

Plane wave method

Tight binding

Bloch Theorem

$$\text{Bloch form} \quad \psi_{\vec{k}}(\vec{r}) = e^{i\vec{k}\cdot\vec{r}} u_{\vec{k}}(\vec{r})$$

Eigenfunction solutions of the Schrödinger equation have Bloch form.

k is a wave vector in the 1st Brillouin zone

$u_{\vec{k}}(\vec{r})$ is a periodic function

Plane wave method

$$-\frac{\hbar^2}{2m} \nabla^2 \psi_{\vec{k}} + U(\vec{r}) \psi_{\vec{k}} = E \psi_{\vec{k}}.$$

The potential is periodic

$$U(\vec{r}) = \sum_{\vec{G}} U_{\vec{G}} e^{i\vec{G}\cdot\vec{r}}$$

Bloch form

$$\psi_{\vec{k}}(\vec{r}) = e^{i\vec{k}\cdot\vec{r}} \sum_{\vec{G}'} C_{\vec{G}'} e^{i\vec{G}'\cdot\vec{r}}$$

$$\sum_{\vec{G}} C_{\vec{G}} e^{i\vec{G}\cdot\vec{r}} = \sum_{\vec{G}'} C_{\vec{G}'} e^{i\vec{G}'\cdot\vec{r}}$$

We can relabel the reciprocal lattice vectors since we sum over them.

Plane wave method

$$\sum_{\vec{G}'} \frac{\hbar^2(\vec{k} + \vec{G}')^2}{2m} C_{\vec{G}'} e^{i(\vec{k} + \vec{G}') \cdot \vec{r}} + \sum_{\vec{G}} \sum_{\vec{G}''} U_{\vec{G}} C_{\vec{G}''} e^{i(\vec{k} + \vec{G} + \vec{G}'') \cdot \vec{r}} = E \sum_{\vec{G}'} C_{\vec{G}'} e^{i(\vec{k} + \vec{G}') \cdot \vec{r}}$$

Only the terms with the same wavelength can be equal to each other.

$$\vec{G}'' = \vec{G}' - \vec{G}$$

Central equations:
$$\frac{\hbar^2(\vec{k} + \vec{G}')^2}{2m} C_{\vec{G}'} + \sum_{\vec{G}} U_{\vec{G}} C_{\vec{G}' - \vec{G}} = E C_{\vec{G}'}$$

There is one equation for each \vec{G}' vector.

Plane wave method

Central equations:
$$\frac{\hbar^2(\vec{k} + \vec{G}')^2}{2m} C_{\vec{G}'} + \sum_{\vec{G}} U_{\vec{G}} C_{\vec{G}' - \vec{G}} = EC_{\vec{G}'}$$

$$\frac{\hbar^2(k - G_0)^2}{2m} C_{-G_0} + (\dots + U_{-2G_0} C_{G_0} + U_{-G_0} C_0 + U_0 C_{-G_0} + \dots) = EC_{-G_0}.$$

$$\frac{\hbar^2 k^2}{2m} C_0 + (\dots + U_{-G_0} C_{G_0} + U_0 C_0 + U_{G_0} C_{-G_0} + \dots) = EC_0.$$

$$\frac{\hbar^2(k + G_0)^2}{2m} C_{G_0} + (\dots + U_0 C_{G_0} + U_{G_0} C_0 + U_{2G_0} C_{-G_0} + \dots) = EC_{G_0}.$$

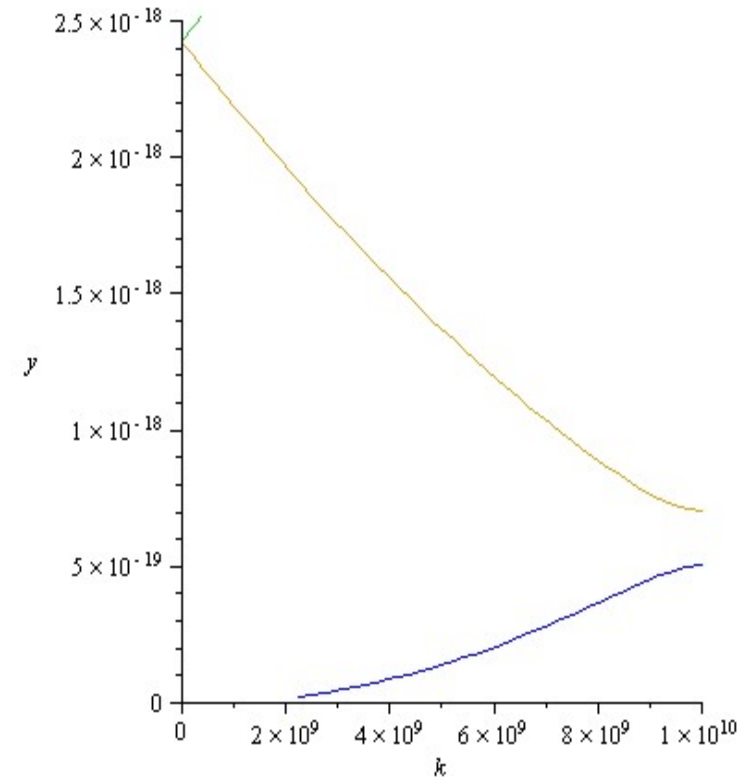
$$\mathbf{M}\vec{C} = E\vec{C}.$$

Central equations - one dimension

$$M4 := \begin{bmatrix} \frac{\hbar^2 \cdot (k + 2 \cdot G)^2}{2m} & U & 0 & 0 \\ U & \frac{\hbar^2 \cdot (k + G)^2}{2m} & U & 0 \\ 0 & U & \frac{\hbar^2 \cdot k^2}{2m} & U \\ 0 & 0 & U & \frac{\hbar^2 \cdot (k - G)^2}{2m} \end{bmatrix} :$$

$V4 := \text{Eigenvalues}(M4) :$

$\text{plot}([V4[1], V4[2], V4[3], V4[4]], k = 0 \dots 1E10, y = 0 \dots 2.5E-18);$



Central equations 3d - simple cubic

$$U(\vec{r}) = \sum_{\vec{G}} U_{\vec{G}} e^{i\vec{G}\cdot\vec{r}}$$

Molecular orbital Hamiltonian

$$U_{\vec{G}} = \frac{-Ze^2}{V_{\text{unit cell}} \epsilon_0 G^2}$$

Central equations:

$$\frac{\hbar^2(\vec{k} + \vec{G}')^2}{2m} C_{\vec{G}'} + \sum_{\vec{G}} U_{\vec{G}} C_{\vec{G}' - \vec{G}} = EC_{\vec{G}'}$$

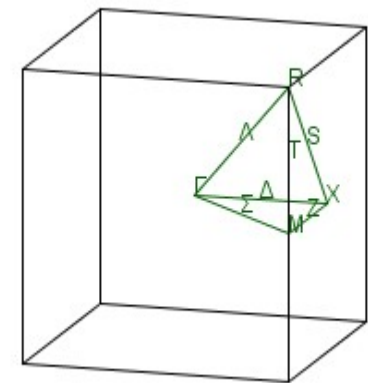
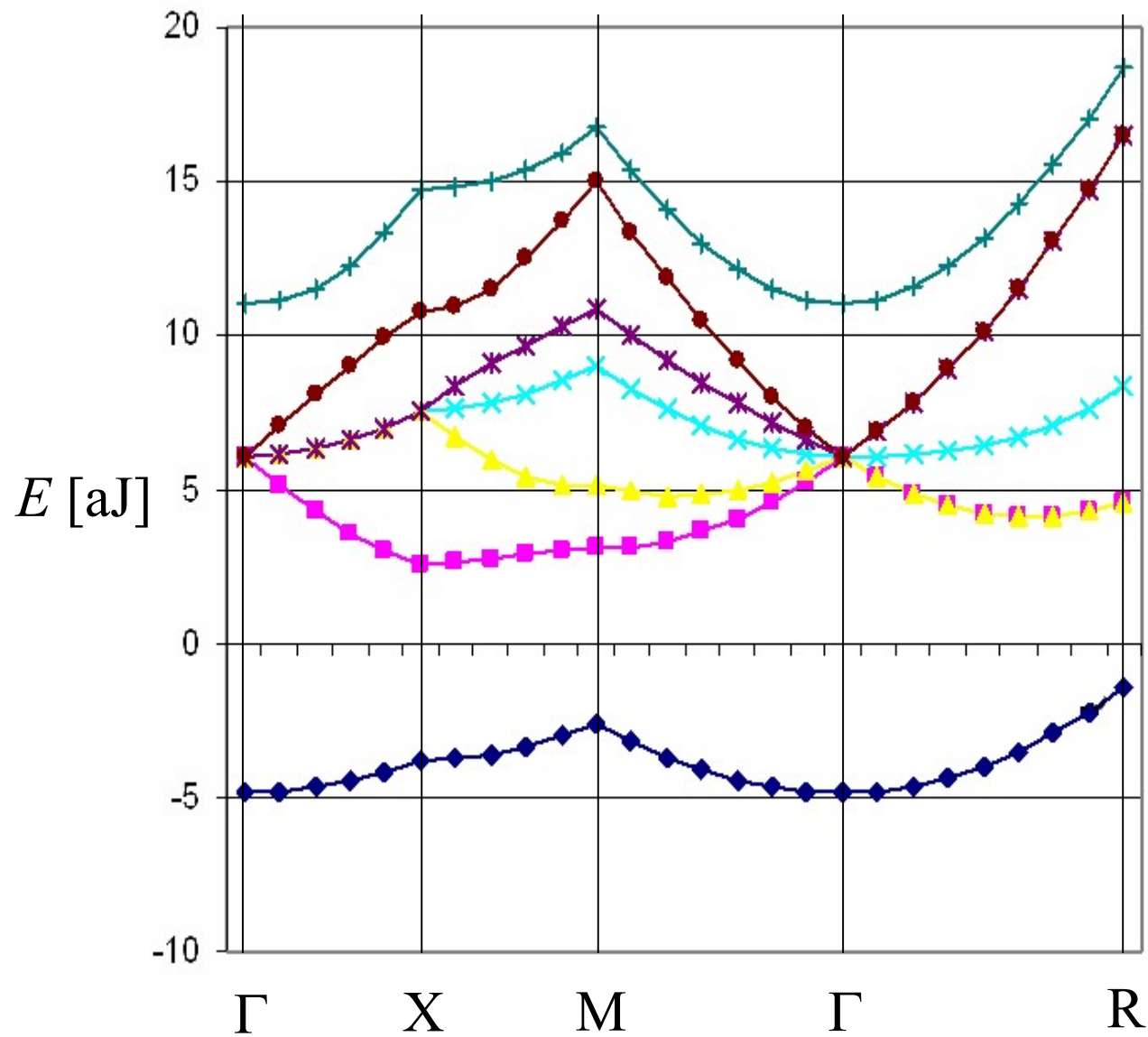
diagonal elements:

$$\frac{\hbar^2}{2m} (\vec{k} - \vec{G}_i)^2$$

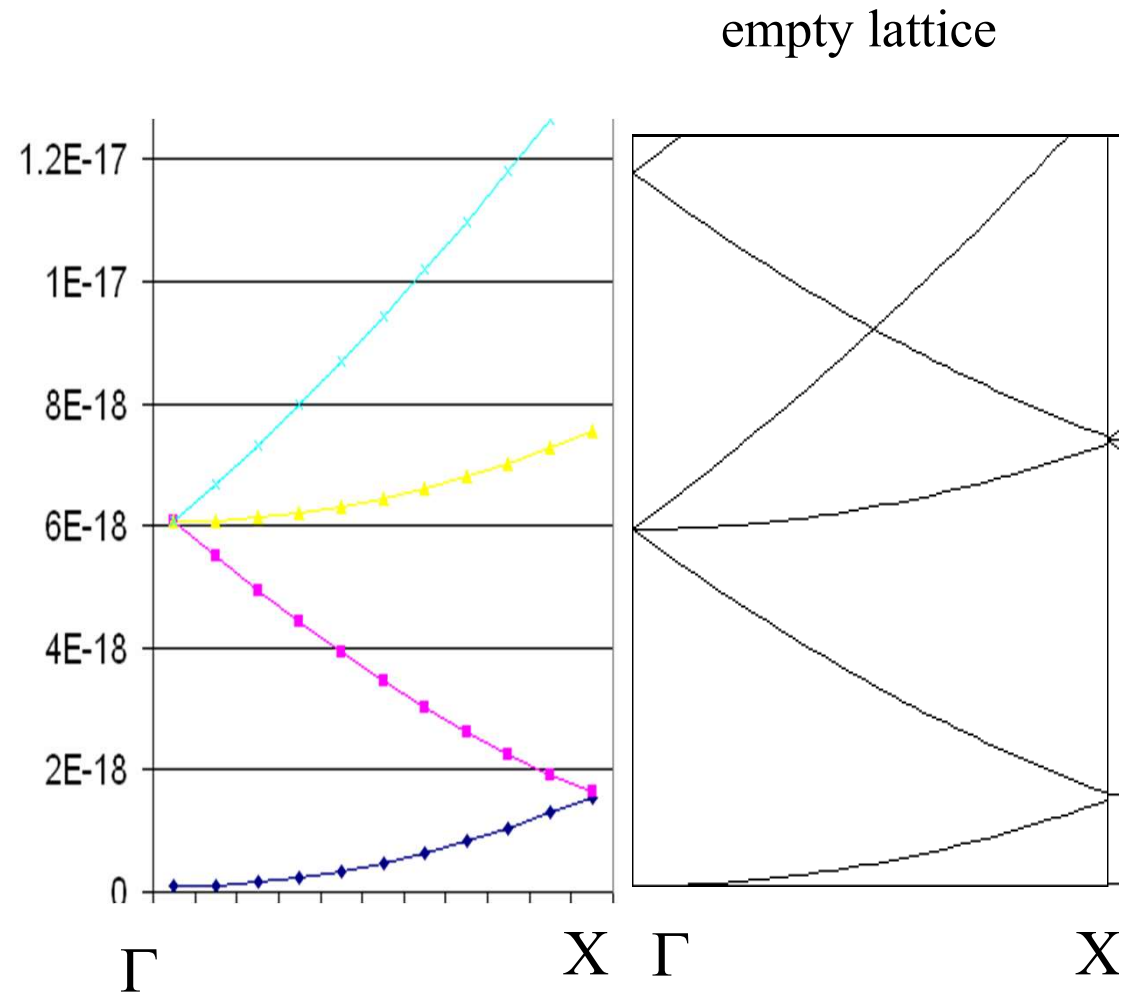
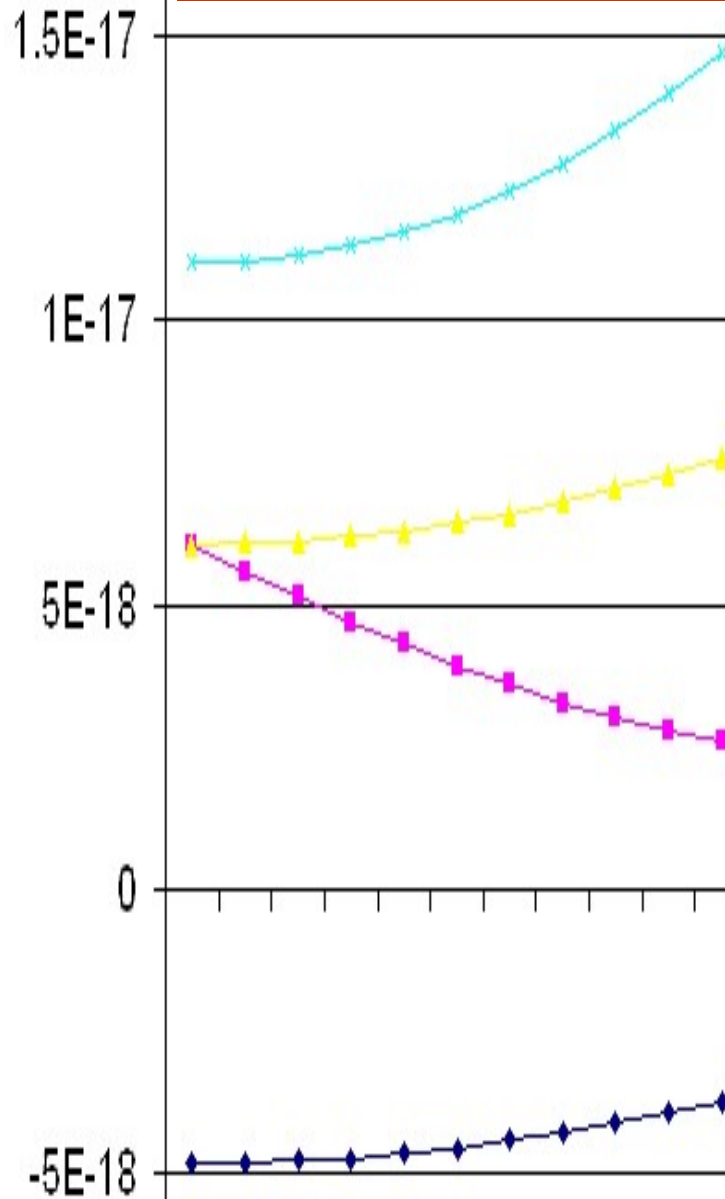
off-diagonal elements:

$$\frac{-Ze^2}{V_{\text{unit cell}} \epsilon_0 (\vec{G}_i - \vec{G}_j)^2}$$

Central equations - simple cubic

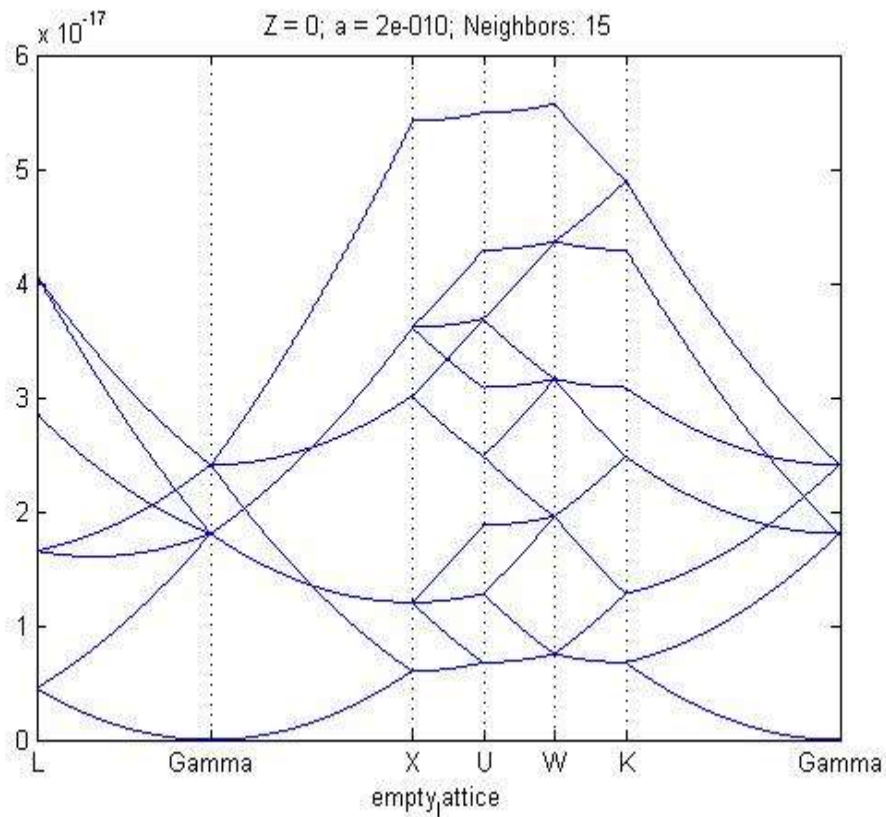


Central equations - simple cubic

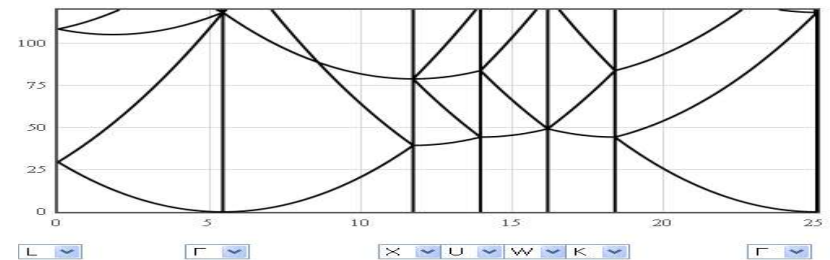


Plane wave method

fcc $Z=0$

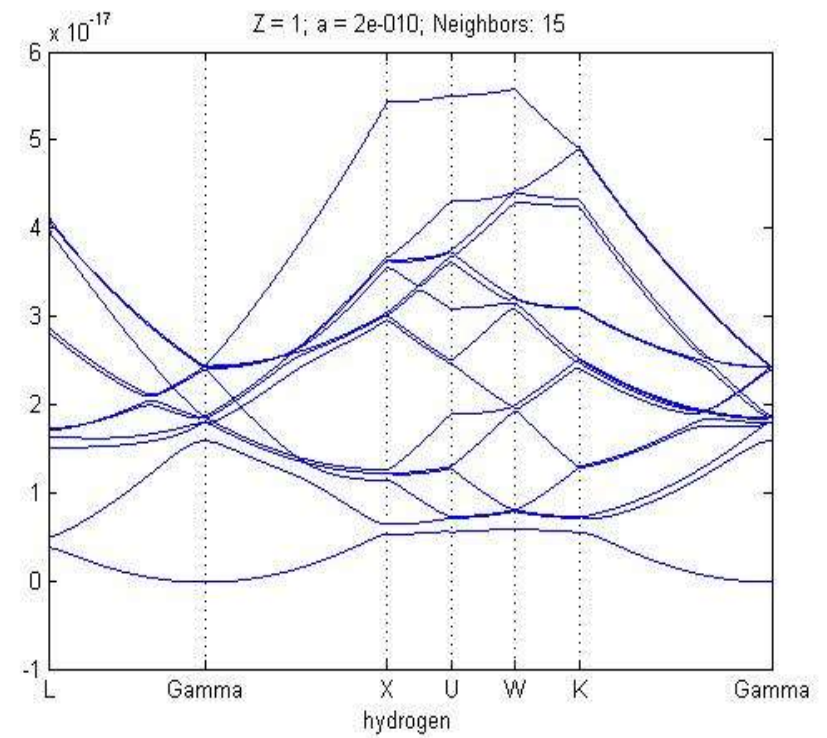
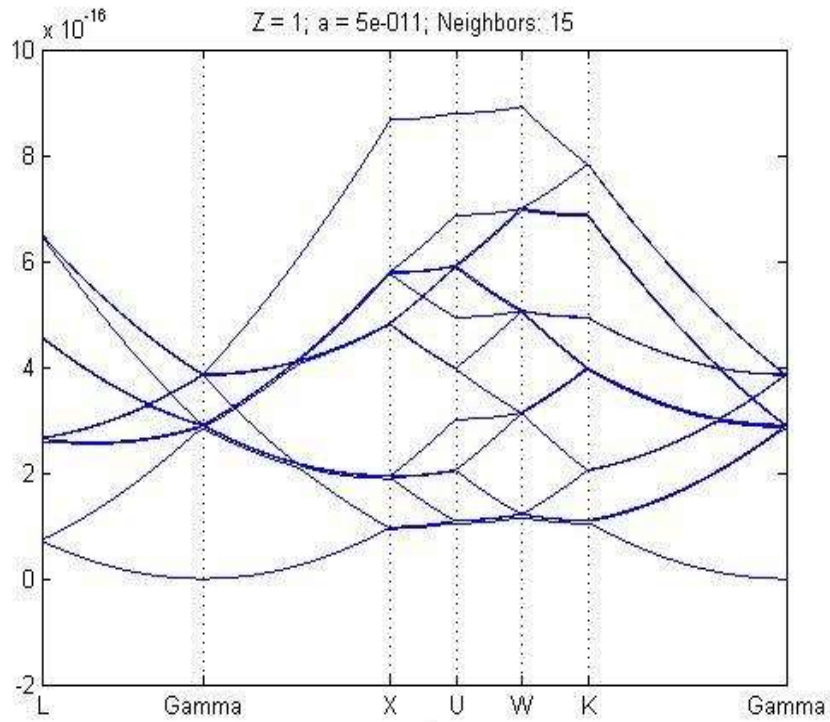


empty lattice



Plane wave method

fcc hydrogen



Approximate solution near the Bz boundary

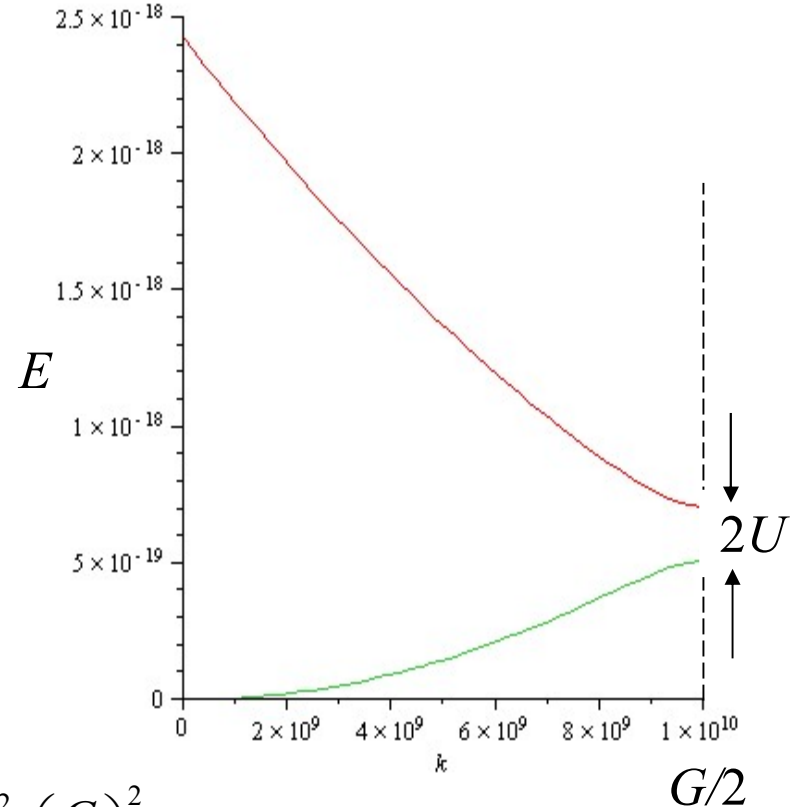
$$\frac{\hbar^2(\vec{k} + \vec{G}')^2}{2m} C_{\vec{G}'} + \sum_{\vec{G}} U_{\vec{G}} C_{\vec{G}' - \vec{G}} = E C_{\vec{G}'}$$

For just 2 terms

$$\begin{bmatrix} \frac{\hbar^2 k^2}{2m} - E & U \\ U & \frac{\hbar^2(k - G)^2}{2m} - E \end{bmatrix} \begin{bmatrix} C_0 \\ C_{-G} \end{bmatrix} = 0$$

Near the Brillouin zone boundary $k \sim G/2$

$$\begin{bmatrix} \frac{\hbar^2}{2m} \left(\frac{G}{2}\right)^2 - E & U \\ U & \frac{\hbar^2}{2m} \left(\frac{G}{2}\right)^2 - E \end{bmatrix} \begin{bmatrix} C_0 \\ C_{-G} \end{bmatrix} = 0$$



$$E = \frac{\hbar^2}{2m} \left(\frac{G}{2}\right)^2 \pm U$$

Tight binding (Linear combination of atomic orbitals)

Apply the method of the Linear Combination of Atomic Orbitals to a molecule with periodic boundary conditions (like benzene).

$$\psi_{\vec{k}}(\vec{r}) = \frac{1}{\sqrt{N}} \sum_{h,i,l} e^{i(h\vec{k}\cdot\vec{a}_1 + j\vec{k}\cdot\vec{a}_2 + l\vec{k}\cdot\vec{a}_3)} \underbrace{\sum_a \sum_{ao} c_{ao,a} \phi_{ao}^{Z_a}(\vec{r} - \vec{r}_a)}_{\text{Periodic function}}$$

↑
Sum over unit cell

Review: Molecules

Start with the full Hamiltonian

$$H = -\sum_i \frac{\hbar^2}{2m_e} \nabla_i^2 - \sum_A \frac{\hbar^2}{2m_A} \nabla_A^2 - \sum_{i,A} \frac{Z_A e^2}{4\pi\epsilon_0 r_{iA}} + \sum_{i<j} \frac{e^2}{4\pi\epsilon_0 r_{ij}} + \sum_{A<B} \frac{Z_A Z_B e^2}{4\pi\epsilon_0 r_{AB}}$$

Use the Born-Oppenheimer approximation

$$H_{elec} = -\sum_i \frac{\hbar^2}{2m_e} \nabla_i^2 - \sum_{i,A} \frac{Z_A e^2}{4\pi\epsilon_0 r_{iA}} + \sum_{i<j} \frac{e^2}{4\pi\epsilon_0 r_{ij}} + \sum_{A<B} \frac{Z_A Z_B e^2}{4\pi\epsilon_0 r_{AB}}$$

Neglect the electron-electron interactions. H_{elec} is then a sum of H_{MO} .

$$H_{MO} = \frac{-\hbar^2}{2m_e} \nabla_1^2 - \sum_A \frac{Z_A e^2}{4\pi\epsilon_0 |r_1 - r_A|}$$

The molecular orbital Hamiltonian can be solved numerically or by the Linear Combinations of Atomic Orbitals (LCAO)

Linear combination of atomic orbitals

Guess that the solution to H_{mo} can be written as a linear combination of atomic orbitals.

