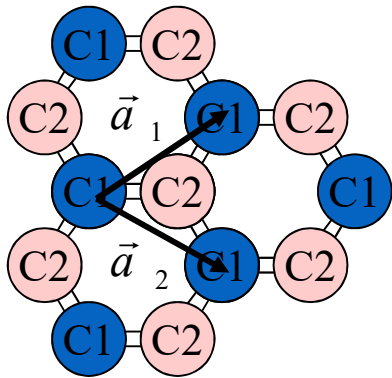
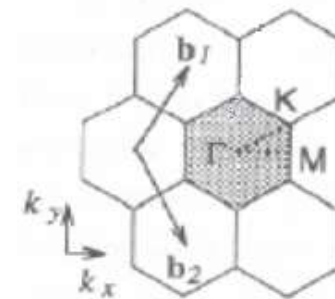


Graphene



$$\vec{a}_1 = \frac{\sqrt{3}}{2} a \hat{x} + \frac{1}{2} a \hat{y}$$

$$\vec{a}_2 = \frac{\sqrt{3}}{2} a \hat{x} - \frac{1}{2} a \hat{y}$$



Two atoms per unit cell

Graphene has an unusual dispersion relation in the vicinity of the Fermi energy.

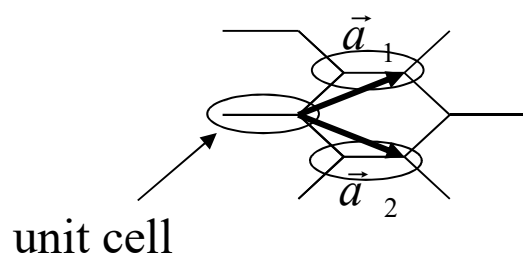
$$\psi_{\vec{k}}(\vec{r}) = \frac{1}{\sqrt{N}} \sum_{h,j,l} e^{i(h\vec{k}\cdot\vec{a}_1 + j\vec{k}\cdot\vec{a}_2 + l\vec{k}\cdot\vec{a}_3)} \sum_a \sum_{ao} c_{ao,a} \phi_{ao}^{Z_a}(\vec{r} - \vec{r}_a)$$

Tight binding graphene

$$\begin{vmatrix} \varepsilon - E & -t \sum_m e^{i\vec{k} \cdot \vec{\rho}_m} \\ -t \sum_m e^{-i\vec{k} \cdot \vec{\rho}_m} & \varepsilon - E \end{vmatrix} = 0$$

$$\sum_m e^{i\vec{k} \cdot \vec{\rho}_m} = \left(1 + \exp \left(i \left(\frac{\sqrt{3}k_x a}{2} + \frac{k_y a}{2} \right) \right) \right) + \exp \left(i \left(\frac{\sqrt{3}k_x a}{2} - \frac{k_y a}{2} \right) \right)$$

$\vec{k} \cdot \vec{a}_1$ $\vec{k} \cdot \vec{a}_2$



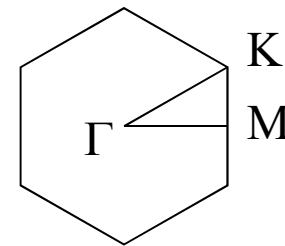
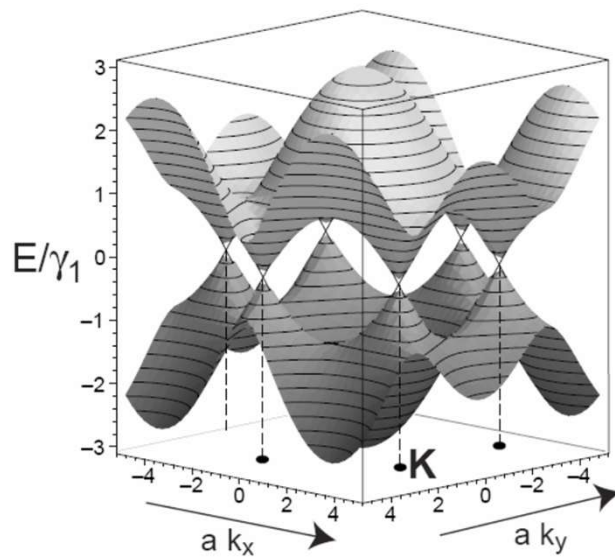
$$\vec{a}_1 = \frac{\sqrt{3}}{2} a \hat{x} + \frac{1}{2} a \hat{y}$$

$$\vec{a}_2 = \frac{\sqrt{3}}{2} a \hat{x} - \frac{1}{2} a \hat{y}$$

There will be two eigen energies for every k .

