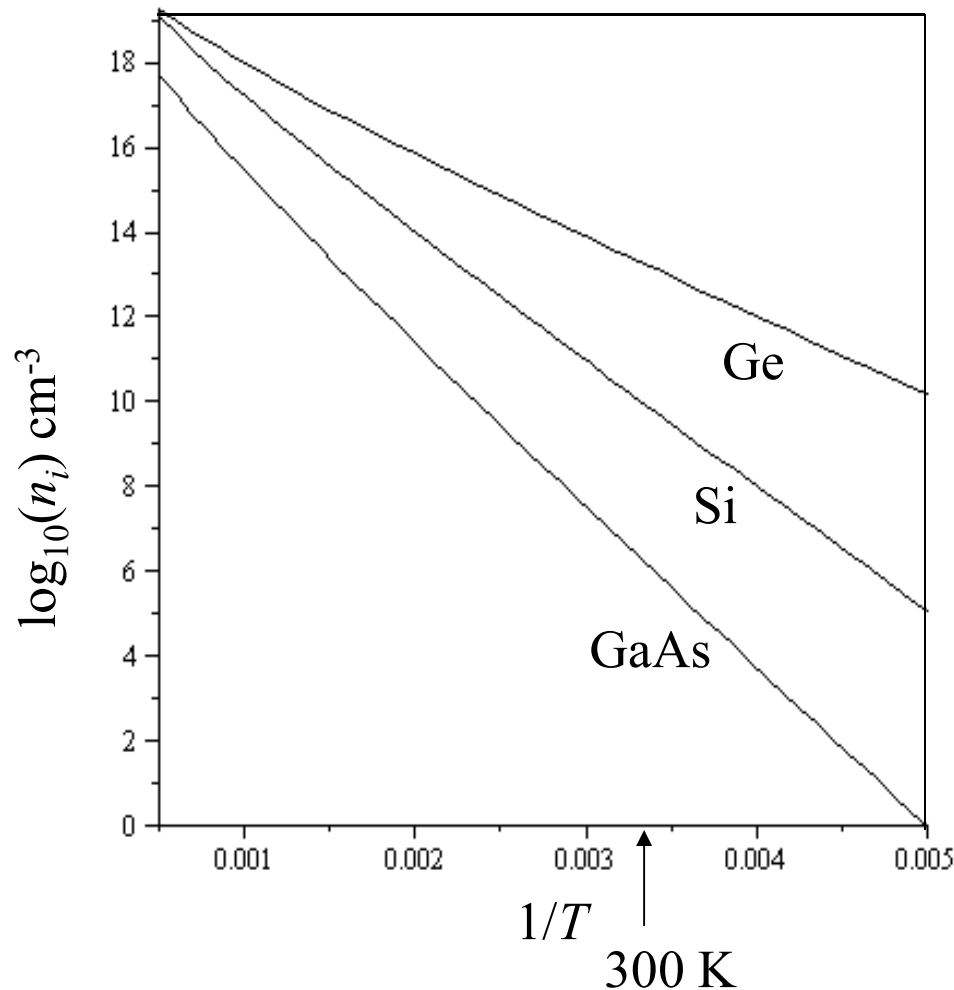


For intrinsic semiconductors (no impurities)

$$n = p = n_i = \sqrt{N_c N_v} \exp\left(\frac{-E_g}{2k_B T}\right)$$

intrinsic carrier density

Intrinsic carrier concentration



$$n_i = \sqrt{N_v N_c} \left(\frac{T}{300} \right)^3 \exp\left(-\frac{E_g}{2k_B T} \right)$$

Silicon has $\sim 5 \times 10^{22}$ atoms/ cm^3

Good for thermometer, bad for designing circuits.

