

# Fourier Series, Fourier transforms

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# Reciprocal space (Reziproker Raum) $k$ -space ( $k$ -Raum)

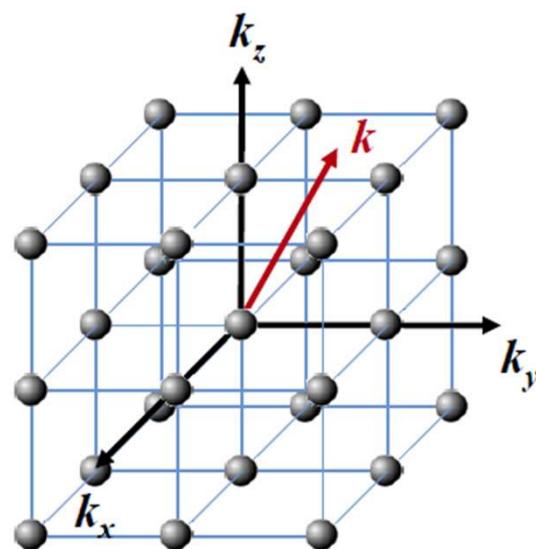
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$k$ -space is the space of all wave-vectors.

A  $k$ -vector points in the direction a wave is propagating.

wavelength:  $\lambda = \frac{2\pi}{|\vec{k}|}$

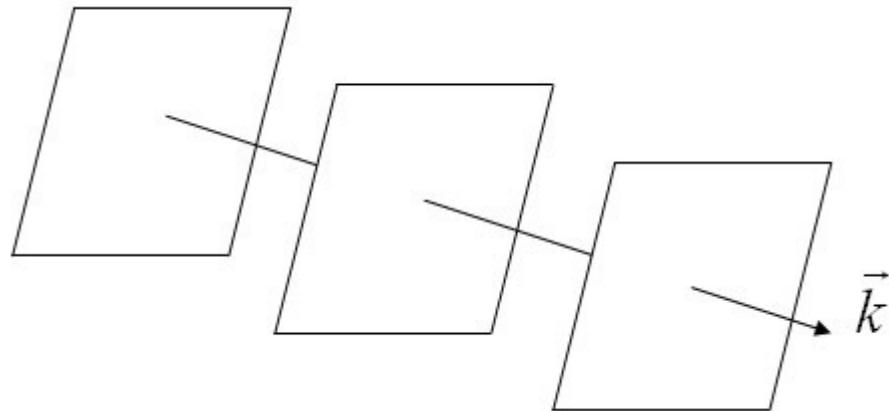
momentum:  $\vec{p} = \hbar\vec{k}$



# Plane waves (Ebene Wellen)

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$$e^{i\vec{k} \cdot \vec{r}} = \cos(\vec{k} \cdot \vec{r}) + i \sin(\vec{k} \cdot \vec{r})$$
$$\lambda = \frac{2\pi}{|\vec{k}|}$$

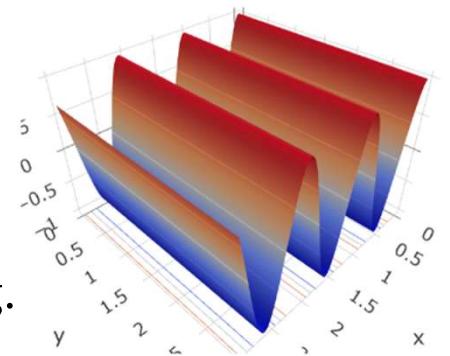


$$\exp(i\vec{k} \cdot (\vec{r} + \vec{r}_\perp)) = \exp(i\vec{k} \cdot \vec{r})$$

Most functions can be expressed in terms of plane waves

$$f(\vec{r}) = \int F(\vec{k}) e^{i\vec{k} \cdot \vec{r}} d\vec{k}$$

A  $k$ -vector points in the direction a wave is propagating.



# Fourier transforms

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Most functions can be expressed in terms of plane waves

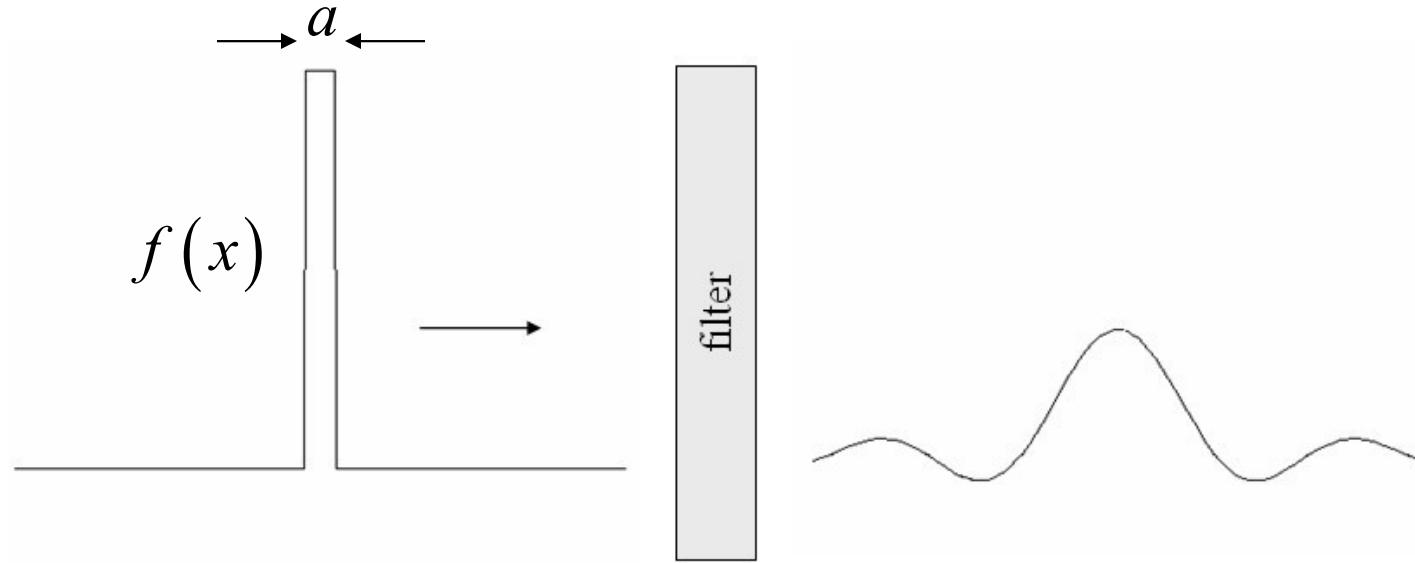
$$f(\vec{r}) = \int F(\vec{k}) e^{i\vec{k} \cdot \vec{r}} d\vec{k}$$

