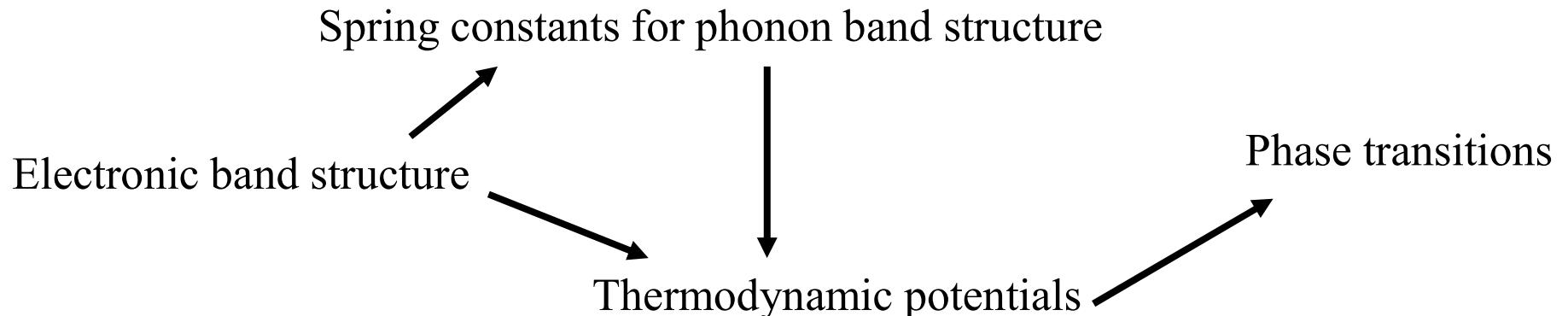


# Crystal physics

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# Thermodynamic properties

---



total derivative:  $dG = \left( \frac{\partial G}{\partial T} \right) dT + \left( \frac{\partial G}{\partial \sigma_{ij}} \right) d\sigma_{ij} + \left( \frac{\partial G}{\partial E_k} \right) dE_k + \left( \frac{\partial G}{\partial H_l} \right) dH_l$

$$\left( \frac{\partial G}{\partial \sigma_{ij}} \right) = -\varepsilon_{ij} \quad \left( \frac{\partial G}{\partial E_k} \right) = -P_k$$
$$\left( \frac{\partial G}{\partial H_l} \right) = -M_l \quad \left( \frac{\partial G}{\partial T} \right) = -S$$

$$d\epsilon_{ij} = \left( \frac{\partial \epsilon_{ij}}{\partial \sigma_{kl}} \right) d\sigma_{kl} + \left( \frac{\partial \epsilon_{ij}}{\partial E_k} \right) dE_k + \left( \frac{\partial \epsilon_{ij}}{\partial H_l} \right) dH_l + \left( \frac{\partial \epsilon_{ij}}{\partial T} \right) dT$$

$$dP_i = \left( \frac{\partial P_i}{\partial \sigma_{kl}} \right) d\sigma_{kl} + \left( \frac{\partial P_i}{\partial E_k} \right) dE_k + \left( \frac{\partial P_i}{\partial H_l} \right) dH_l + \left( \frac{\partial P_i}{\partial T} \right) dT$$

$$dM_i = \left( \frac{\partial M_i}{\partial \sigma_{kl}} \right) d\sigma_{kl} + \left( \frac{\partial M_i}{\partial E_k} \right) dE_k + \left( \frac{\partial M_i}{\partial H_l} \right) dH_l + \left( \frac{\partial M_i}{\partial T} \right) dT$$

$$dS = \left( \frac{\partial S}{\partial \sigma_{kl}} \right) d\sigma_{kl} + \left( \frac{\partial S}{\partial E_k} \right) dE_k + \left( \frac{\partial S}{\partial H_l} \right) dH_l + \left( \frac{\partial S}{\partial T} \right) dT$$

1. Elastic deformation.
2. Reciprocal (or converse) piezo-electric effect.
3. Reciprocal (or converse) piezo-magnetic effect.
4. Thermal dilatation.
5. Piezo-electric effect.
6. Electric polarization.
7. Magneto-electric polarization.
8. Pyroelectricity.
9. Piezo-magnetic effect.
10. Reciprocal (or converse) magneto-electric polarization.
11. Magnetic polarization.
12. Pyromagnetism.
13. Piezo-caloric effect.
14. Electro-caloric effect.
15. Magneto-caloric effect.
16. Heat transmission.

# Direct and reciprocal effects (Maxwell relations)

---

$$-\left(\frac{\partial^2 G}{\partial \sigma_{ij} \partial E_k}\right) = \left(\frac{\partial P_k}{\partial \sigma_{ij}}\right) = -\left(\frac{\partial^2 G}{\partial E_k \partial \sigma_{ij}}\right) = \left(\frac{\partial \epsilon_{ij}}{\partial E_k}\right) = d_{kij}$$

$$-\left(\frac{\partial^2 G}{\partial \sigma_{ij} \partial H_l}\right) = \left(\frac{\partial M_l}{\partial \sigma_{ij}}\right) = -\left(\frac{\partial^2 G}{\partial H_l \partial \sigma_{ij}}\right) = \left(\frac{\partial \epsilon_{ij}}{\partial H_l}\right) = q_{lij}$$

$$-\left(\frac{\partial^2 G}{\partial E_k \partial H_l}\right) = \left(\frac{\partial M_l}{\partial E_k}\right) = -\left(\frac{\partial^2 G}{\partial H_l \partial E_k}\right) = \left(\frac{\partial P_k}{\partial H_l}\right) = \lambda_{lk}$$

$$-\left(\frac{\partial^2 G}{\partial \sigma_{ij} \partial T}\right) = \left(\frac{\partial S}{\partial \sigma_{ij}}\right) = -\left(\frac{\partial^2 G}{\partial T \partial \sigma_{ij}}\right) = \left(\frac{\partial \epsilon_{ij}}{\partial T}\right) = \alpha_{ij}$$

$$-\left(\frac{\partial^2 G}{\partial T \partial E_k}\right) = \left(\frac{\partial P_k}{\partial T}\right) = -\left(\frac{\partial^2 G}{\partial E_k \partial T}\right) = \left(\frac{\partial S}{\partial E_k}\right) = p_k$$

$$-\left(\frac{\partial^2 G}{\partial T \partial H_l}\right) = \left(\frac{\partial M_l}{\partial T}\right) = -\left(\frac{\partial^2 G}{\partial H_l \partial T}\right) = \left(\frac{\partial S}{\partial H_l}\right) = m_l.$$

Useful to check for errors in experiments or calculations

# Point Groups

---

Crystals can have symmetries: rotation, reflection, inversion,...

$$\begin{pmatrix} x' \\ y' \\ z' \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \alpha & \sin \alpha \\ 0 & -\sin \alpha & \cos \alpha \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix}$$

Symmetries can be represented by matrices.

All such matrices that bring the crystal into itself form the group of the crystal.

$$AB \in G \text{ for } A, B \in G$$

32 point groups (one point remains fixed during transformation)

230 space groups

<b>Space Group</b>	<b>Bravais Lattice</b>
1	Triclinic
2	Triclinic
3	Simple Monoclinic
4	Simple Monoclinic
5	Base-Centered Monoclinic
6	Simple Monoclinic
7	Simple Monoclinic
8	Base-Centered Monoclinic
9	Base-Centered Monoclinic
10	Simple Monoclinic
11	Simple Monoclinic
12	Base-Centered Monoclinic
13	Simple Monoclinic
14	Simple Monoclinic
15	Base-Centered Monoclinic
16	Simple Orthorhombic
17	Simple Orthorhombic
18	Simple Orthorhombic
19	Simple Orthorhombic
20	Base-Centered Orthorhombic
21	Base-Centered Orthorhombic
22	Face-Centered Orthorhombic
23	Body-Centered Orthorhombic
24	Body-Centered Orthorhombic
25	Simple Orthorhombic
26	Simple Orthorhombic
27	Simple Orthorhombic