Tight binding, graphene

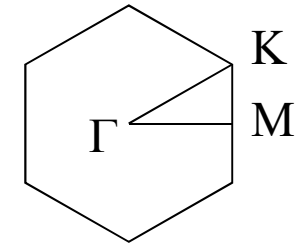
$$E = \varepsilon \pm t \sqrt{1 + 4 \cos\left(\frac{\sqrt{3}k_x a}{2}\right) \cos\left(\frac{k_y a}{2}\right) + 4 \cos^2\left(\frac{k_y a}{2}\right)}$$



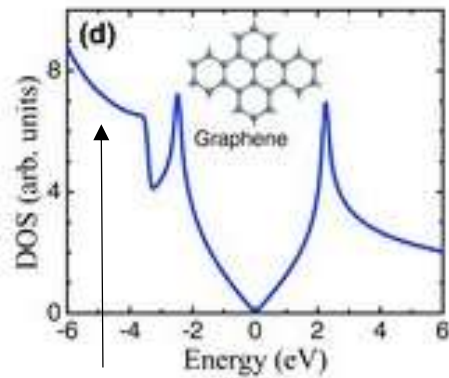
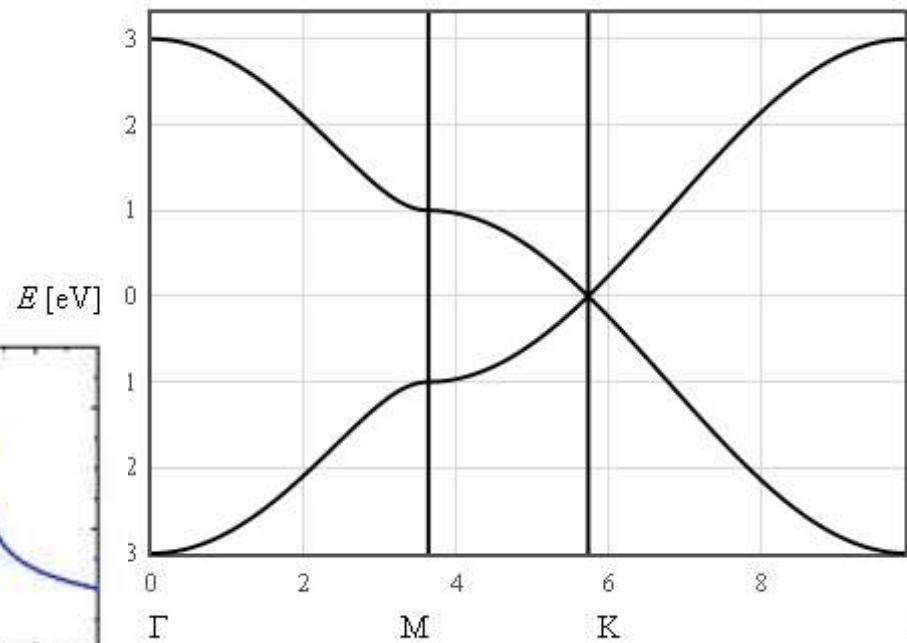
↓
www.physics.umd.edu/courses/Phys732/hdrew/spring07/Schoenenberger%20tutorial%20on%20CNT%20bands.pdf

Tight binding dispersion relation for graphene

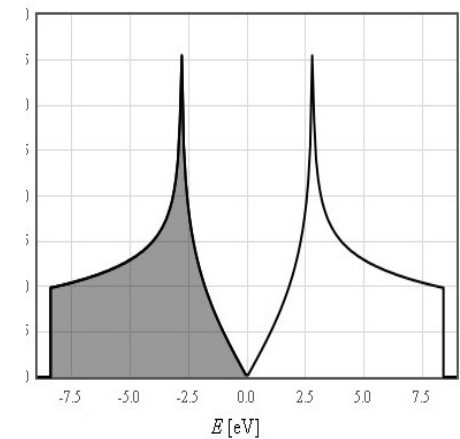
$$E = \epsilon \pm t \sqrt{1 + 4 \cos\left(\frac{\sqrt{3}k_x a}{2}\right) \cos\left(\frac{k_y a}{2}\right) + 4 \cos^2\left(\frac{k_y a}{2}\right)}$$