This can be inverted for  $F(k)$

$$F(\vec{k}) = \frac{1}{(2\pi)^d} \int f(\vec{r}) e^{-i\vec{k} \cdot \vec{r}} d\vec{r}$$

↗  
Fourier transform of  $f(r)$

# Fourier transforms



Fourier transform:  $F(k) = \frac{1}{2\pi} \int_{-a/2}^{a/2} e^{-ikx} dx = \frac{\sin(ka/2)}{\pi k}$

Inverse transform:  $f(x) = \int_{-\infty}^{\infty} \frac{\sin(ka/2)}{\pi k} e^{ikx} dk$

Transmitted pulse:  $f'(x) = \int_{-k_0}^{k_0} \frac{\sin(ka/2)}{\pi k} e^{ikx} dk = \frac{\text{Si}(k_0 x + \frac{1}{2}) + \text{Si}(k_0 x - \frac{1}{2})}{\pi}$

Sine integral

# Notations for Fourier Transforms

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$$F_{-1,-1}(\vec{k}) = \frac{1}{(2\pi)^d} \int f(\vec{r}) e^{-i\vec{k}\cdot\vec{r}} d\vec{r}.$$

$$f(\vec{r}) = \int F_{-1,-1}(\vec{k}) e^{i\vec{k}\cdot\vec{r}} d\vec{k}.$$

$f(r)$  is built of plane waves

# Notations for Fourier Transforms

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$$F_{1,-1} \left( \vec{k} \right) = \int f(\vec{r}) e^{-i\vec{k}\cdot\vec{r}} d\vec{r}.$$

$$f(\vec{r}) = \frac{1}{(2\pi)^d} \int F_{1,-1} \left( \vec{k} \right) e^{i\vec{k}\cdot\vec{r}} d\vec{k}.$$

Matlab

# Notations for Fourier Transforms

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$$F_{0,-1}(\vec{k}) = \frac{1}{(2\pi)^{d/2}} \int f(\vec{r}) e^{-i\vec{k}\cdot\vec{r}} d\vec{r}.$$

$$f(\vec{r}) = \frac{1}{(2\pi)^{d/2}} \int F_{0,-1}(\vec{k}) e^{i\vec{k}\cdot\vec{r}} d\vec{k}.$$

Mathematica

# Notations for Fourier Transforms

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$$F_{0,-2\pi}(\vec{q}) = \int f(\vec{r}) e^{-i2\pi\vec{q}\cdot\vec{r}} d\vec{r}.$$

$$f(\vec{r}) = \int F_{0,-2\pi}(\vec{q}) e^{i2\pi\vec{q}\cdot\vec{r}} d\vec{q}.$$

Engineering literature.

<https://online.stanford.edu/courses/ee261-fourier-transform-and-its-applications>

# Notations for Fourier Transforms

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$$F_{a,b}(\vec{k}) = \mathcal{F}_{a,b}\{f(\vec{r})\} = \sqrt{\frac{|b|^d}{(2\pi)^{d(1-a)}}} \int_{-\infty}^{\infty} f(\vec{r}) e^{ib\vec{k}\cdot\vec{r}} d\vec{r}$$

$$f(\vec{r}) = \mathcal{F}_{a,b}^{-1}\{F(\vec{k})\} = \sqrt{\frac{|b|^d}{(2\pi)^{d(1+a)}}} \int_{-\infty}^{\infty} F_{a,b}(\vec{k}) e^{-ib\vec{k}\cdot\vec{r}} d\vec{k}$$

