

# Crystal structure

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# Review: Molecules I

Every property of a molecule can be calculated using quantum mechanics.

$$H_{\text{mp}} = - \sum_i \frac{\hbar^2}{2m_e} \nabla_i^2 - \sum_a \frac{\hbar^2}{2m_a} \nabla_a^2 - \sum_{a,i} \frac{Z_a e^2}{4\pi\epsilon_0 |\vec{r}_i - \vec{r}_a|} + \sum_{i<j} \frac{e^2}{4\pi\epsilon_0 |\vec{r}_i - \vec{r}_j|} + \sum_{a<b} \frac{Z_a Z_b e^2}{4\pi\epsilon_0 |\vec{r}_a - \vec{r}_b|}$$

Make some approximations.

$$H_{\text{red}} = - \sum_i \frac{\hbar^2}{2m_e} \nabla_i^2 - \cancel{\sum_a \frac{\hbar^2}{2m_a} \nabla_a^2} - \sum_{a,i} \frac{Z_a e^2}{4\pi\epsilon_0 |\vec{r}_i - \vec{r}_a|} + \cancel{\sum_{i<j} \frac{e^2}{4\pi\epsilon_0 |\vec{r}_i - \vec{r}_j|}} + \sum_{a<b} \frac{Z_a Z_b e^2}{4\pi\epsilon_0 |\vec{r}_a - \vec{r}_b|}.$$

$$H_{\text{red}}(\vec{r}_1, \vec{r}_1, \dots, \vec{r}_N) = H_{\text{mo}}(\vec{r}_1) + H_{\text{mo}}(\vec{r}_2) + \dots + H_{\text{mo}}(\vec{r}_N).$$

$$\Psi_{\text{red}}(\vec{r}_1, \vec{r}_1, \dots, \vec{r}_N) = |\psi_{\text{mo}}(\vec{r}_1) \psi_{\text{mo}}(\vec{r}_2) \dots \psi_{\text{mo}}(\vec{r}_N)\rangle.$$

Molecular orbital Hamiltonian: 
$$H_{\text{mo}} = - \frac{\hbar^2}{2m_e} \nabla^2 - \sum_a \frac{Z_a e^2}{4\pi\epsilon_0 |\vec{r} - \vec{r}_a|}.$$

The exact solution to  $H_{\text{red}}$  can be constructed from the solutions to  $H_{\text{mo}}$ .

# Review: Molecules II

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$$H_{\text{mo}} = -\frac{\hbar^2}{2m_e} \nabla^2 - \sum_a \frac{Z_a e^2}{4\pi\epsilon_0 |\vec{r} - \vec{r}_a|}.$$

The molecular orbitals are constructed using LCAO.

$$\psi_{\text{mo}}(\vec{r}) = \sum_a \sum_{ao} c_{ao,a} \phi_{ao}^{Z_a}(\vec{r} - \vec{r}_a).$$

Substitute into  $H_{\text{mo}}\psi_{\text{mo}} = E\psi_{\text{mo}}$ , construct the Roothaan equations.

The many electron wavefunction is constructed as a Slater determinant.

$$\Psi(\vec{r}_1, \vec{r}_2, \dots, \vec{r}_N) \approx |\psi_{\text{mo}1} \uparrow(\vec{r}_1), \psi_{\text{mo}2} \uparrow(\vec{r}_2), \dots, \psi_{\text{mo}N} \uparrow(\vec{r}_N)\rangle.$$

This is an exact solution to  $H_{\text{red}}$  and an approximate solution to  $H_{\text{mp}}$ .

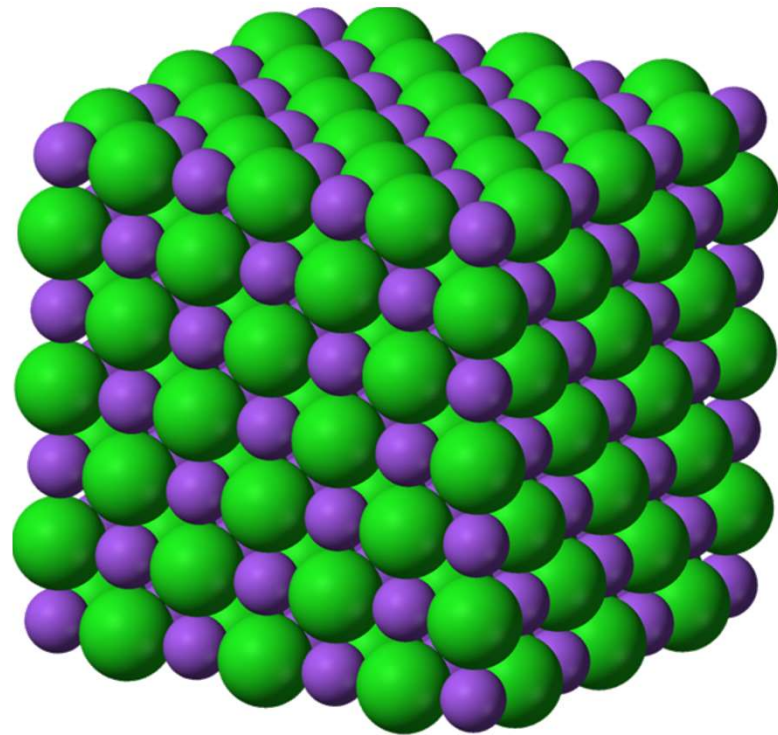
The energy is calculated including the electron-electron interactions.

$$E = \frac{\langle \Psi | H_{\text{mp}} | \Psi \rangle}{\langle \Psi | \Psi \rangle}$$

# Crystal structure

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A crystal is a three dimensional periodic arrangement of atoms.