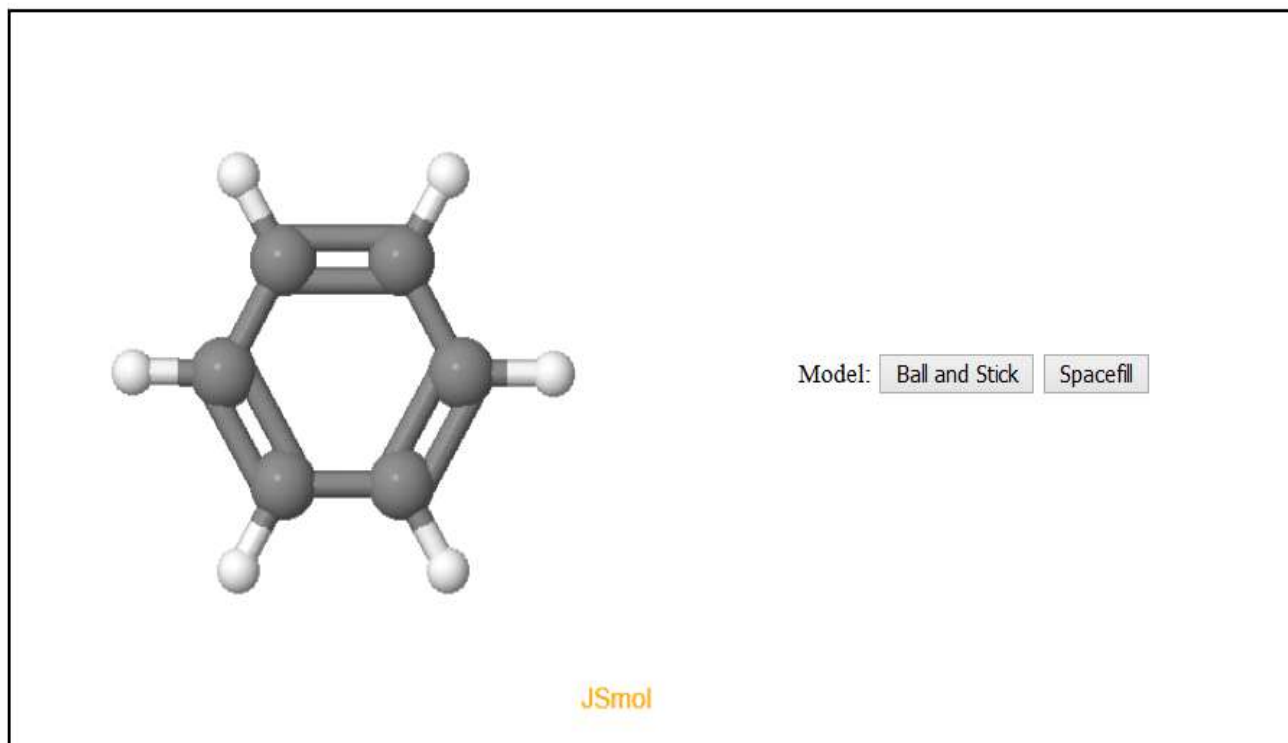
$$\Psi_{mo} = c_1 \phi_{1s,A}^H + c_2 \phi_{1s,B}^H + c_3 \phi_{2s,A}^H + c_4 \phi_{2s,B}^H + \dots$$

Construct the Hamiltonian matrix.

$$\begin{bmatrix} \langle \phi_{1s,A}^H | H_{mo} | \phi_{1s,A}^H \rangle & \langle \phi_{1s,A}^H | H_{mo} | \phi_{1s,B}^H \rangle \\ \langle \phi_{1s,B}^H | H_{mo} | \phi_{1s,A}^H \rangle & \langle \phi_{1s,B}^H | H_{mo} | \phi_{1s,B}^H \rangle \end{bmatrix} \begin{bmatrix} c_1 \\ c_2 \end{bmatrix} = E \begin{bmatrix} \langle \phi_{1s,A}^H | \phi_{1s,A}^H \rangle & \langle \phi_{1s,A}^H | \phi_{1s,B}^H \rangle \\ \langle \phi_{1s,B}^H | \phi_{1s,A}^H \rangle & \langle \phi_{1s,B}^H | \phi_{1s,B}^H \rangle \end{bmatrix} \begin{bmatrix} c_1 \\ c_2 \end{bmatrix}$$

Molecular orbitals of benzene

Benzene (C_6H_6) consists of 6 carbon atoms in a ring. A hydrogen atom is attached to each carbon atom. The carbon-carbon bond length is 1.40 Å and the carbon-hydrogen bond length is 1.10 Å.



$$\psi_{\text{mo},j} = \frac{1}{\sqrt{N}} \sum_{n=1}^N \exp\left(\frac{i2\pi nj}{N}\right) \phi_{2pz}^C(\vec{r} - \vec{r}_n) \quad j = 1, 2, \dots, N.$$

Tight binding: 1-D chain

$$\psi_k(x) = \frac{1}{\sqrt{N}} \sum_n e^{inka} \phi(x - na)$$

Substitute the tight-binding wave function into the time independent Schrödinger equation.

$$H_{MO}\psi_k = E_k\psi_k$$

Multiply from the left by the atomic orbitals.

$$\langle \phi(x) | \hat{H} | \psi_k(x) \rangle = E \langle \phi(x) | \psi_k(x) \rangle$$

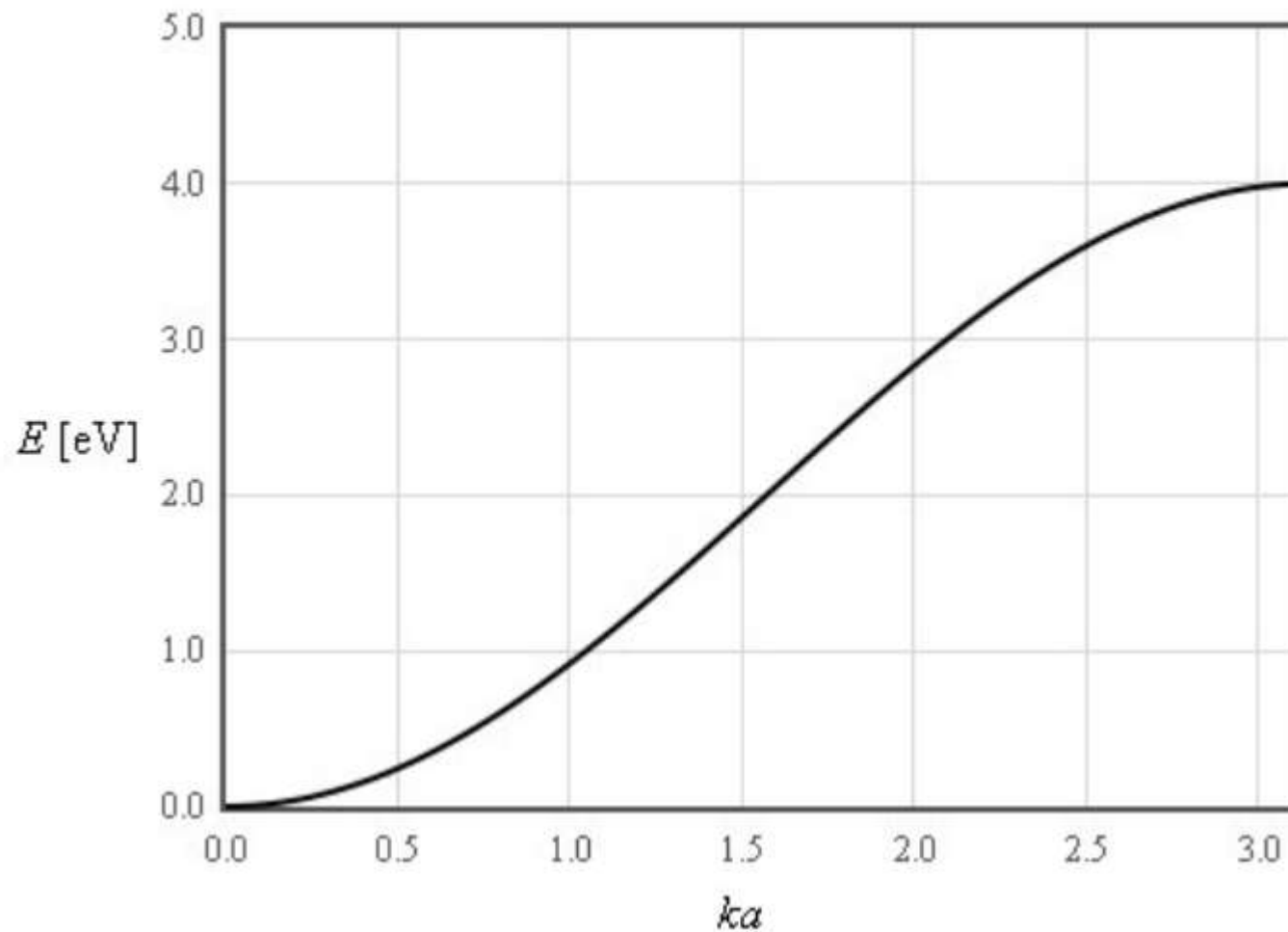
$$\begin{aligned} \langle \phi(x) | \hat{H} | \phi(x - a) \rangle e^{-ika} + \langle \phi(x) | \hat{H} | \phi(x) \rangle + \langle \phi(x) | \hat{H} | \phi(x + a) \rangle e^{ika} + \text{small terms} \\ = E + \text{small terms.} \end{aligned}$$

$$\epsilon = \langle \phi(x) | \hat{H} | \phi(x) \rangle \text{ and } t = -\langle \phi(x) | \hat{H} | \phi(x - a) \rangle$$

$$E = \epsilon - t (e^{ika} + e^{-ika}) = \epsilon - 2t \cos(ka).$$

Tight binding: 1-D chain

$$E = \epsilon - 2t \cos(ka).$$



Tight binding: 2 atoms, 1-D chain

$$\psi_k(x) = \frac{1}{\sqrt{L}} \sum_n e^{inka} (c_1 \phi_1(x - na) + c_2 \phi_2(x - na))$$

$$\langle \phi_1 | \hat{H} | \psi_k \rangle = E \langle \phi_1 | \psi_k \rangle,$$

$$\langle \phi_2 | \hat{H} | \psi_k \rangle = E \langle \phi_2 | \psi_k \rangle.$$