$d$  = number of dimensions 1,2,3

$a, b$  = constants

$\exp(- a x)$	$\frac{ a }{\pi(a^2+k^2)}$	$\frac{2 a }{a^2+k^2}$
$\text{sgn}(x)$ $\text{sgn}(x) = -1 \text{ for } x < 0 \text{ and}$ $\text{sgn}(x) = 1 \text{ for } x > 0$	$\frac{-i}{\pi\omega}$	$\frac{-2i}{\omega}$
$\text{sgn}(x) \exp(- a x)$	$\frac{-ik}{\pi(a^2+k^2)}$	$\frac{-i2k}{a^2+k^2}$
$H(x) \exp(- a x)$	$\frac{ a -ik}{2\pi(a^2+k^2)}$	$\frac{ a -ik}{a^2+k^2}$
$\square(x) = H\left(x + \frac{1}{2}\right)H\left(\frac{1}{2} - x\right)$ Square pulse: height = 1, width = 1, centered at $x = 0$ .	$\frac{\sin(k/2)}{\pi k}$	$\frac{2 \sin(k/2)}{k}$
$\square\left(\frac{x-x_0}{a}\right)$ Square pulse: height = 1, width = $a$ , centered at $x_0$ .	$\frac{\sin(ka/2)}{\pi k} \exp(-ikx_0)$	$\frac{2 \sin(ka/2)}{k} \exp(-ikx_0)$
$\exp(i\vec{k}_0 \cdot \vec{r})$ Plane wave	$\delta(\vec{k} - \vec{k}_0)$	$(2\pi)^d \delta(\vec{k} - \vec{k}_0)$
1	$\delta(k)$	$2\pi\delta(k)$
$\delta(x)$	$\frac{1}{2\pi}$	1
$\delta\left(\frac{\vec{r}-\vec{r}_0}{a}\right)$	$\left(\frac{a}{2\pi}\right)^d \exp(-i\vec{k} \cdot \vec{r}_0)$	$a^d \exp(-i\vec{k} \cdot \vec{r}_0)$
$\exp\left(-\frac{ \vec{r}-\vec{r}_0 ^2}{a^2}\right)$	$\left(\frac{a}{2\sqrt{\pi}}\right)^d \exp\left(-\frac{a^2 k^2}{4}\right) \exp(-i\vec{k} \cdot \vec{r}_0)$	$(a\sqrt{\pi})^d \exp\left(-\frac{a^2 k^2}{4}\right) \exp(-i\vec{k} \cdot \vec{r}_0)$
$H(R -  \vec{r} - \vec{r}_0 )$ Disc of radius $R$ centered at $\vec{r}_0$ , $\vec{r} \in \mathbb{R}^2$	$\frac{R}{2\pi \vec{k} } J_1( \vec{k} R) \exp(-i\vec{k} \cdot \vec{r}_0)$	$\frac{2\pi R}{ \vec{k} } J_1( \vec{k} R) \exp(-i\vec{k} \cdot \vec{r}_0)$
$H(R -  \vec{r} - \vec{r}_0 )$ Sphere of radius $R$ centered at $\vec{r}_0$ , $\vec{r} \in \mathbb{R}^3$	$\frac{1}{(2\pi)^3  \vec{k} ^3} \left( \sin( \vec{k} R) -  \vec{k} R \cos( \vec{k} R) \right) \exp(-i\vec{k} \cdot \vec{r}_0)$	$\frac{4\pi}{ \vec{k} ^3} \left( \sin( \vec{k} R) -  \vec{k} R \cos( \vec{k} R) \right) \exp(-i\vec{k} \cdot \vec{r}_0)$

Here  $H(x)$  is the Heaviside step function,  $\delta(x)$  is the Dirac delta function,  $J_1(x)$  is the first order Bessel function of the first kind, and  $d$  is the number of dimensions.

Calculate a Fourier transform numerically.

<http://lamp.tu-graz.ac.at/~hadley/ss1/crystaldiffraction/ft/ft.php>

## Fourier transforms

The Fourier transform of a function  $f(t)$  using the [1,-1] notation is,

$$\mathcal{F}(\omega) = \int_{-\infty}^{\infty} f(t)e^{-i\omega t} dt.$$

Using Euler's formula, you can think of this as the projecting  $f(t)$  onto its cosine components and its sine components.

$$\mathcal{F}(\omega) = \int_{-\infty}^{\infty} f(t) \cos(\omega t) dt + i \int_{-\infty}^{\infty} f(t) \sin(\omega t) dt.$$

Consider a function that is nonzero only in the interval  $t_1 < t < t_2$ . The Fourier transform in this case is,

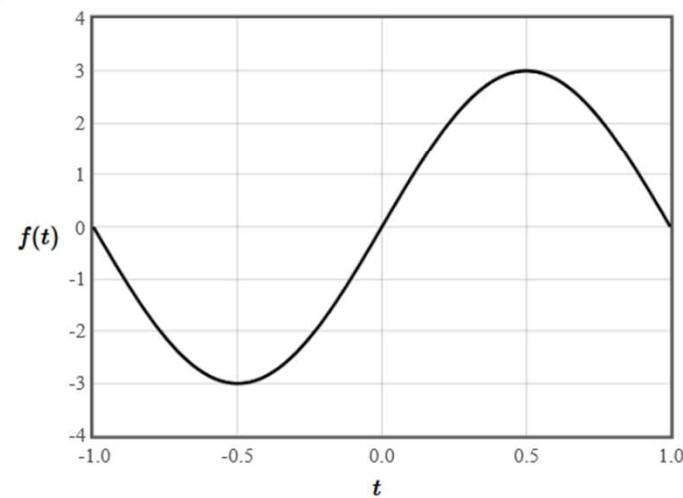
$$\mathcal{F}(\omega) = \int_{t_1}^{t_2} f(t)e^{-i\omega t} dt.$$

The following form can be used to define  $f(t)$  between  $t_1$  and  $t_2$ . The function  $f(t)$  as well as its Fourier transform  $\mathcal{F}(\omega)$  are tabulated and plotted.

$f(t) = H(t+0.5)*H(0.5-t)$       [?+](#) [?-](#)  
Calculate Fourier Transform where  $t_1 = -4$  and  $t_2 = 4$  for frequencies  $|\omega| < 24$ .

