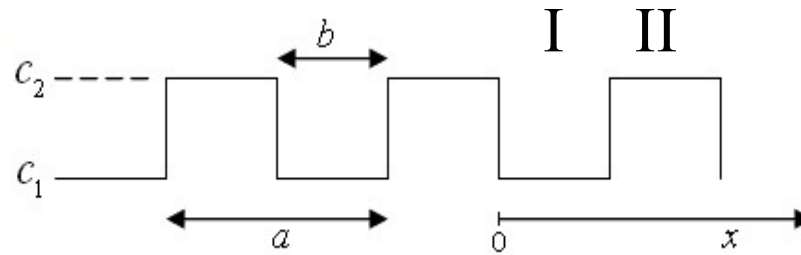


# Light in a layered material

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Hill's equation 
$$\frac{d^2 \xi(x)}{dx^2} = -\frac{\omega^2}{c^2(x)} \xi(x)$$

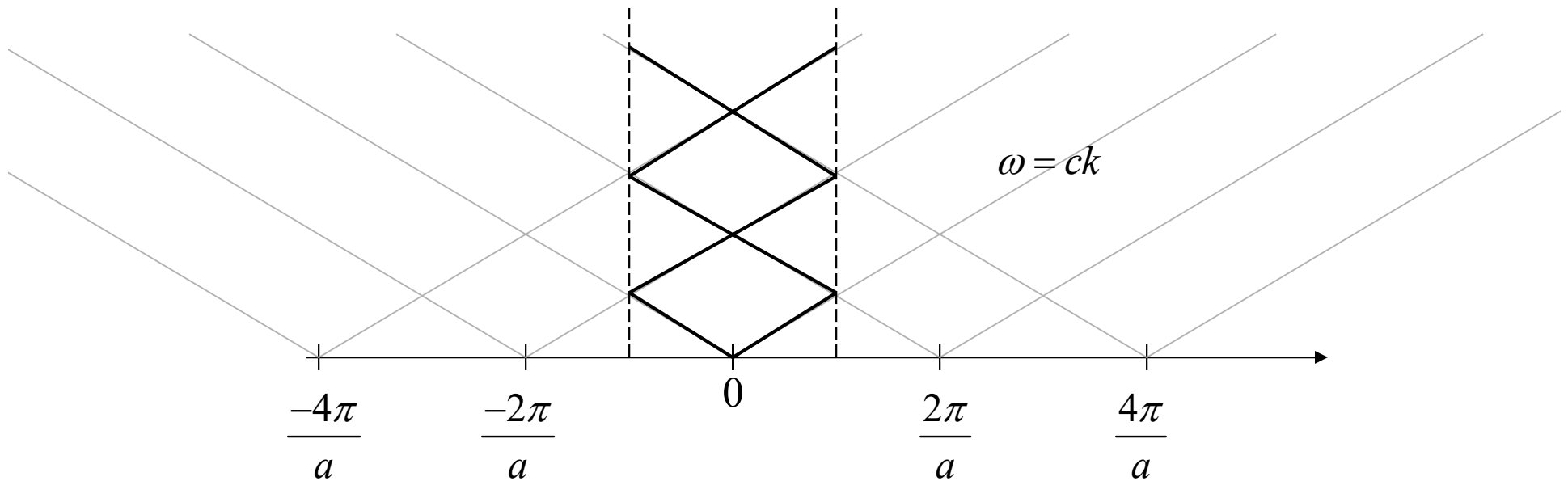
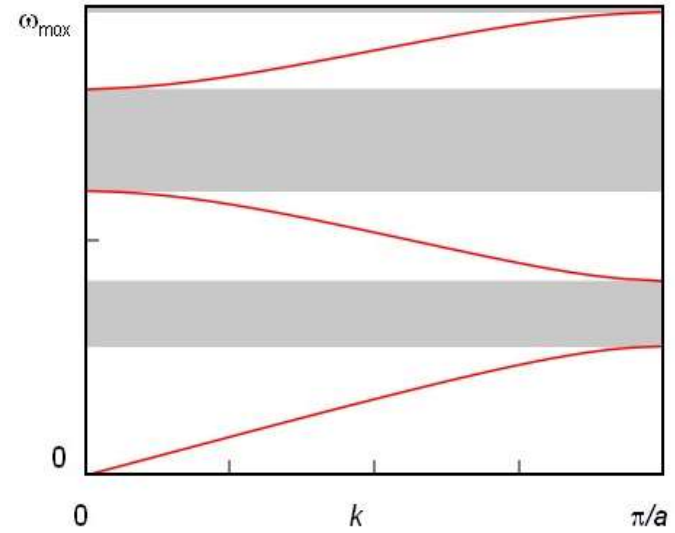
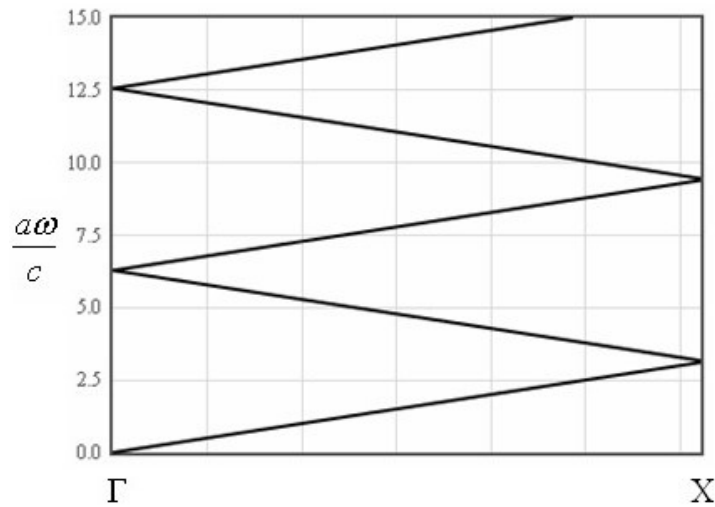
In region I, the solutions are  $\sin(\omega x/c_1)$  and  $\cos(\omega x/c_1)$ .

In region II, the solutions are  $\sin(\omega x/c_2)$  and  $\cos(\omega x/c_2)$ .

Match the solutions at the boundaries.

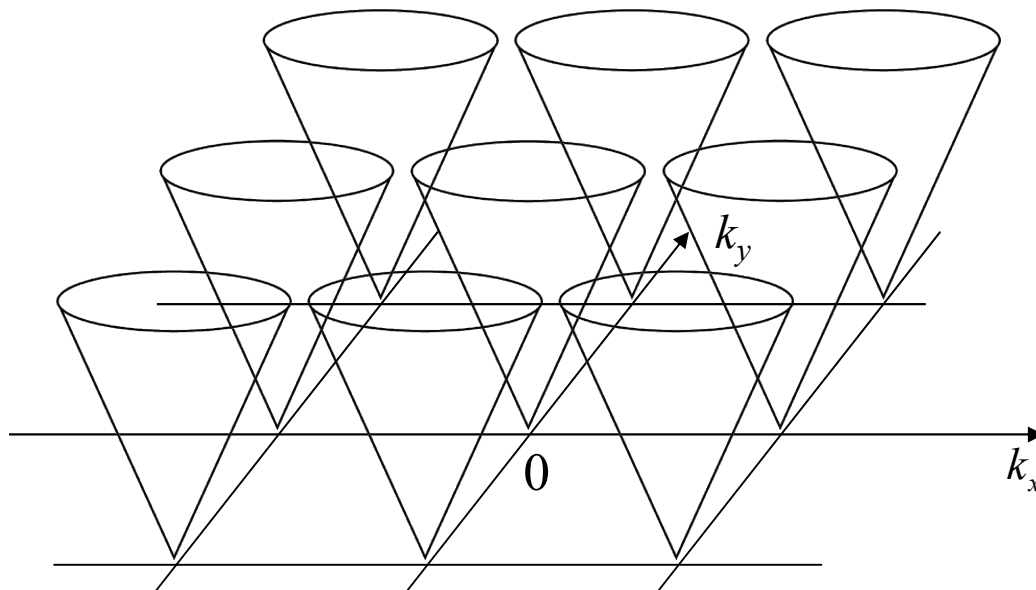
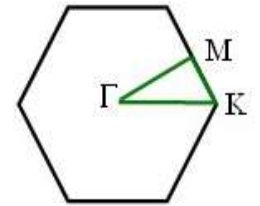
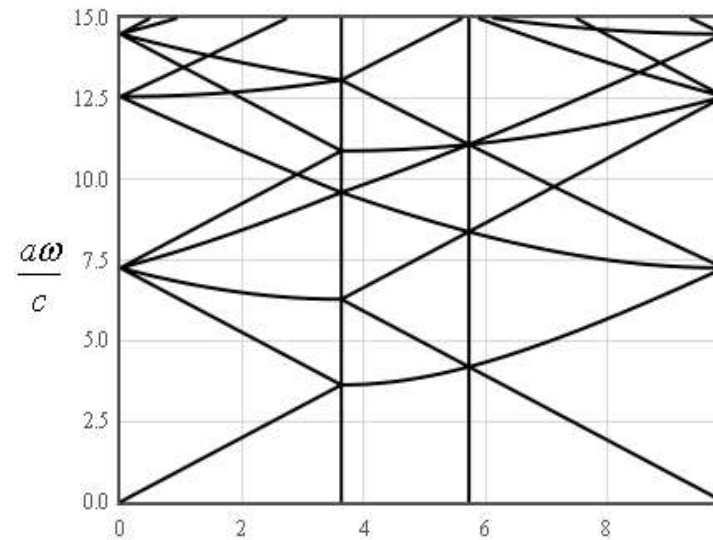
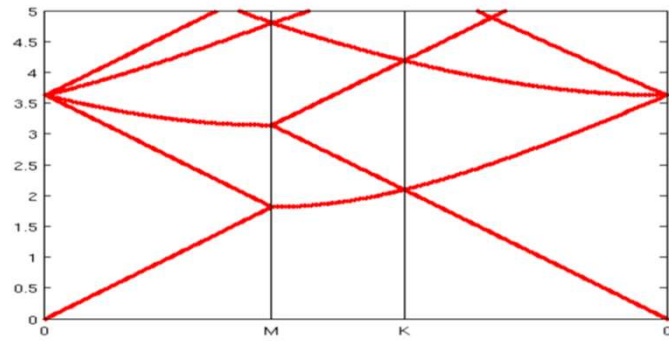
Normal modes don't have a clearly defined wavelength.