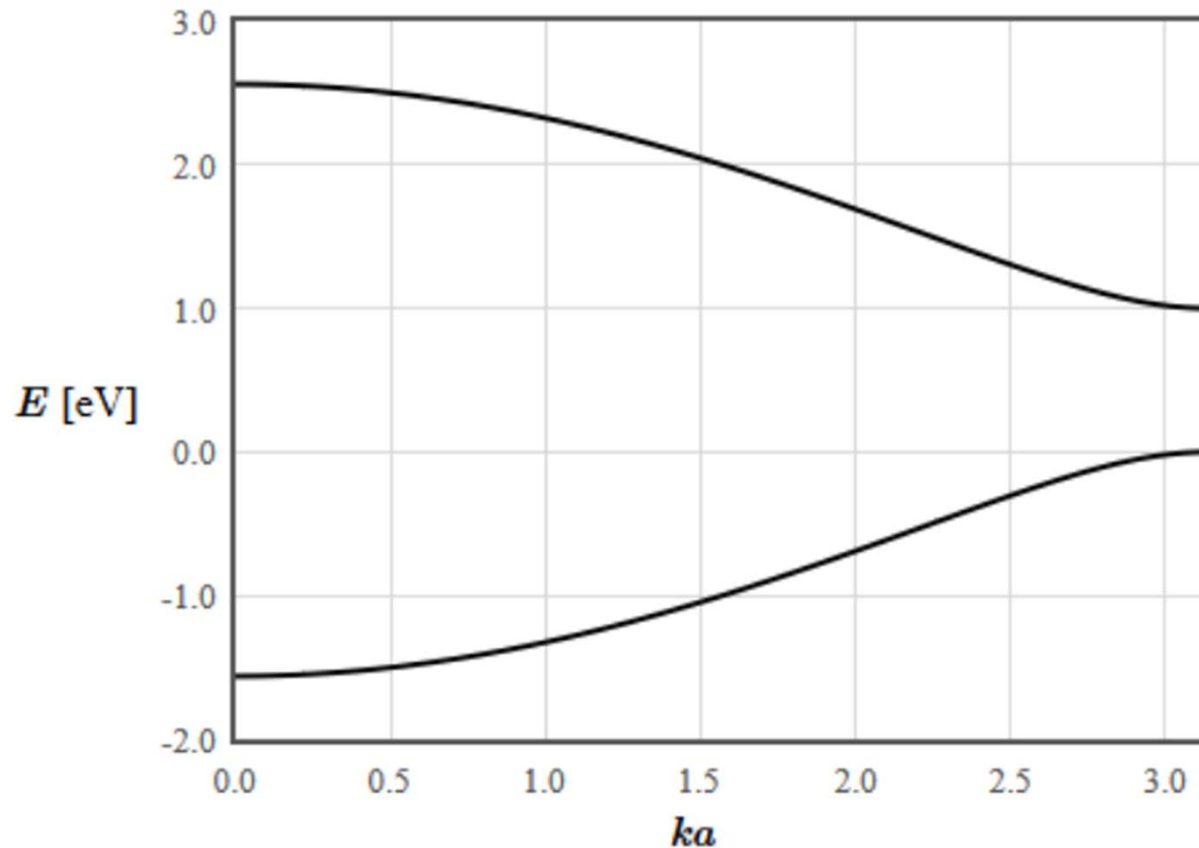
$$\epsilon_1 = \langle \phi_1(x) | \hat{H} | \phi_1(x) \rangle, \quad \epsilon_2 = \langle \phi_2(x) | \hat{H} | \phi_2(x) \rangle$$

$$t = -\langle \phi_1(x) | \hat{H} | \phi_2(x) \rangle = -\langle \phi_2(x - a) | \hat{H} | \phi_1(x) \rangle$$

$$\begin{bmatrix} \epsilon_1 - E & -t(1 + e^{-ika}) \\ -t(1 + e^{ika}) & \epsilon_2 - E \end{bmatrix} \begin{bmatrix} c_1 \\ c_2 \end{bmatrix} = 0.$$

Tight binding: 2 atoms, 1-D chain

$$E = \frac{(\epsilon_1 + \epsilon_2) \pm \sqrt{(\epsilon_1 - \epsilon_2)^2 + 8t^2(1 + \cos(ka))}}{2}.$$



2 orbitals \rightarrow 2 bands

Tight binding

Tight binding does not include electron-electron interactions

$$H_{MO} = \frac{-\hbar^2}{2m_e} \nabla^2 - \sum_A \frac{Z_A e^2}{4\pi\epsilon_0 |\vec{r} - \vec{r}_A|}$$

$$\psi_k = \frac{1}{\sqrt{N}} \sum_{l,m,n} \exp\left(i\left(l\vec{k} \cdot \vec{a}_1 + m\vec{k} \cdot \vec{a}_2 + n\vec{k} \cdot \vec{a}_3\right)\right) \psi_{\text{unit_cell}}\left(\vec{r} - l\vec{a}_1 - m\vec{a}_2 - n\vec{a}_3\right)$$

$$\psi_{\text{unit_cell}}(\vec{r}) = \sum_i c_i \phi_i(\vec{r} - \vec{r}_i)$$

Atomic wave functions

This is the tight-binding wave function.

$$T_{pqS} \psi_k = \exp\left(i\left(p\vec{k} \cdot \vec{a}_1 + q\vec{k} \cdot \vec{a}_2 + s\vec{k} \cdot \vec{a}_3\right)\right) \psi_k$$

Tight binding, one atomic orbital

$$c_a \langle \phi_a | H_{MO} | \phi_a \rangle + \sum_{\text{nearest neighbors } m} c_m \langle \phi_a | H_{MO} | \phi_m \rangle \exp(i(h\vec{k} \cdot \vec{a}_1 + j\vec{k} \cdot \vec{a}_2 + l\vec{k} \cdot \vec{a}_3)) + \text{small terms}$$
$$= E_k c_a \langle \phi_a | \phi_a \rangle + \text{small terms}$$

For only one atomic orbital in the sum over valence orbitals

$$E_k c_a \langle \phi_a | \phi_a \rangle = c_a \langle \phi_a | H_{MO} | \phi_a \rangle + \sum_{\text{nearest neighbors } m} c_a \langle \phi_a | H_{MO} | \phi_m \rangle \exp(i(h\vec{k} \cdot \vec{a}_1 + j\vec{k} \cdot \vec{a}_2 + l\vec{k} \cdot \vec{a}_3))$$

$$E_k = \varepsilon - t \sum_m e^{i\vec{k} \cdot \vec{\rho}_m}$$

$$\varepsilon = \langle \phi_a(\vec{r}) | H_{MO} | \phi_a(\vec{r}) \rangle$$

On-site energy

$$t = -\langle \phi_a(\vec{r}) | H_{MO} | \phi_a(\vec{r} - \vec{\rho}_m) \rangle$$

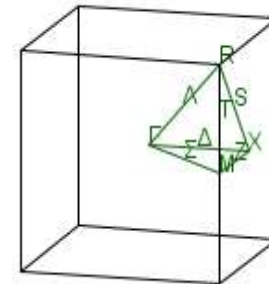
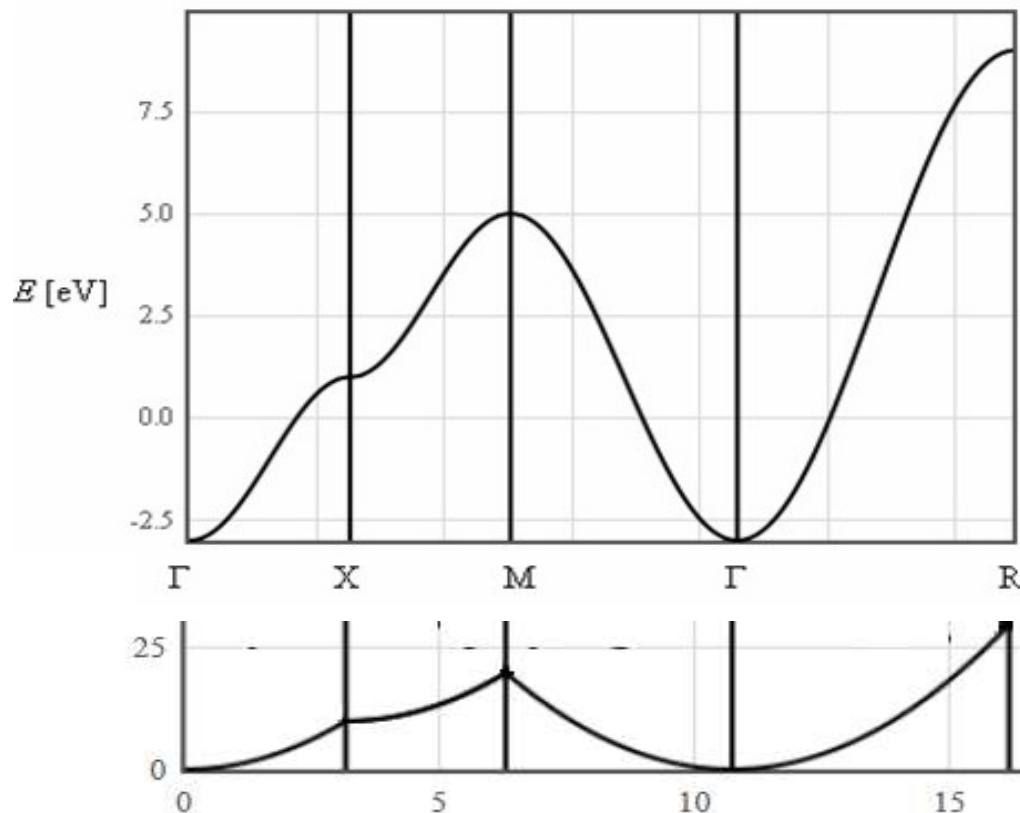
Overlap integral