[sine](#) [cosine](#) [Gaussian](#) [Gaussian derivative](#) [sech](#) [square](#) [shifted square](#) [triangle](#) [beats](#) [even pulse](#) [odd pulse](#) [exp\(-|t|/\tau\)](#) [sgn\(t\)exp\(-|t|/\tau\)](#) [H\(t\)exp\(-t/\tau\)](#)  
[H\(t\)exp\(-t/\tau\)sin\(\omega t\)](#)

$t$	$f(t)$
-1	-3.6739403974420594e-16
-0.998	-0.01884943189667738
-0.996	-0.03769811965005851
-0.994	-0.056545319146225376
-0.992	-0.07539028633001343
-0.99	-0.09423227723438471
-0.988	-0.1130705480098036
-0.986	-0.13190435495359484
-0.984	-0.150732954539309
-0.982	-0.16955560344607404
-0.98	-0.18837155858794075
...	...



# Properties of Fourier transforms

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## Linearity and superposition

$\mathcal{F}\{\alpha f(\vec{r}) + \beta g(\vec{r})\} = \alpha \mathcal{F}\{f(\vec{r})\} + \beta \mathcal{F}\{g(\vec{r})\}$  where  $\alpha$  and  $\beta$  are any constants.

## Similarity

$$\mathcal{F}\{f\left(\frac{\vec{r}}{a}\right)\} = |a|^d F\left(a\vec{k}\right).$$

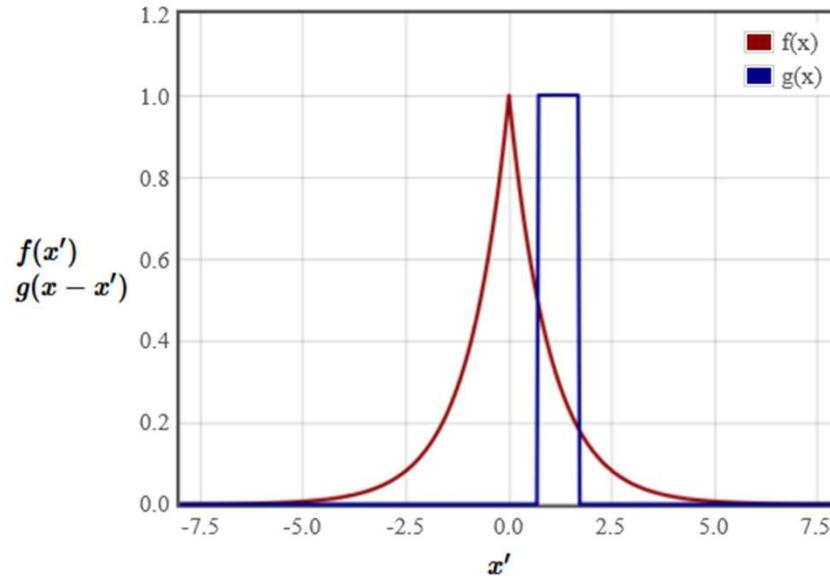
## Shift

$$\mathcal{F}\{f(\vec{r} - \vec{r}_0)\} = F(\vec{k}) \exp(-i\vec{k} \cdot \vec{r}_0).$$

# Convolution (Faltung)

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$$f(\vec{r}) * g(\vec{r}) = \int f(\vec{r}') g(\vec{r} - \vec{r}') d\vec{r}$$



Notation [-1,-1]:  $\mathcal{F}\{fg\} = \mathcal{F}\{f\} * \mathcal{F}\{g\}, \quad \mathcal{F}^{-1}\{FG\} = \frac{1}{2\pi} \mathcal{F}^{-1}\{F\} * \mathcal{F}^{-1}\{G\}$

Notation [1,-1]:  $\mathcal{F}\{fg\} = \frac{1}{2\pi} \mathcal{F}\{f\} * \mathcal{F}\{g\}, \quad \mathcal{F}^{-1}\{FG\} = \mathcal{F}^{-1}\{F\} * \mathcal{F}^{-1}\{G\}$

Notation [0,-1]:  $\mathcal{F}\{fg\} = \frac{1}{\sqrt{2\pi}} \mathcal{F}\{f\} * \mathcal{F}\{g\}, \quad \mathcal{F}^{-1}\{FG\} = \frac{1}{\sqrt{2\pi}} \mathcal{F}^{-1}\{F\} * \mathcal{F}^{-1}\{G\}$

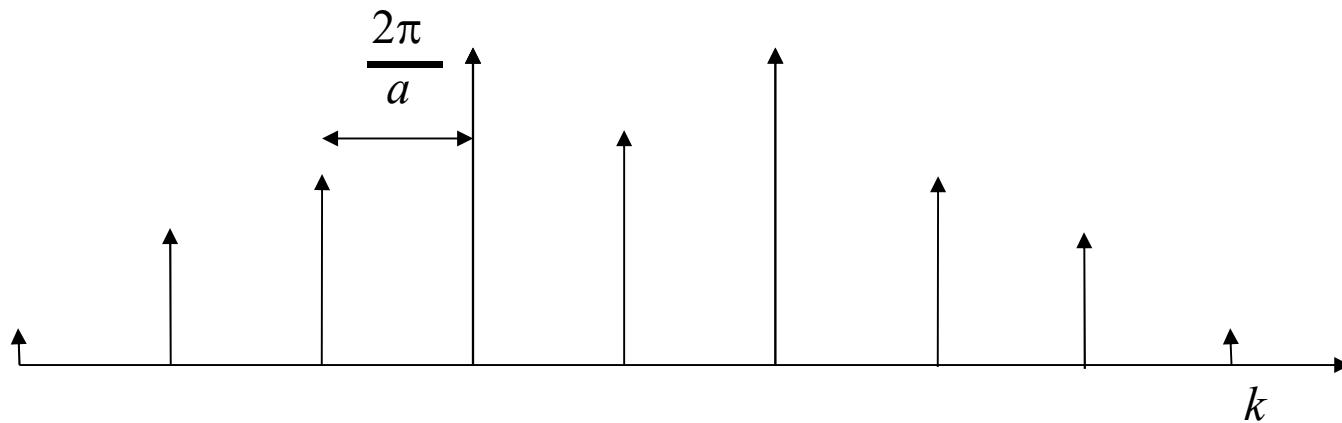
Notation [0,- $2\pi$ ]:  $\mathcal{F}\{fg\} = \mathcal{F}\{f\} * \mathcal{F}\{g\}, \quad \mathcal{F}^{-1}\{FG\} = \mathcal{F}^{-1}\{F\} * \mathcal{F}^{-1}\{G\}$