# Empty lattice approximation



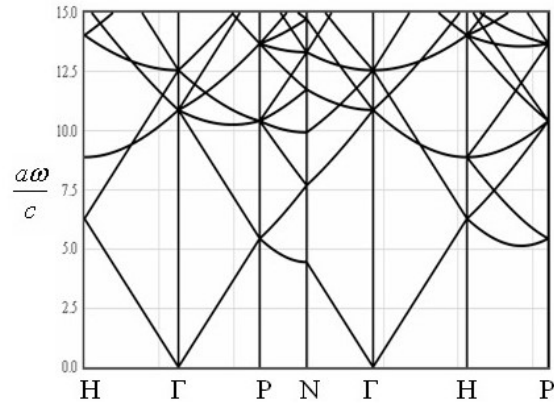
# Empty lattice approximation

Plane wave method

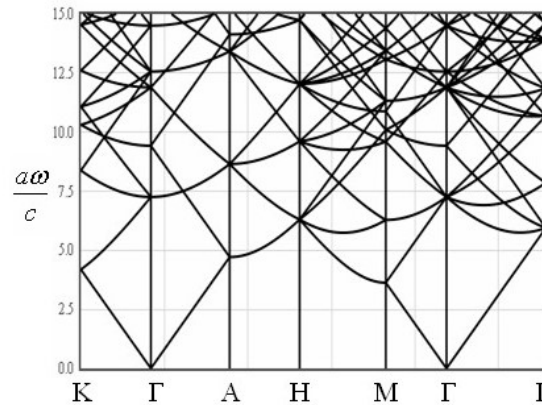


# Empty lattice approximation

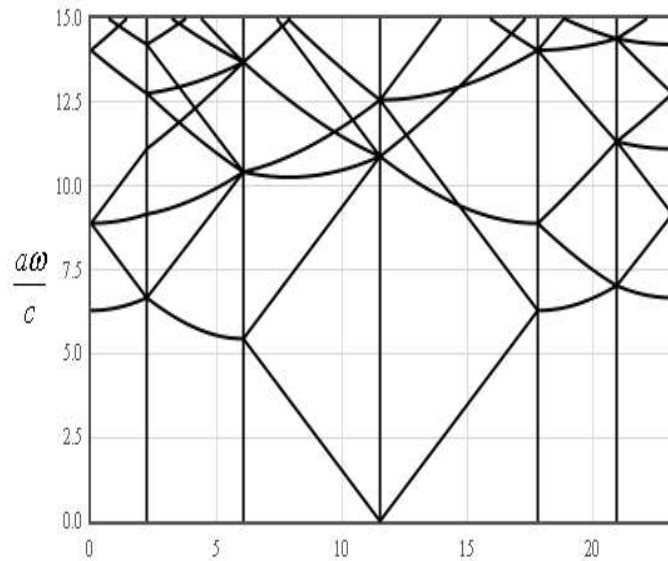
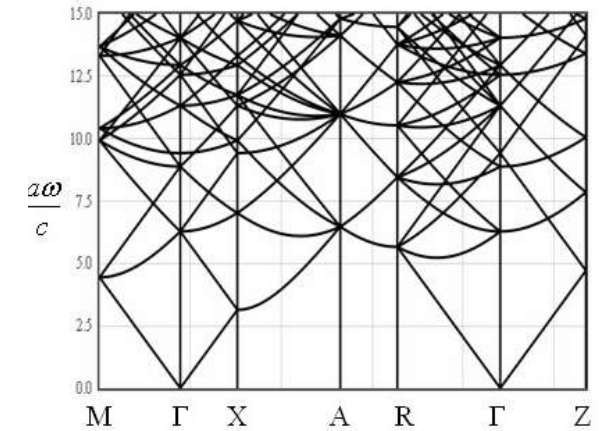
Body centered cubic