# Cyclic groups

$C_1$	$C_2$	$C_3$	$C_4$	$C_5$	$C_6$	$C_7$	$C_8$

$$C_2 \quad E = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}, C_2 = \begin{bmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$C_4 \quad E = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}, C_4 = \begin{bmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix}, C_2 = \begin{bmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{bmatrix}, C_4^3 = \begin{bmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$E = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}, C_6 = \begin{bmatrix} \frac{1}{2} & -\frac{\sqrt{3}}{2} & 0 \\ \frac{\sqrt{3}}{2} & \frac{1}{2} & 0 \\ 0 & 0 & 1 \end{bmatrix}, C_3 = \begin{bmatrix} -\frac{1}{2} & -\frac{\sqrt{3}}{2} & 0 \\ \frac{\sqrt{3}}{2} & -\frac{1}{2} & 0 \\ 0 & 0 & 1 \end{bmatrix}, C_2 = \begin{bmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{bmatrix}, C_3^2 = \begin{bmatrix} -\frac{1}{2} & \frac{\sqrt{3}}{2} & 0 \\ -\frac{\sqrt{3}}{2} & -\frac{1}{2} & 0 \\ 0 & 0 & 1 \end{bmatrix}, C_6^5 = \begin{bmatrix} \frac{1}{2} & \frac{\sqrt{3}}{2} & 0 \\ -\frac{\sqrt{3}}{2} & \frac{1}{2} & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

[http://en.wikipedia.org/wiki/Cyclic\\_group](http://en.wikipedia.org/wiki/Cyclic_group)

# Pyroelectricity

$$\pi_i = - \left( \frac{\partial^2 G}{\partial E_i \partial T} \right)$$

---

Pyroelectricity is described by a rank 1 tensor

$$\pi_i = \frac{\partial P_i}{\partial T}$$

$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{bmatrix} \begin{bmatrix} \pi_x \\ \pi_y \\ \pi_z \end{bmatrix} = \begin{bmatrix} \pi_x \\ \pi_y \\ -\pi_z \end{bmatrix} \Rightarrow \begin{bmatrix} \pi_x \\ \pi_y \\ 0 \end{bmatrix}$$

$$\begin{bmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{bmatrix} \begin{bmatrix} \pi_x \\ \pi_y \\ \pi_z \end{bmatrix} = \begin{bmatrix} -\pi_x \\ -\pi_y \\ -\pi_z \end{bmatrix} \Rightarrow \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}$$

# Pyroelectricity

---

Quartz, ZnO, LaTaO<sub>3</sub>

## example

Turmalin: point group 3m  
for  $\Delta T = 1^\circ\text{C}$ ,  
 $\Delta E \sim 7 \cdot 10^4 \text{ V/m}$

Pyroelectrics have a spontaneous polarization. If it can be reversed by an electric field they are called Ferroelectrics (BaTiO<sub>3</sub>)

Pyroelectrics are at Joanneum research to make infrared detectors (to detect humans).

10 Pyroelectric crystal classes: 1, 2, m, mm2, 3, 3m, 4, 4mm, 6, 6mm

# Electric susceptibility

$$\chi_{ij} = - \left( \frac{\partial^2 G}{\partial E_i \partial E_j} \right)$$


---

$$P_i = \chi_{ij} E_j$$

$$\begin{bmatrix} P_x \\ P_y \\ P_z \end{bmatrix} = \begin{bmatrix} \chi_{xx} & \chi_{xy} & \chi_{xz} \\ \chi_{yx} & \chi_{yy} & \chi_{yz} \\ \chi_{zx} & \chi_{zy} & \chi_{zz} \end{bmatrix} \begin{bmatrix} E_x \\ E_y \\ E_z \end{bmatrix}$$

Transforming  $P$  and  $E$  by a crystal symmetry must leave the susceptibility tensor unchanged

$$U\vec{P} = \chi U\vec{E}$$

$$U^{-1}U\vec{P} = U^{-1}\chi U\vec{E}$$

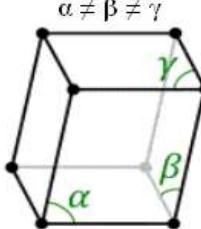
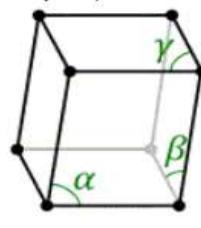
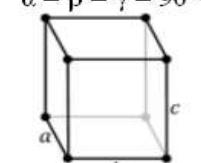
$$\chi = U^{-1}\chi U$$

If rotation by 180 about the  $z$  axis is a symmetry,

$$U = \begin{bmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \quad U^{-1} = U = \begin{bmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \quad U^{-1}\chi U = \begin{bmatrix} \chi_{xx} & \chi_{xy} & -\chi_{xz} \\ \chi_{yx} & \chi_{yy} & -\chi_{yz} \\ -\chi_{zx} & -\chi_{zy} & \chi_{zz} \end{bmatrix}$$

$$\chi_{xz} = \chi_{yz} = \chi_{zx} = \chi_{zy} = 0$$

## The 32 Crystal Classes

Crystal system	Crystal Class	International symbol	Schoenflies symbol	Space groups	2-fold axes	3-fold axes	4-fold axes	6-fold axes	mirror planes	inversion	Examples	N sy ele
<b>Triclinic</b> $a \neq b \neq c$ $\alpha \neq \beta \neq \gamma$ 	triclinic-pedial	1	$C_1$	1	-	-	-	-	-	n		
	triclinic-pinacoidal	$\bar{1}$	$S_2 = C_1$	2	-	-	-	-	-	y		
<b>Monoclinic</b> $a \neq b \neq c$ $\alpha \neq 90^\circ$ , $\beta = \gamma = 90^\circ$ 	monoclinic-sphenoidal	2	$C_2$	3-5	1	-	-	-	-	n		
	monoclinic-domatic	m	$C_{1h} = C_s$	6-9	-	-	-	-	1	n		
	monoclinic-prismatic	$2/m$	$C_{2h}$	10-15	1	-	-	-	1	y		
<b>Orthorhombic</b> $a \neq b \neq c$ $\alpha = \beta = \gamma = 90^\circ$ 	orthorhombic-disphenoidal	222	$V = D_2$	16-24	3	-	-	-	-	n		
	orthorhombic-pyramidal	$mm2$	$C_{2v}$	25-46	1	-	-	-	2	n		

47:  $\text{YBa}_2\text{Cu}_3\text{O}_{7-x}$

# Cubic crystals

All second rank tensors of cubic crystals reduce to constants

Electrical conductivity, thermal conductivity, electric susceptibility, magnetic susceptibility, Peltier effect (heat current due to electrical current), Seebeck effect (Electric field due to thermal gradient)

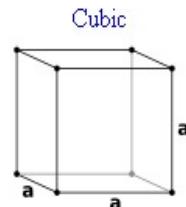
216: ZnS, GaAs, GaP, InAs, SiC

221: CsCl, cubic perovskite

225: Al, Cu, Ni, Ag, Pt, Au, Pb, NaCl

227: C, Si, Ge, spinel

229: Na, K, Cr, Fe, Nb, Mo, Ta



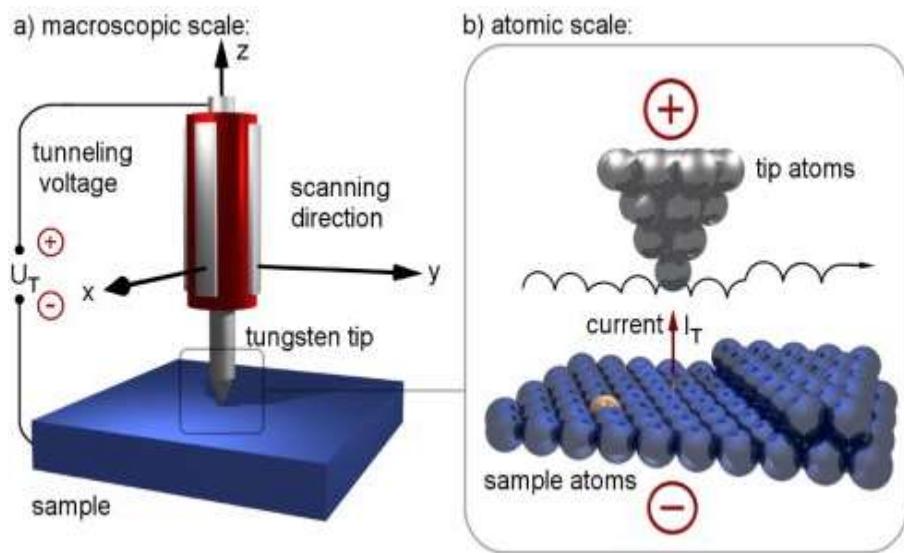
23	$T$	195-199		12	$\begin{bmatrix} g_{11} & 0 & 0 \\ g_{11} & 0 & 0 \\ g_{11} & 0 & 0 \end{bmatrix}$
$m_3$	$T_h$	200-206		24	
432	$O$	207-214		24	
$\bar{4}3m$	$T_d$	215-220	216: Zincblende, ZnS, GaAs, GaP, InAs, SiC	24	
$m\bar{3}m$	$O_h$	221-230	221: CsCl, cubic perovskite 225: fcc, Al, Cu, Ni, Ag, Pt, Au, Pb, $\gamma$ -Fe, NaCl 227: diamond, C, Si,	48	

