

Technische Universität Graz

Institute of Solid State Physics

Crystal physics

Drift and diffusion



Thermal conductivity

 $\vec{j}_U = \vec{E}\vec{j}$ Average particle energy

 $u = \overline{E}n$ internal energy density

$$\vec{j}_U = -\overline{E}D\nabla n = -D\nabla u$$

$$\vec{j}_U = -D\frac{du}{dT}\nabla T = -Dc_v\nabla T$$

$$\vec{j}_U = -K\nabla T$$

Thermal conductivity _______

$$K = Dc_v$$

$$K \to 0$$
 as $T \to 0$

Wiedemann - Franz law

$$\frac{K}{\sigma} = \frac{Dc_v}{ne\mu}$$
Einstein relation: $D = \frac{\mu k_B T}{e}$
Dulong - Petit: $c_v = 3nk_B$

$$\frac{K}{\sigma} = \frac{3k_B^2}{e^2}T$$
Wiedemann Franz law
 $L = \frac{K_{el}}{\sigma T} = 2.32 \times 10^{-8}$
Lorentz number

 $W \Omega/K^2$

Lorenz number

$L = \frac{K_{el}}{\sigma T} = 2.32 \times 10^{-8}$	$W \Omega/K^2$
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Table 5 Experimental Lorenz numbers

$L imes 10^8$ watt-ohm/deg 2			L	$ imes 10^8$ watt-oh	m/deg ²
Metal	0°C	100°C	Metal	0°C	100°C
Ag	2.31	2.37	Pb	2.47	2.56
Au	2.35	2.40	Pt	2.51	2.60
Cd	2.42	2.43	Su	2.52	2.49
Cu	2.23	2.33	W	3.04	3.20
Mo	2.61	2.79	Zn	2.31	2.33

At low temperatures the classical predictions for the thermal and electrical conductivities are too high but their ratio is correct. Only the electrons within k_BT of the Fermi surface contribute.



Technische Universität Graz

Crystal Physics

Crystal physics explains what effects the symmetries of the crystal have on observable quantities.

An Introduction to Crystal Physics Ervin Hartmann http://ww1.iucr.org/comm/cteach/pamphlets/18/index.html

International Tables for Crystallography http://it.iucr.org/

Kittel chapter 3: elastic strain

Strain

A distortion of a material is described by the strain matrix

$$x' = (1 + \varepsilon_{xx})\hat{x} + \varepsilon_{xy}\hat{y} + \varepsilon_{xz}\hat{z}$$

$$y' = \varepsilon_{yx}\hat{x} + (1 + \varepsilon_{yy})\hat{y} + \varepsilon_{yz}\hat{z}$$

$$z' = \varepsilon_{zx}\hat{x} + \varepsilon_{zy}\hat{y} + (1 + \varepsilon_{zz})\hat{z}$$

$$\hat{x}' \hat{x}'$$

Stress

9 forces describe the stress

Xx, Xy, Xz, Yx, Yy, Yz, Zx, Zy, Zz

Xx is a force applied in the *x*-direction to the plane normal to *x*

Xy is a sheer force applied in the *x*-direction to the plane normal to *y*

stress tensor:

Stress is force/m²



 $\frac{X_x}{A_x} \quad \frac{X_y}{A_y} \quad \frac{X_z}{A_z}$ $\frac{Y_x}{A_x} \quad \frac{Y_y}{A_y} \quad \frac{Y_z}{A_z}$ $\frac{Z_x}{A_x} \quad \frac{Z_y}{A_y} \quad \frac{Z_z}{A_z}$ σ =

Stress and Strain

$$\mathcal{E}_{ij} = S_{ijkl} \sigma_{kl}$$

The stress - strain relationship is described by a rank 4 stiffness tensor. The inverse of the stiffness tensor is the compliance tensor.

$$\sigma_{ij} = c_{ijkl} \varepsilon_{kl}$$

Einstein convention: sum over repeated indices.

$$\varepsilon_{xx} = s_{xxxx}\sigma_{xx} + s_{xxxy}\sigma_{xy} + s_{xxxz}\sigma_{xz} + s_{xxyx}\sigma_{yx} + s_{xxyy}\sigma_{yy}$$
$$+ s_{xxyz}\sigma_{yz} + s_{xxzx}\sigma_{zx} + s_{xxzy}\sigma_{zy} + s_{xxzz}\sigma_{zz}$$

Statistical Physics

Microcannonical Ensemble: Internal energy is expressed in terms of extrinsic quantities $U(S, M, P, \varepsilon, N, V)$.

$$dU = TdS + \sigma_{ij}d\varepsilon_{ij} + E_k dP_K + H_l dM_l$$

For instance, in an electric field, if the dipole moment is changed, the change of the energy is,

$$\Delta U = \vec{E} \cdot \Delta \vec{P}$$

$$dU = E_k dP_k$$

The normal modes must be solved for in the presence of electric and magnetic fields (Advanced Solid State Physics course).