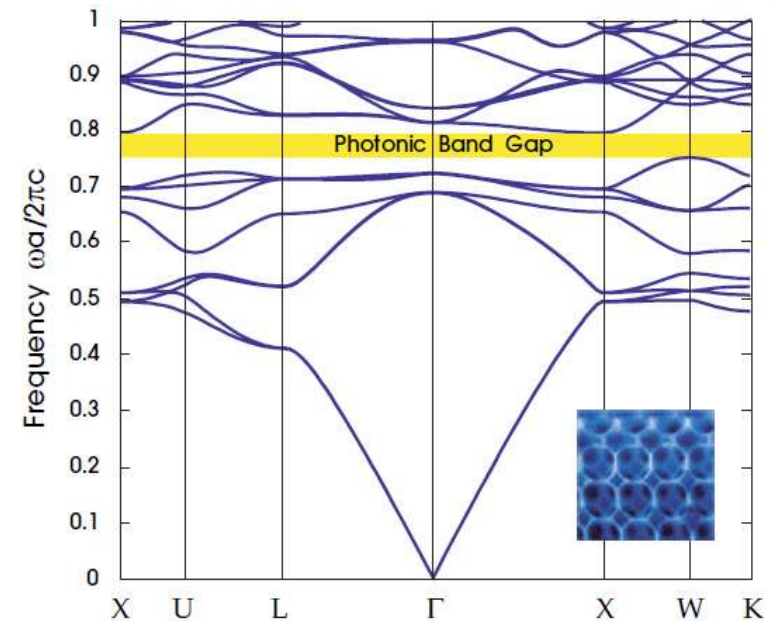
Hexagonal



Tetragonal



X U L Gamma X W K

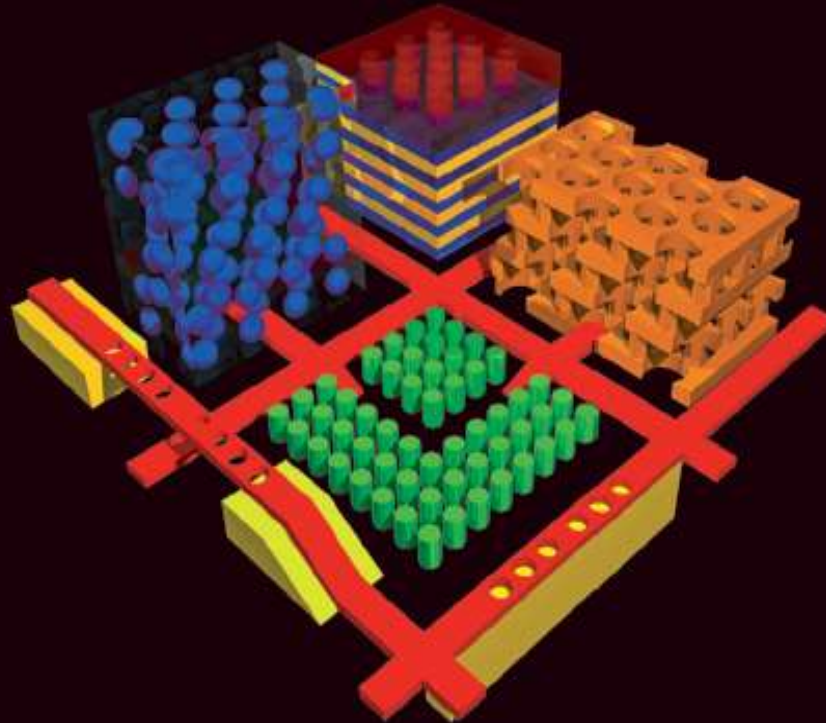


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# Photonic Crystals

Molding the Flow of Light

SECOND EDITION



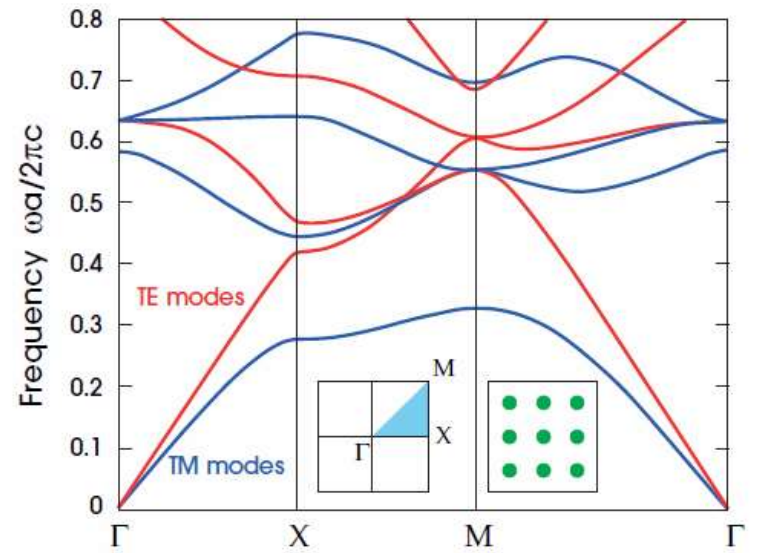
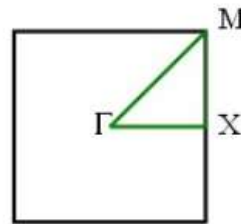
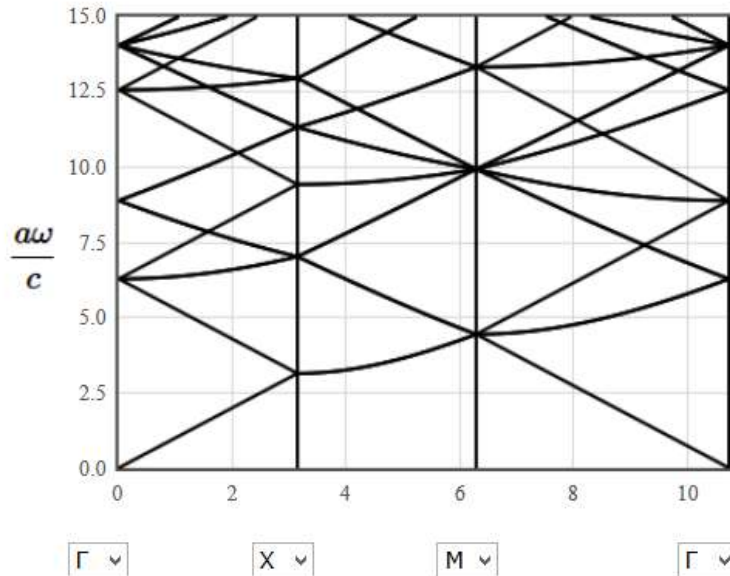
John D. Joannopoulos

Steven G. Johnson

Joshua N. Winn

Robert D. Meade

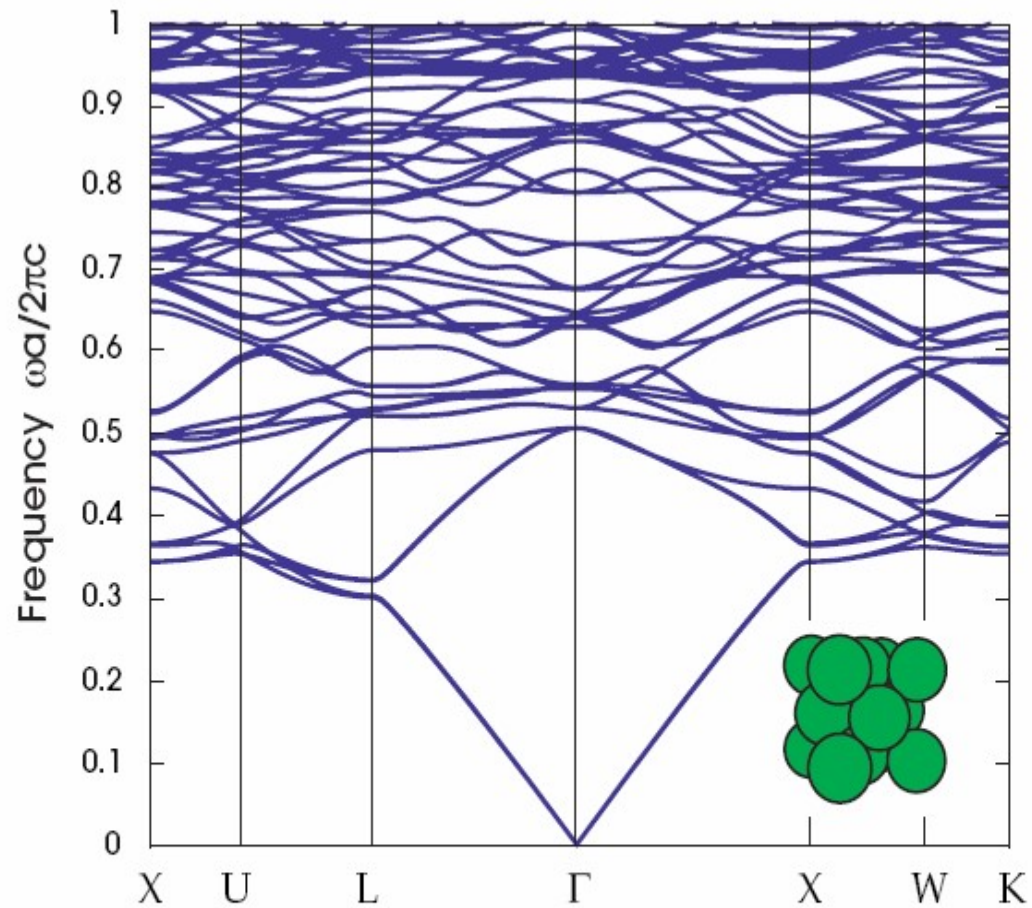
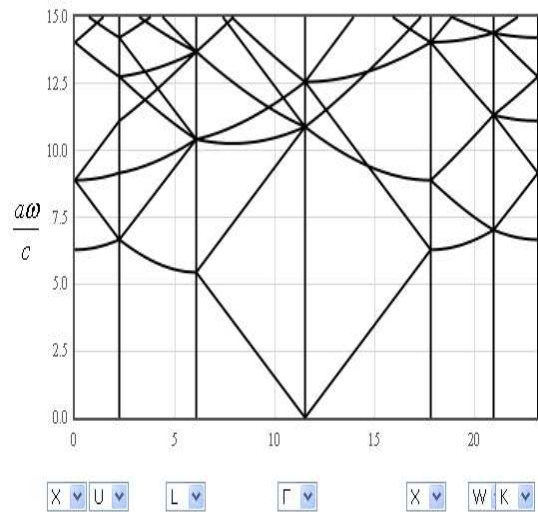
# Empty lattice approximation