Material	$\rho$ ( $\Omega \cdot m$ ) at 20 °C	$\sigma$ (S/m) at 20 °C	Temperature coefficient <sup>[note 1]</sup> ( $K^{-1}$ )	Reference
Silver	$1.59 \times 10^{-8}$	$6.30 \times 10^7$	0.0038	[7][8]
Copper	$1.68 \times 10^{-8}$	$5.96 \times 10^7$	0.0039	[8]
Annealed copper <sup>[note 2]</sup>	$1.72 \times 10^{-8}$	$5.80 \times 10^7$		[citation needed]
Gold <sup>[note 3]</sup>	$2.44 \times 10^{-8}$	$4.10 \times 10^7$	0.0034	[7]
Aluminium <sup>[note 4]</sup>	$2.82 \times 10^{-8}$	$3.5 \times 10^7$	0.0039	[7]
Calcium	$3.36 \times 10^{-8}$	$2.98 \times 10^7$	0.0041	
Tungsten	$5.60 \times 10^{-8}$	$1.79 \times 10^7$	0.0045	[7]
Zinc	$5.90 \times 10^{-8}$	$1.69 \times 10^7$	0.0037	[9]
Nickel	$6.99 \times 10^{-8}$	$1.43 \times 10^7$	0.006	
Lithium	$9.28 \times 10^{-8}$	$1.08 \times 10^7$	0.006	
Iron	$1.0 \times 10^{-7}$	$1.00 \times 10^7$	0.005	[7]
Platinum	$1.06 \times 10^{-7}$	$9.43 \times 10^6$	0.00392	[7]
Tin	$1.09 \times 10^{-7}$	$9.17 \times 10^6$	0.0045	
Carbon steel (1010)	$1.43 \times 10^{-7}$	$6.99 \times 10^6$		[10]
Lead	$2.2 \times 10^{-7}$	$4.55 \times 10^6$	0.0039	[7]
Titanium	$4.20 \times 10^{-7}$	$2.38 \times 10^6$	X	
Grain oriented electrical steel	$4.60 \times 10^{-7}$	$2.17 \times 10^6$		[11]
Manganin	$4.82 \times 10^{-7}$	$2.07 \times 10^6$	0.000002	[12]
Constantan	$4.9 \times 10^{-7}$	$2.04 \times 10^6$	0.000008	[13]
Stainless steel <sup>[note 5]</sup>	$6.9 \times 10^{-7}$	$1.45 \times 10^6$		[14]
Mercury	$9.8 \times 10^{-7}$	$1.02 \times 10^6$	0.0009	[12]
Nichrome <sup>[note 6]</sup>	$1.10 \times 10^{-6}$	$9.09 \times 10^5$	0.0004	[7]
GaAs	$5 \times 10^{-7}$ to $10 \times 10^{-3}$	$5 \times 10^{-8}$ to $10^3$		[15]
Carbon (amorphous)	$5 \times 10^{-4}$ to $8 \times 10^{-4}$	$1.25$ to $2 \times 10^3$	-0.0005	[7][16]
Carbon (graphite) <sup>[note 7]</sup>	$2.5e \times 10^{-6}$ to $5.0 \times 10^{-6}$ //basal plane $3.0 \times 10^{-3}$ $\perp$ basal plane	$2$ to $3 \times 10^5$ //basal plane $3.3 \times 10^2$ $\perp$ basal plane		[17]
Carbon (diamond) <sup>[note 8]</sup>	$1 \times 10^{12}$	$\sim 10^{-13}$		[18]
Germanium <sup>[note 8]</sup>	$4.6 \times 10^{-1}$	2.17	-0.048	[7][8]
Sea water <sup>[note 9]</sup>	$2 \times 10^{-1}$	4.8		[19]
Diamond (Note 10)	$2 \times 10^{-1} \dots 2 \times 10^{-3}$	$2 \times 10^{-4} \dots 2 \times 10^{-2}$		[citation needed]

# Piezoelectricity (rank 3 tensor)

AFM's, STM's  
Quartz crystal oscillators  
Surface acoustic wave generators  
Pressure sensors - Epcos  
Fuel injectors - Bosch  
Inkjet printers

No inversion symmetry



lead zirconate titanate ( $\text{Pb}[\text{Zr}_x\text{Ti}_{1-x}]\text{O}_3$   $0 < x < 1$ )  
—more commonly known as PZT  
barium titanate ( $\text{BaTiO}_3$ )  
lead titanate ( $\text{PbTiO}_3$ )  
potassium niobate ( $\text{KNbO}_3$ )  
lithium niobate ( $\text{LiNbO}_3$ )  
lithium tantalate ( $\text{LiTaO}_3$ )  
sodium tungstate ( $\text{Na}_2\text{WO}_3$ )  
 $\text{Ba}_2\text{NaNb}_5\text{O}_5$   
 $\text{Pb}_2\text{KNb}_5\text{O}_{15}$

Piezoelectric crystal classes: 1, 2, m, 222, mm2, 4, -4, 422, 4mm, -42m, 3, 32, 3m, 6, -6, 622, 6mm, -62m, 23, -43m

# Symmetric Tensors

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$$\chi_{ij}^E = \frac{\partial P_i}{\partial E_j} = -\frac{\partial^2 G}{\partial E_i \partial E_j} = \frac{\partial P_j}{\partial E_i} = \chi_{ji}^E$$

$$\begin{bmatrix} g_{11} & g_{12} & g_{13} \\ g_{12} & g_{22} & g_{23} \\ g_{13} & g_{23} & g_{33} \end{bmatrix}$$

# Tensor notation

---

We need a way to represent 3rd and 4th rank tensors in 2-d.

$$1\ 1 \rightarrow 1 \quad 1\ 2 \rightarrow 6 \quad 1\ 3 \rightarrow 5$$

$$2\ 2 \rightarrow 2 \quad 2\ 3 \rightarrow 4$$

$$3\ 3 \rightarrow 3$$

rank 3

$$g_{36} \rightarrow g_{312}$$

rank 4

$$g_{14} \rightarrow g_{1123}$$



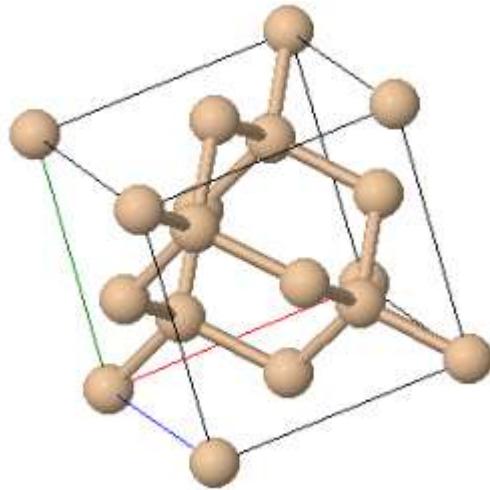
# Semiconductors

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# Silicon

2.33	28.086
5.43	14
<b>Si</b>	
$3s^23p^2$	
1683	DIA
	625

- Important semiconducting material
- 2nd most common element on earth's crust (rocks, sand, glass, concrete)
- Often doped with other elements
- Oxide  $\text{SiO}_2$  is a good insulator



silicon crystal = diamond crystal structure

## 513.160 Microelectronics and Micromechanics

# Silicon

Silicon is the second most common element in the earth's crust and an important semiconducting material.

