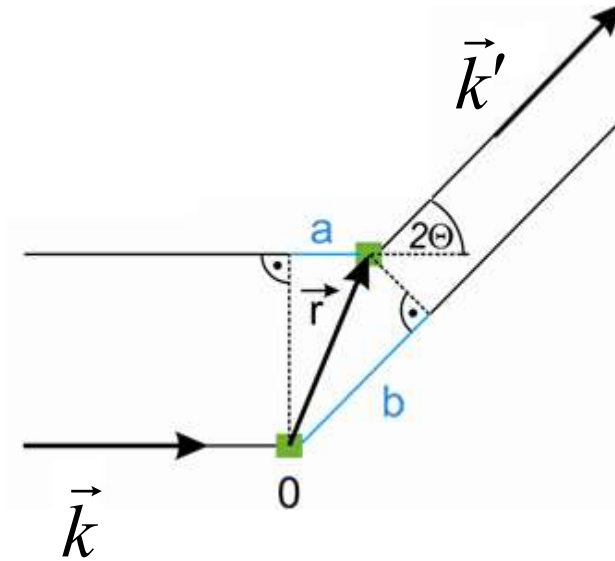


Interference



$$a = \frac{\vec{r} \cdot \vec{k}}{|k|} \quad b = \frac{\vec{r} \cdot \vec{k}'}{|k|}$$

elastic scattering

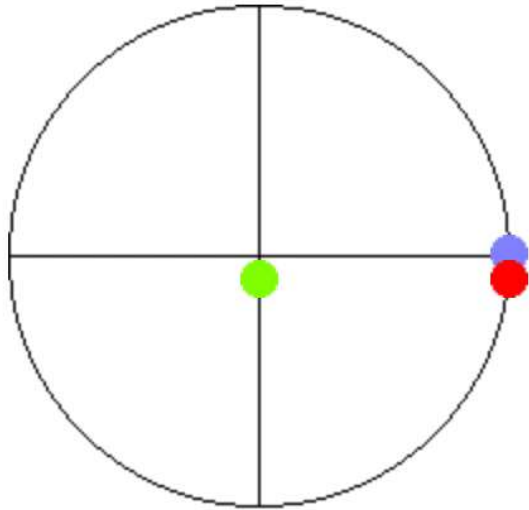
$$|k| = |k'|$$

path difference:
$$a - b = \frac{-\vec{r} \cdot (\vec{k}' - \vec{k})}{|k|}$$

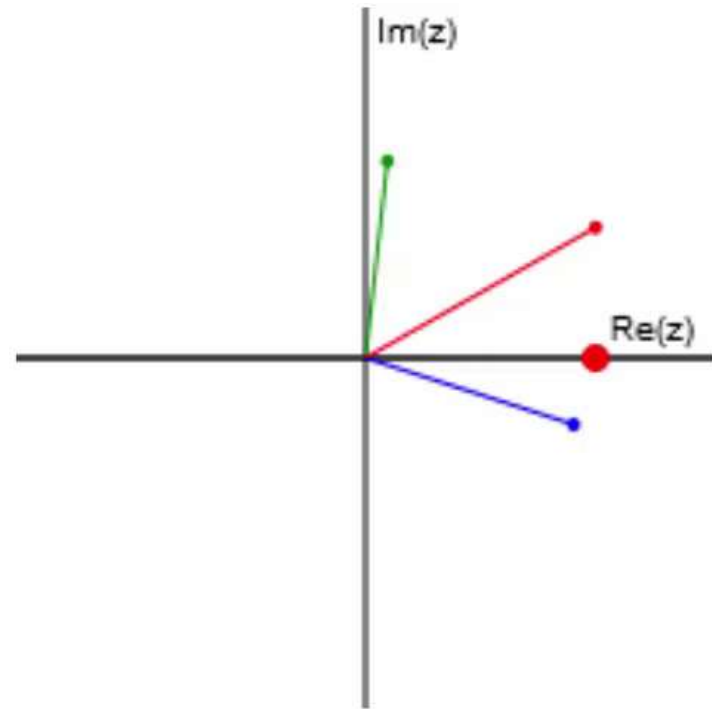
phase shift:
$$\varphi = 2\pi \frac{a - b}{\lambda} = 2\pi \frac{-\vec{r} \cdot (\vec{k}' - \vec{k})}{|k| \lambda} = -\vec{r} \cdot (\vec{k}' - \vec{k}) = -\Delta\vec{k} \cdot \vec{r}$$

Amplitude:
$$F = F_0 + F_0 e^{-i\Delta\vec{k} \cdot \vec{r}}$$

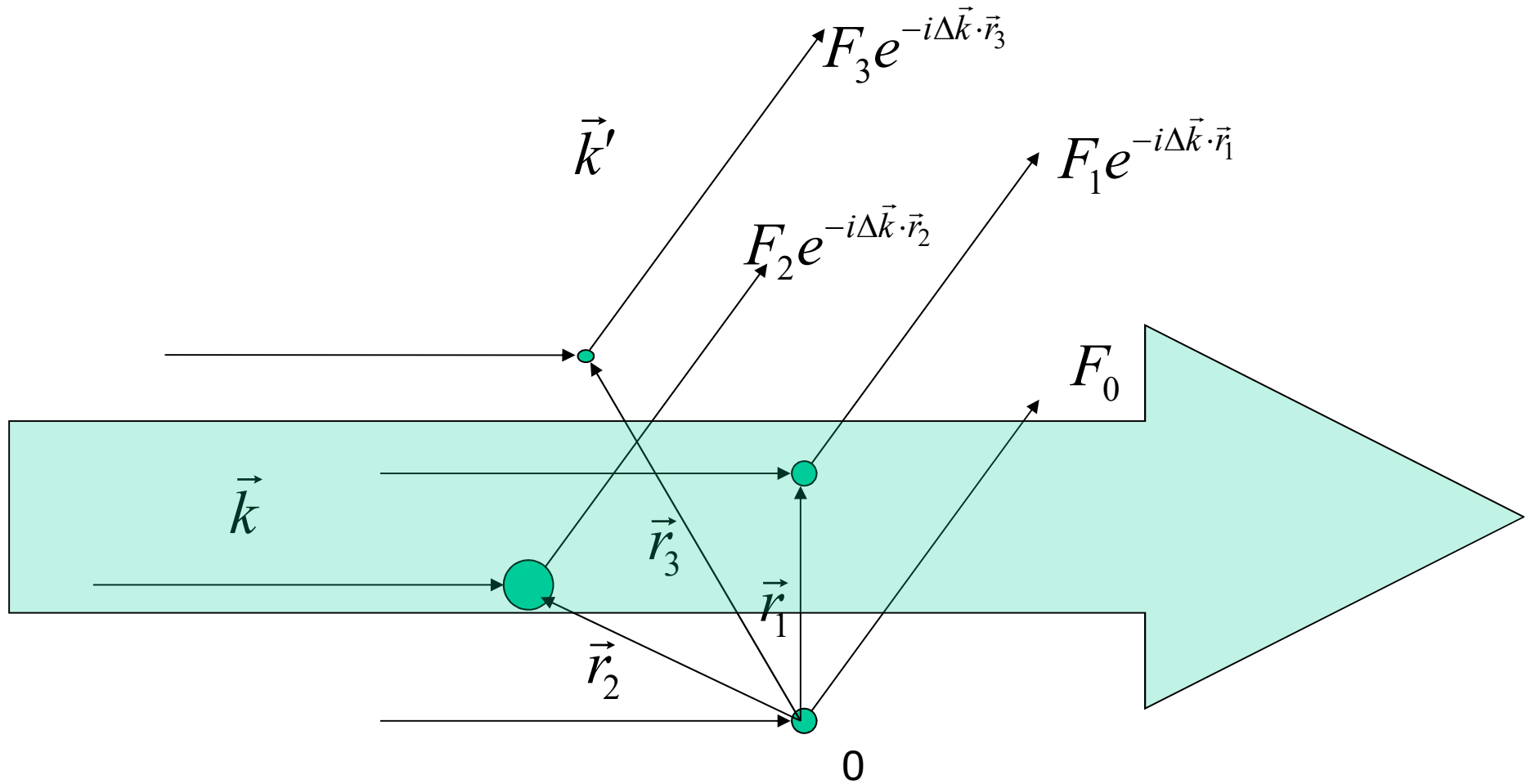
Using complex numbers to describe oscillations