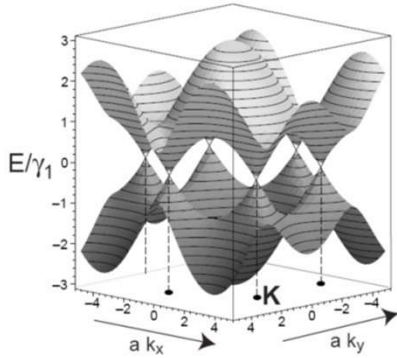
$\epsilon = 0$ [eV]
 $t = 2.8$ [eV]
Replot E(k)



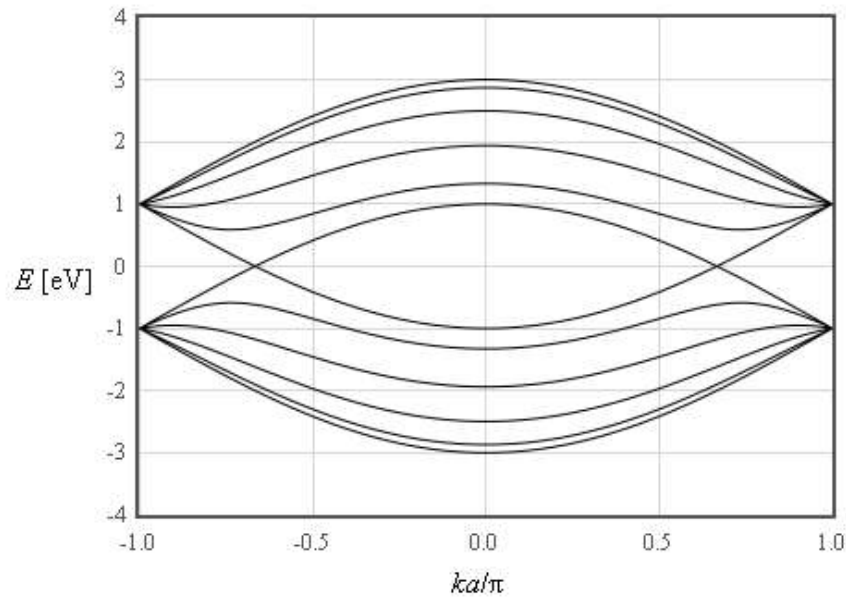
Another band is included here.



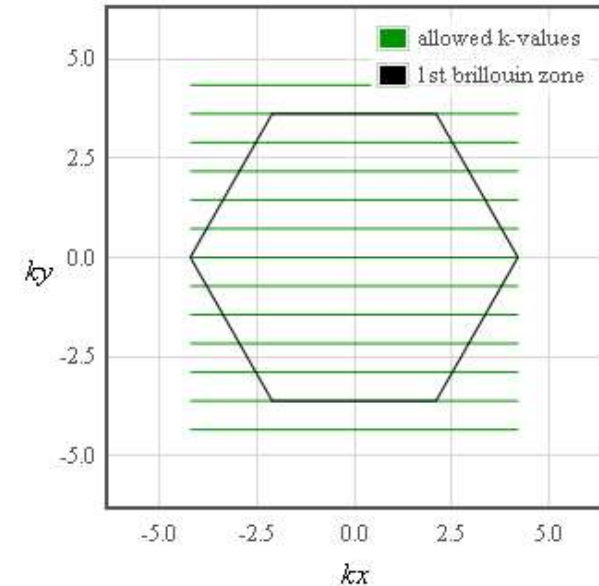
Carbon nanotubes



$$E = \varepsilon \pm t \sqrt{1 + 4 \cos\left(\frac{\sqrt{3}k_x a}{2}\right) \cos\left(\frac{k_y a}{2}\right) + 4 \cos^2\left(\frac{k_y a}{2}\right)}$$