# 7 Crystal Systems

**triclinic:**  $a \neq b \neq c$  and  $\alpha \neq \beta \neq \gamma \neq 90^\circ$

**monoclinic:**  $a \neq b \neq c$  and  $\alpha \neq 90^\circ$   $\beta = \gamma = 90^\circ$

**orthorhombic:**  $a \neq b \neq c$  and  $\alpha = \beta = \gamma = 90^\circ$

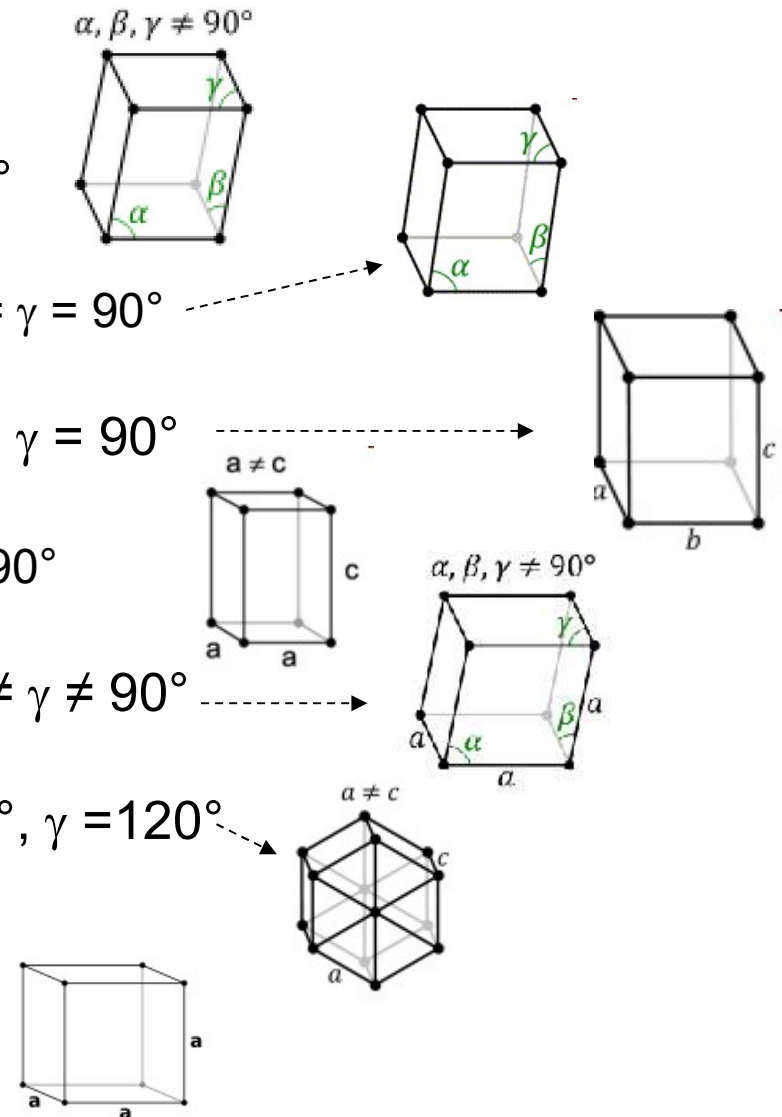
**tetragonal:**  $a = b \neq c$  and  $\alpha = \beta = \gamma = 90^\circ$

**rhombohedral:**  $a = b = c$  and  $\alpha \neq \beta \neq \gamma \neq 90^\circ$

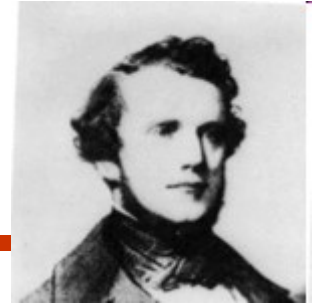
**hexagonal:**  $a = b \neq c$  and  $\alpha = \beta = 90^\circ$ ,  $\gamma = 120^\circ$

**cubic**  $a = b = c$  and  $\alpha = \beta = \gamma = 90^\circ$

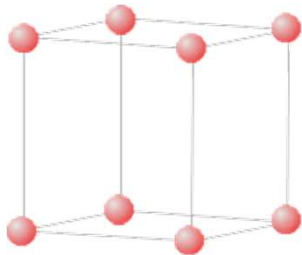
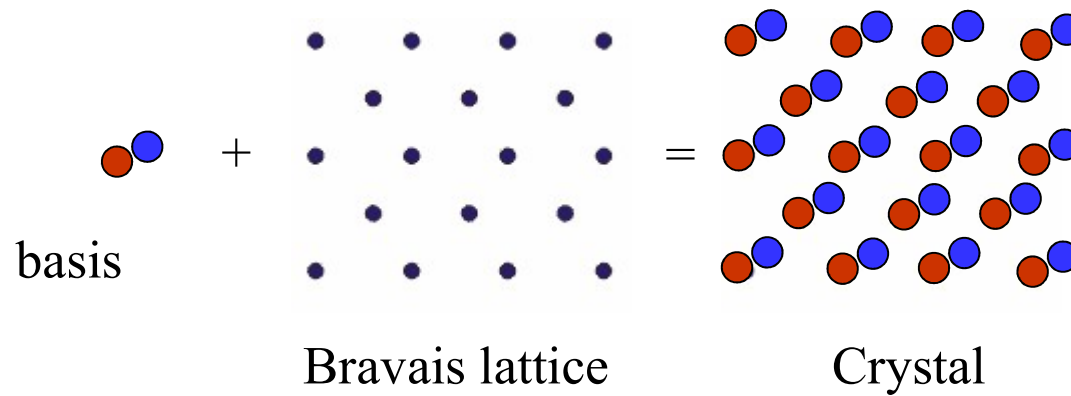
$\alpha$  is the angle between  $b$  and  $c$