$$e^{i\omega t} = \cos(\omega t) + i\sin(\omega t)$$

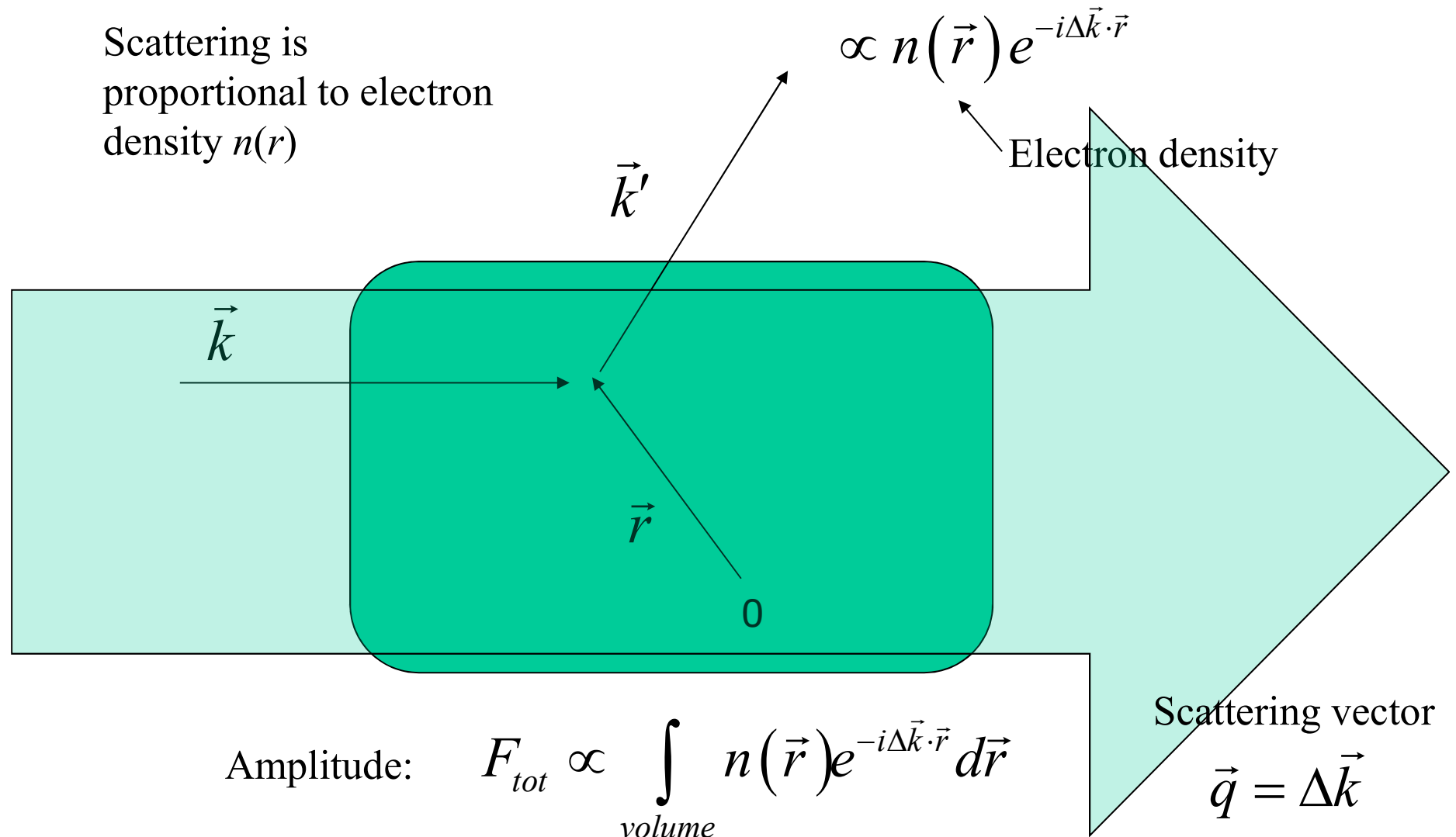


Interference



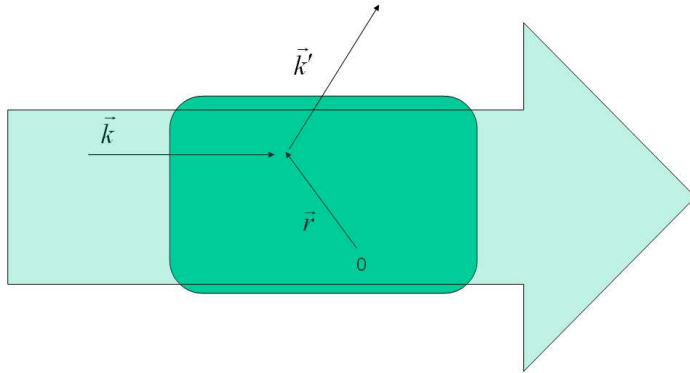
Amplitude:
$$F_{tot} = \sum_i F_i e^{-i\Delta\vec{k} \cdot \vec{r}_i}$$

Interference



The scattering amplitude is proportional to the Fourier transform of the electron density.