metallic (5,5) armchair tube

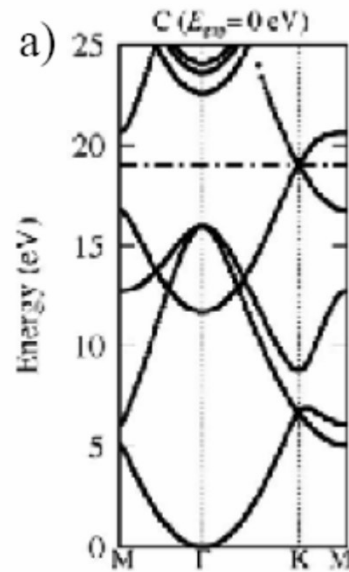


<http://lamp.tu-graz.ac.at/~hadley/ss1/bands/tbtable/CNTs.html>

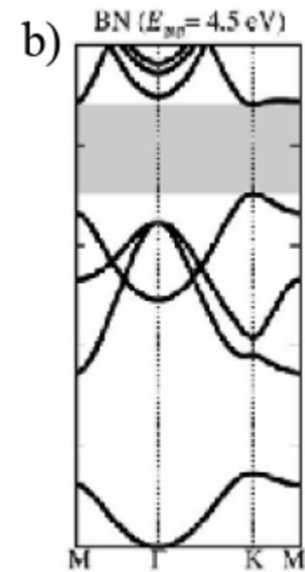
Other nanotubes

Tungsten disulfide
 Boron nitride
 Silicon
 Titanium dioxide
 Molybdenum disulfide

$$\begin{vmatrix} \langle \psi_a | H | \psi_a \rangle - E & \langle \psi_a | H | \psi_b \rangle \sum_m e^{i\vec{k} \cdot \vec{\rho}_m} \\ \langle \psi_b | H | \psi_a \rangle \sum_m e^{-i\vec{k} \cdot \vec{\rho}_m} & \langle \psi_b | H | \psi_b \rangle - E \end{vmatrix} = 0$$