<http://ab-initio.mit.edu/book/>

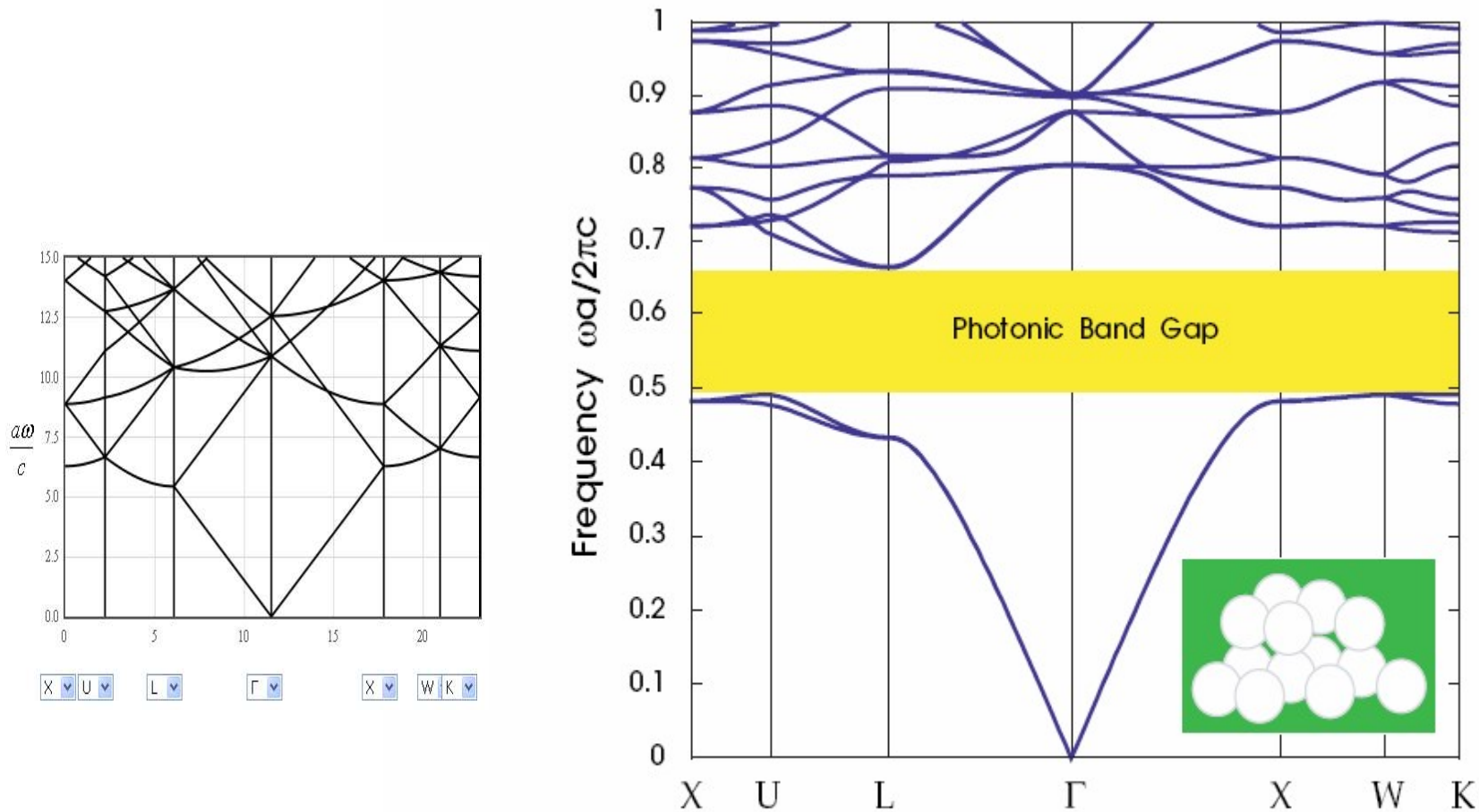


# fcc



**Figure 2:** The photonic band structure for the lowest-frequency electromagnetic modes of a face-centered cubic (fcc) lattice of close-packed dielectric spheres ( $\epsilon = 13$ ) in air (inset). Note the *absence* of a complete photonic band gap. The wave vector varies across the irreducible Brillouin zone between the labelled high-symmetry points; see appendix B for a discussion of the Brillouin zone of an fcc lattice.

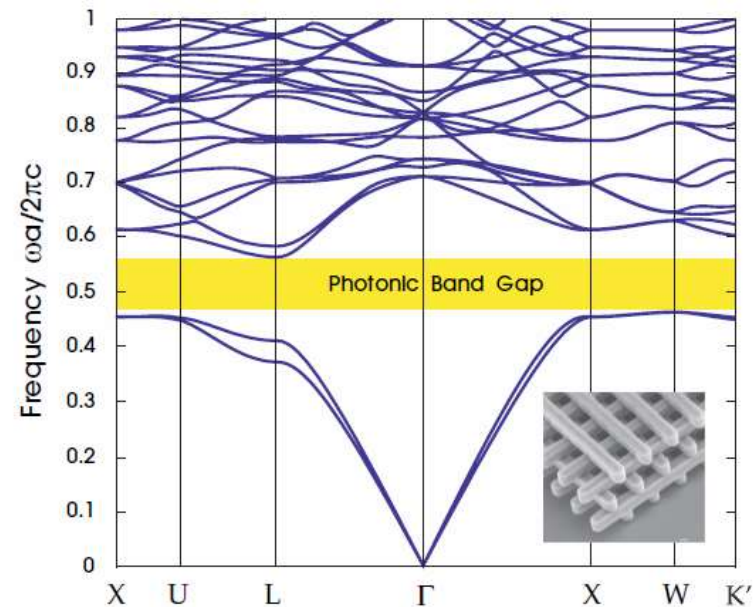
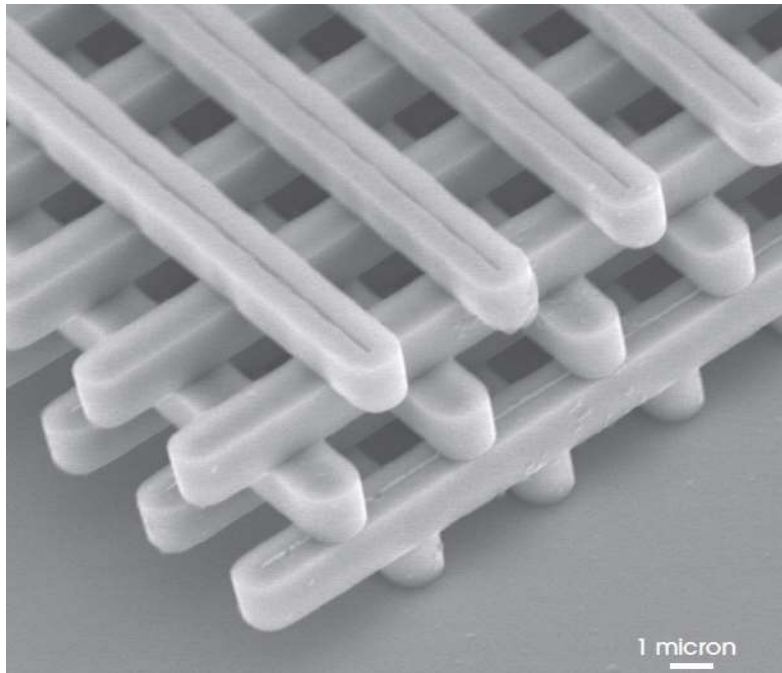
# diamond



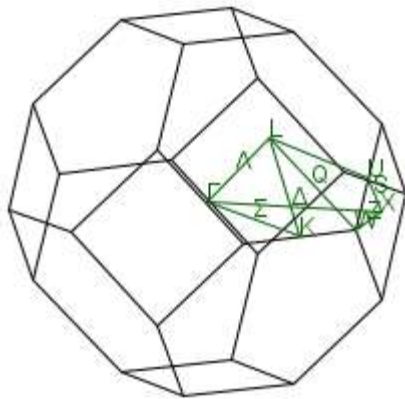
**Figure 3:** The photonic band structure for the lowest bands of a diamond lattice of air spheres in a high dielectric ( $\epsilon = 13$ ) material (inset). A complete photonic band gap is shown in yellow. The wave vector varies across the irreducible Brillouin zone between the labelled high-symmetry points; see appendix B for a discussion of the Brillouin zone of an fcc lattice.