Scattering amplitude



$$F \propto \int n(\vec{r}) \exp(-i\Delta\vec{k} \cdot \vec{r}) dV$$

expand $n(r)$ in a Fourier series

$$n(\vec{r}) = \sum_{\vec{G}} n_{\vec{G}} \exp(i\vec{G} \cdot \vec{r})$$

$$F \propto \sum_{\vec{G}} \int n_{\vec{G}} \exp(i(\vec{G} - \Delta\vec{k}) \cdot \vec{r}) dV$$

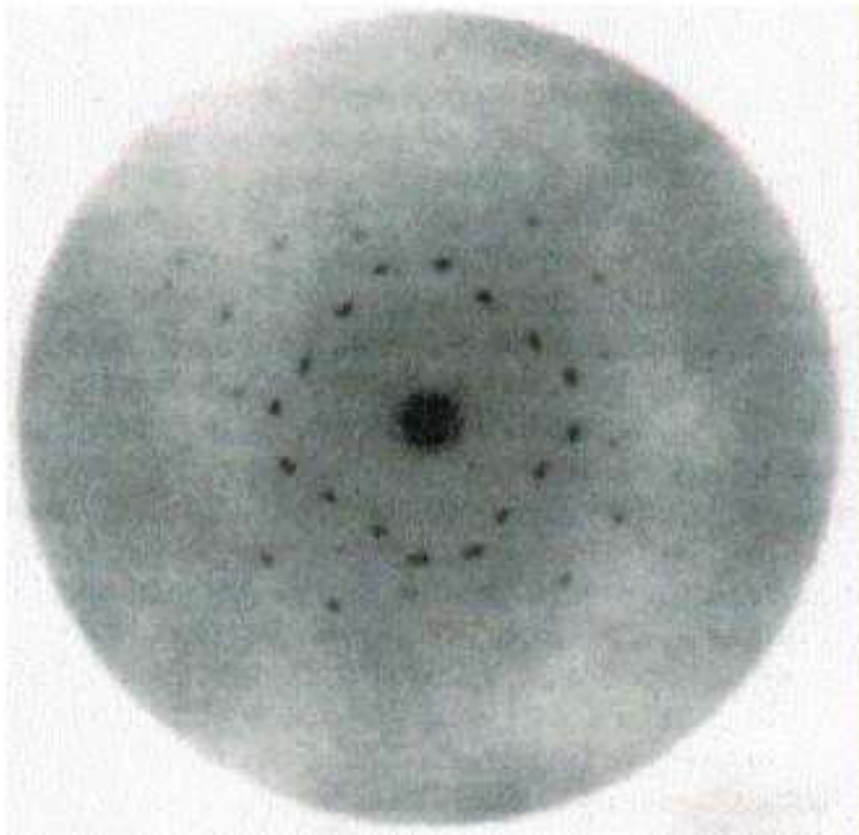
if $\vec{G} = \Delta\vec{k}$, all components add coherently

diffraction condition: $\vec{G} = \Delta\vec{k}$

The intensity of the peak at G is $|n_G|^2$

nobel prize 1914

first diffraction experiment of Max von Laue 1912
ZnS single crystal, exposure time 30'
the 5th diffraction pattern

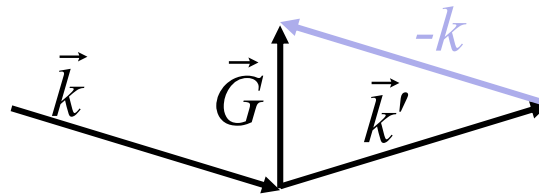


M. von Laue (1879-1960)

$$\vec{G} = \Delta\vec{k}$$

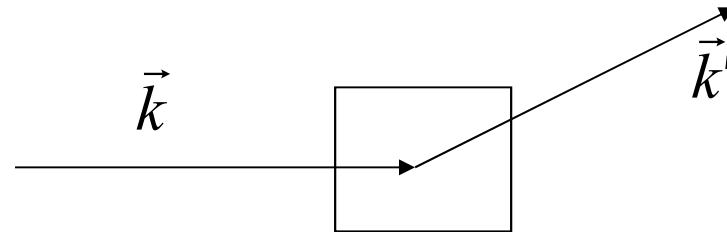
Diffraction condition (Laue condition)

$$\vec{k}' - \vec{k} = \Delta\vec{k} = \vec{G}$$



$$|\vec{k}| = |\vec{k}'| \text{ for elastic scattering}$$

Single crystal diffraction



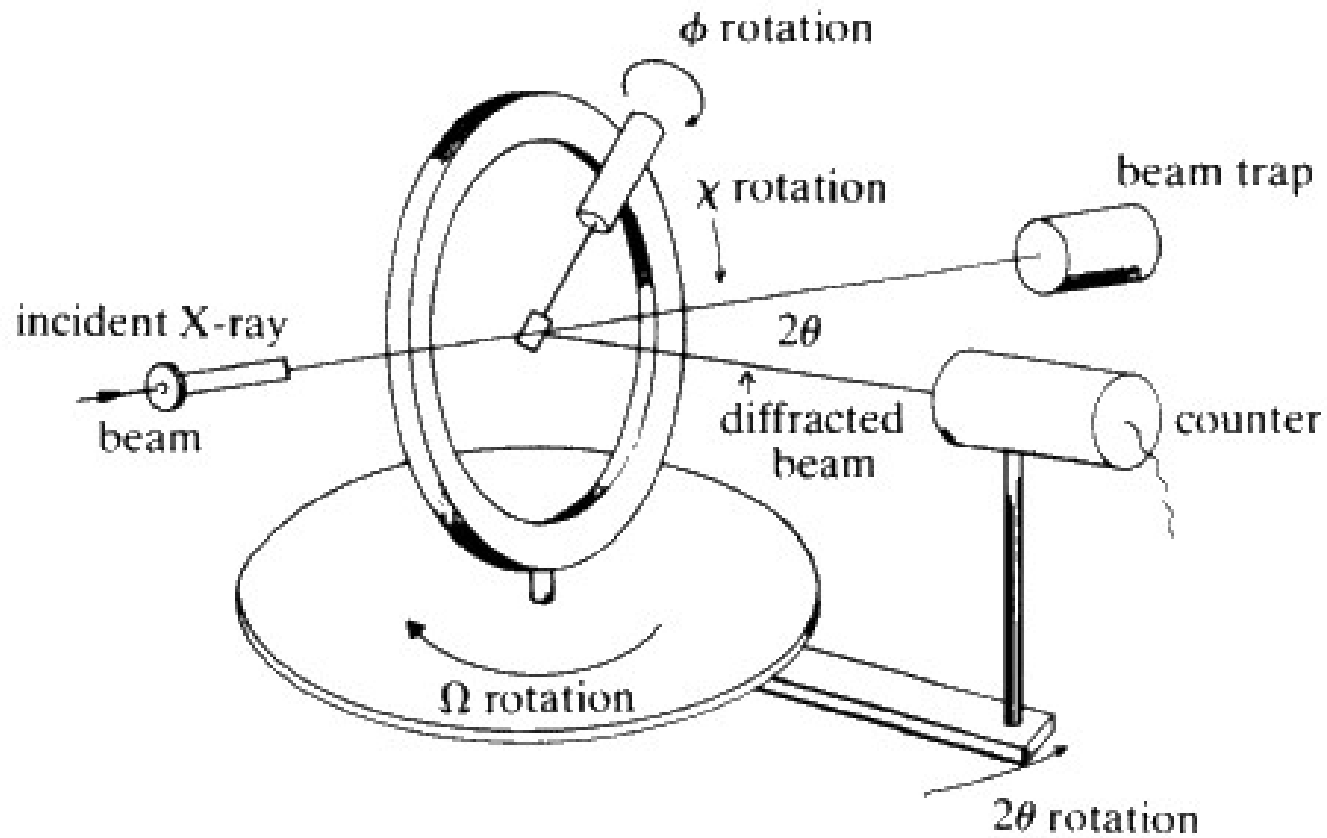
$$\vec{G} = \Delta\vec{k} = \vec{q} \quad \leftarrow \text{Scattering wave vector}$$

Every time a diffraction peak is observed, record G . When many G vectors are known, determine the reciprocal lattice.