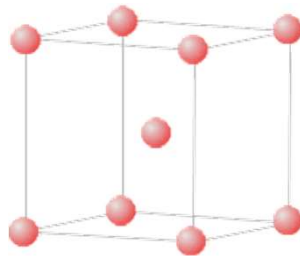
# Bravais lattice



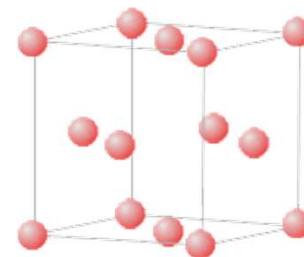
Auguste Bravais



simple cubic



body centered  
cubic, bcc



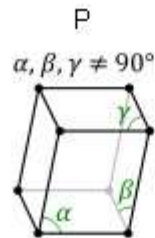
face centered  
cubic, fcc

# 14 Bravais lattices

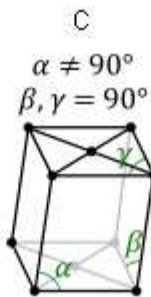
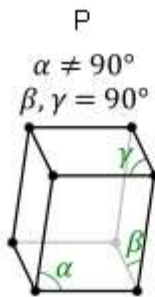
Crystal system

Bravais lattices

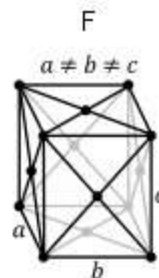
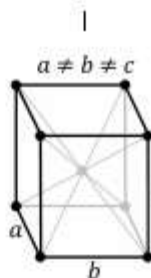
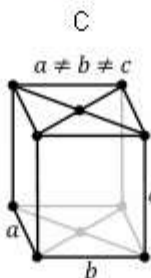
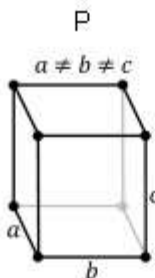
triclinic



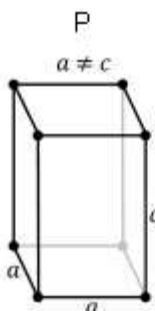
monoclinic



orthorhombic



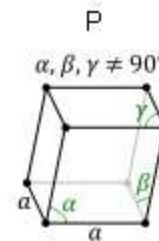
tetragonal



Crystal system

Bravais lattices

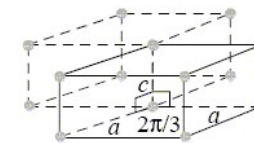
rhombohedral  
(trigonal)



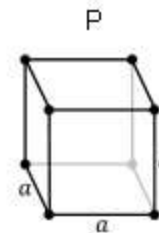
hexagonal



Points of a Bravais lattice do not necessarily represent atoms.



cubic



P ... primitive

I ... body centered

F ... face centered

C ... centered

[http://en.wikipedia.org/wiki/Bravais\\_lattice](http://en.wikipedia.org/wiki/Bravais_lattice)



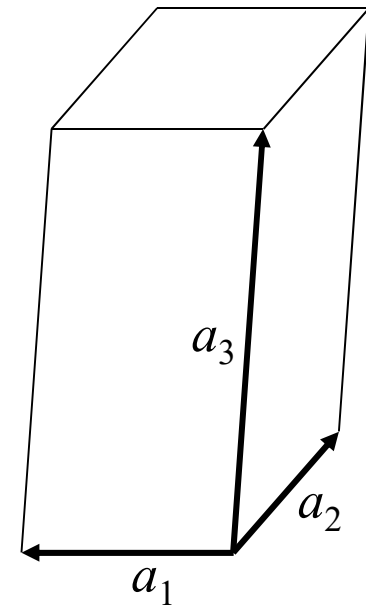
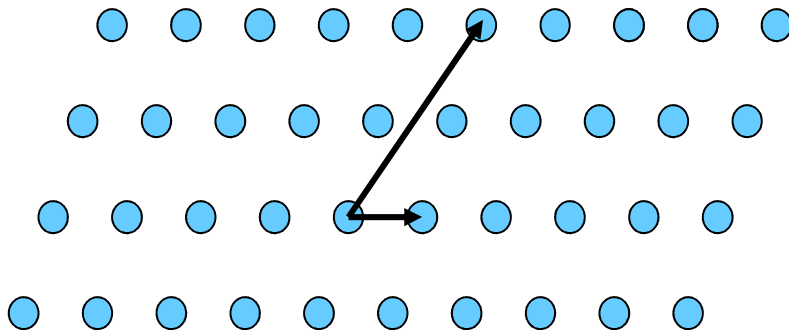
# Primitive lattice vectors

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Every point of a Bravais lattice can be reached from another point on the lattice by a translation vector

Translation vector

$$\vec{T} = n_1 \vec{a}_1 + n_2 \vec{a}_2 + n_3 \vec{a}_3 \quad n_1, n_2, n_3 = \dots -2, -1, 0, 1, 2, \dots$$