Tight binding, simple cubic

$$E = \varepsilon - t \sum_{lmn} e^{i\vec{k} \cdot \vec{\rho}_{lmn}}$$

$$E = \varepsilon - t \left(e^{ik_x a} + e^{-ik_x a} + e^{ik_y a} + e^{-ik_y a} + e^{ik_z a} + e^{-ik_z a} \right)$$

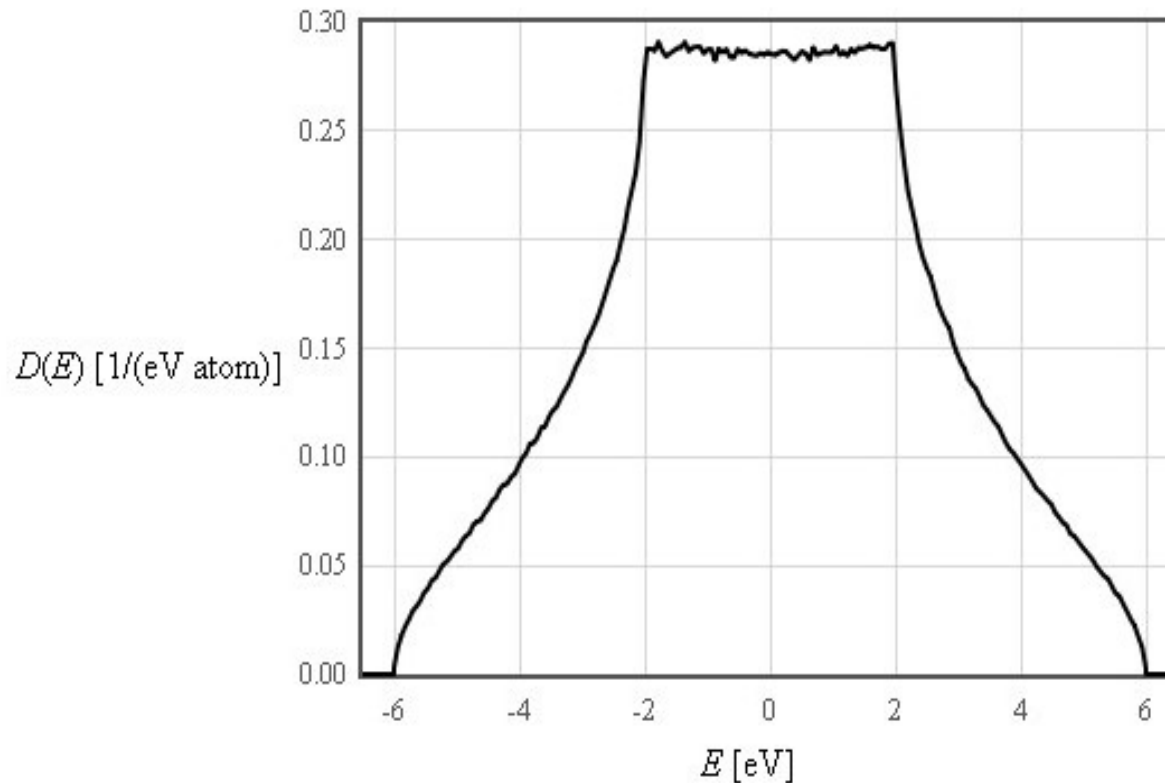
$$= \varepsilon - 2t \left(\cos(k_x a) + \cos(k_y a) + \cos(k_z a) \right)$$



Effective mass $m^* = \frac{\hbar^2}{\frac{d^2 E}{dk^2}} = \frac{\hbar^2}{2t a^2}$

Narrow bands \rightarrow high effective mass

Density of states (simple cubic)



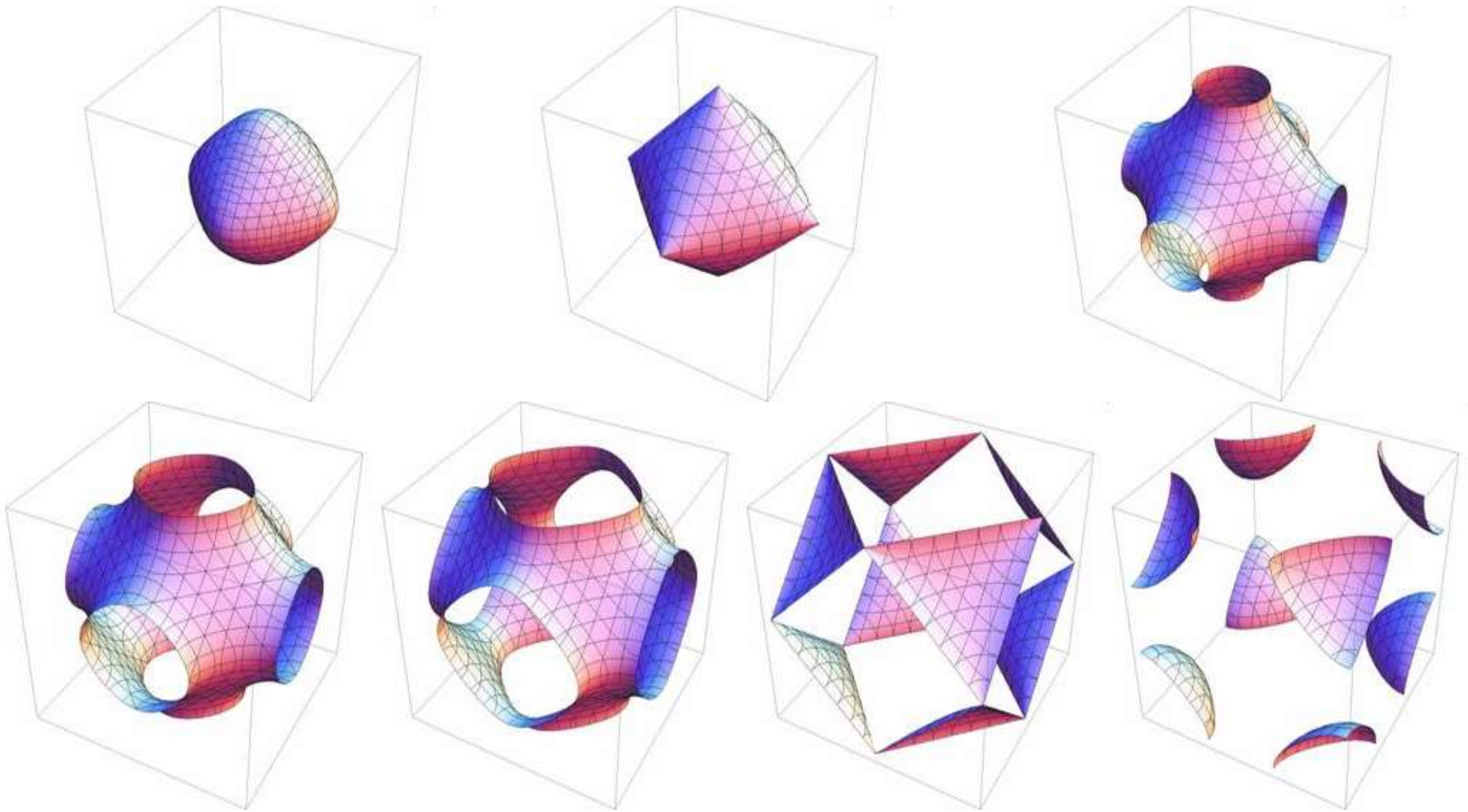
Calculate the energy for every allowed k in the Brillouin zone