The sample and the detector must be turned to find all of the diffraction peaks.

| G_x | G_y | G_z | $ n_G ^2$ |
|--------|--------|--------|-----------|
| 2.4E10 | 2.4E10 | 0 | 10341 |
| 2.4E10 | 0 | 2.4E10 | 9989 |
| | | | |

$$n(\vec{r}) = \sum_{\vec{G}} n_{\vec{G}} \exp(i\vec{G} \cdot \vec{r})$$



θ sets the length of the scattering vector

Determining real space primitive lattice vectors

$$\vec{a}_1 = 2\pi \frac{\vec{b}_2 \times \vec{b}_3}{\vec{b}_1 \cdot (\vec{b}_2 \times \vec{b}_3)}$$

$$\vec{a}_2 = 2\pi \frac{\vec{b}_3 \times \vec{b}_1}{\vec{b}_1 \cdot (\vec{b}_2 \times \vec{b}_3)}$$

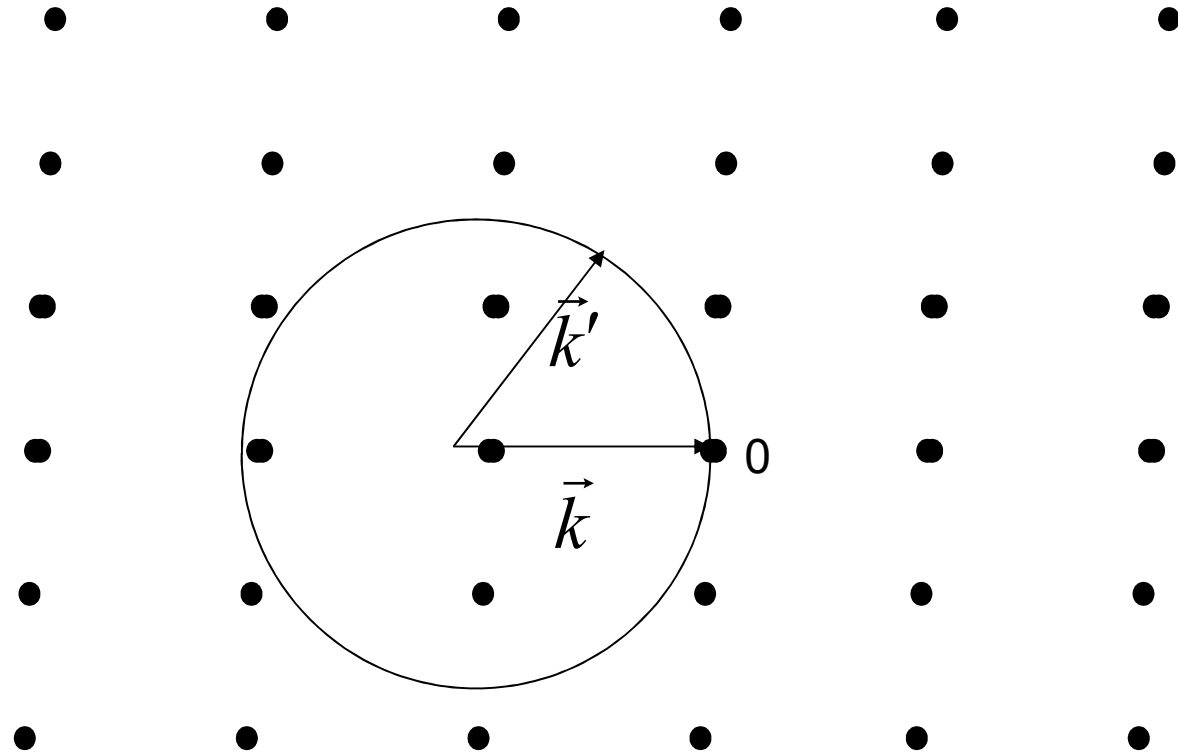
$$\vec{a}_3 = 2\pi \frac{\vec{b}_1 \times \vec{b}_2}{\vec{b}_1 \cdot (\vec{b}_2 \times \vec{b}_3)}$$

$\vec{b}_1, \vec{b}_2, \vec{b}_3$ determined
from diffraction experiment

Volume of the primitive unit cell $\vec{a}_1 \cdot (\vec{a}_2 \times \vec{a}_3)$

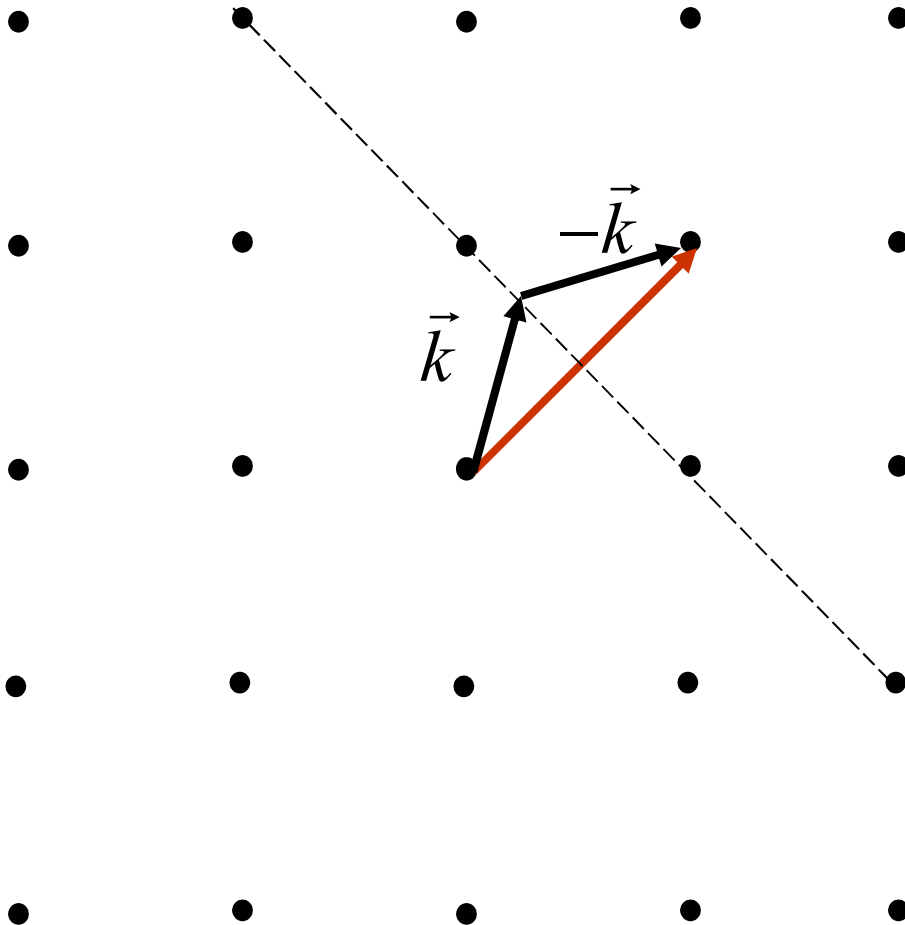
G vectors specify the Bravais lattice.