## Structural properties

Crystal structure: Diamond

Bravais lattice: face centered cubic

Space group: 227 (F d -3 m), Strukturbericht: A4, Pearson symbol: cF8

Point group: m3m ( $O_h$ ) six 2-fold rotations, four 3-fold rotations, three 4-fold rotations, nine mirror planes, inversion

Lattice constant:  $a = 0.543 \text{ nm}$

Atomic weight 28.09

Atomic density  $n_{atoms} = 4.995 \times 10^{22} \text{ 1/cm}^3$

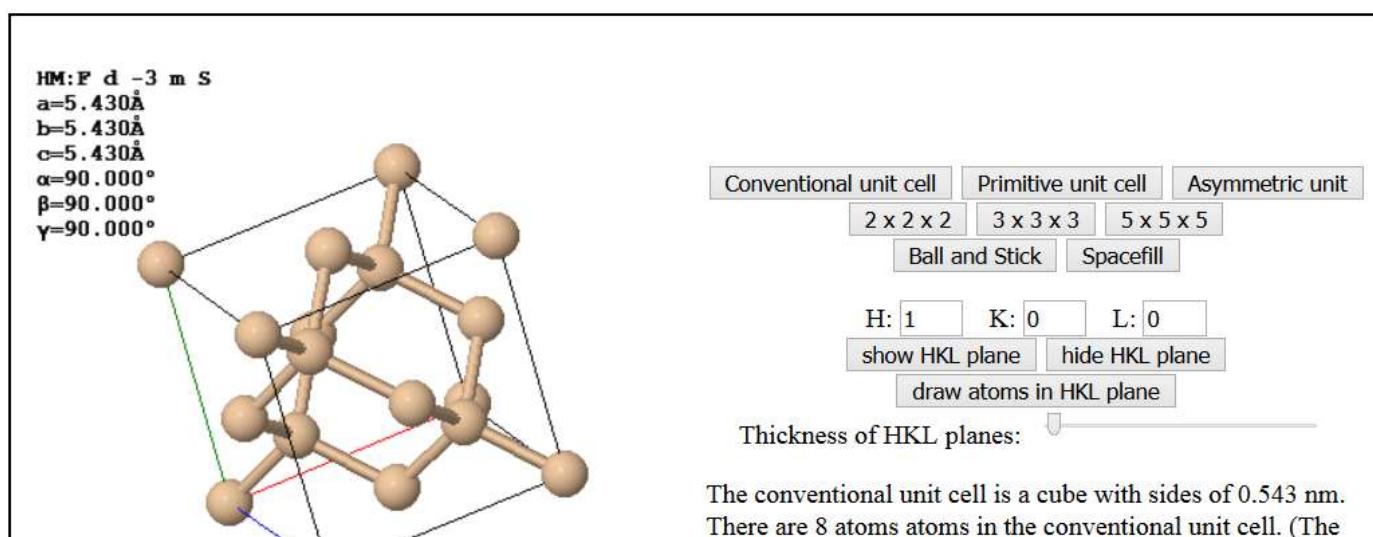
Density  $\rho = 2.33 \text{ g/cm}^3$

Density of surface atoms

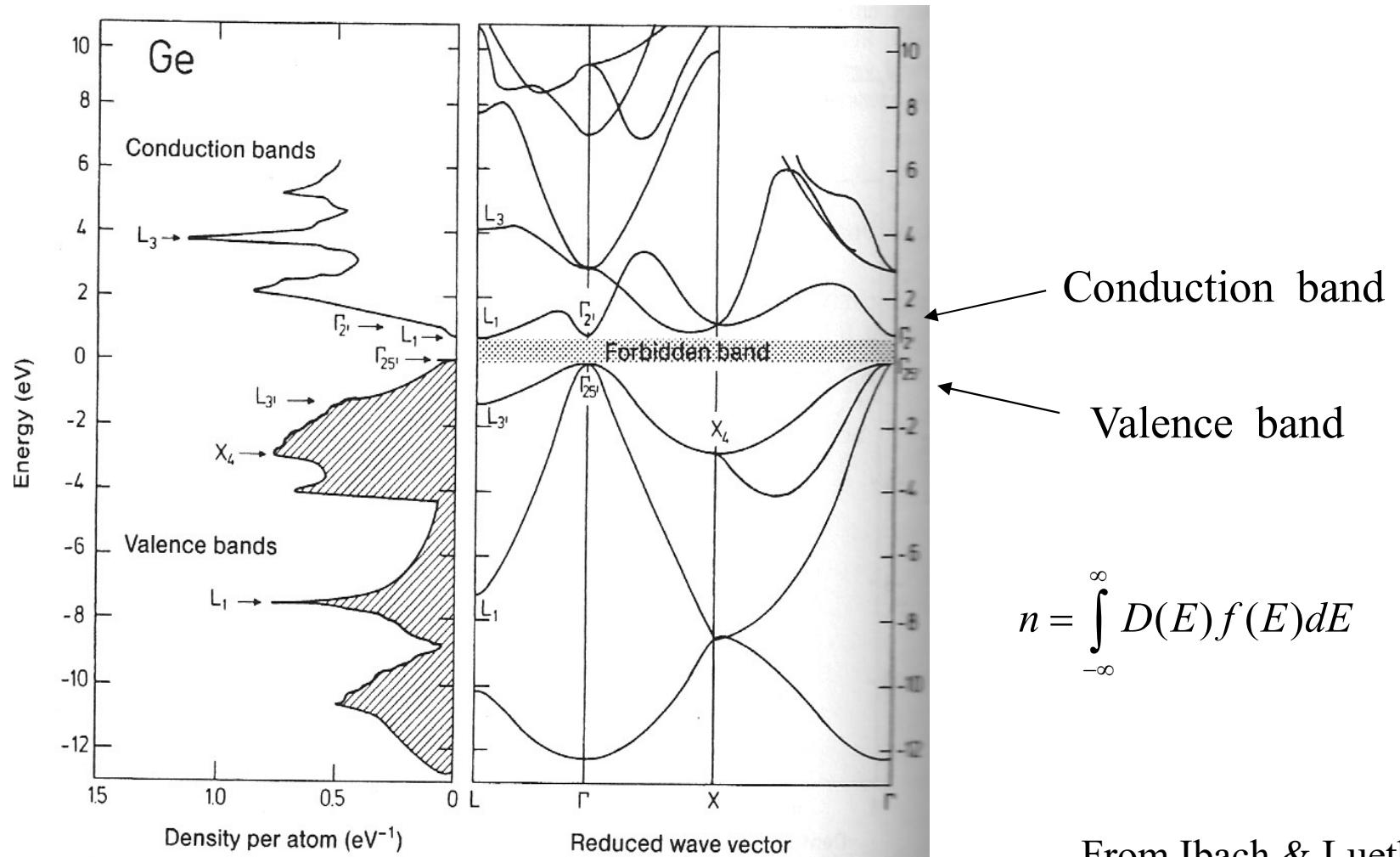
(100)  $6.78 \times 10^{14} \text{ 1/cm}^2$

(110)  $9.59 \times 10^{14} \text{ 1/cm}^2$

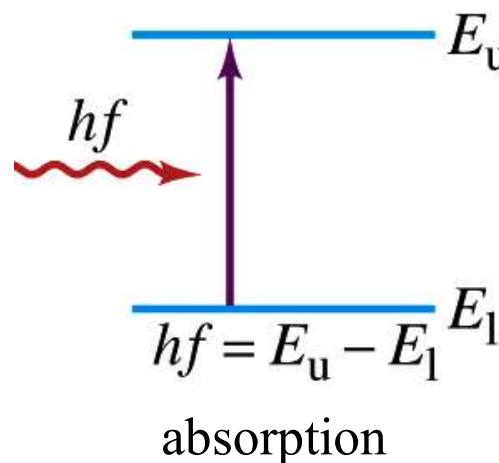
(111)  $7.83 \times 10^{14} \text{ 1/cm}^2$