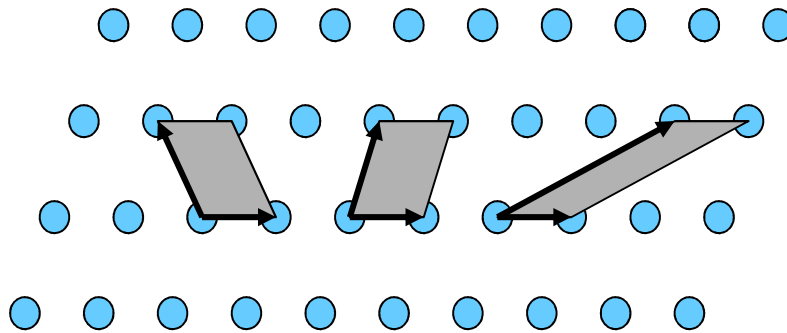


Primitive lattice vectors

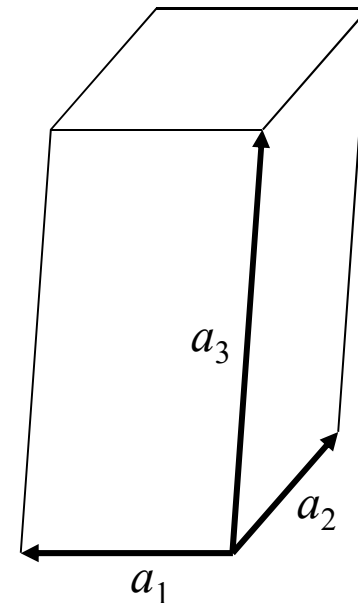


# Primitive Unit Cell

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There is more than one choice for a primitive unit cell



volume of a unit cell =

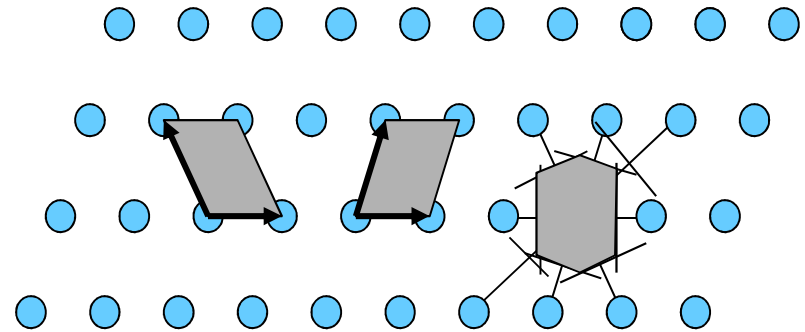
$$|\vec{a}_1 \cdot (\vec{a}_2 \times \vec{a}_3)|$$

$$\vec{T} = n_1 \vec{a}_1 + n_2 \vec{a}_2 + n_3 \vec{a}_3 \quad n_1, n_2, n_3 = \dots -2, -1, 0, 1, 2, \dots$$

# Unit Cells

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There is more than one choice for a primitive unit cell

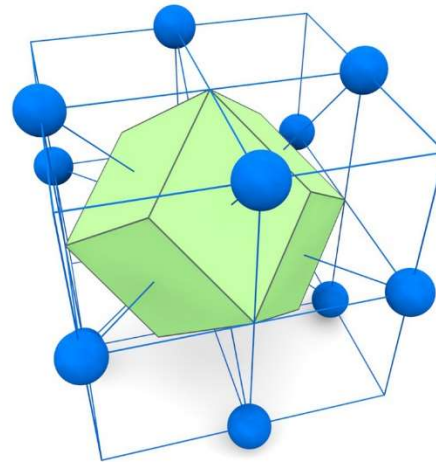


Eugene  
Wigner

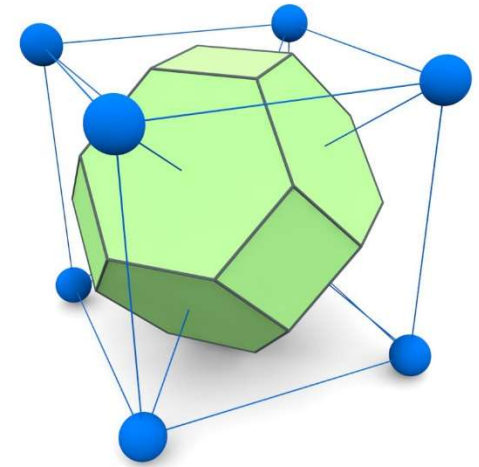


Frederick  
Seitz

Wigner-Seitz primitive unit cell



fcc



bcc

# Wigner-Seitz cells

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The set of planes perpendicular to a vector  $A_x\hat{x} + A_y\hat{y} + A_z\hat{z}$  is,

$$A_x x + A_y y + A_z z = C,$$

where  $C$  is any constant. If a point  $(x_0, y_0, z_0)$  on the plane is known,  $C$  can be calculated,

$$C = A_x x_0 + A_y y_0 + A_z z_0.$$

The app below will solve 3 linear equations for three unknowns. (It determines the point where three planes intersect.)

7	$x +$	3	$y +$	2	$z =$	6
5	$x +$	9	$y +$	4	$z =$	6
2	$x +$	1	$y +$	8	$z =$	2

solve

## Drawing Wigner-Seitz Cells

The form below takes the primitive lattice vectors in real space as input and calculates the Bravais lattice vectors  $\vec{R}_{hkl}$ , the planes  $(hkl)$  that form the Wigner-Seitz cell boundaries, and the corners of the Wigner-Seitz cell.