$$E = \varepsilon - 2t \left(\cos(k_x a) + \cos(k_y a) + \cos(k_z a) \right)$$

<http://lamp.tu-graz.ac.at/~hadley/ss1/bands/tbtable/tbtable.html>

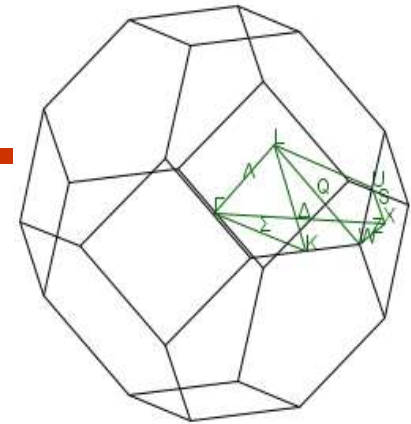
Tight binding, simple cubic

$$E = \varepsilon - 2t(\cos(k_x a) + \cos(k_y a) + \cos(k_z a))$$



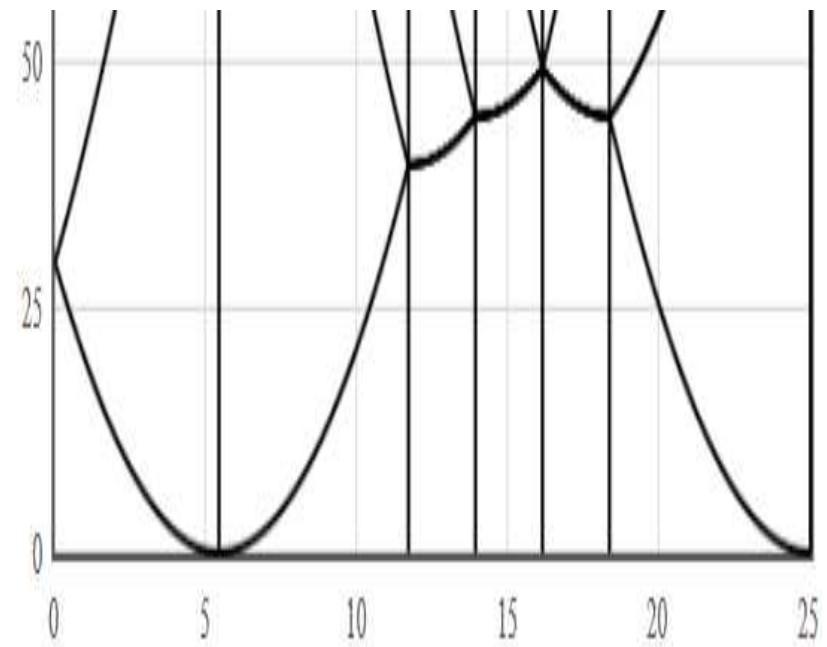
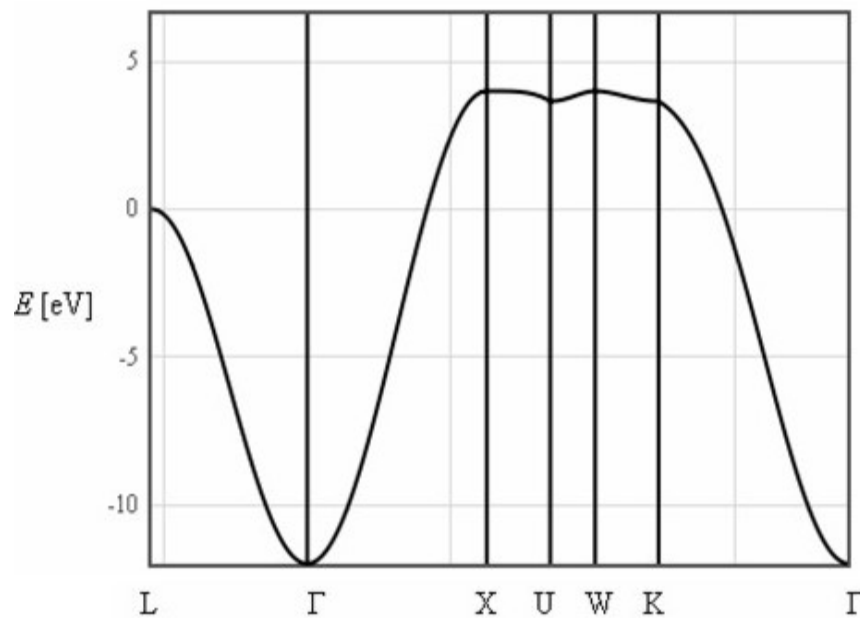
Christian Gruber, 2008

Tight binding, fcc

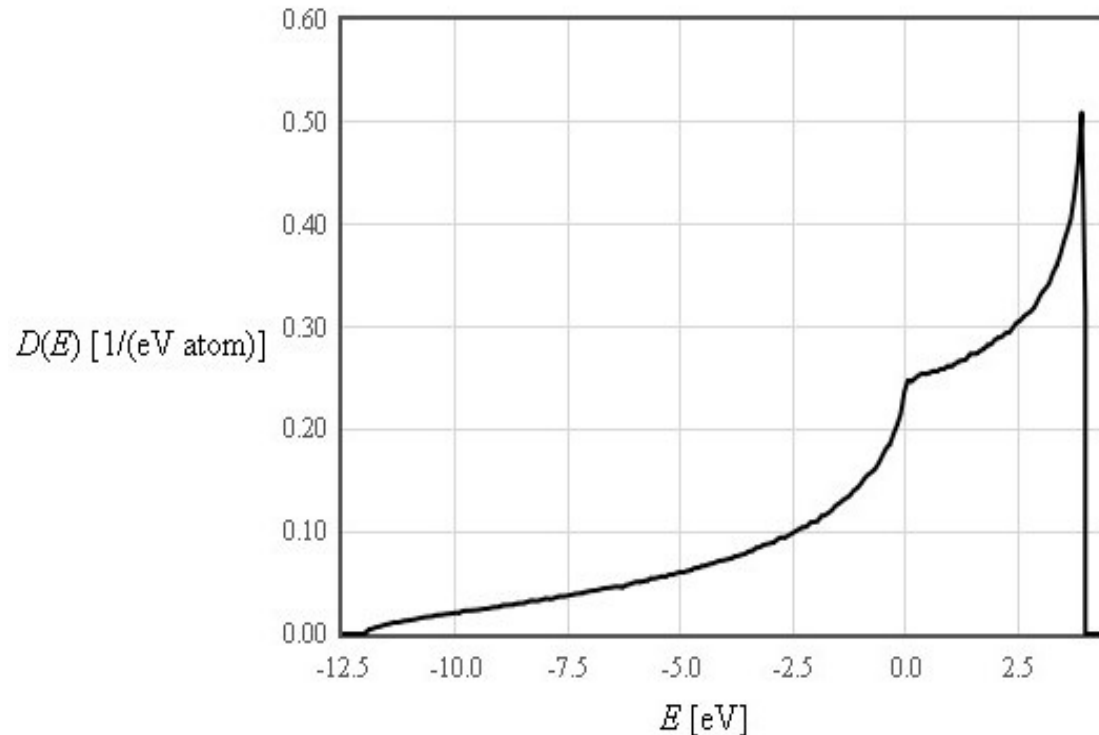


$$E = \varepsilon - t \sum_{lmn} e^{i\vec{k} \cdot \vec{\rho}_{lmn}}$$

$$E = \varepsilon - 4t \left(\cos\left(\frac{k_x a}{2}\right) \cos\left(\frac{k_y a}{2}\right) + \cos\left(\frac{k_x a}{2}\right) \cos\left(\frac{k_z a}{2}\right) + \cos\left(\frac{k_y a}{2}\right) \cos\left(\frac{k_z a}{2}\right) \right)$$



Density of states (fcc)

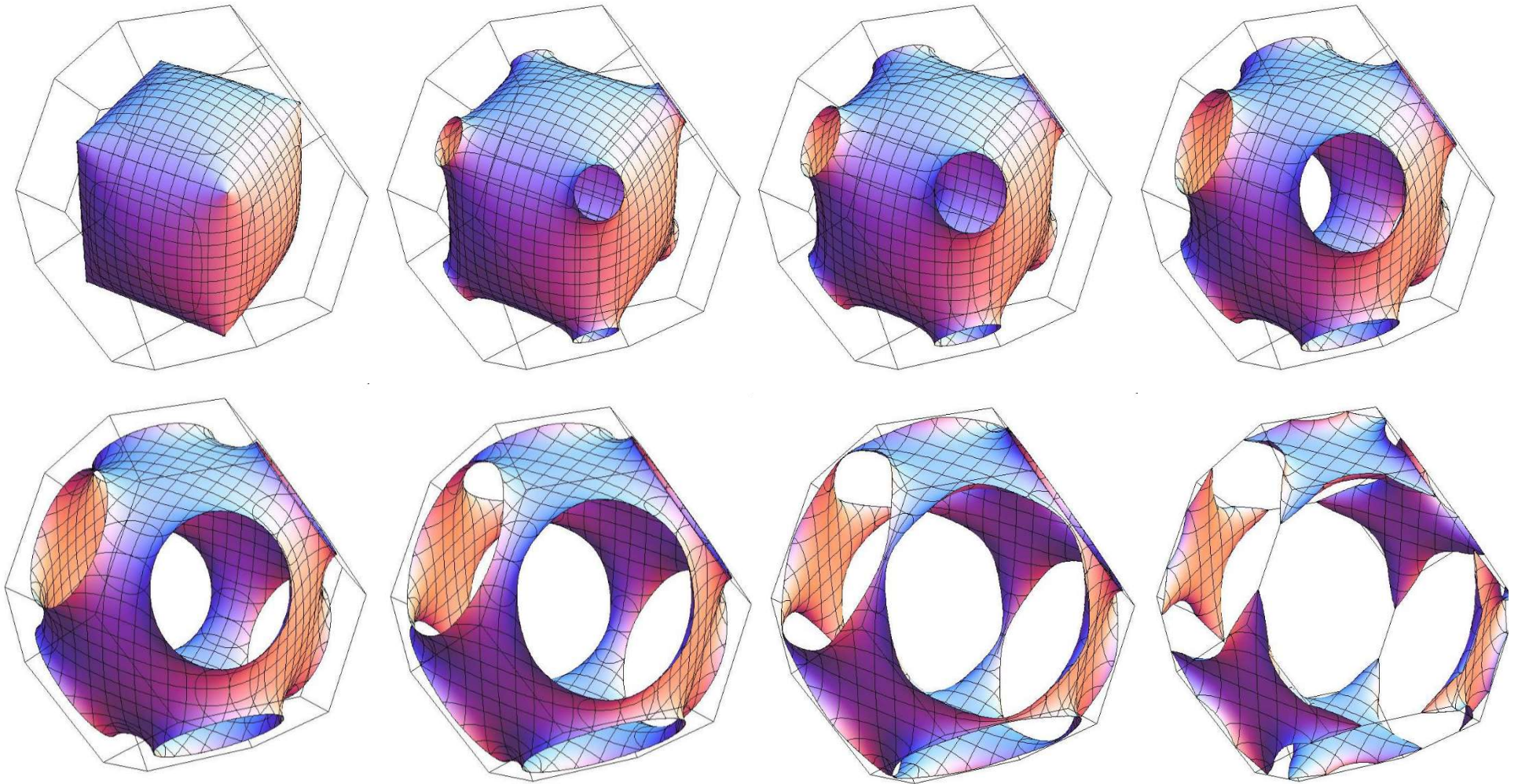


Calculate the energy for every allowed k in the Brillouin zone

$$E = \varepsilon - 4t \left(\cos\left(\frac{k_x a}{2}\right) \cos\left(\frac{k_y a}{2}\right) + \cos\left(\frac{k_x a}{2}\right) \cos\left(\frac{k_z a}{2}\right) + \cos\left(\frac{k_y a}{2}\right) \cos\left(\frac{k_z a}{2}\right) \right)$$