# Woodpile photonic crystal

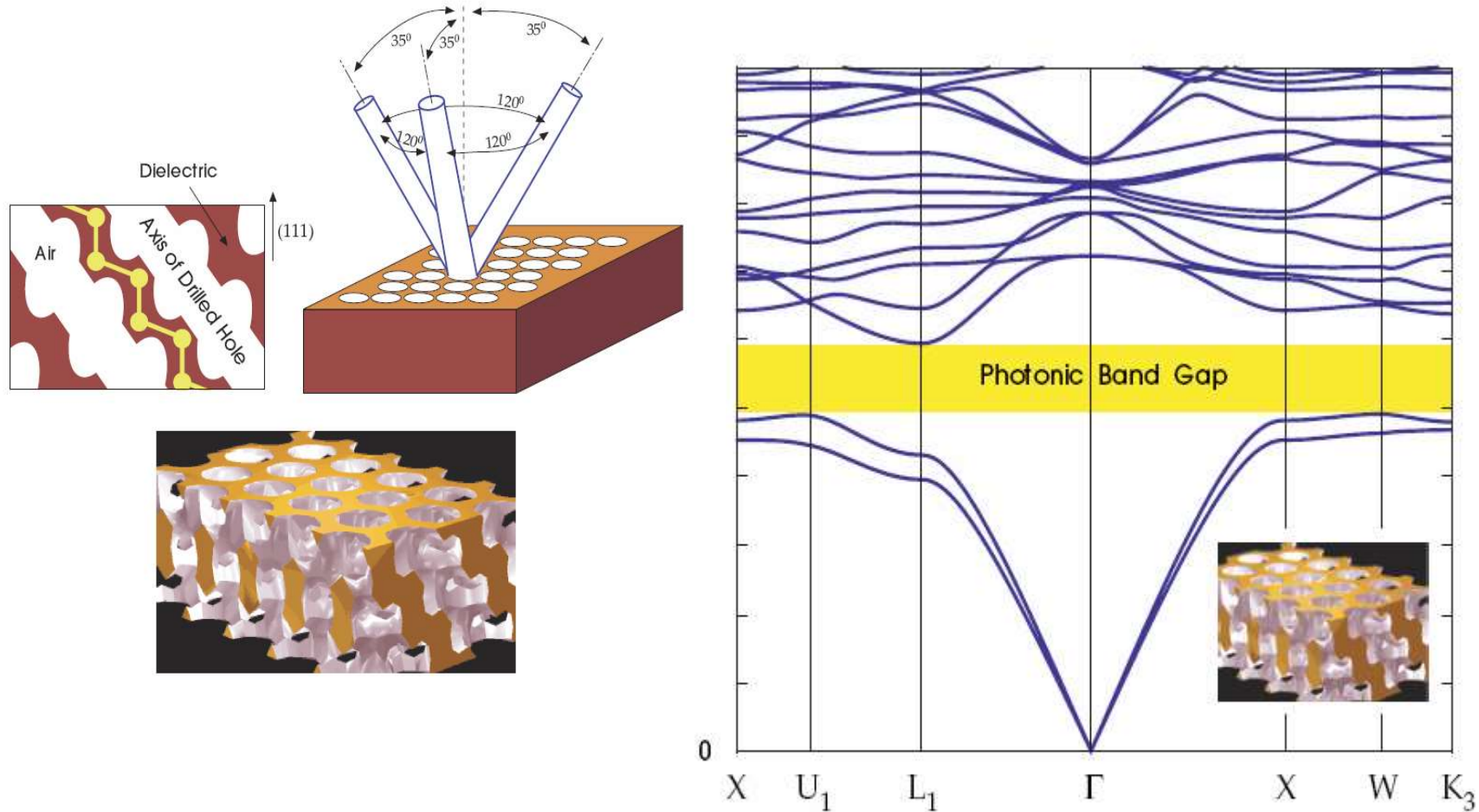


**Figure 7:** The photonic band structure for the lowest bands of the woodpile structure (inset, from figure 6) with  $\epsilon = 13$  logs in air. The irreducible Brillouin zone is larger than that of the fcc lattice described in appendix B, because of reduced symmetry—only a portion is shown, including the edges of the complete photonic band gap (yellow).



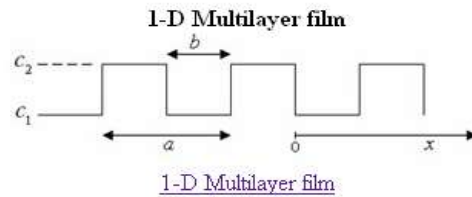
<http://ab-initio.mit.edu/book>

# Yablonoite

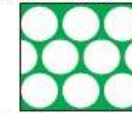


**Figure 5:** The photonic band structure for the lowest bands of Yablonoite (inset, from figure 4). Wave vectors are shown for a portion of the irreducible Brillouin zone that includes the edges of the complete gap (yellow). A detailed discussion of this band structure can be found in Yablonoitch et al. (1991a).

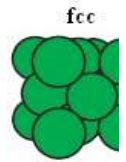
# Photonic crystals



2-D triangular array of air holes

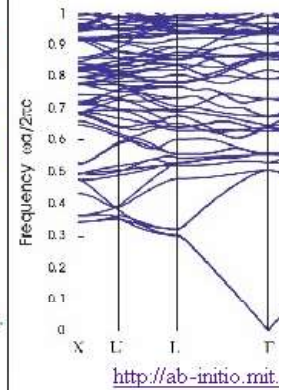
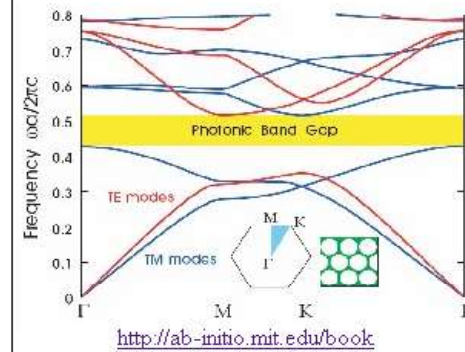
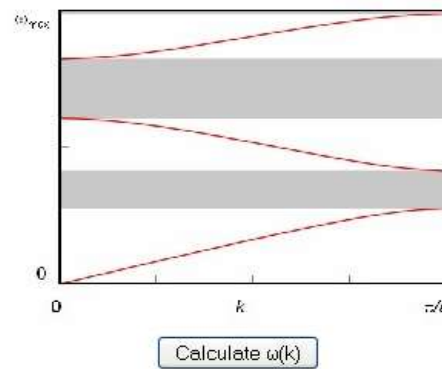


<http://ab-initio.mit.edu/book>

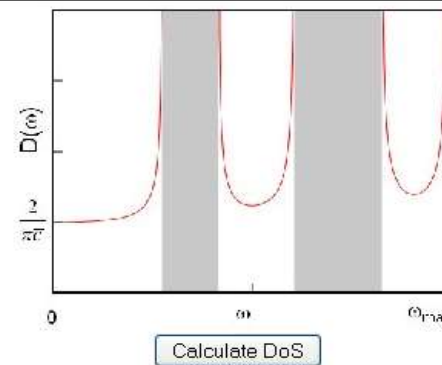


<http://ab-initio.mit.edu>

Dispersion relation



Density of states



Energy spectral density

$$u(\omega) = \frac{\hbar\omega D(\omega)}{\exp\left(\frac{\hbar\omega}{k_B T}\right) - 1}$$



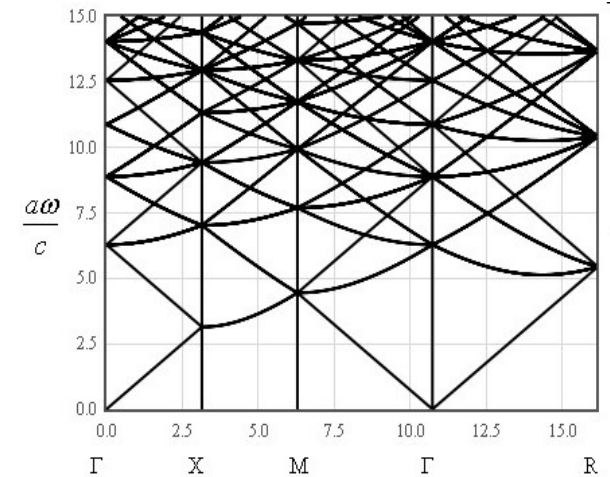
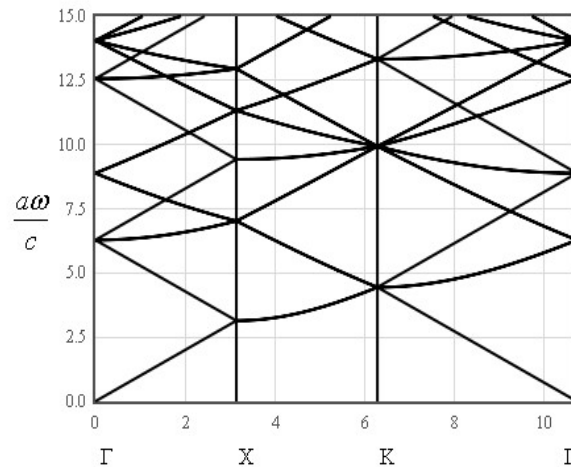
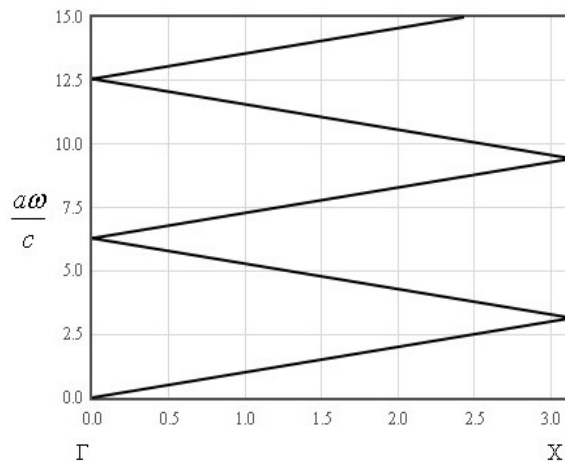
# Student projects

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Use the plane wave method to calculate the dispersion relation for light in a 1-D layered material

Help complete the table of the empty lattice approximation

Write a program that solves Hill's equation



# Lattice vibrations / Phonons

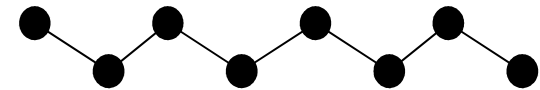
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Phonons are quantum particles of sound

The simplest model for lattice vibrations is atoms connected by linear springs

There is a shortest wavelength/maximum frequency

Find the normal mode solutions



Quantize the normal modes

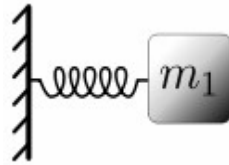
Find the phonon density of states

Calculate the thermodynamic properties



# Vibrations of a mass on a spring

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$$m \frac{d^2 x}{dt^2} = -Cx$$