**Primitive lattice vectors:**

$\vec{a}_1 =$    $\hat{x} +$    $\hat{y} +$    $\hat{z} \text{ [Å]}$   
 $\vec{a}_2 =$    $\hat{x} +$    $\hat{y} +$    $\hat{z} \text{ [Å]}$   
 $\vec{a}_3 =$    $\hat{x} +$    $\hat{y} +$    $\hat{z} \text{ [Å]}$

submit

sc fcc bcc hex

A boundary of the Wigner-Seitz cell is a plane normal to  $\vec{R}_{hkl}$ , that passes through the point  $\frac{\vec{R}_{hkl}}{2}$ . For the planes that make up the Wigner-Seitz cell boundary, the distance from  $\frac{\vec{R}_{hkl}}{2}$  to the origin is smaller than the distance from  $\frac{\vec{R}_{hkl}}{2}$  to any of the other Bravais lattice vectors. By computing these distances, the planes that make up the Wigner-Seitz cell can be determined.

**The Wigner-Seitz cell consists of 6 planes.**

$(0 \ 0 \ 1)$   
 $(0 \ 0 \ -1)$   
 $(0 \ 1 \ 0)$   
 $(0 \ -1 \ 0)$   
 $(1 \ 0 \ 0)$   
 $(-1 \ 0 \ 0)$

The Miller indices are given in terms of the primitive lattice vectors.

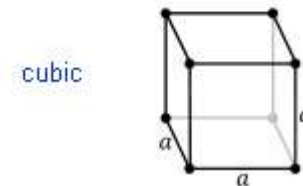
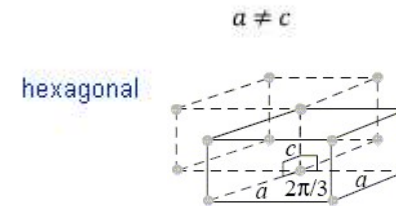
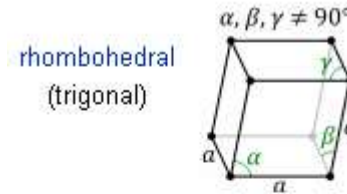
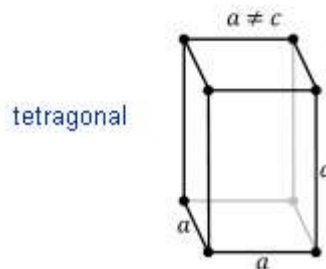
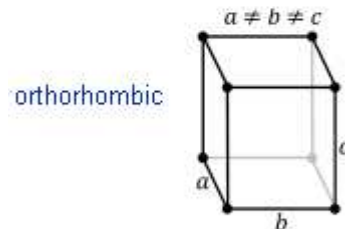
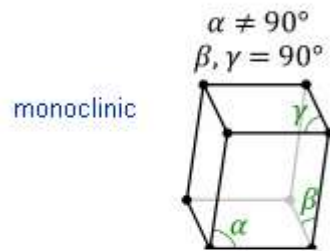
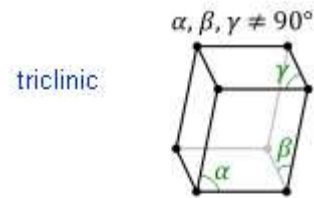
Once the planes are known, the points at the corners of the Wigner-Seitz cell can be determined by considering the intersections of the planes. The formula for the  $(hkl)$  plane is,

$$R_{hkl,x}k_x + R_{hkl,y}k_y + R_{hkl,z}k_z = \frac{R_{hkl,x}^2}{2} + \frac{R_{hkl,y}^2}{2} + \frac{R_{hkl,z}^2}{2}.$$

By solving the sets of linear equations, the corners can be determined.

**There are 8 corners:**

# Conventional (crystallographic) unit cell



$\alpha$  is the angle between  $b$  and  $c$   
 $\beta$  is the angle between  $a$  and  $c$   
 $\gamma$  is the angle between  $a$  and  $b$

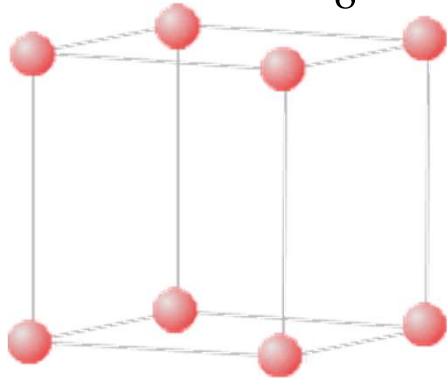
6 faces, 8 corners

[http://en.wikipedia.org/wiki/Bravais\\_lattice](http://en.wikipedia.org/wiki/Bravais_lattice)

# Conventional (crystallographic) unit cell

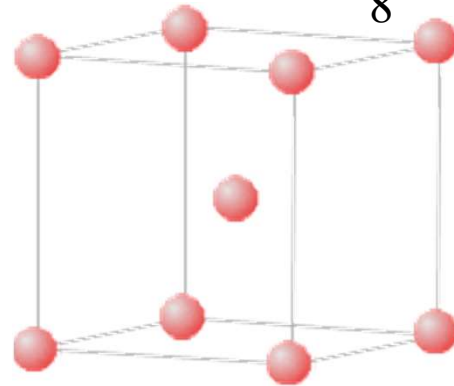
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$$8 \times \frac{1}{8} = 1$$