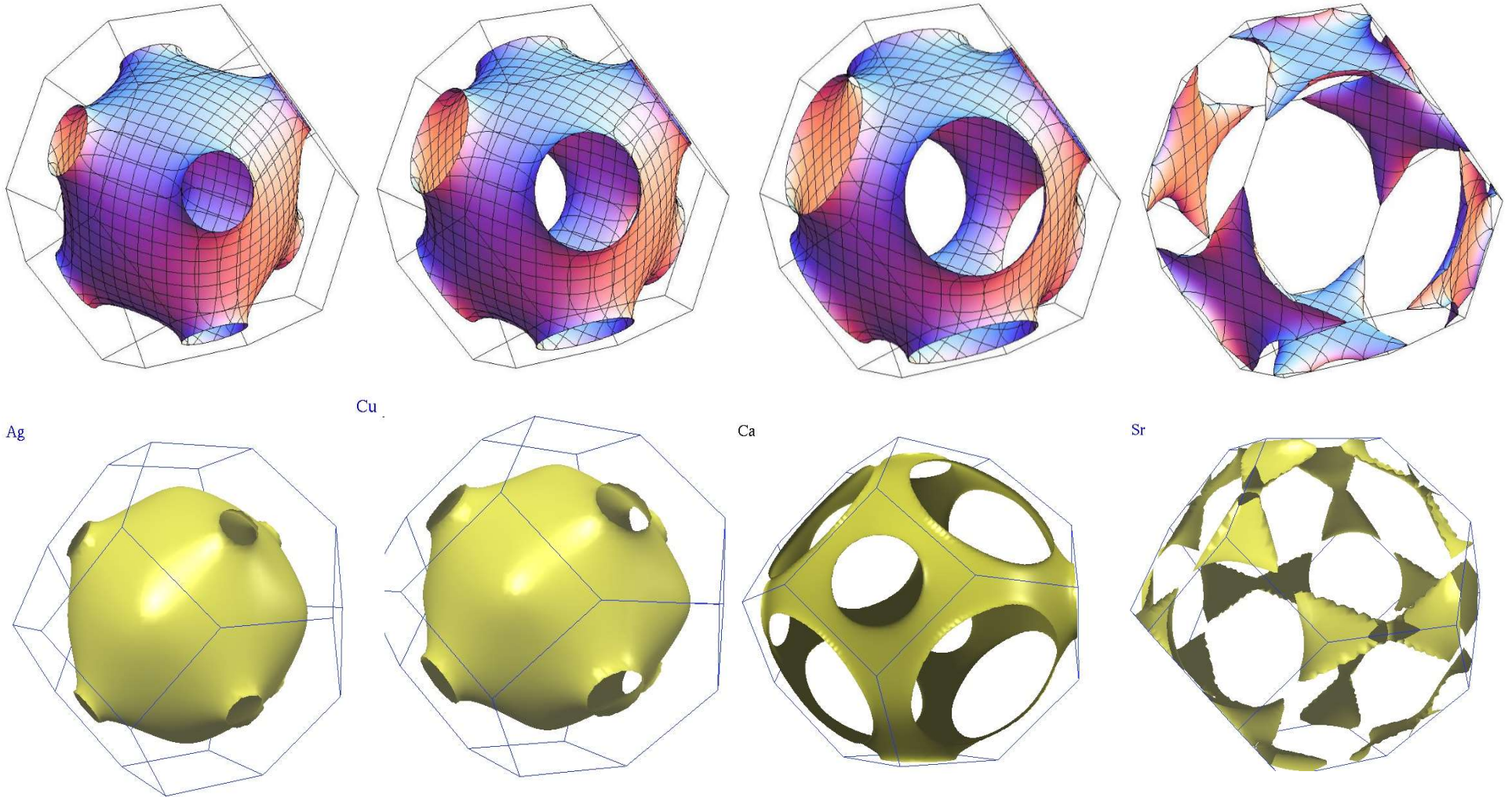
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Tight binding, fcc



Christian Gruber, 2008

Tight binding, fcc



<http://www.phys.ufl.edu/fermisurface/>

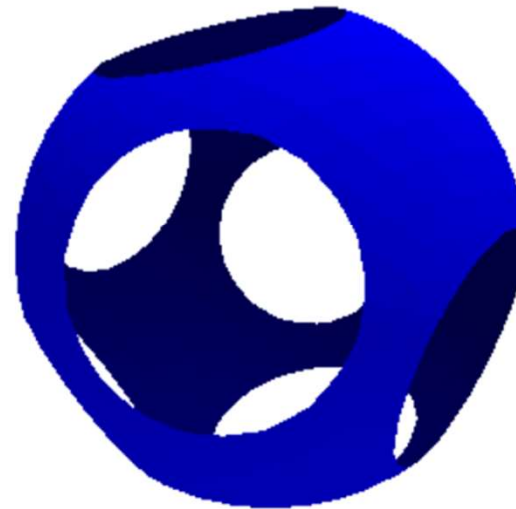
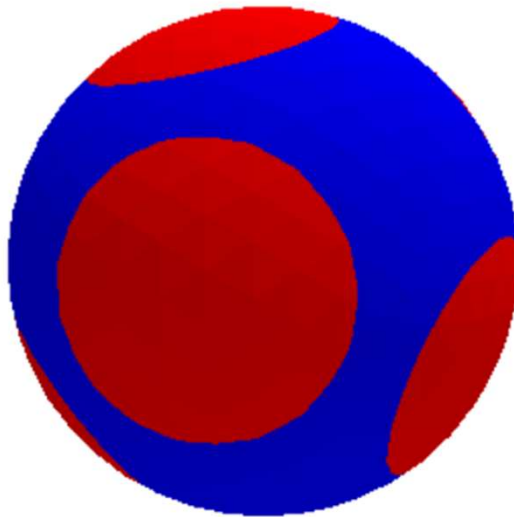
Fermi Surfaces

$n = 2$  electrons/unit cell

$$k_F = (3\pi^2 n)^{1/3} = 3.90$$

Lattice: Simple Cubic Body Centered Cubic Face Centered Cubic Hexagonal Close Pack $\frac{c}{a} = \sqrt{\frac{8}{3}}$

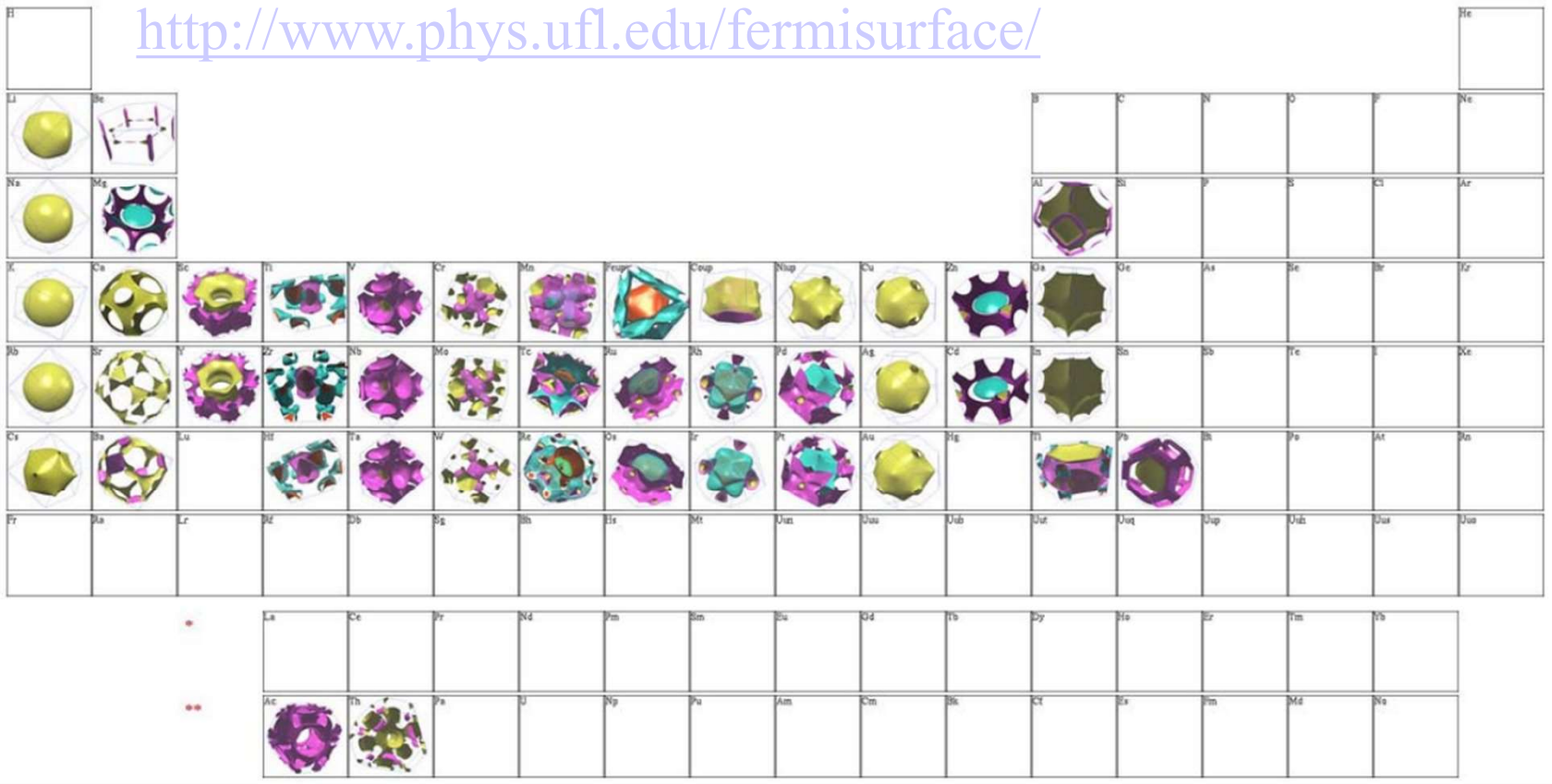
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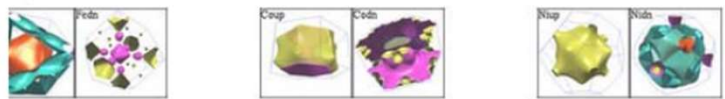
http://lampx.tugraz.at/~hadley/ss2/fermisurface/3d_fermisurface/index.html

1A 2A 3B 4B 5B 6B 7B 8 1B 2B 3A 4A 5A 6A 7A NG

<http://www.phys.ufl.edu/fermisurface/>



magnets :



native Structures :