Statistical Physics

Microcannonical Ensemble: Internal energy is expressed in terms of extrinsic quantities $U(S, M, P, \varepsilon, N, V)$. $\varepsilon_{ij} \Rightarrow L\varepsilon_{ij}$

$$dU = \frac{\partial U}{\partial S} dS + \frac{\partial U}{\partial \varepsilon_{ij}} d\varepsilon_{ij} + \frac{\partial U}{\partial P_k} dP_k + \frac{\partial U}{\partial M_l} dM_l$$
$$dU = TdS + \sigma_{ij} d\varepsilon_{ij} + E_k dP_k + H_l dM_l$$

Cannonical ensemble: At constant temperature, make a Legendre transformation to the Helmholtz free energy.

F = U - TS

 $F(V, T, N, M, P, \varepsilon)$

Make a Legendre transformation to the Gibbs potential $G(T, H, E, \sigma)$

$$G = U - TS - \sigma_{ij} \varepsilon_{ij} - E_k P_K - H_l M_l$$

Gibbs free energy

$$G = U - TS - \sigma_{ij}\varepsilon_{ij} - E_k P_K - H_l M_l$$

$$dG = dU - TdS - SdT - \sigma_{ij}d\varepsilon_{ij} - \varepsilon_{ij}d\sigma_{ij} - E_k dP_k - P_k dE_k - H_l dM_l - M_l dH_l$$

$$dU = TdS + \sigma_{ij}d\varepsilon_{ij} + E_k dP_K + H_l dM_l$$

$$dG = -SdT - \varepsilon_{ij}d\sigma_{ij} - P_k dE_k - M_l dH_l$$

total derivative:
$$dG = \left(\frac{\partial G}{\partial T}\right) dT + \left(\frac{\partial G}{\partial \sigma_{ij}}\right) d\sigma_{ij} + \left(\frac{\partial G}{\partial E_k}\right) dE_k + \left(\frac{\partial G}{\partial H_l}\right) dH_l$$

$$\begin{pmatrix} \frac{\partial G}{\partial \sigma_{ij}} \end{pmatrix} = -\varepsilon_{ij} \qquad \begin{pmatrix} \frac{\partial G}{\partial E_k} \end{pmatrix} = -P_k \\ \begin{pmatrix} \frac{\partial G}{\partial H_l} \end{pmatrix} = -M_l \qquad \begin{pmatrix} \frac{\partial G}{\partial T} \end{pmatrix} = -S$$

$$d\epsilon_{ij} = \left(\frac{\partial \epsilon_{ij}}{\partial \sigma_{kl}}\right) d\sigma_{kl} + \left(\frac{\partial \epsilon_{ij}}{\partial E_k}\right) dE_k + \left(\frac{\partial \epsilon_{ij}}{\partial H_l}\right) dH_l + \left(\frac{\partial \epsilon_{ij}}{\partial T}\right) dT$$

$$dP_i = \left(\frac{\partial P_i}{\partial \sigma_{kl}}\right) d\sigma_{kl} + \left(\frac{\partial P_i}{\partial E_k}\right) dE_k + \left(\frac{\partial P_i}{\partial H_l}\right) dH_l + \left(\frac{\partial P_i}{\partial T}\right) dT$$

$$s$$

$$dM_i = \left(\frac{\partial M_i}{\partial \sigma_{kl}}\right) d\sigma_{kl} + \left(\frac{\partial M_i}{\partial E_k}\right) dE_k + \left(\frac{\partial M_i}{\partial H_l}\right) dH_l + \left(\frac{\partial M_i}{\partial T}\right) dT$$

$$dS = \left(\frac{\partial S}{\partial \sigma_{kl}}\right) d\sigma_{kl} + \left(\frac{\partial S}{\partial E_k}\right) dE_k + \left(\frac{\partial S}{\partial H_l}\right) dH_l + \left(\frac{\partial S}{\partial T}\right) dT$$

$$13$$

$$14$$

$$15$$

$$16$$

- 1. Elastic deformation.
- 2. Reciprocal (or converse) piezo-electric effect.
- 3. Reciprocal (or converse) piezo-magnetic effect.
- 4. Thermal dilatation.
- 5. Piezo-electric effect.
- 6. Electric polarization.
- 7. Magneto-electric polarization.
- 8. Pyroelectricity.
- 9. Piezo-magnetic effect.
- 10. Reciprocal (or converse) magneto-electric polarization.
- 11. Magnetic polarization.
- 12. Pyromagnetism.
- 13. Piezo-caloric effect.
- 14. Electro-caloric effect.
- 15. Magneto-caloric effect.
- 16. Heat transmission.