The solution has the form

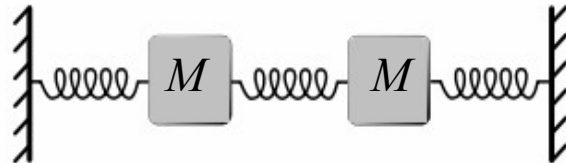
$$x = Ae^{-i\omega t}$$

$$-\omega^2 mAe^{-i\omega t} = -CAe^{-i\omega t}$$

$$\omega = \sqrt{\frac{C}{m}}$$

# Coupled masses

---



Newton's law

$$M \frac{d^2 x_1}{dt^2} = -Cx_1 + C(x_2 - x_1)$$

$$M \frac{d^2 x_2}{dt^2} = -Cx_2 + C(x_1 - x_2)$$

assume harmonic solutions

$$x_1(t) = A_1 \exp(i\omega t)$$

$$x_2(t) = A_2 \exp(i\omega t)$$

$$-\omega^2 MA_1 e^{i\omega t} = -2CA_1 e^{i\omega t} + CA_2 e^{i\omega t}$$

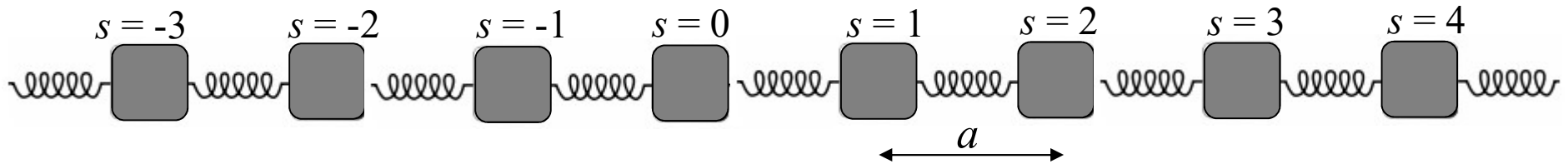
$$-\omega^2 MA_2 e^{i\omega t} = -2CA_2 e^{i\omega t} + CA_1 e^{i\omega t}$$

$$-\omega^2 M \begin{bmatrix} A_1 \\ A_2 \end{bmatrix} = \begin{bmatrix} -2C & C \\ C & -2C \end{bmatrix} \begin{bmatrix} A_1 \\ A_2 \end{bmatrix}$$

Find the eigenvectors of this matrix

The masses oscillate with the same frequency but different phases

# Linear Chain



$$m \frac{d^2 u_s}{dt^2} = C(u_{s+1} - u_s) - C(u_s - u_{s-1}) = C(u_{s+1} - 2u_s + u_{s-1})$$

Assume every atom oscillates with the same frequency  $u_s = A_s e^{-i\omega t}$

$$\begin{bmatrix} 2C - \omega^2 m & -C & 0 & 0 & 0 & -C \\ -C & 2C - \omega^2 m & -C & 0 & 0 & 0 \\ 0 & -C & 2C - \omega^2 m & -C & 0 & 0 \\ 0 & 0 & -C & 2C - \omega^2 m & -C & 0 \\ 0 & 0 & 0 & -C & 2C - \omega^2 m & -C \\ -C & 0 & 0 & 0 & -C & 2C - \omega^2 m \end{bmatrix} \begin{bmatrix} A_1 \\ A_2 \\ A_3 \\ A_4 \\ A_5 \\ A_6 \end{bmatrix} = 0$$

$$\left[ (2C - \omega^2 m) \mathbf{I} - C(\mathbf{T} + \mathbf{T}^{-1}) \right] \vec{A} = 0.$$

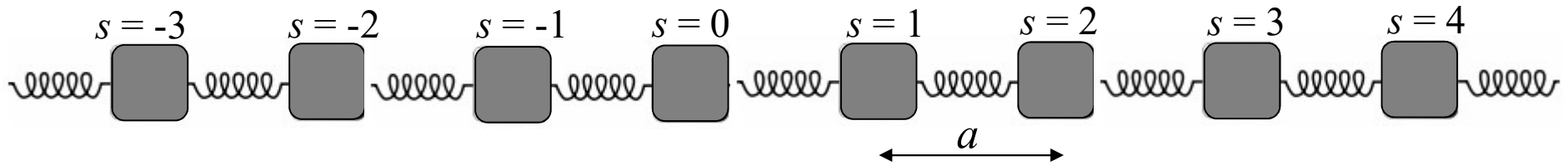
# Eigen vectors of the translation operator

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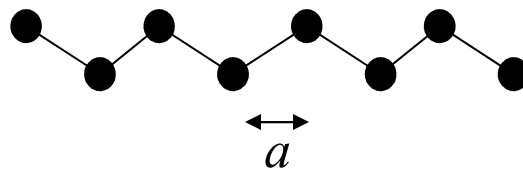
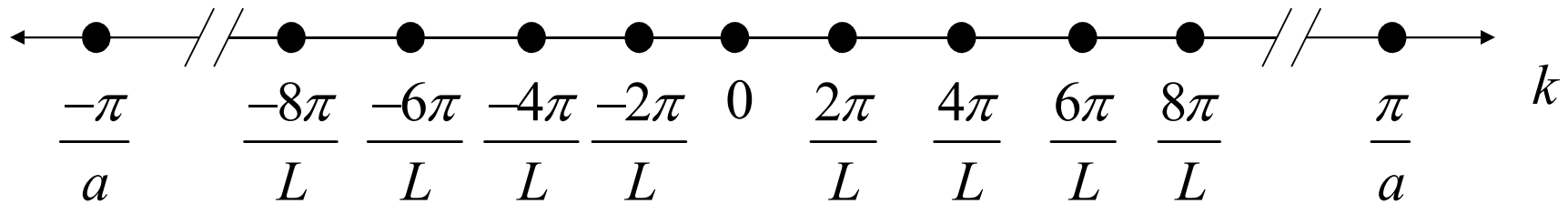
$$T = \begin{bmatrix} 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} 1 \\ e^{i2\pi j/N} \\ e^{i4\pi j/N} \\ e^{i4\pi j/N} \\ \vdots \\ e^{i2\pi(N-1)j/N} \end{bmatrix} \quad j = 1, \dots, N$$

$$\begin{bmatrix} 1 \\ e^{ika} \\ e^{i2ka} \\ e^{i3ka} \\ \vdots \\ e^{-ika} \end{bmatrix} \quad k = 0, \pm \frac{2\pi}{Na}, \pm \frac{4\pi}{Na}, \dots$$

# Linear Chain



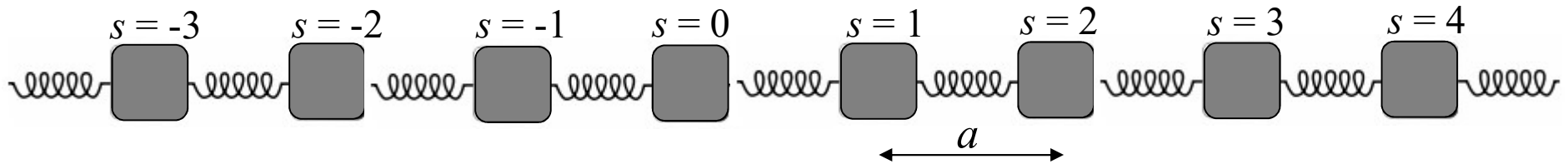
solution:  $u_s = A_k e^{i(ksa - \omega t)} = A_k e^{iksa} e^{-i\omega t}$





# Normal modes are eigen functions of T

---



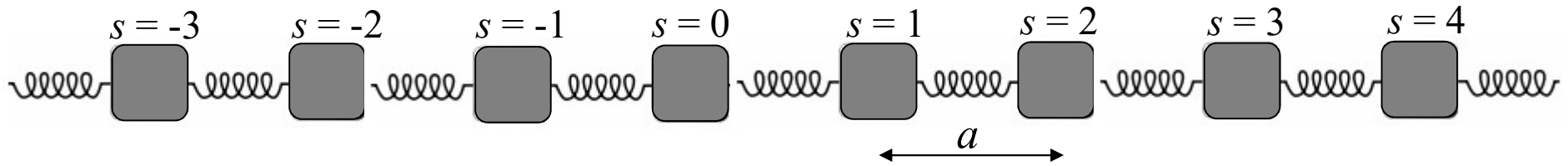
solutions are eigenfunctions of the translation operator

$$u_s = A_k e^{iksa} e^{-i\omega t} = A_k e^{i(ksa - \omega t)}$$

$$T u_s = A_k e^{i(k(s+1)a - \omega t)} = e^{ika} A_k e^{i(ksa - \omega t)} = e^{ika} u_s$$

$N$  atoms,  $N$  normal modes,  $N$  eigenvectors of the translation operator,  $N$  allowed values of  $k$  in the first Brillouin zone

# Linear Chain



$$m \frac{d^2 u_s}{dt^2} = C(u_{s+1} - 2u_s + u_{s-1})$$

$$\text{solutions: } u_s = A_k e^{i(ksa - \omega t)}$$

$$-\omega^2 m e^{i(ksa - \omega t)} = C(e^{i(k(s+1)a - \omega t)} - 2e^{i(ksa - \omega t)} + e^{i(k(s-1)a - \omega t)})$$