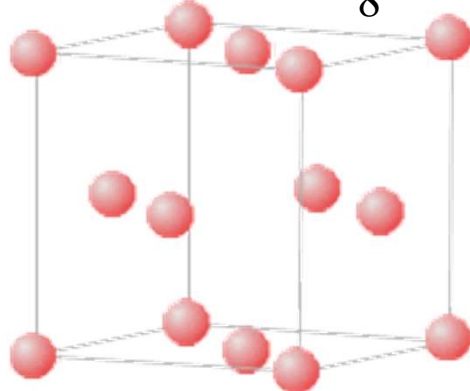
simple cubic

$$8 \times \frac{1}{8} + 1 = 2$$

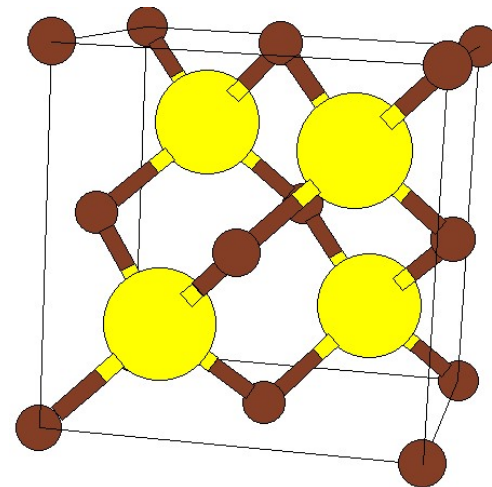


bcc

$$8 \times \frac{1}{8} + 6 \times \frac{1}{2} = 4$$



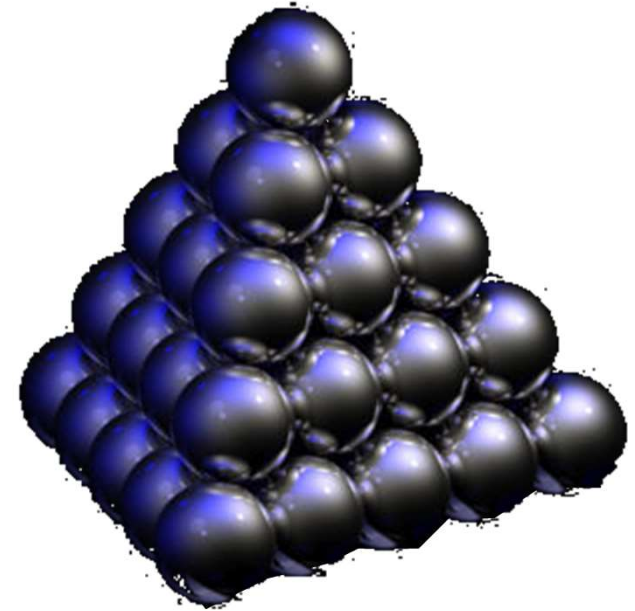
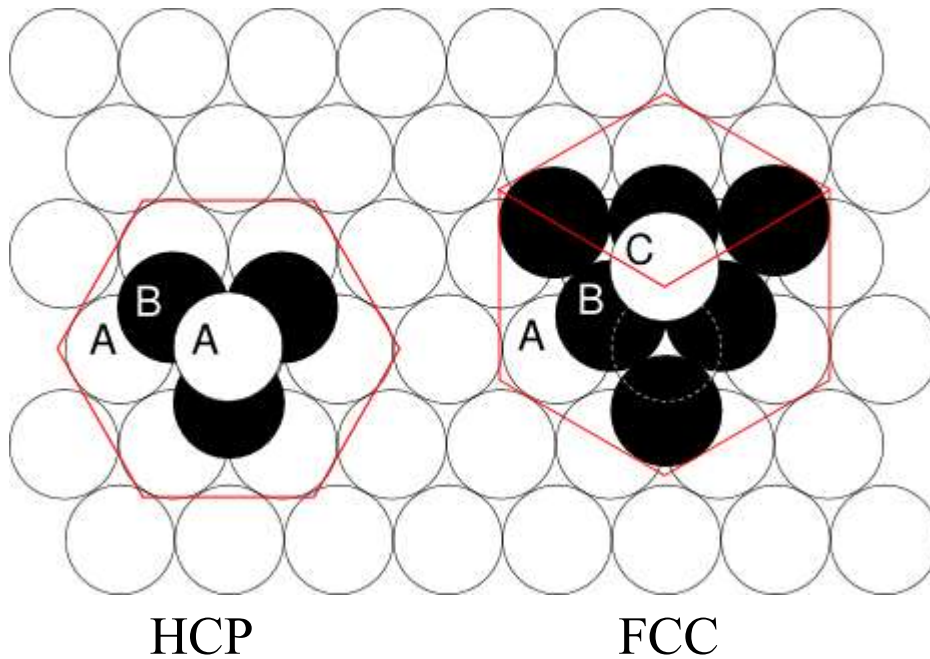
fcc



zincblende

# Close packing

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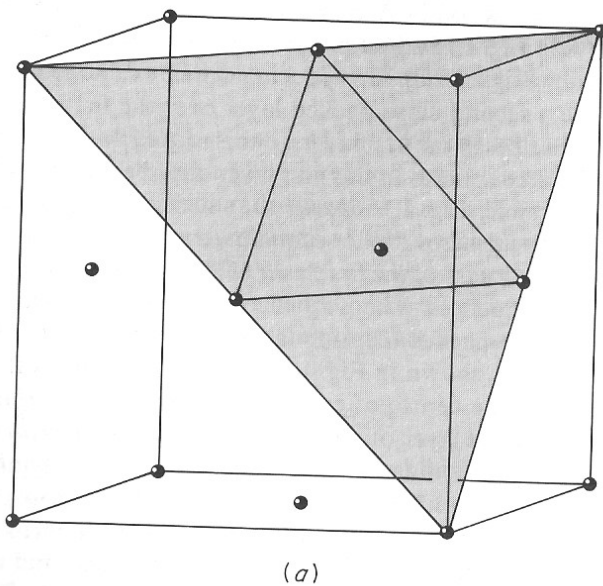


HCP = Hexagonal close pack  
Hexagonal Bravais lattice with two atoms in the basis.