Direct and reciprocal effects (Maxwell relations)

$$-\left(\frac{\partial^2 G}{\partial \sigma_{ij} \partial E_k}\right) = \left(\frac{\partial P_k}{\partial \sigma_{ij}}\right) = -\left(\frac{\partial^2 G}{\partial E_k \partial \sigma_{ij}}\right) = \left(\frac{\partial \epsilon_{ij}}{\partial E_k}\right) = d_{kij}$$
$$-\left(\frac{\partial^2 G}{\partial \sigma_{ij} \partial H_l}\right) = \left(\frac{\partial M_l}{\partial \sigma_{ij}}\right) = -\left(\frac{\partial^2 G}{\partial H_l \partial \sigma_{ij}}\right) = \left(\frac{\partial \epsilon_{ij}}{\partial H_l}\right) = q_{lij}$$
$$-\left(\frac{\partial^2 G}{\partial E_k \partial H_l}\right) = \left(\frac{\partial M_l}{\partial E_k}\right) = -\left(\frac{\partial^2 G}{\partial H_l \partial E_k}\right) = \left(\frac{\partial P_k}{\partial H_l}\right) = \lambda_{lk}$$
$$-\left(\frac{\partial^2 G}{\partial \sigma_{ij} \partial T}\right) = \left(\frac{\partial S}{\partial \sigma_{ij}}\right) = -\left(\frac{\partial^2 G}{\partial T \partial \sigma_{ij}}\right) = \left(\frac{\partial \epsilon_{ij}}{\partial T}\right) = \alpha_{ij}$$
$$-\left(\frac{\partial^2 G}{\partial T \partial E_k}\right) = \left(\frac{\partial P_k}{\partial T}\right) = -\left(\frac{\partial^2 G}{\partial E_k \partial T}\right) = \left(\frac{\partial S}{\partial E_k}\right) = p_k$$
$$-\left(\frac{\partial^2 G}{\partial T \partial H_l}\right) = \left(\frac{\partial M_l}{\partial T}\right) = -\left(\frac{\partial^2 G}{\partial H_l \partial T}\right) = \left(\frac{\partial S}{\partial H_l}\right) = m_l.$$

Useful to check for errors in experiments or calculations

Point Groups

Crystals can have symmetries: rotation, reflection, inversion,...

$$\begin{pmatrix} x' \\ y' \\ z' \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \alpha & \sin \alpha \\ 0 & -\sin \alpha & \cos \alpha \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix}$$

Symmetries can be represented by matrices.

All such matrices that bring the crystal into itself form the group of the crystal.

$$AB \in G \text{ for } A, B \in \mathbf{G}$$

32 point groups (one point remains fixed during transformation) 230 space groups

Cyclic groups



http://en.wikipedia.org/wiki/Cyclic_group

Pyroelectricity 7

$$\tau_i = - \left(\frac{\partial^2 G}{\partial E_i \partial T} \right)$$

Pyroelectricity is described by a rank 1 tensor

$$\pi_{i} = \frac{\partial P_{i}}{\partial T}$$

$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{bmatrix} \begin{bmatrix} \pi_{x} \\ \pi_{y} \\ \pi_{z} \end{bmatrix} = \begin{bmatrix} \pi_{x} \\ \pi_{y} \\ -\pi_{z} \end{bmatrix} \Rightarrow \begin{bmatrix} \pi_{x} \\ \pi_{y} \\ 0 \end{bmatrix}$$

$$\begin{bmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{bmatrix} \begin{bmatrix} \pi_{x} \\ \pi_{y} \\ \pi_{z} \end{bmatrix} = \begin{bmatrix} -\pi_{x} \\ -\pi_{y} \\ -\pi_{z} \end{bmatrix} \Rightarrow \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \end{bmatrix}$$

Pyroelectricity

Quartz, ZnO, LaTaO₃

example Turmalin: point group 3m for $\Delta T = 1^{\circ}$ C, $\Delta E \sim 7 \cdot 10^4$ V/m

Pyroelectrics have a spontaneous polarization. If it can be reversed by an electric field they are called Ferroelectrics ($BaTiO_3$)

Pyroelectrics are at Joanneum research to make infrared detectors (to detect humans).