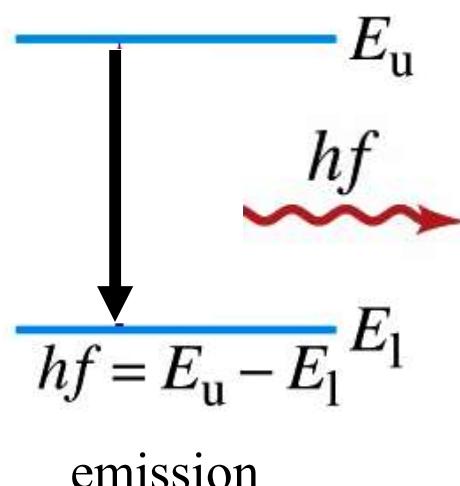
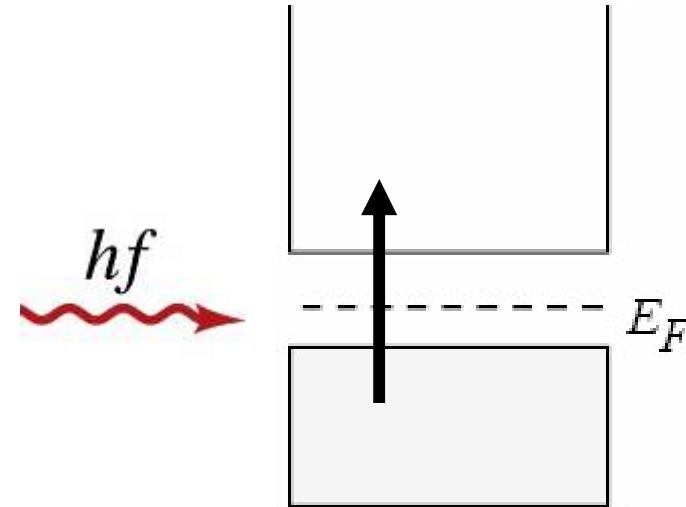
# Semiconductors