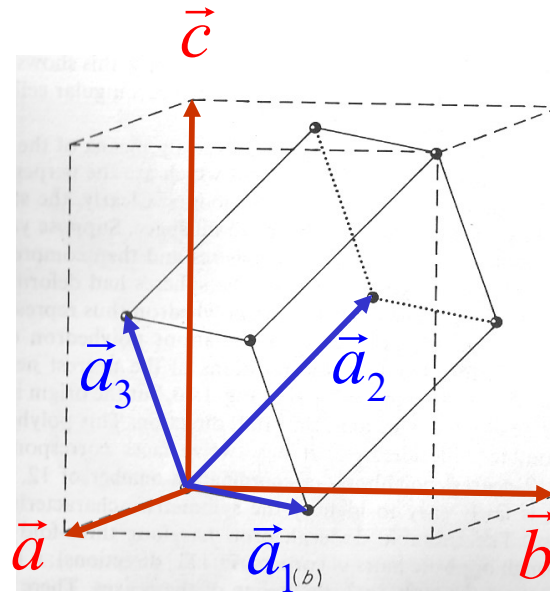


# Fcc

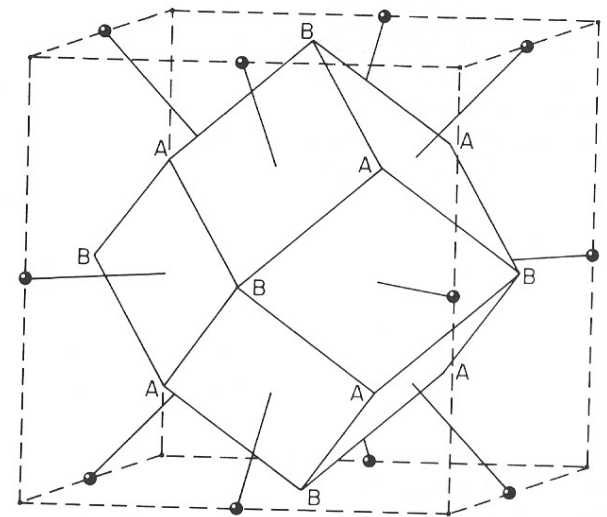
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Crystallographic unit cell  
showing close packed  
plane



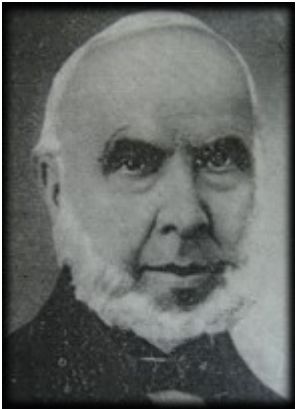
Crystallographic lattice  
vectors  
Primitive lattice vectors



Wigner-Seitz cell

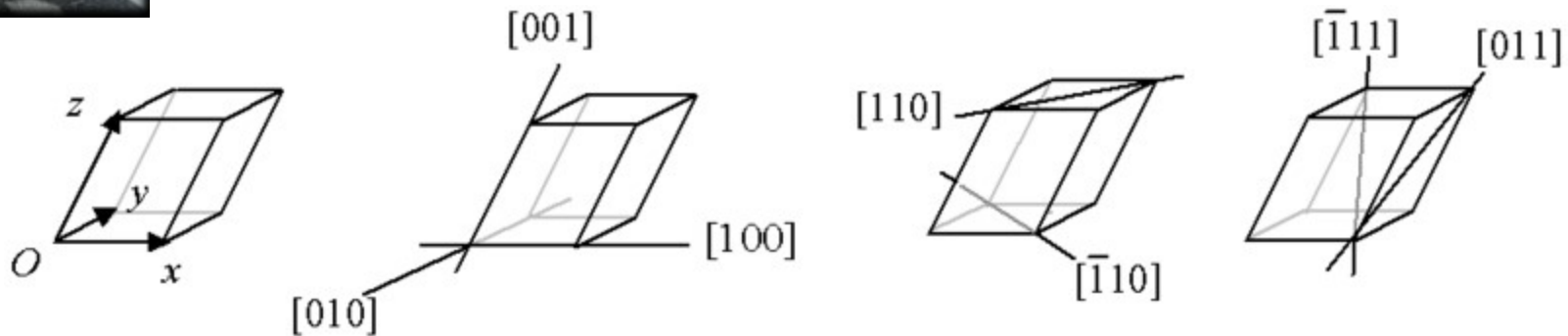
From: Hall, Solid State Physics

# Miller indices: Crystal direction $[uvw]$



$$[uvw] = \text{vector in direction } u \mathbf{a} + v \mathbf{b} + w \mathbf{c}$$

$\swarrow$     $\swarrow$     $\swarrow$   
 lattice vectors of the  
 crystallographic unit cell