Ewald sphere $\Delta\vec{k} = \vec{G}$



Draw a vector representing the incoming radiation so that it ends at the origin. As the crystal is rotated around the origin, the condition for diffraction will be satisfied every time a reciprocal lattice point is on the sphere.

Diffraction condition



$$\vec{k}' - \vec{k} = \vec{G}$$

For every G there is a $-G$ so the diffraction condition can also be written as

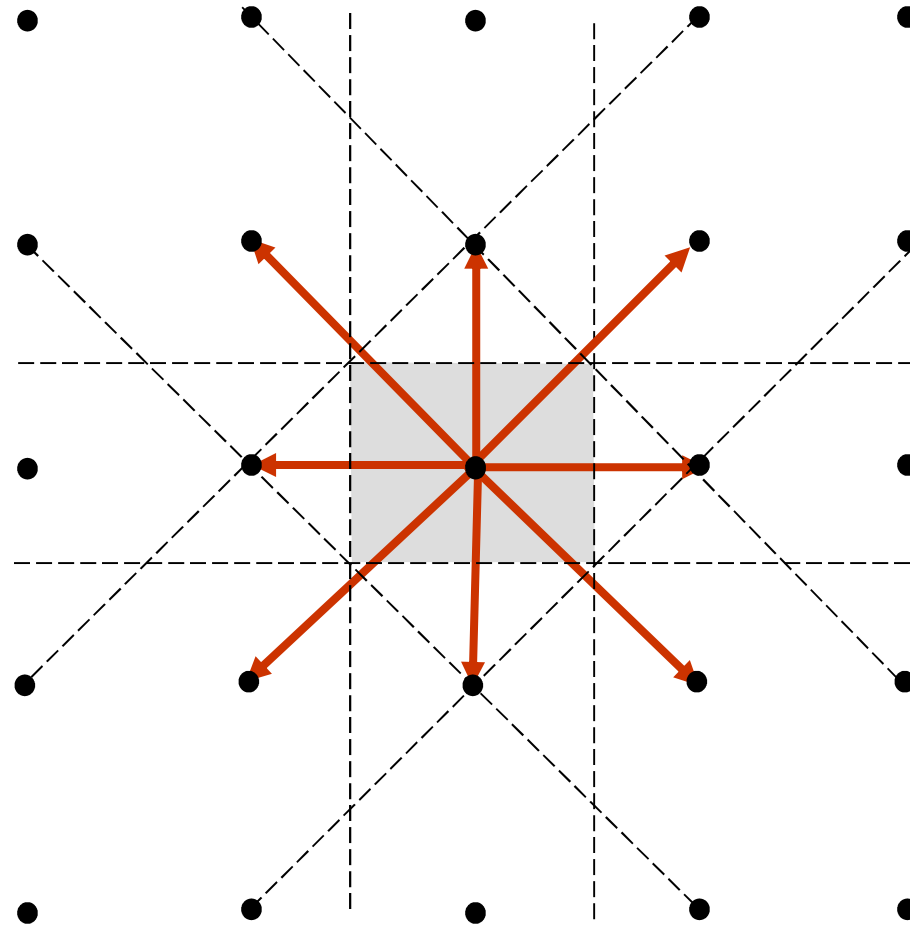
$$\vec{k} - \vec{k}' = \vec{G}$$

a wave will be diffracted if the wave vector ends on one of the planes

Brillouin zones

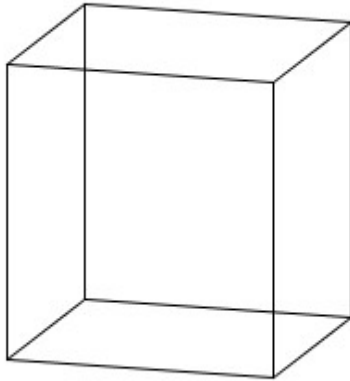


Leon Brillouin

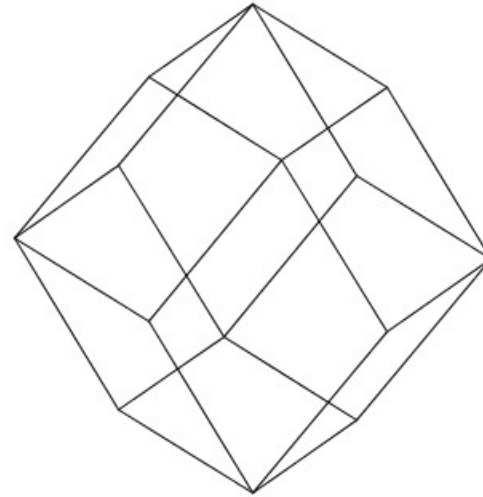


1st Brillouin zone consists of the k -states around the origin that can be reached without crossing a plane.

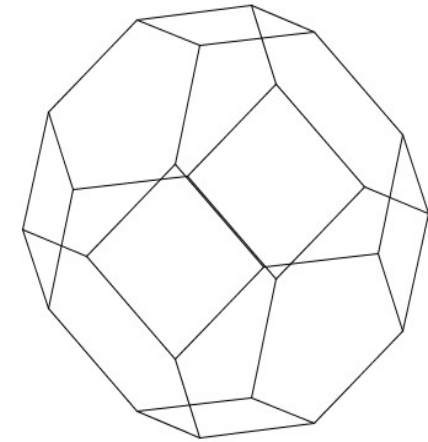
1st Brillouin zones



sc



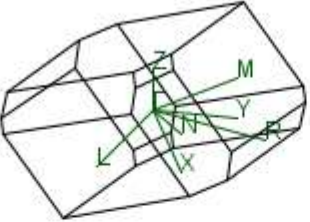
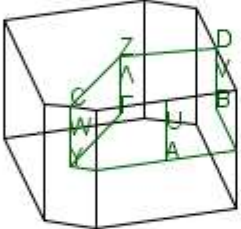
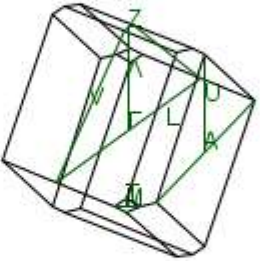
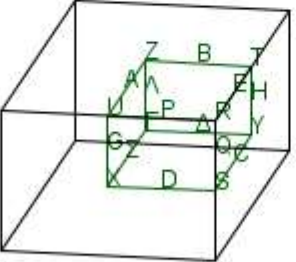
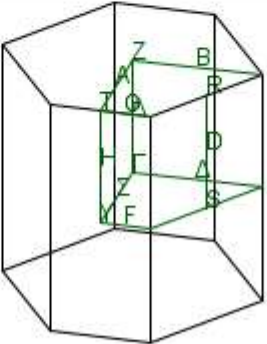