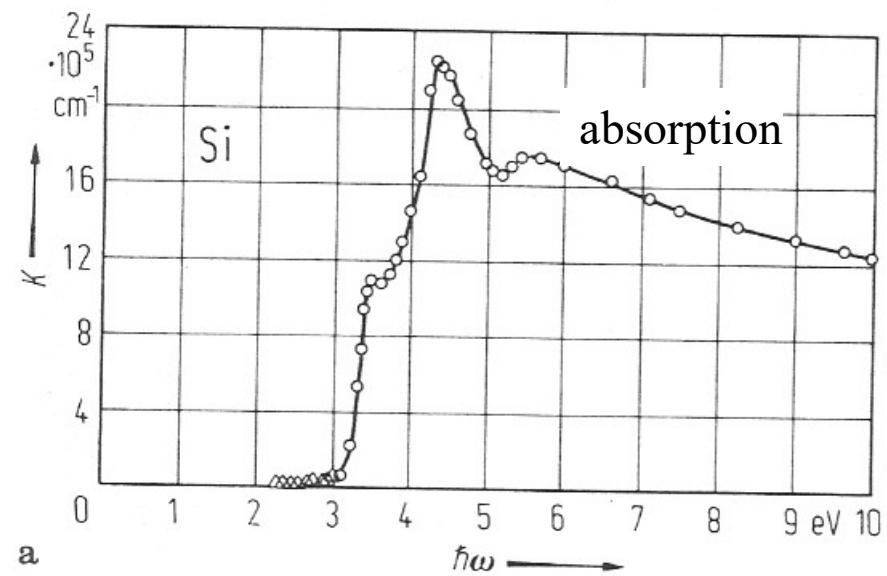
# Absorption and emission of photons



absorption

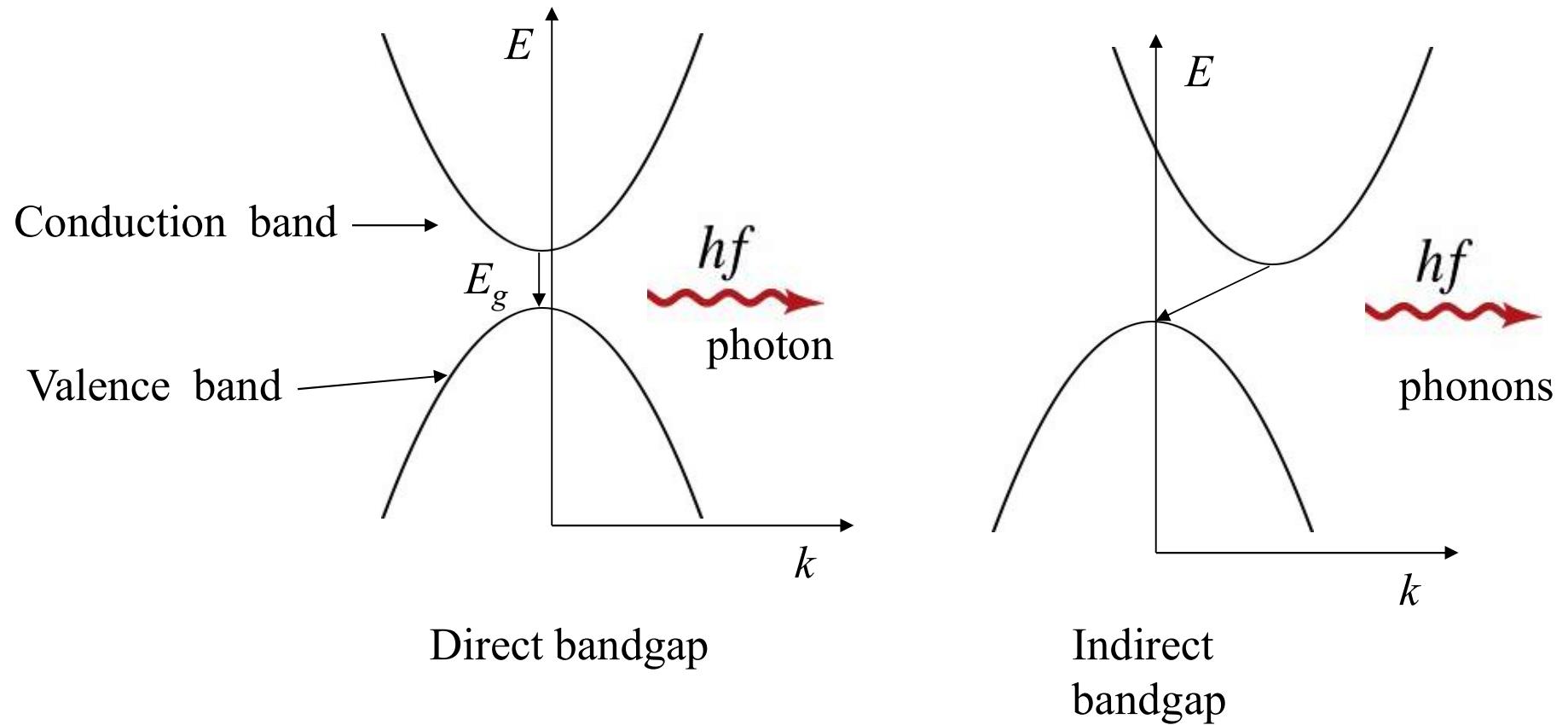


emission



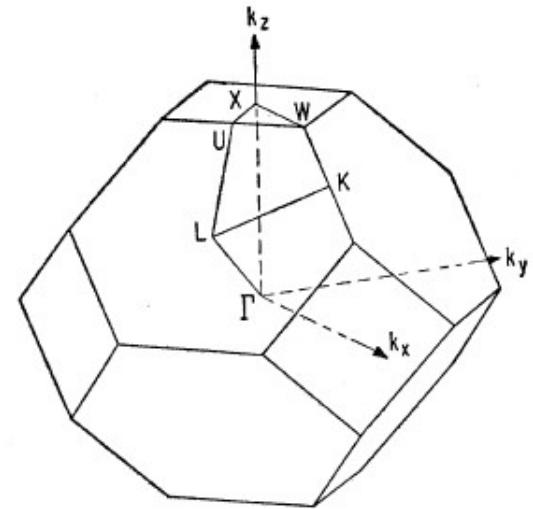
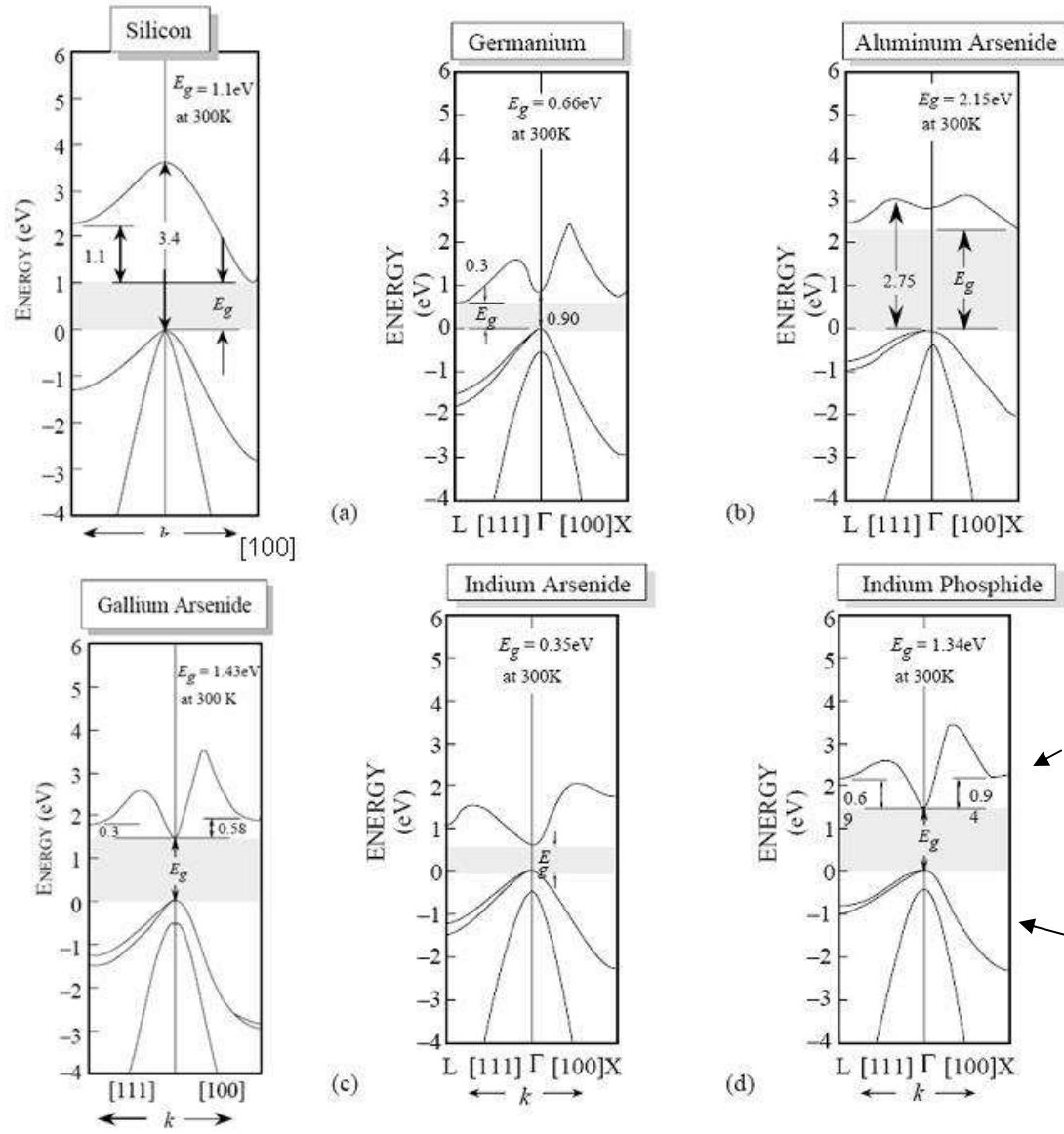
# Direct and indirect band gaps

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Direct bandgap semiconductors are used for optoelectronics