Fermi energy of an intrinsic semiconductor

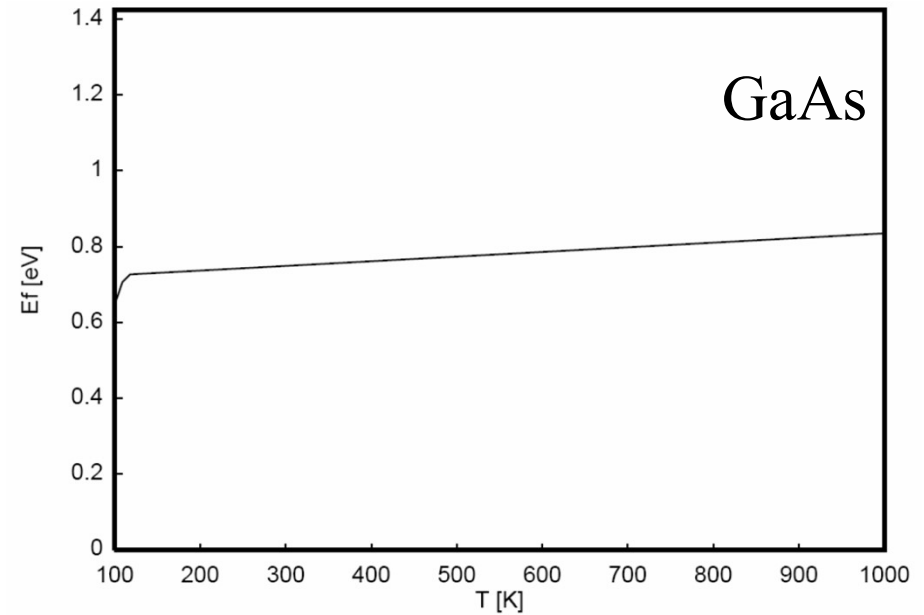
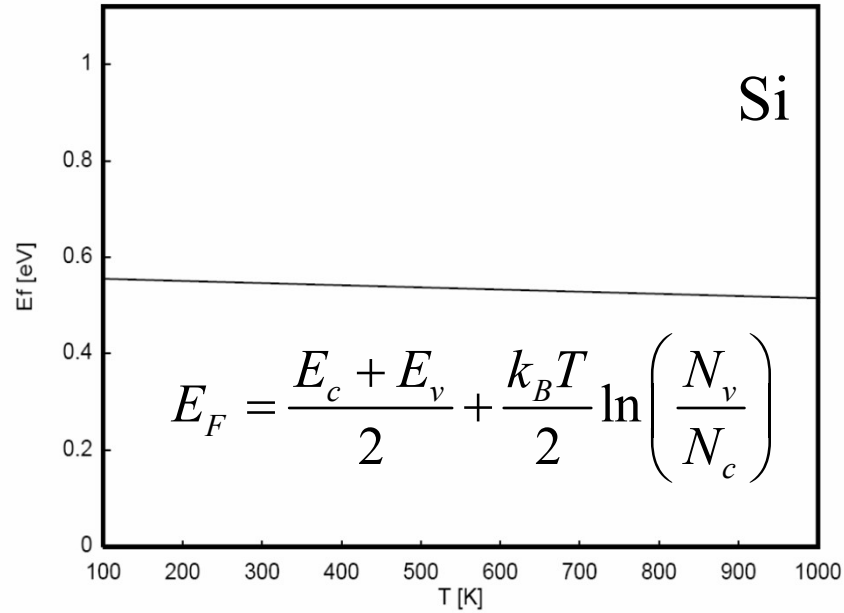
$$n = p = N_c \exp\left(\frac{E_F - E_c}{k_B T}\right) = N_v \exp\left(\frac{E_v - E_F}{k_B T}\right)$$

$$\frac{N_v}{N_c} = \exp\left(\frac{E_F - E_c - E_v + E_F}{k_B T}\right)$$

$$\frac{2E_F}{k_B T} = \frac{E_c + E_v}{k_B T} + \ln\left(\frac{N_v}{N_c}\right)$$

$$E_F = \frac{E_c + E_v}{2} + \frac{k_B T}{2} \ln\left(\frac{N_v}{N_c}\right)$$

Temperature dependence of E_F



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