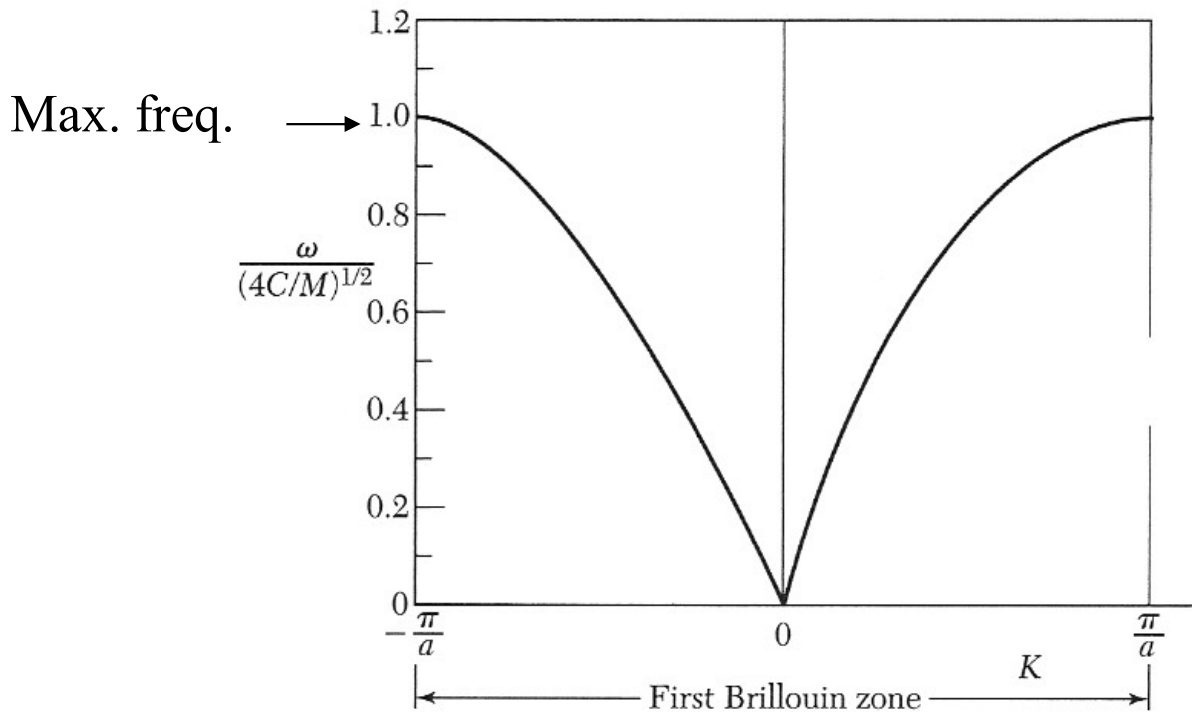
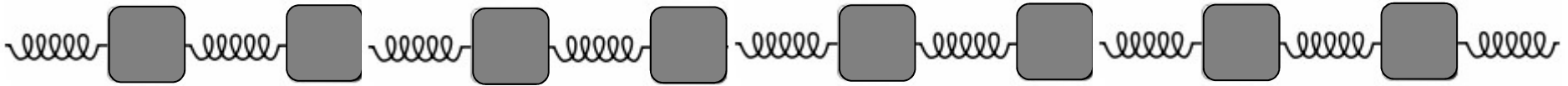
$$-\omega^2 m = C(e^{ika} - 2 + e^{-ika})$$

$$\omega^2 m = 2C(1 - \cos(ka))$$

$$\sin^2 \frac{ka}{2} = \frac{1}{2}(1 - \cos ka)$$

$$\omega = \sqrt{\frac{4C}{m}} \left| \sin \left( \frac{ka}{2} \right) \right|$$

# Linear Chain - dispersion relation



$$m \frac{d^2 u_s}{dt^2} = C(u_{s+1} - 2u_s + u_{s-1})$$

$$u_s = A_k e^{i(ksa - \omega t)}$$

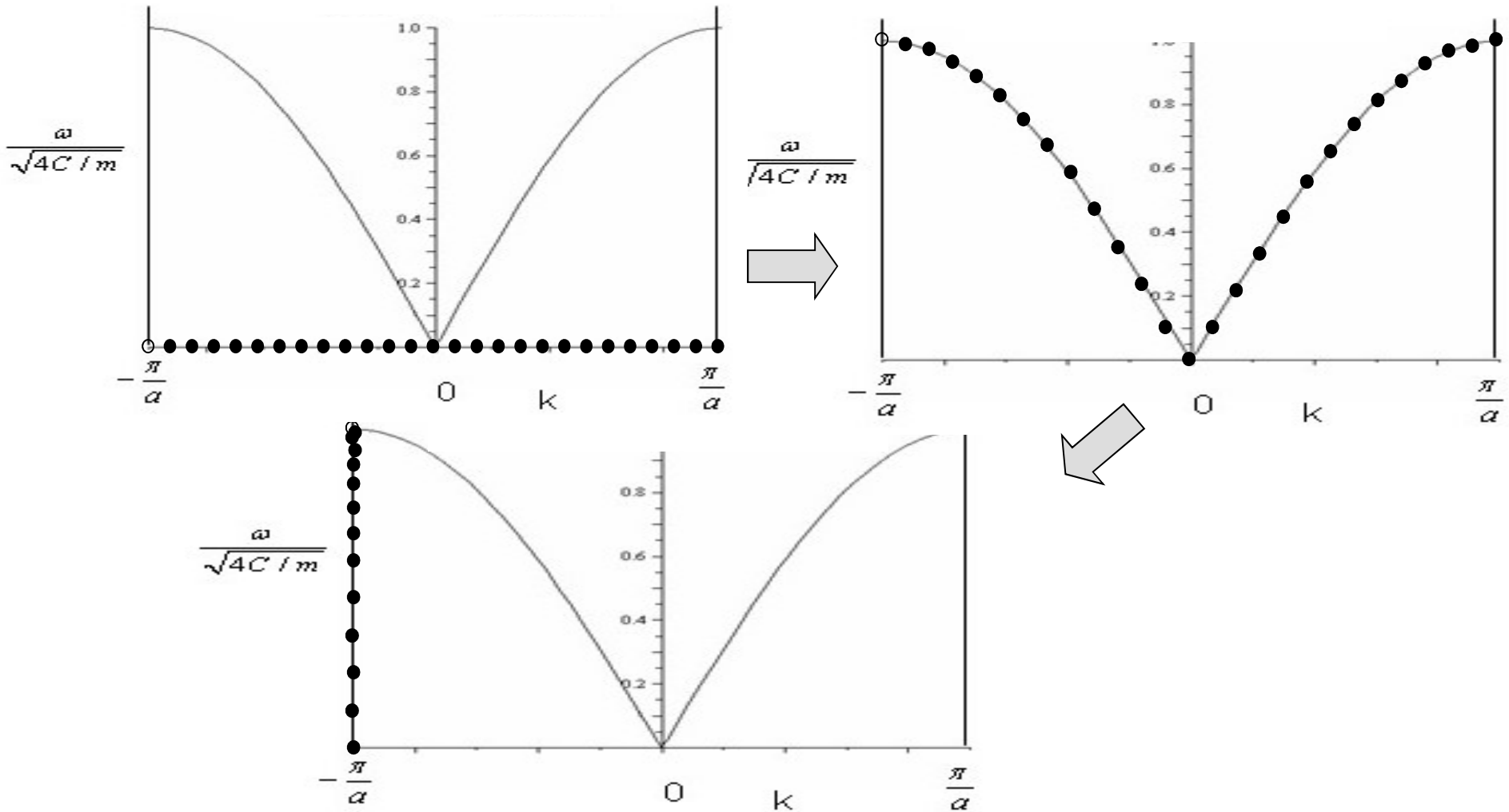
$$\omega = \sqrt{\frac{4C}{m}} \left| \sin\left(\frac{ka}{2}\right) \right|$$

$$\text{speed of sound} = \sqrt{\frac{C}{m}} a$$

# Linear Chain - density of states

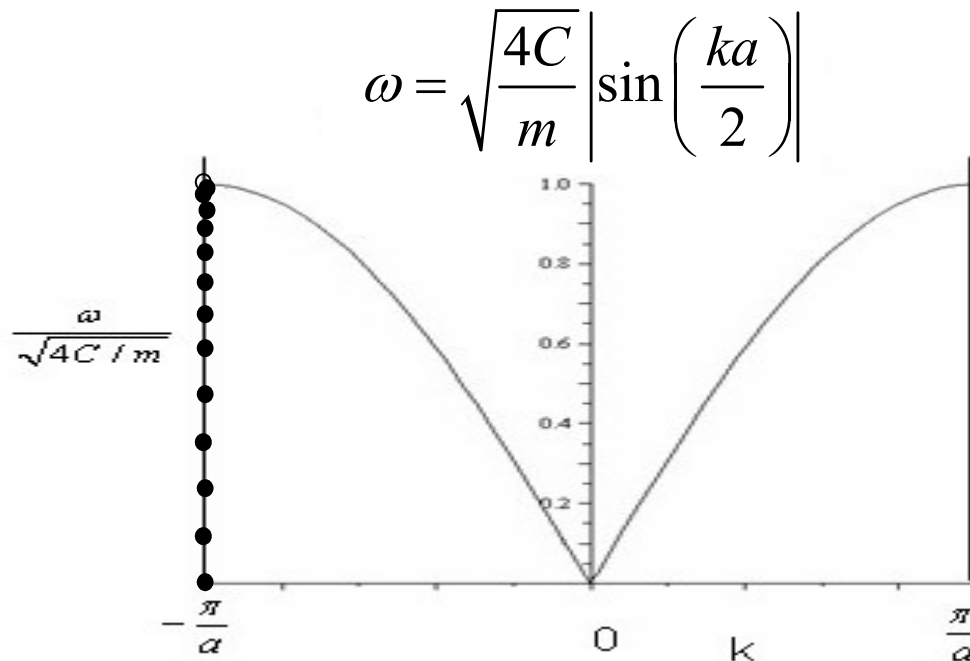
Determine the density of states numerically

$$\omega = \sqrt{\frac{4C}{m}} \left| \sin\left(\frac{ka}{2}\right) \right|$$



# Linear Chain - density of states

This case is an exception where the density of states can be determined analytically.