# Semiconductors



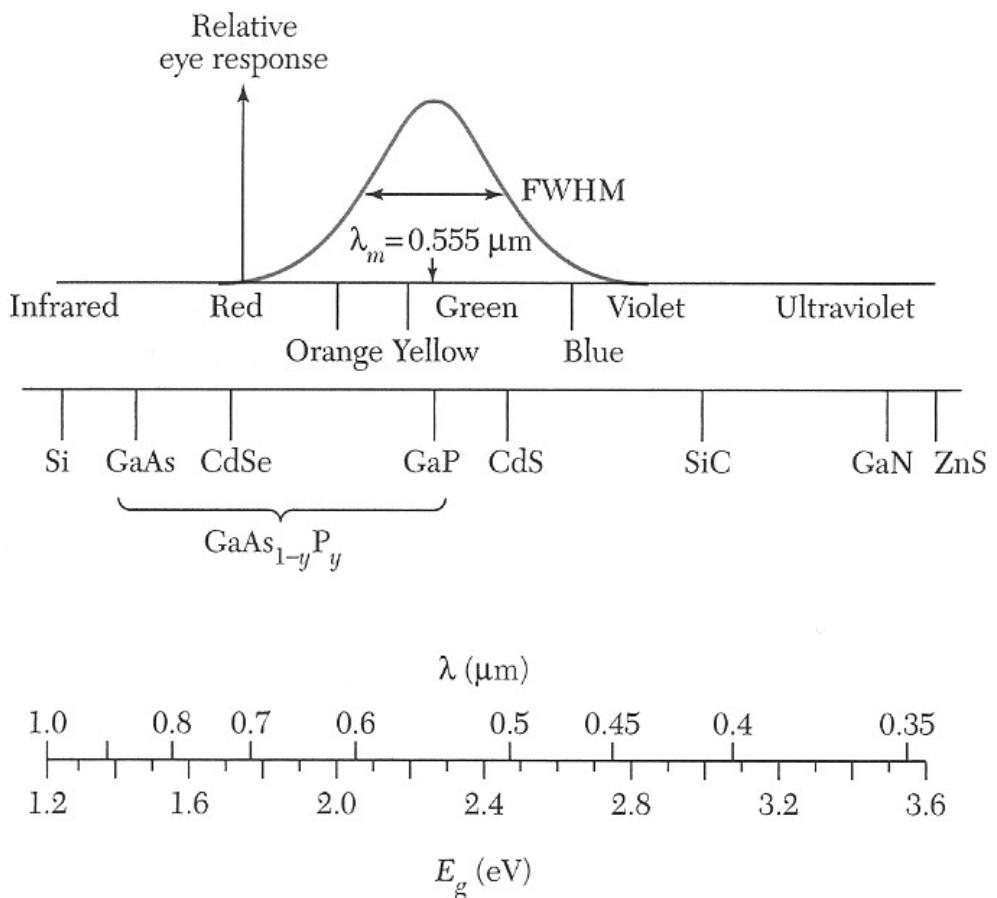
Conduction band

Valence band

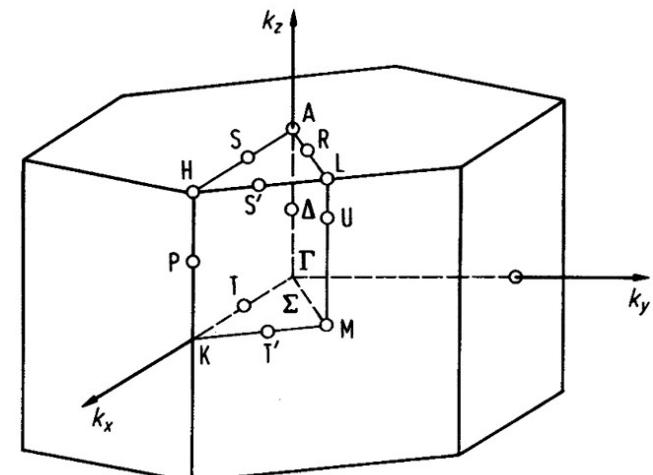
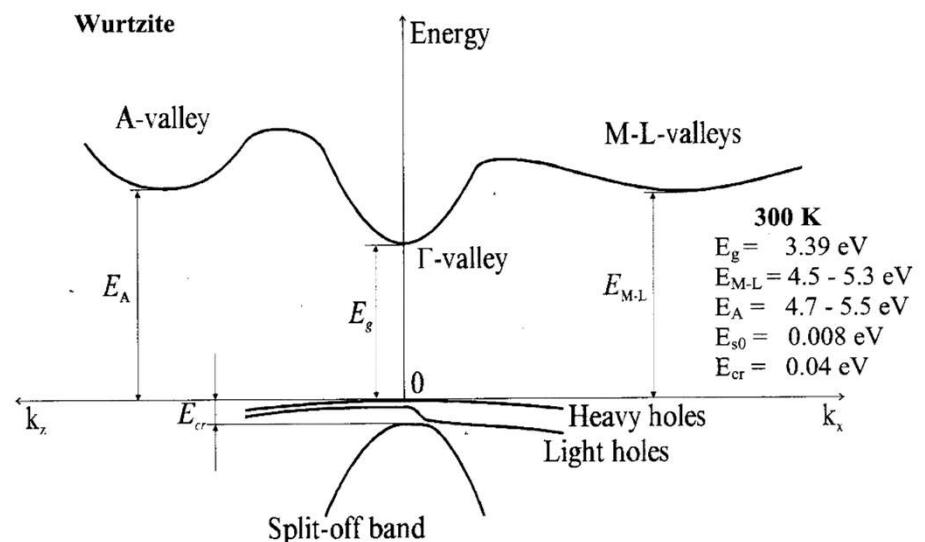
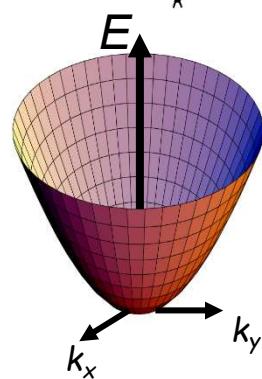
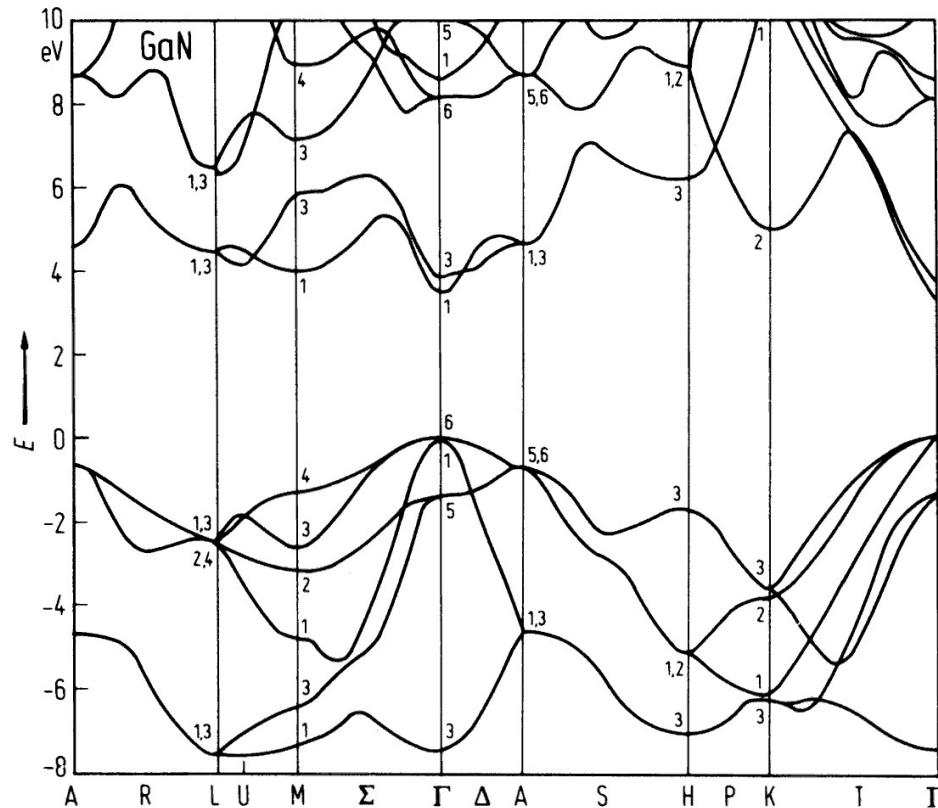
TABLE 1 Common III-V materials used to produce LEDs and their emission wavelengths.

Material	Wavelength (nm)
InAsSbP/InAs	4200
InAs	3800
GaInAsP/GaSb	2000
GaSb	1800
$\text{Ga}_x\text{In}_{1-x}\text{As}_{1-y}\text{P}_y$	1100-1600
$\text{Ga}_{0.47}\text{In}_{0.53}\text{As}$	1550
$\text{Ga}_{0.27}\text{In}_{0.73}\text{As}_{0.63}\text{P}_{0.37}$	1300
GaAs:Er, InP:Er	1540
Si:C	1300
GaAs:Yb, InP:Yb	1000
$\text{Al}_x\text{Ga}_{1-x}\text{As:Si}$	650-940
GaAs:Si	940
$\text{Al}_{0.11}\text{Ga}_{0.89}\text{As:Si}$	830
$\text{Al}_{0.4}\text{Ga}_{0.6}\text{As:Si}$	650
$\text{GaAs}_{0.6}\text{P}_{0.4}$	660
$\text{GaAs}_{0.4}\text{P}_{0.6}$	620
$\text{GaAs}_{0.15}\text{P}_{0.85}$	590
$(\text{Al}_x\text{Ga}_{1-x})_{0.5}\text{In}_{0.5}\text{P}$	655
GaP	690
GaP:N	550-570
$\text{Ga}_x\text{In}_{1-x}\text{N}$	340, 430, 590
SiC	400-460
BN	260, 310, 490