10 Pyroelectric crystal classes: 1, 2, m, mm2, 3, 3m, 4, 4mm, 6, 6mm



$$P_{i} = \chi_{ij}E_{j}$$

$$\begin{bmatrix} P_{x} \\ P_{y} \\ P_{z} \end{bmatrix} = \begin{bmatrix} \chi_{xx} & \chi_{xy} & \chi_{xz} \\ \chi_{yx} & \chi_{yy} & \chi_{yz} \\ \chi_{zx} & \chi_{zy} & \chi_{zz} \end{bmatrix} \begin{bmatrix} E_{x} \\ E_{y} \\ E_{z} \end{bmatrix}$$

Transforming P and E by a crystal symmetry must leave the susceptibility tensor unchanged

$$U\vec{P} = \chi U\vec{E} \qquad \qquad U^{-1}U\vec{P} = U^{-1}\chi U\vec{E} \qquad \qquad \chi = U^{-1}\chi U$$

If rotation by 180 about the *z* axis is a symmetry,

$$U = \begin{bmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \qquad U^{-1} = U = \begin{bmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \qquad U^{-1} \chi U = \begin{bmatrix} \chi_{xx} & \chi_{xy} & -\chi_{xz} \\ \chi_{yx} & \chi_{yy} & -\chi_{yz} \\ -\chi_{zx} & -\chi_{zy} & \chi_{zz} \end{bmatrix}$$

$$\chi_{xz} = \chi_{yz} = \chi_{zx} = \chi_{zy} = 0$$

The 32 Crystal Classes

Crystal system	Crystal Class	International symbol	Schoenflies symbol	Space groups	2-fold axes	3-fold axes	4-fold axes	6-fold axes	mirror planes	inversion	Examples	N syı ele
$ \begin{array}{c} \text{Triclinic} \\ a \neq b \neq c \\ a \neq \beta \neq \gamma \end{array} $	triclinic-pedial	1	C_1	1		2	<u>.</u>	<u>(200</u> 4)	<u>4</u>	n		
α	triclinic- pinacoidal	ī	$S_2 = C_1$	2	33 - 3	-	-			у		
$ Monoclinic a \neq b \neq c a \neq 90^\circ, $	monoclinic- sphenoidal	2	C ₂	3-5	1	-	-	37 - 2	-	n		
$\beta = \gamma = 90^{\circ}$	monoclinic- domatic	m	$C_{1h} = C_s$	6-9	25	Ţ.	87	12 . 2	1	n		
α β	monoclinic- prismatic	2/m	C_{2h}	10-15	1	-	-	-	1	у		
Orthorhombic $a \neq b \neq c$	orthorhombic- disphenoidal	222	<i>V</i> = <i>D</i> ₂	16-24	3	÷	<u>.</u>	<u>(2025)</u>	4	n		
$\alpha = \beta = \gamma = 90^{\circ}$	orthorhombic- pyramidal	mm2	C_{2v}	25-46	1	-	-		2	n		
a h											47: YBa2Cu3O7-x	

http://lamp.tu-graz.ac.at/~hadley/ss2/crystalphysics/crystalclasses/crystalclasses.html

Birefringence



name	international	Schoenflies	examples
rhombohedral holohedral	$\overline{3}m$	D _{3d}	calcite, corundum, hematite
rhombohedral hemimorphic	3m	C _{3v}	tourmaline, alunite
rhombohedral tetartohedral	$\overline{3}$	S ₆	dolomite, ilmenite
trapezohedral	32	D3	quartz, cinnabar
rhombohedral tetartohedral	3	C ₃	none verified

Calcite



Cubic crystals

All second rank tensors of cubic crystals reduce to constants

Electrical conductivity, thermal conductivity, electric susceptibility, magnetic susceptibility, Peltier effect (heat current due to electrical current), Seebeck effect (Electric field due to thermal gradient)