# The reciprocal lattice is the Fourier transform of the real space lattice

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crystal = Bravais\_lattice( $r$ ) \* unit\_cell( $r$ )

$$\mathcal{F}(\text{crystal}) = \mathcal{F}(\text{Bravais\_lattice}(r))\mathcal{F}(\text{unit\_cell}(r))$$



$$\mathcal{F}(\text{Bravais\_lattice}(r)) = \text{reciprocal lattice}$$

# Reciprocal lattice (Reziprokes Gitter)

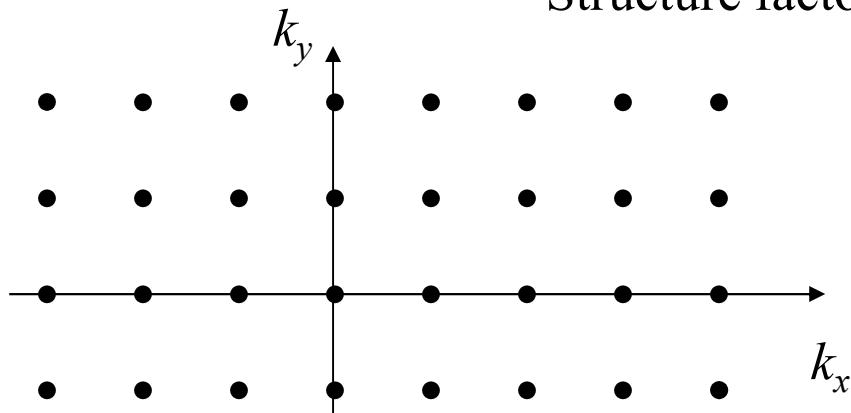
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Any periodic function can be written as a Fourier series