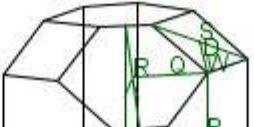
bcc



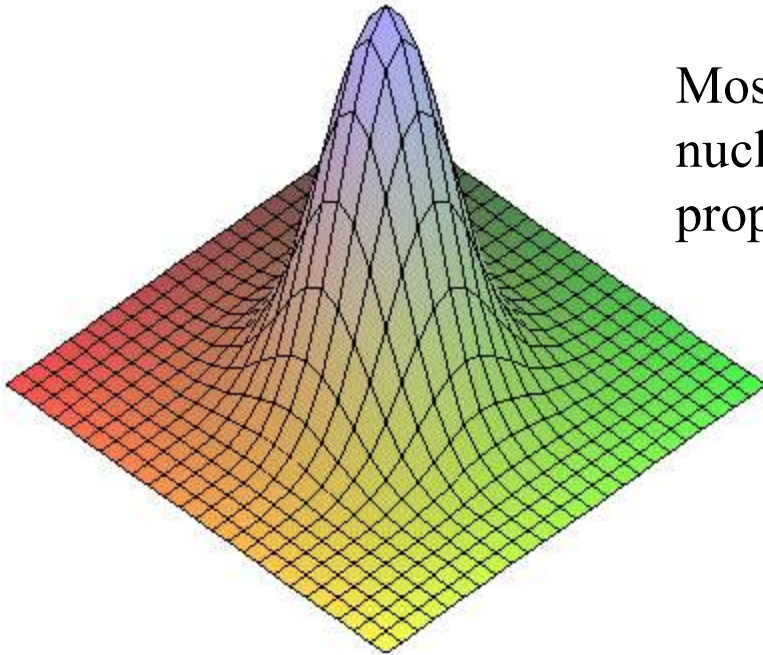
fcc

1st Brillouin is the Wigner-Seitz cell in reciprocal space.

Brillouin zones

| | | |
|---|---|---|
| <p>Triclinic</p> <p>$a \neq b \neq c$ $\alpha \neq \beta \neq \gamma$</p> |  <p>Triclinic</p> | |
| <p>Monoclinic</p> <p>$a \neq b \neq c$ $\alpha \neq 90^\circ$ $\beta = \gamma = 90^\circ$</p> |  <p>Monoclinic simple</p> |  <p>Monoclinic Base centered</p> |
| <p>Orthorhombic</p> <p>$a \neq b \neq c$ $\alpha = \beta = \gamma = 90^\circ$</p> |  <p>Orthorhombic simple</p> |  <p>Base centered</p> |
| |  |  |

Electron density of an atom



Most of the electrons are concentrated around the nucleus. The integral over the electron density is proportional to the number of electrons.

$$n_j(\vec{r}) \propto \exp\left(-\frac{(\vec{r} - \vec{r}_j)^2}{r_0^2}\right)$$

Approximately a Gaussian centered at r_j

Electron density

Write the electron density as a Fourier series

$$n(\vec{r}) = \sum_{\vec{G}} n_{\vec{G}} e^{i\vec{G}\cdot\vec{r}} = \sum_{\vec{T}} \sum_j n_j (\vec{r} - \vec{r}_j + \vec{T}),$$

position of atom j of the basis

Translation vector

Multiply by $e^{-i\vec{G}'\cdot\vec{r}}$ and integrate over a unit cell.

$$\sum_{\vec{G}} \int_{\text{u.c.}} n_{\vec{G}} e^{i\vec{G}\cdot\vec{r}} e^{-i\vec{G}'\cdot\vec{r}} d\vec{r} = \sum_j \int_{\text{u.c.}} n_j (\vec{r} - \vec{r}_j) e^{-i\vec{G}'\cdot\vec{r}} d\vec{r}.$$

1

Electron density

$$n_{\vec{G}}V = \sum_j \int n_j(\vec{r} - \vec{r}_j) e^{-i\vec{G}\cdot\vec{r}} d\vec{r}.$$

Make a substitution $\vec{r}' = \vec{r} - \vec{r}_j$.

$$n_{\vec{G}} = \frac{1}{V} \sum_j e^{-i\vec{G}\cdot\vec{r}_j} \underbrace{\int n_j(\vec{r}') e^{-i\vec{G}\cdot\vec{r}'} d\vec{r}'}.$$

Fourier transform of the electron density of atom j
= atomic form factor $f_j(G)$

Atomic form factor

$$f_j(\vec{G}) = \int n_j(\vec{r}) e^{-i\vec{G}\cdot\vec{r}} d\vec{r},$$

The atomic form factors can be looked up in a table.

The structure factors are given in terms of the atomic form factors.

$$n_{\vec{G}} = \frac{1}{V} \sum_j f_j(\vec{G}) e^{-i\vec{G}\cdot\vec{r}_j}$$

sum over the basis

position of atom j of the basis

Section 4.3.2. Parameterizations of electron atomic scattering factors

J. M. Cowley,^{b†} L. M. Peng,ⁱ G. Ren,^j S. L. Dudarev^c and M. J. Whelan^c

Table 4.3.2.2 | pdf |

Elastic atomic scattering factors of electrons for neutral atoms and s up to 2.0 \AA^{-1}

$$f(s) = \sum_i a_i \exp(-b_i s^2)$$

| Element | Z | a_1 | a_2 | a_3 | a_4 | a_5 | b_1 | b_2 | b_3 | b_4 | b_5 |
|---------|----|--------|--------|--------|--------|--------|--------|--------|---------|---------|----------|
| H | 1 | 0.0349 | 0.1201 | 0.1970 | 0.0573 | 0.1195 | 0.5347 | 3.5867 | 12.3471 | 18.9525 | 38.6269 |
| He | 2 | 0.0317 | 0.0838 | 0.1526 | 0.1334 | 0.0164 | 0.2507 | 1.4751 | 4.4938 | 12.6646 | 31.1653 |
| Li | 3 | 0.0750 | 0.2249 | 0.5548 | 1.4954 | 0.9354 | 0.3864 | 2.9383 | 15.3829 | 53.5545 | 138.7337 |
| Be | 4 | 0.0780 | 0.2210 | 0.6740 | 1.3867 | 0.6925 | 0.3131 | 2.2381 | 10.1517 | 30.9061 | 78.3273 |
| B | 5 | 0.0909 | 0.2551 | 0.7738 | 1.2136 | 0.4606 | 0.2995 | 2.1155 | 8.3816 | 24.1292 | 63.1314 |
| C | 6 | 0.0893 | 0.2563 | 0.7570 | 1.0487 | 0.3575 | 0.2465 | 1.7100 | 6.4094 | 18.6113 | 50.2523 |
| N | 7 | 0.1022 | 0.3219 | 0.7982 | 0.8197 | 0.1715 | 0.2451 | 1.7481 | 6.1925 | 17.3894 | 48.1431 |
| O | 8 | 0.0974 | 0.2921 | 0.6910 | 0.6990 | 0.2039 | 0.2067 | 1.3815 | 4.6943 | 12.7105 | 32.4726 |
| F | 9 | 0.1083 | 0.3175 | 0.6487 | 0.5846 | 0.1421 | 0.2057 | 1.3439 | 4.2788 | 11.3932 | 28.7881 |
| Ne | 10 | 0.1269 | 0.3535 | 0.5582 | 0.4674 | 0.1460 | 0.2200 | 1.3779 | 4.0203 | 9.4934 | 23.1278 |
| Na | 11 | 0.2142 | 0.6853 | 0.7692 | 1.6589 | 1.4482 | 0.3334 | 2.3446 | 10.0830 | 48.3037 | 138.2700 |
| Mg | 12 | 0.2314 | 0.6866 | 0.9677 | 2.1882 | 1.1339 | 0.3278 | 2.2720 | 10.9241 | 39.2898 | 101.9748 |
| Al | 13 | 0.2390 | 0.6573 | 1.2011 | 2.5586 | 1.2312 | 0.3138 | 2.1063 | 10.4163 | 34.4552 | 98.5344 |