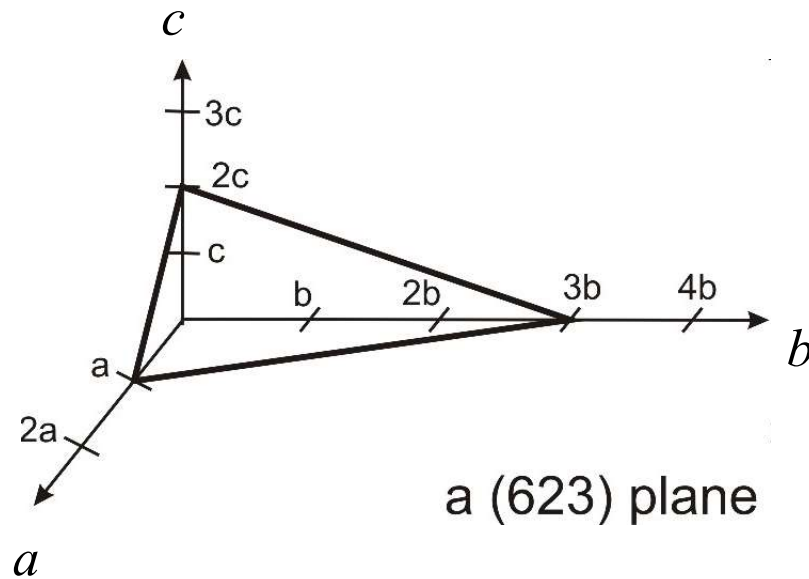


notation:  $-1 = \bar{1}$

$[ ]$  specific direction

$\langle \rangle$  family of equivalent directions

# Miller indices: Crystal planes



( ) specific plane

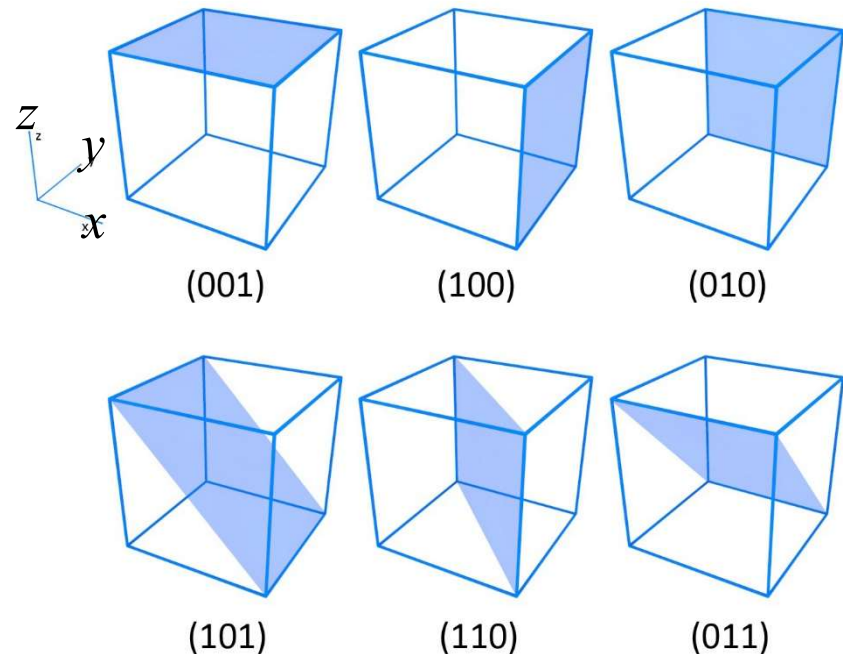
{ } family of equivalent planes



MOSFETs are made on {100} wafers

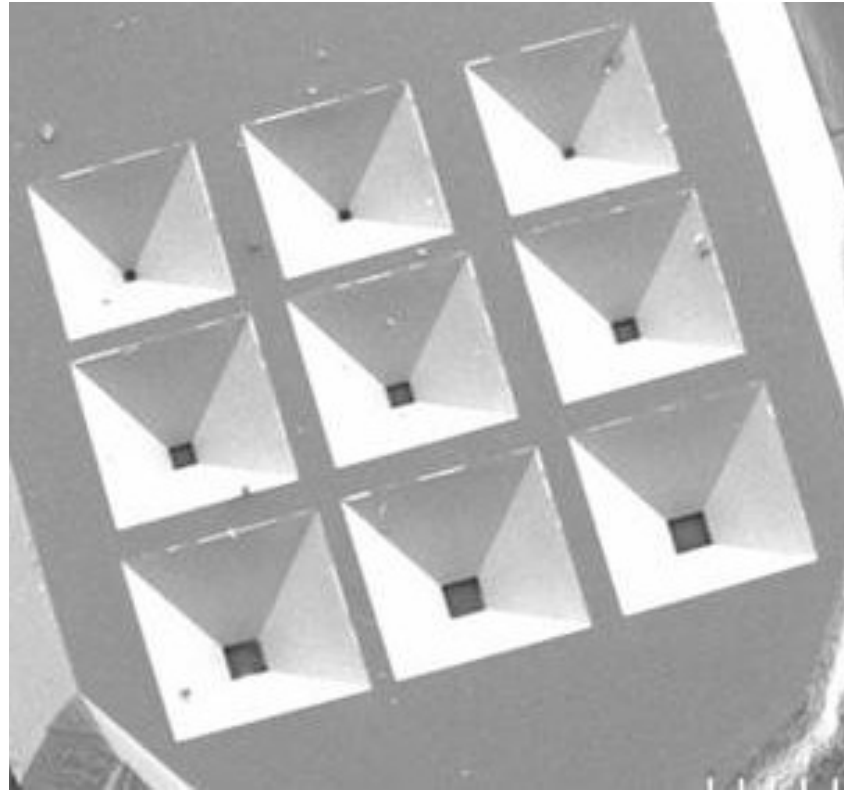
A plane with the intercepts  $1/h, 1/k, 1/l$  is the  $(h,k,l)$  plane.

always use integers for  $h,k,l$



# KOH etching of silicon

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KOH etches Si  $\{110\} > \{100\} > \{111\}$ , producing a characteristic anisotropic V-etch, with sidewalls that form a  $54.7^\circ$  angle with the surface ( $35.3^\circ$  from the normal).

[http://www.ece.uncc.edu/research/clean\\_room/fabprocesses/KOH-EtchingAndDecon.pdf](http://www.ece.uncc.edu/research/clean_room/fabprocesses/KOH-EtchingAndDecon.pdf)