$$f(\vec{r}) = \sum_{\vec{G}} f_{\vec{G}} e^{i\vec{G} \cdot \vec{r}}$$

↑      Reciprocal lattice vector  $G$

Structure factor



$$\vec{G} = v_1 \vec{b}_1 + v_2 \vec{b}_2 + v_3 \vec{b}_3$$

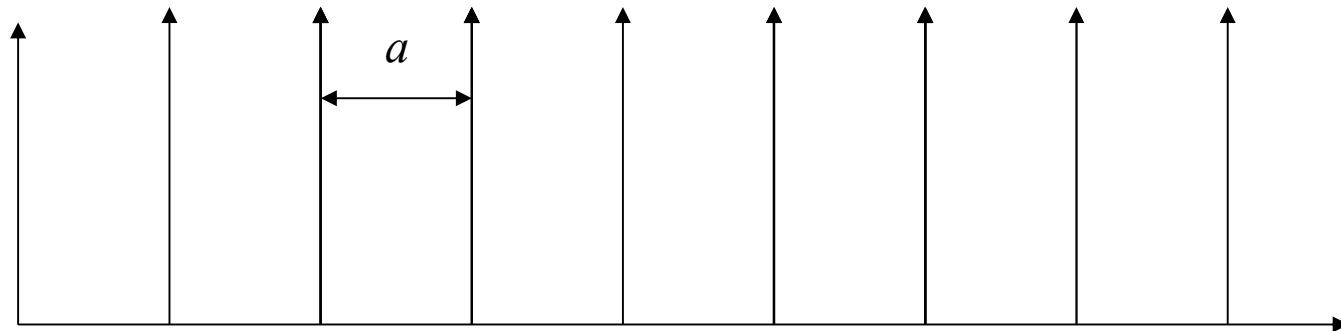
$v_i$  integers

$$\vec{a}_i \cdot \vec{b}_j = 2\pi \delta_{ij}$$

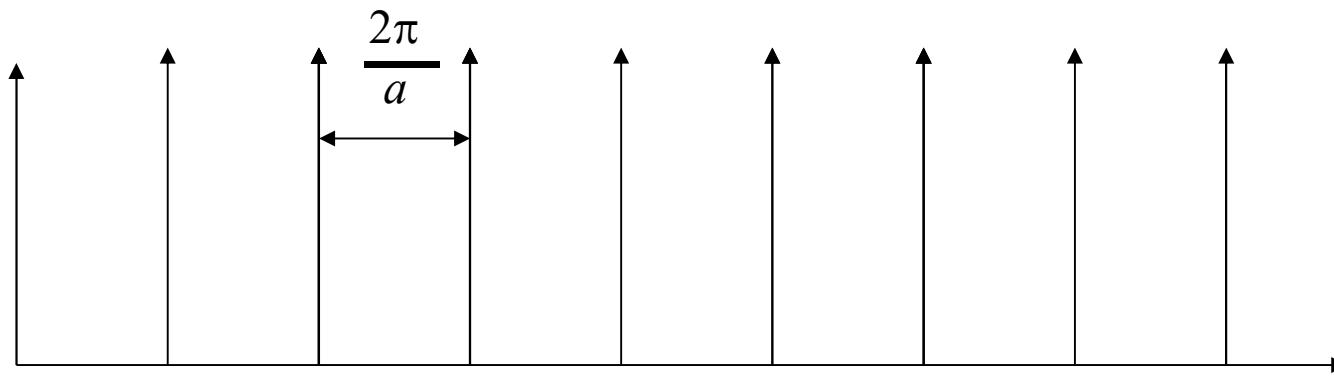
$$\vec{b}_1 = 2\pi \frac{\vec{a}_2 \times \vec{a}_3}{\vec{a}_1 \cdot (\vec{a}_2 \times \vec{a}_3)}, \quad \vec{b}_2 = 2\pi \frac{\vec{a}_3 \times \vec{a}_1}{\vec{a}_1 \cdot (\vec{a}_2 \times \vec{a}_3)}, \quad \vec{b}_3 = 2\pi \frac{\vec{a}_1 \times \vec{a}_2}{\vec{a}_1 \cdot (\vec{a}_2 \times \vec{a}_3)}$$

# Bravais lattice and reciprocal lattice in 1-D

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real

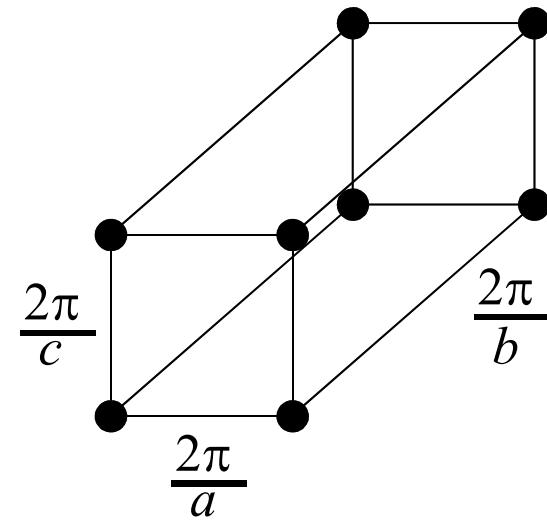
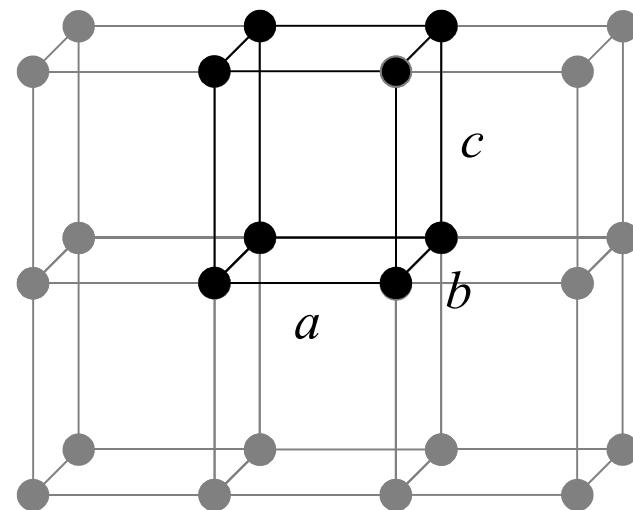


reciprocal

$$\cos\left(\frac{2\pi p x}{a}\right) \Rightarrow \cos(Gx) \quad G = p \frac{2\pi}{a}$$

# Reciprocal lattice of an orthorhombic lattice is an orthorhombic lattice

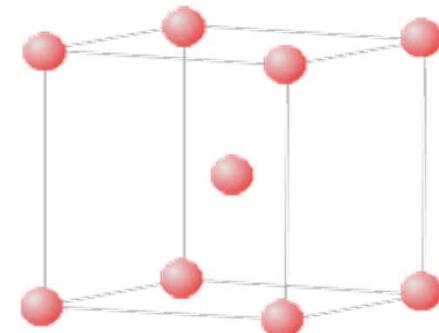
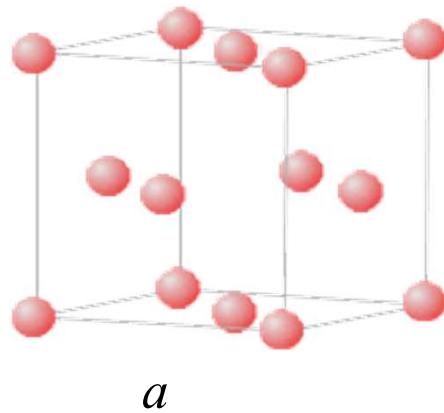
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reciprocal lattice