513.001 Molecular and Solid State Physics

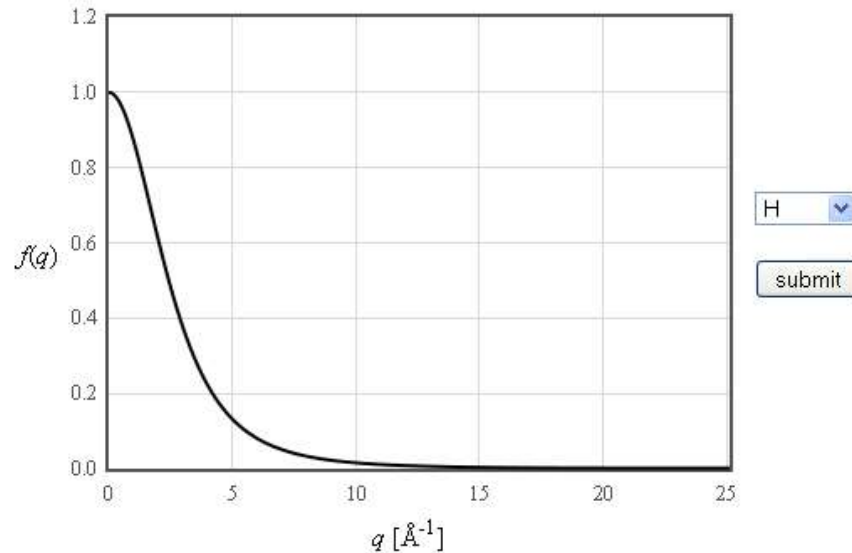
Atomic form factors

In the range of scattering vectors between $0 < q < 25 \text{ \AA}^{-1}$, the atomic form factor is well approximated by the expression, [1]

$$f(q) = \sum_{i=1}^4 a_i \exp\left(-b_i \left(\frac{q}{4\pi}\right)^2\right) + c,$$

where the values of a_i , b_i , and c are tabulated below. The different atomic form factors for the elements can be plotted using the form below.

Atomic form factor for H



| Element | a_1 | b_1 | a_2 | b_2 | a_3 | b_3 | a_4 | b_4 | c |
|---------|----------|---------|----------|---------|----------|---------|----------|---------|----------|
| H | 0.489918 | 20.6593 | 0.262003 | 7.74039 | 0.196767 | 49.5519 | 0.049879 | 2.20159 | 0.001305 |
| H1- | 0.897661 | 53.1368 | 0.565616 | 15.187 | 0.415815 | 186.576 | 0.116973 | 3.56709 | 0.002389 |
| He | 0.8734 | 9.1037 | 0.6309 | 3.3568 | 0.3112 | 22.9276 | 0.178 | 0.9821 | 0.0064 |

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Primitive lattice vectors:

$$\vec{a}_1 = 4.12\text{E-}10 \hat{x} + 0 \hat{y} + 0 \hat{z} \text{ [m]}$$

$$\vec{a}_2 = 0 \hat{x} + 4.12\text{E-}10 \hat{y} + 0 \hat{z} \text{ [m]}$$

$$\vec{a}_3 = 0 \hat{x} + 0 \hat{y} + 4.12\text{E-}10 \hat{z} \text{ [m]}$$

Basis:
The positions of the atoms are given in fractional coordinates between -1 and 1.

| | | | | | | |
|------|-----|---------------|-----|---------------|-----|-------------|
| Cs1+ | 0 | $\vec{a}_1 +$ | 0 | $\vec{a}_2 +$ | 0 | \vec{a}_3 |
| Cl1- | 0.5 | $\vec{a}_1 +$ | 0.5 | $\vec{a}_2 +$ | 0.5 | \vec{a}_3 |
| | | $\vec{a}_1 +$ | | $\vec{a}_2 +$ | | \vec{a}_3 |
| | | $\vec{a}_1 +$ | | $\vec{a}_2 +$ | | \vec{a}_3 |
| | | $\vec{a}_1 +$ | | $\vec{a}_2 +$ | | \vec{a}_3 |

submit

Primitive reciprocal lattice vectors

$$\vec{b}_1 = 2\pi \frac{\vec{a}_2 \times \vec{a}_3}{\vec{a}_1 \cdot (\vec{a}_2 \times \vec{a}_3)} = 1.525\text{e+}10 \hat{k}_x + 0.000 \hat{k}_y + 0.000 \hat{k}_z \text{ [m}^{-1}\text{]}$$

$$\vec{b}_2 = 2\pi \frac{\vec{a}_3 \times \vec{a}_1}{\vec{a}_1 \cdot (\vec{a}_2 \times \vec{a}_3)} = 0.000 \hat{k}_x + 1.525\text{e+}10 \hat{k}_y + 0.000 \hat{k}_z \text{ [m}^{-1}\text{]}$$

$$\vec{b}_3 = 2\pi \frac{\vec{a}_1 \times \vec{a}_2}{\vec{a}_1 \cdot (\vec{a}_2 \times \vec{a}_3)} = 0.000 \hat{k}_x + 0.000 \hat{k}_y + 1.525\text{e+}10 \hat{k}_z \text{ [m}^{-1}\text{]}$$

Structure factors

The value of $|n_{\vec{G}}|$ for the 000 diffraction peak is the total number of electrons in the primitive unit cell. The intensities of the peaks in an x-ray diffraction experiment

| hkl | $ \vec{G} \text{ \AA}^{-1}$ | $ n_{\vec{G}} $ | $ n_{\vec{G}} ^2$ | $\text{Re}\{n_{\vec{G}}\}$ | $\text{Im}\{n_{\vec{G}}\}$ |
|-------|------------------------------|-----------------|-------------------|----------------------------|----------------------------|
| 000 | 0.000 | 72.00 | 5184 | 72.00 | 0.000 |
| -100 | 1.525 | 34.43 | 1185 | 34.43 | 5.333e-8 |
| 0-10 | 1.525 | 34.43 | 1185 | 34.43 | 5.333e-8 |
| 00-1 | 1.525 | 34.43 | 1185 | 34.43 | 5.333e-8 |
| 001 | 1.525 | 34.43 | 1185 | 34.43 | -5.333e-8 |