Material 🔶	ρ (Ω•m) at 20 °C	σ (S/m) at 20 °C	Temperature coefficient ^[note 1] (K ⁻¹)	Reference	
Silver	1.59×10 ⁻⁸	6.30×10 ⁷	0.0038	[7][8]	
Copper	1.68×10 ⁻⁸	5.96×10 ⁷	0.0039	[8]	
Annealed copper ^[note 2]	1.72×10 ^{−8}	5.80×10 ⁷		[citation needed]	
Gold ^[note 3]	2.44×10 ⁻⁸	4.10×10 ⁷	0.0034	[7]	
Aluminium ^[note 4]	2.82×10 ⁻⁸	3.5×10 ⁷	0.0039	[7]	
Calcium	3.36×10 ⁻⁸	2.98×10 ⁷	0.0041		
Tungsten	5.60×10 ⁻⁸	1.79×10 ⁷	0.0045	[7]	
Zinc	5.90×10 ⁻⁸	1.69×10 ⁷	0.0037	[9]	
Nickel	6.99×10 ⁻⁸	1.43×10 ⁷	0.006		
Lithium	9.28×10 ⁻⁸	1.08×10 ⁷	0.006		
Iron	1.0×10 ^{−7}	1.00×10 ⁷	0.005	[7]	
Platinum	1.06×10 ⁻⁷	9.43×10 ⁶	0.00392	[7]	
Tin	1.09×10 ⁻⁷	9.17×10 ⁶	0.0045		
Carbon steel (1010)	1.43×10 ⁻⁷	6.99×10 ⁶		[10]	
Lead	2.2×10 ^{−7}	4.55×10 ⁶	0.0039	[7]	
Titanium	4.20×10 ⁻⁷	2.38×10 ⁶	х		
Grain oriented electrical steel	4.60×10 ⁻⁷	2.17×10 ⁶		[11]	
Manganin	4.82×10 ⁻⁷	2.07×10 ⁶	0.000002	[12]	
Constantan	4.9×10 ⁻⁷	2.04×10 ⁶	0.000008	[13]	
Stainless steel ^[note 5]	6.9×10 ^{−7}	1.45×10 ⁶		[14]	
Mercury	9.8×10 ⁻⁷	1.02×10 ⁶	0.0009	[12]	
Nichrome ^[note 6]	1.10×10 ⁻⁶	9.09×10 ⁵	0.0004	[7]	
GaAs	5×10 ⁻⁷ to 10×10 ⁻³	5×10 ⁻⁸ to 10 ³		[15]	
Carbon (amorphous)	5×10 ⁻⁴ to 8×10 ⁻⁴	1.25 to 2×10 ³	-0.0005	[7][16]	
Carbon (graphite) ^[note 7]	2.5e×10 ⁻⁶ to 5.0×10 ⁻⁶ //basal plane 3.0×10 ⁻³ ⊥basal plane	2 to 3×10 ⁵ //basal plane 3.3×10 ² ⊥basal plane		[17]	
Carbon (diamond) ^[note 8]	1×10 ¹²	~10 ⁻¹³		[18]	
Germanium ^[note 8]	4.6×10 ⁻¹	2.17	-0.048	[7][8]	
Sea water ^[note 9]	2×10 ⁻¹	4.8		[19]	
Diality in finate 101	2 401 - 2 403	F 40-4, F 40-2		Icitation needed]	

Piezoelectricity (rank 3 tensor)

AFM's, STM's Quartz crystal oscillators Surface acoustic wave generators Pressure sensors - Epcos Fuel injectors - Bosch Inkjet printers

No inversion symmetry



lead zirconate titanate (Pb[Zr_xTi_{1-x}]O₃ 0<x<1) —more commonly known as PZT barium titanate (BaTiO₃) lead titanate (PbTiO₃) potassium niobate (KNbO₃) lithium niobate (LiNbO₃) lithium tantalate (LiTaO₃) sodium tungstate (Na₂WO₃) Ba₂NaNb₅O₅ Pb₂KNb₅O₁₅

Piezoelectric crystal classes: 1, 2, m, 222, mm2, 4, -4, 422, 4mm, -42m, 3, 32, 3m, 6, -6, 622, 6mm, -62m, 23, -43m

The 32 Crystal Classes

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a h											47: YBa2Cu3O7-x	

http://lamp.tu-graz.ac.at/~hadley/ss2/crystalphysics/crystalclasses/crystalclasses.html

Tensor (Voigt) notation

We need a way to represent 3rd and 4th rank tensors in 2-d.





Using Voigt notation implies that the tensor is symmetric in those two indices.

Symmetric Tensors

$$\chi^E_{ij} = \frac{\partial P_i}{\partial E_j} = -\frac{\partial^2 G}{\partial E_i \partial E_j} = \frac{\partial P_j}{\partial E_i} = \chi^E_{ji}$$

g_{11}	g_{12}	g_{13}
g_{12}	g_{22}	g_{23}
g_{13}	g_{23}	g_{33}