# The reciprocal lattice of an fcc lattice is a bcc lattice

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$$\vec{a}_1 = \frac{a}{2} \hat{x} + \frac{a}{2} \hat{y}$$

$$\vec{a}_2 = \frac{a}{2} \hat{x} + \frac{a}{2} \hat{z}$$

$$\vec{a}_3 = \frac{a}{2} \hat{y} + \frac{a}{2} \hat{z}$$

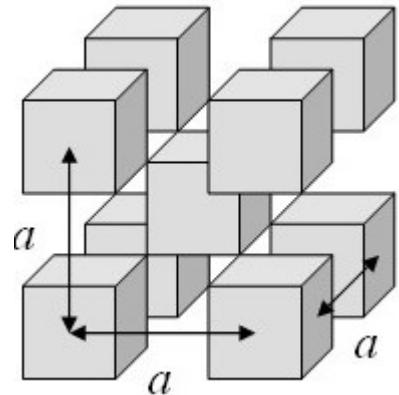
$$\vec{b}_3 = 2\pi \frac{\vec{a}_1 \times \vec{a}_2}{\vec{a}_1 \cdot (\vec{a}_2 \times \vec{a}_3)}$$

$$\vec{b}_3 = \frac{2\pi}{a} (\hat{x} - \hat{y} - \hat{z})$$

$$\frac{4\pi}{a}$$

# Cubes on a bcc lattice

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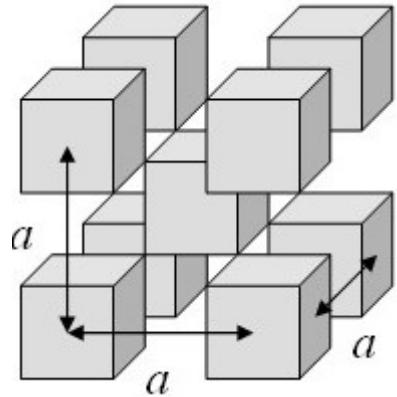
$$f(\vec{r}) = \sum_{\vec{G}} f_{\vec{G}} e^{i\vec{G} \cdot \vec{r}}$$

Multiply by  $e^{-i\vec{G}' \cdot \vec{r}}$  and integrate over a primitive unit cell.

$$\int_{\text{unit cell}} f(\vec{r}) e^{-i\vec{G} \cdot \vec{r}} d^3 r = f_{\vec{G}} V$$

# Cubes on a bcc lattice

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$$\int_{\text{unit cell}} f(\vec{r}) e^{-i\vec{G} \cdot \vec{r}} d^3 r = f_{\vec{G}} V$$

$V$  is the volume of the primitive unit cell.

$$f_{\vec{G}} = \frac{1}{V} \int f_{cell}(\vec{r}) \exp(-i\vec{G} \cdot \vec{r}) d^3 r$$

$f_G$  is the Fourier transform of  $f_{cell}$  evaluated at  $G$ .

$f_{cell}$  is zero outside the primitive unit cell.

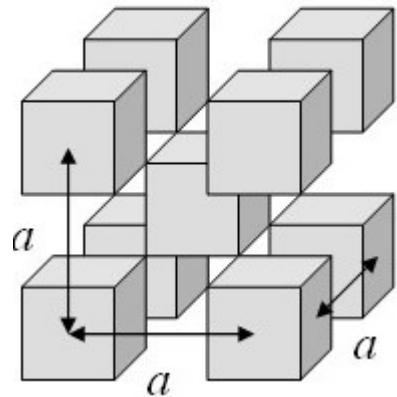
$$f_{\vec{G}} = \frac{1}{V} \int f_{cell}(\vec{r}) \exp(-i\vec{G} \cdot \vec{r}) d^3 r = \frac{2C}{a^3} \int_{-\frac{a}{4}}^{\frac{a}{4}} \int_{-\frac{a}{4}}^{\frac{a}{4}} \int_{-\frac{a}{4}}^{\frac{a}{4}} \exp(-iG_x x) \exp(-iG_y y) \exp(-iG_z z) dx dy dz$$

Volume of conventional u.c.  $a^3$ . Two Bravais points per conventional u.c.

# Cubes on a bcc lattice

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$$\int_{\frac{-a}{4}}^{\frac{a}{4}} \exp(-iG_x x) dx = \frac{\exp(-iG_x x)}{-iG_x} \Big|_{\frac{-a}{4}}^{\frac{a}{4}} = \frac{\cos(-G_x x) + i \sin(-G_x x)}{-iG_x} \Big|_{\frac{-a}{4}}^{\frac{a}{4}} = \frac{2 \sin\left(\frac{G_x a}{4}\right)}{G_x}$$



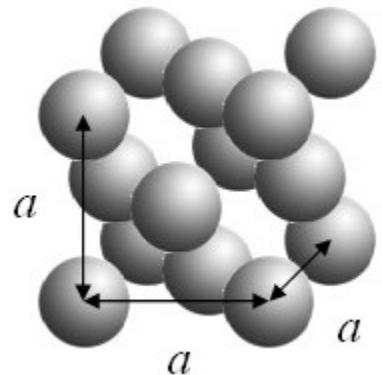
$$f_{\vec{G}} = \frac{16C \sin\left(\frac{G_x a}{4}\right) \sin\left(\frac{G_y a}{4}\right) \sin\left(\frac{G_z a}{4}\right)}{a^3 G_x G_y G_z}$$

The Fourier series for any rectangular cuboid with dimensions  $L_x \times L_y \times L_z$  repeated on any three-dimensional Bravais lattice is:

$$f(\vec{r}) = \sum_{\vec{G}} \frac{8C \sin\left(\frac{G_x L_x}{2}\right) \sin\left(\frac{G_y L_y}{2}\right) \sin\left(\frac{G_z L_z}{2}\right)}{V G_x G_y G_z} \exp(i \vec{G} \cdot \vec{r})$$

# Spheres on an fcc lattice

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$$f(\vec{r}) = \sum_{\vec{G}} f_{\vec{G}} e^{i\vec{G} \cdot \vec{r}}$$

Multiply by  $e^{-i\vec{G}' \cdot \vec{r}}$  and integrate over a primitive unit cell.