# Light emitting diodes



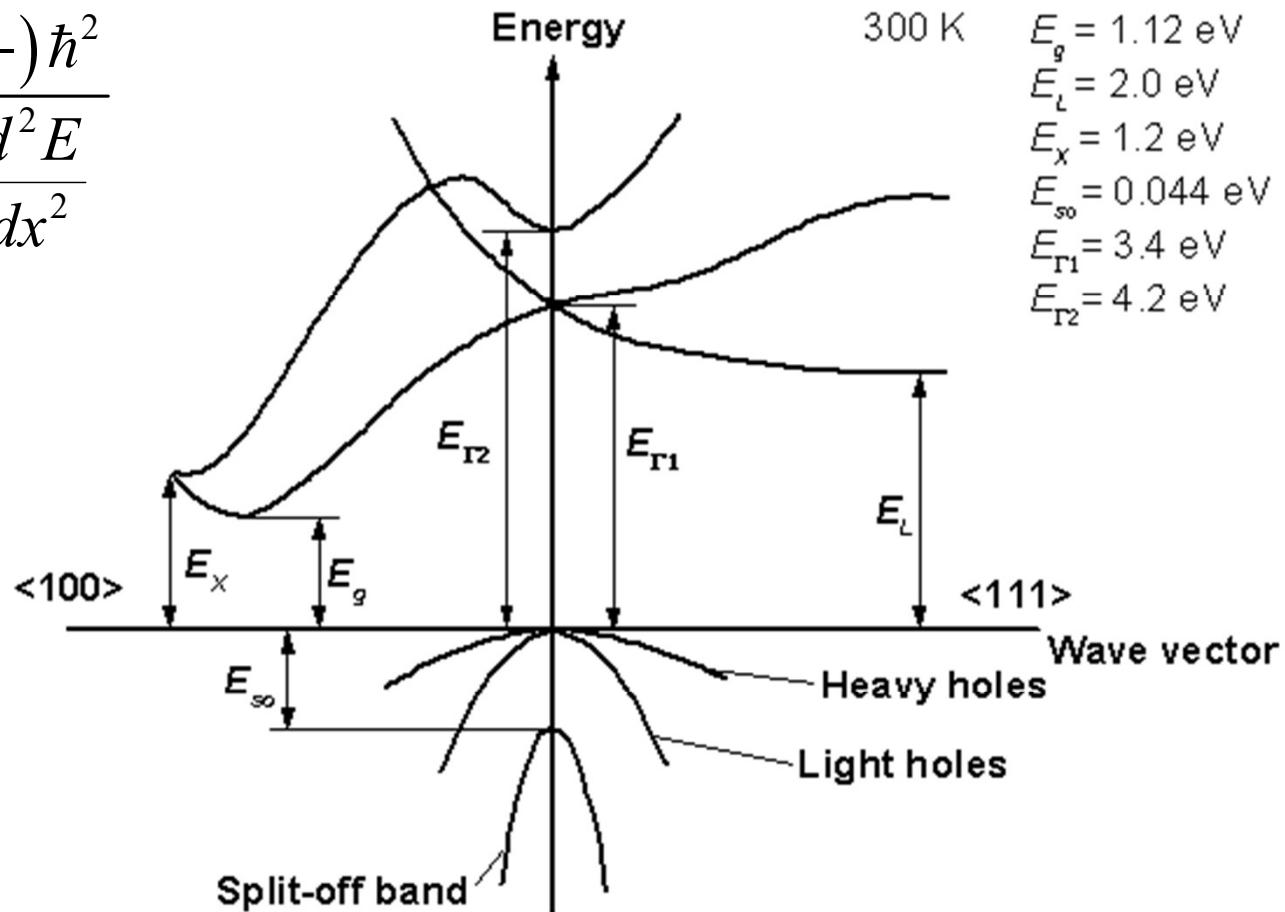
# GaN



1st Brillouin zone of hcp

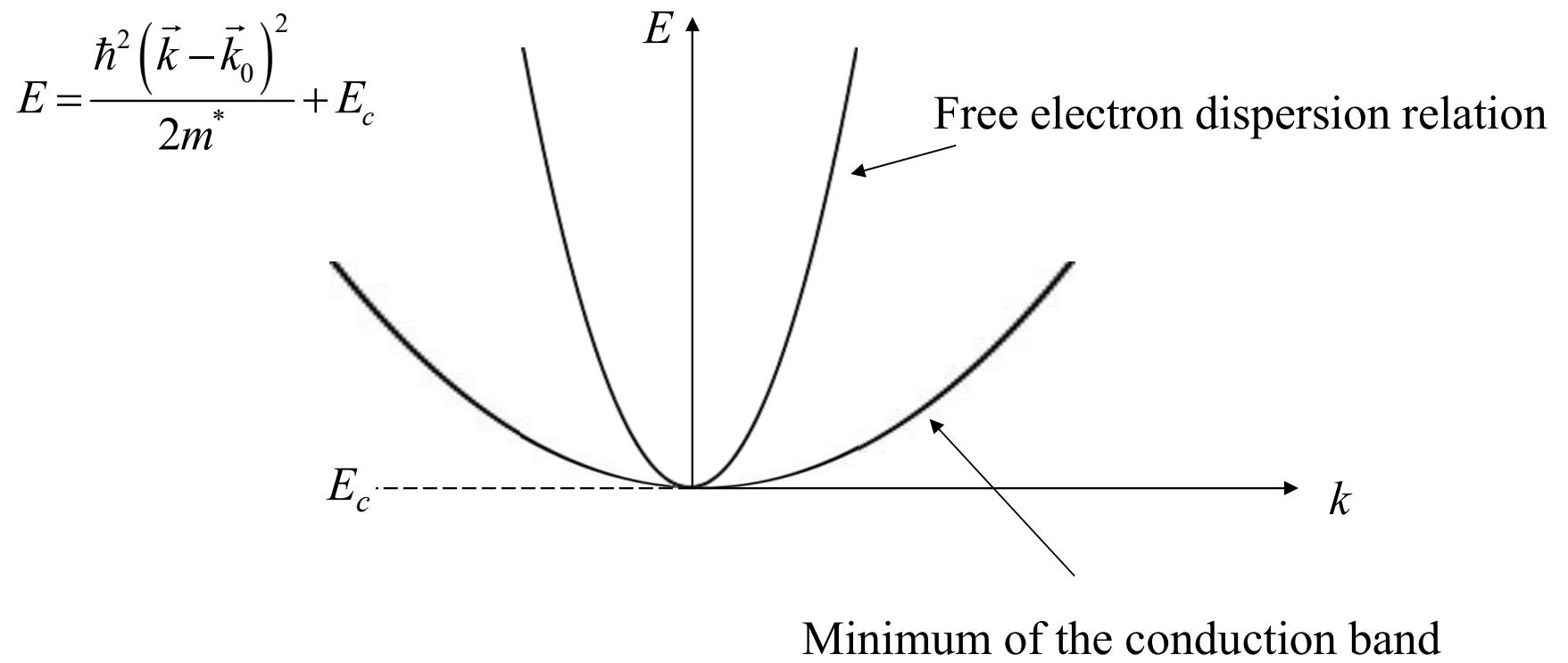
# Silicon

$$m_{e,h}^* = \frac{(-)\hbar^2}{d^2 E / dx^2}$$



# Conduction band minimum

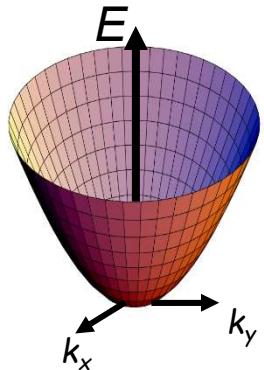
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Near the conduction band minimum, the bands are approximately parabolic.

# Effective mass

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$$E = \frac{\hbar^2 (\vec{k} - \vec{k}_0)^2}{2m^*} + E_c$$

The parabola at the bottom of the conduction band does not have the same curvature as the free-electron dispersion relation. We define an effective mass to characterize the conduction band minimum.

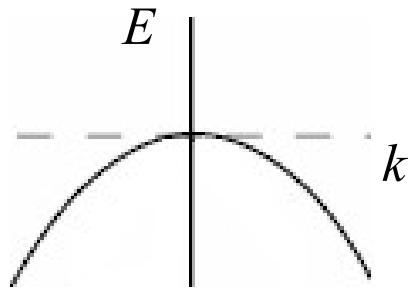
$$m^* = \frac{\hbar^2}{\frac{d^2 E(\vec{k})}{dk_x^2}}$$

This effective mass is used to describe the response of electrons to external forces in the particle picture.

# Top of the valence band

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In the valence band, the effective mass is negative.



$$m^* = \frac{\hbar^2}{d^2 E(\vec{k})} < 0$$

Charge carriers in the valence band are positively charged holes.

$m_h^*$  = effective mass of holes

$$m_h^* = \frac{-\hbar^2}{d^2 E(\vec{k})}$$

# Holes

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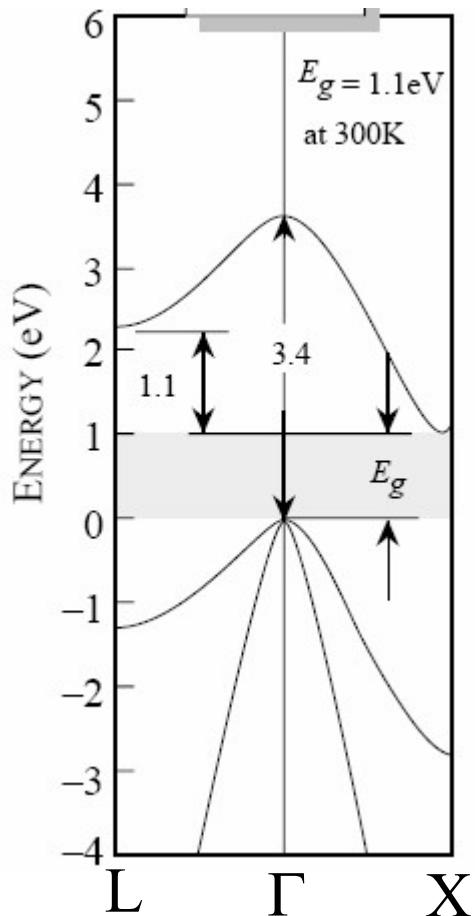
A completely filled band does not contribute to the current.

$$\begin{aligned}\vec{j} &= \int_{\text{filled states}} -e\vec{v}(\vec{k})D(\vec{k})f(\vec{k})d\vec{k} \\ &= \int_{\text{band}} -e\vec{v}(\vec{k})D(\vec{k})f(\vec{k})d\vec{k} - \int_{\text{empty states}} -e\vec{v}(\vec{k})D(\vec{k})f(\vec{k})d\vec{k} \\ &= \int_{\text{empty states}} e\vec{v}(\vec{k})D(\vec{k})f(\vec{k})d\vec{k}\end{aligned}$$

Holes have a positive charge and a positive mass.

# Effective Mass

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$$E = \frac{\hbar^2 (\vec{k} - \vec{k}_0)^2}{2m^*} + E_c$$

$$m_e^* = \frac{\hbar^2}{\frac{d^2 E}{dk_x^2}}$$

$$E = \frac{-\hbar^2 (\vec{k} - \vec{k}_0)^2}{2m^*} + E_v$$

$$m_h^* = \frac{-\hbar^2}{\frac{d^2 E}{dk_x^2}}$$