for every  $k$  calculate the frequency

$$\omega = \sqrt{\frac{4C}{m}} \left| \sin\left(\frac{ka}{2}\right) \right|$$

$$D(k) = \frac{1}{\pi}$$

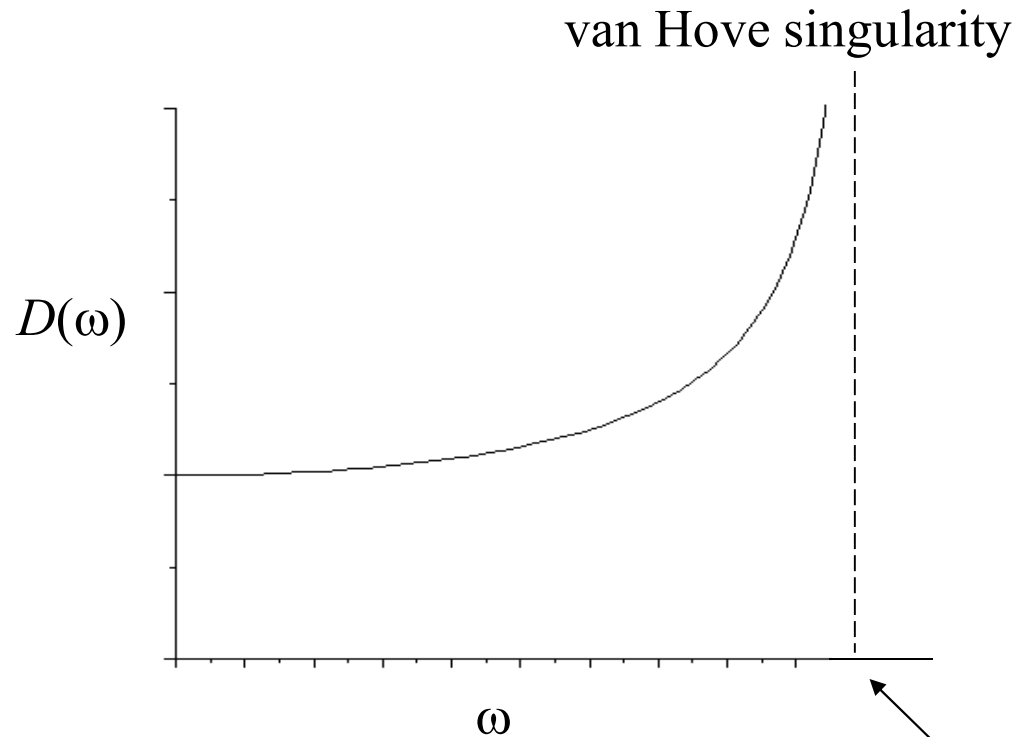
$$D(\omega) = D(k) \frac{dk}{d\omega}$$

$$d\omega = a \sqrt{\frac{C}{m}} \cos\left(\frac{ka}{2}\right) dk$$

$$D(\omega) = \frac{1}{\pi a \sqrt{\frac{C}{m}} \sqrt{1 - \frac{\omega^2 m}{4C}}}$$



# density of states



$$\omega = \sqrt{\frac{4C}{m}} \left| \sin\left(\frac{ka}{2}\right) \right|$$

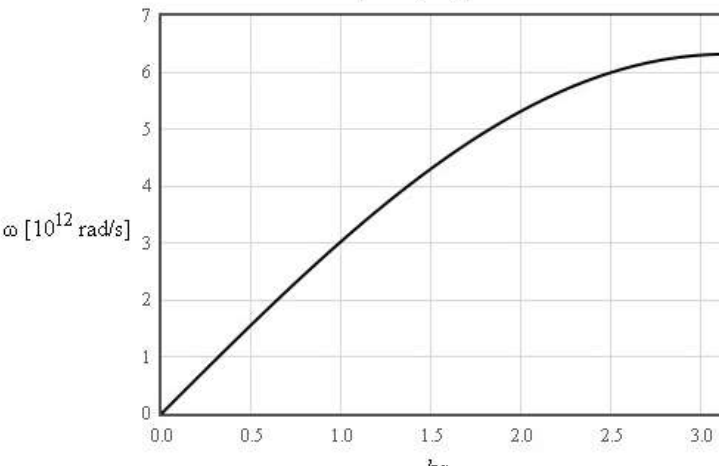
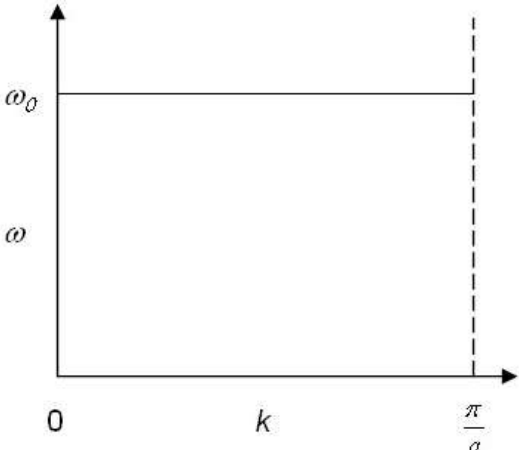
$$D(k) = \frac{1}{\pi}$$

$$D(k)dk = D(\omega)d\omega$$

$$d\omega = a \sqrt{\frac{C}{m}} \cos\left(\frac{ka}{2}\right) dk$$

$$D(\omega) = \frac{1}{\pi a \sqrt{\frac{C}{m}} \sqrt{1 - \frac{\omega^2 m}{4C}}}$$

# Phonons

	<p style="text-align: center;"><b>Linear Chain</b></p> $m \frac{d^2 u_s}{dt^2} = C(u_{s+1} - 2u_s + u_{s-1})$	<p style="text-align: center;"><b>Einstein Model</b></p> <p>Einstein assumed that all of the <math>3N</math> normal modes of a crystal containing <math>N</math> atoms have the same frequency <math>\omega_0</math>. This is not a good model for the dispersion relation but it does a reasonable job in describing the specific heat.</p>	<p>Debye used the <math>k</math> up to a cut-off <math>\omega^2</math> go to zero. The c</p>																						
<p><b>Eigenfunction solutions</b></p>	$u_s = A_x e^{i(ka - ax)}$																								
<p><b>Dispersion relation</b></p>	$\omega = \sqrt{\frac{4C}{m}} \left  \sin\left(\frac{ka}{2}\right) \right $  <table border="1"> <caption>Data points for the dispersion relation graph</caption> <thead> <tr> <th>ka</th> <th>ω [10<sup>12</sup> rad/s]</th> </tr> </thead> <tbody> <tr><td>0.0</td><td>0.0</td></tr> <tr><td>0.5</td><td>1.5</td></tr> <tr><td>1.0</td><td>3.0</td></tr> <tr><td>1.5</td><td>4.2</td></tr> <tr><td>2.0</td><td>5.1</td></tr> <tr><td>2.5</td><td>5.8</td></tr> <tr><td>3.0</td><td>6.4</td></tr> </tbody> </table>	ka	ω [10 <sup>12</sup> rad/s]	0.0	0.0	0.5	1.5	1.0	3.0	1.5	4.2	2.0	5.1	2.5	5.8	3.0	6.4	 <table border="1"> <caption>Data points for the Einstein model graph</caption> <thead> <tr> <th>k</th> <th>ω</th> </tr> </thead> <tbody> <tr><td>0</td><td>ω<sub>0</sub></td></tr> <tr><td>π/a</td><td>ω<sub>0</sub></td></tr> </tbody> </table>	k	ω	0	ω <sub>0</sub>	π/a	ω <sub>0</sub>	<p>ω<sub>D</sub></p> <p>ω</p>
ka	ω [10 <sup>12</sup> rad/s]																								
0.0	0.0																								
0.5	1.5																								
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π/a	ω <sub>0</sub>																								