$$f_{\vec{G}} = \frac{1}{V} \int f_{cell}(\vec{r}) \exp(-i\vec{G} \cdot \vec{r}) d^3 r = \frac{C}{V} \int_{\text{sphere}} \exp(-i\vec{G} \cdot \vec{r}) d^3 r.$$

The Fourier series for non-overlapping spheres on any three-dimensional Bravais lattice is:

$$f(\vec{r}) = \frac{4\pi C}{V} \sum_{\vec{G}} \frac{\sin(|G|R) - |G|R \cos(|G|R)}{|G|^3} \exp(i\vec{G} \cdot \vec{r}).$$

# Molecular orbital potential

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$$U(\vec{r}) = \frac{-Ze^2}{4\pi\epsilon_0} \sum_{r_j} \frac{1}{|\vec{r} - \vec{r}_j|}$$

position of atom  $j$

The Fourier series for any molecular orbital potential is:

$$U(\vec{r}) = \frac{-Ze^2}{V\epsilon_0} \sum_{\vec{G}} \frac{\exp(i\vec{G} \cdot \vec{r})}{|G|^2}$$

Volume of the primitive unit cell

# Muffin tin potential

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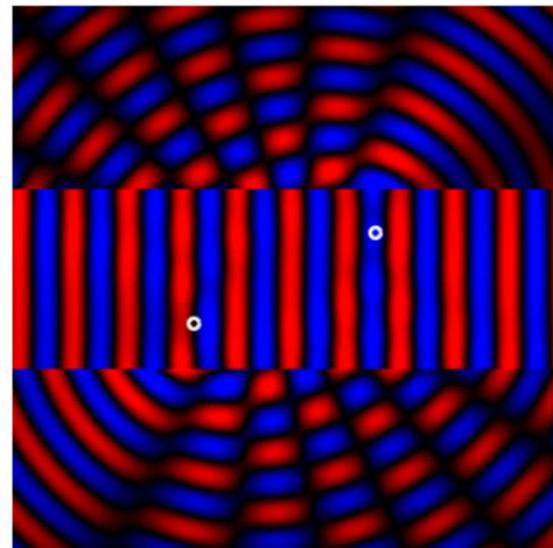


The potential is  $U(\vec{r}) = -\frac{Ze^2}{4\pi\epsilon_0} \sum_j \frac{1}{|\vec{r} - \vec{r}_j|}$  around the Bravais lattice points

The potential is constant between the spheres.

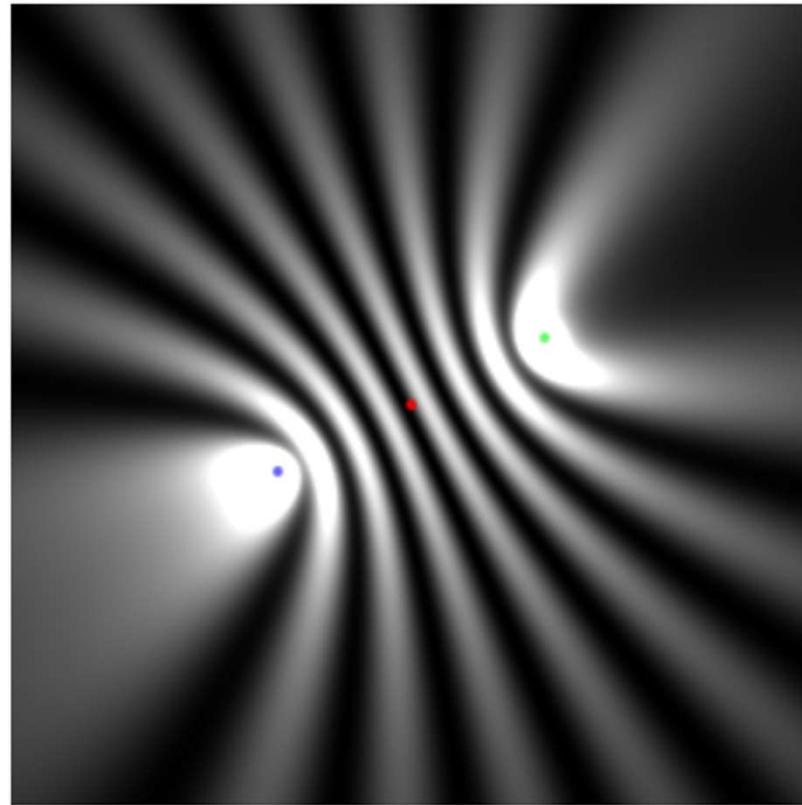
$$U(\vec{r}) = \frac{Ze^2}{V\epsilon_0} \sum_{\vec{G}} \left( \frac{\cos(|G|R) - 1}{|G|^2} + \frac{\sin(|G|R) - |G|R \cos(|G|R)}{R|G|^3} \right) \exp(i\vec{G} \cdot \vec{r}).$$

## Intensity of the scattered waves



$$F_1 \frac{\cos(k|\vec{r} - \vec{r}_1| - \omega t + kx_1)}{\sqrt{|\vec{r} - \vec{r}_1|}} + F_2 \frac{\cos(k|\vec{r} - \vec{r}_2| - \omega t + kx_2)}{\sqrt{|\vec{r} - \vec{r}_2|}}.$$

## Intensity of the scattered waves



$$\left( \frac{F_1}{\sqrt{|\vec{r} - \vec{r}_1|}} e^{i(k|\vec{r} - \vec{r}_1| + kx_1)} + \frac{F_2}{\sqrt{|\vec{r} - \vec{r}_2|}} e^{i(k|\vec{r} - \vec{r}_2| + kx_2)} \right) e^{-i\omega t}$$