Structure factor

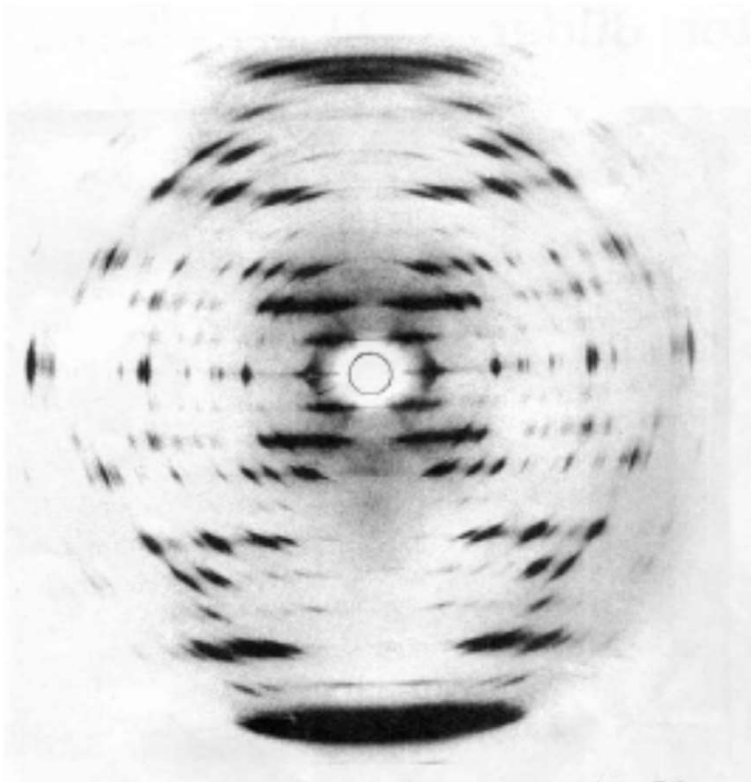
$$n_{\vec{G}} = \frac{1}{V} \sum_j f_j(G) e^{-i\vec{G} \cdot \vec{r}_j}$$

A structure factor is the Fourier transform of the electron density of the basis of a crystal evaluated at a reciprocal lattice vector. Since the electron density of the basis can be approximated as a sum over the electron densities of the atoms in the basis, the Fourier transform of the electron density of the basis is a sum of the Fourier transforms of the electron densities of the atoms in the basis.

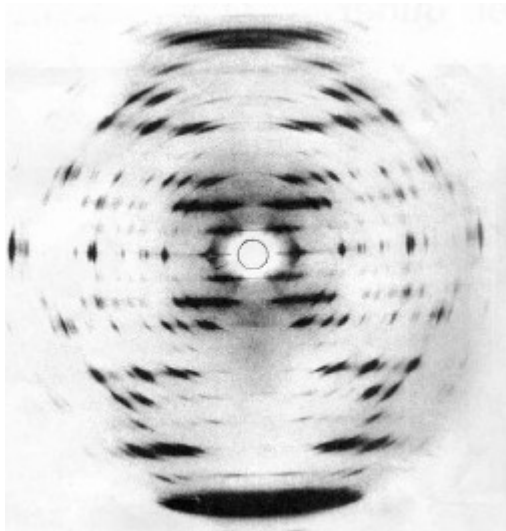
An x-ray experiment measures the scattered intensity $|F_G|^2$. The phase information is lost. This is proportional to $|n_G|^2$.

crystal structure solution

structural solution of the DNA
F.Crick, J.Watson, M.Wilkins
nobel laureate 1962 for medicine



crystal structure solution



"Guess" the crystal structure

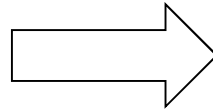
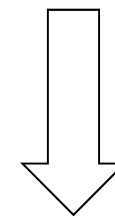


Table 4.3.2.2 | pdf |

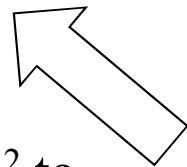
Elastic atomic scattering factors of electrons for neutral atoms and s up to 2.0 \AA^{-1}

| Element | Z | a_1 | a_2 | a_3 | a_4 | a_5 | b_1 | b_2 | b_3 |
|---------|----|--------|--------|--------|--------|--------|--------|--------|---------|
| H | 1 | 0.0349 | 0.1201 | 0.1970 | 0.0573 | 0.1195 | 0.5347 | 3.5867 | 12.3471 |
| He | 2 | 0.0317 | 0.0838 | 0.1526 | 0.1334 | 0.0164 | 0.2507 | 1.4751 | 4.4938 |
| Li | 3 | 0.0750 | 0.2249 | 0.5548 | 1.4954 | 0.9354 | 0.3864 | 2.9383 | 15.3829 |
| Be | 4 | 0.0780 | 0.2210 | 0.6740 | 1.3867 | 0.6925 | 0.3131 | 2.2381 | 10.1517 |
| B | 5 | 0.0909 | 0.2551 | 0.7738 | 1.2136 | 0.4606 | 0.2995 | 2.1155 | 8.3816 |
| C | 6 | 0.0893 | 0.2563 | 0.7570 | 1.0487 | 0.3575 | 0.2465 | 1.7100 | 6.4094 |
| N | 7 | 0.1022 | 0.3219 | 0.7982 | 0.8197 | 0.1715 | 0.2451 | 1.7481 | 6.1925 |
| O | 8 | 0.0974 | 0.2921 | 0.6910 | 0.6990 | 0.2039 | 0.2067 | 1.3815 | 4.6943 |
| F | 9 | 0.1083 | 0.3175 | 0.6487 | 0.5846 | 0.1421 | 0.2057 | 1.3439 | 4.2788 |
| Ne | 10 | 0.1269 | 0.3535 | 0.5582 | 0.4674 | 0.1460 | 0.2200 | 1.3779 | 4.0203 |
| Na | 11 | 0.2142 | 0.6853 | 0.7692 | 1.6589 | 1.4482 | 0.3334 | 2.3446 | 10.0830 |
| Mg | 12 | 0.2314 | 0.6866 | 0.9677 | 2.1882 | 1.1339 | 0.3278 | 2.2720 | 10.9241 |
| Al | 13 | 0.2390 | 0.6573 | 1.2011 | 2.5586 | 1.2312 | 0.3138 | 2.1063 | 10.4163 |
| Si | 14 | 0.2519 | 0.6372 | 1.3795 | 2.5082 | 1.0500 | 0.3075 | 2.0174 | 9.6746 |
| P | 15 | 0.2548 | 0.6106 | 1.4541 | 2.3204 | 0.8477 | 0.2908 | 1.8740 | 8.5176 |
| S | 16 | 0.2497 | 0.5628 | 1.3899 | 2.1865 | 0.7715 | 0.2681 | 1.6711 | 7.0267 |
| Cl | 17 | 0.2443 | 0.5397 | 1.3919 | 2.0197 | 0.6621 | 0.2468 | 1.5242 | 6.1537 |
| Ar | 18 | 0.2385 | 0.5017 | 1.3428 | 1.8899 | 0.6079 | 0.2289 | 1.3694 | 5.2561 |



From the atomic form factors, calculate the structure factors n_G .

Compare $|n_G|^2$ to the measurements



$$n(\vec{r}) = \sum_{\vec{G}} n_{\vec{G}} \exp(i\vec{G} \cdot \vec{r})$$

x-ray diffraction

The shape and the dimensions of the unit cell can be deduced from the positions of the Bragg reflections; the content of the unit cell, on the other hand, must be determined from the intensities of the reflections.

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Diffraction condition: $\Delta\vec{k} = \vec{G}$

The intensity of the peaks is proportional to the squared Fourier coefficients of the electron density.

$$n(\vec{r}) = \sum_{\vec{G}} n_{\vec{G}} \exp(i\vec{G} \cdot \vec{r})$$