graphene



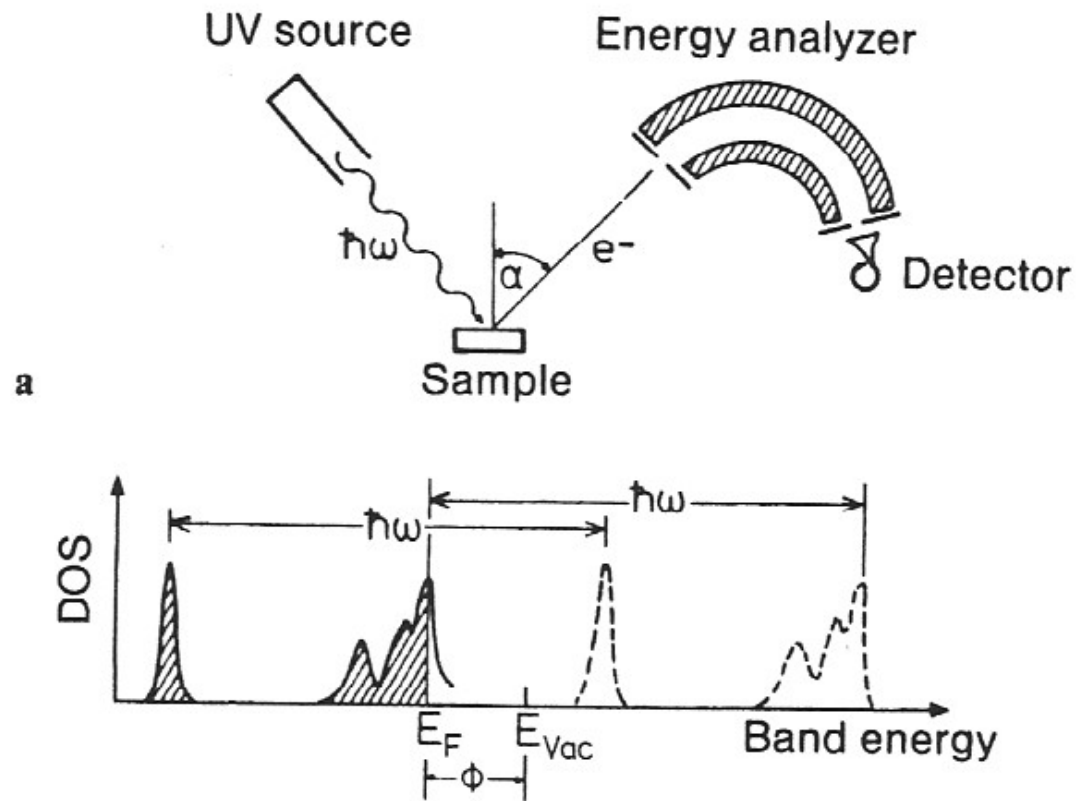
Boron nitride

Photoemission spectroscopy

UPS - Ultraviolet photoemission spectroscopy

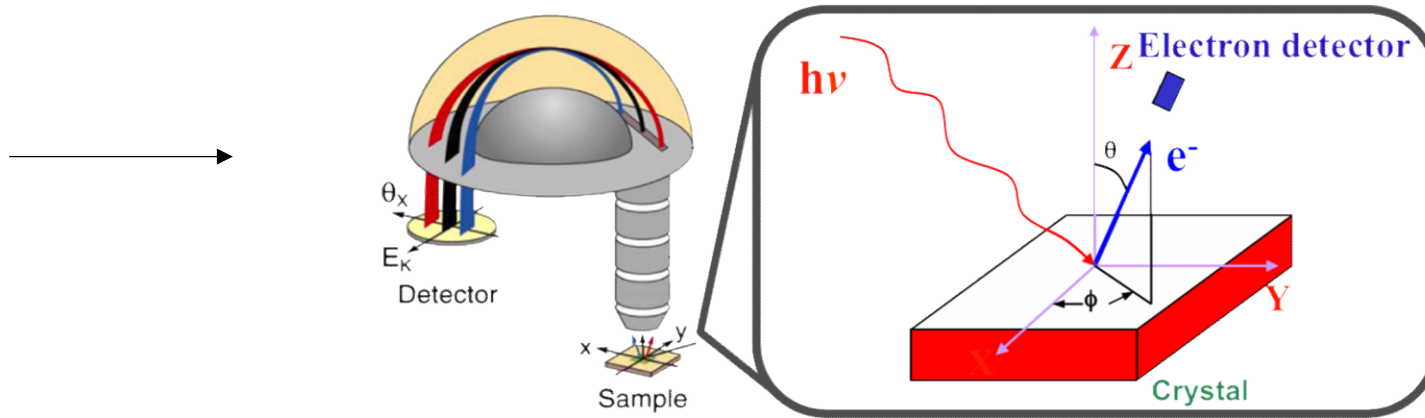
XPS - X-ray photoemission spectroscopy

Measure the density of states with photoemission spectroscopy

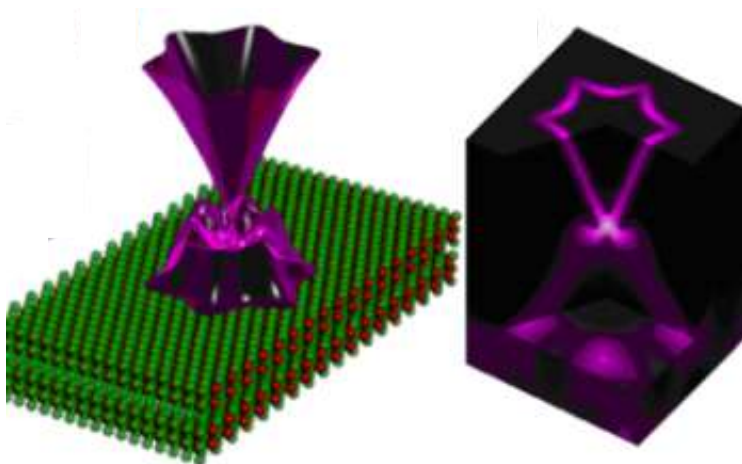


From: Ibach & Lueth

Angle resolved photoemission spectroscopy (ARPES)

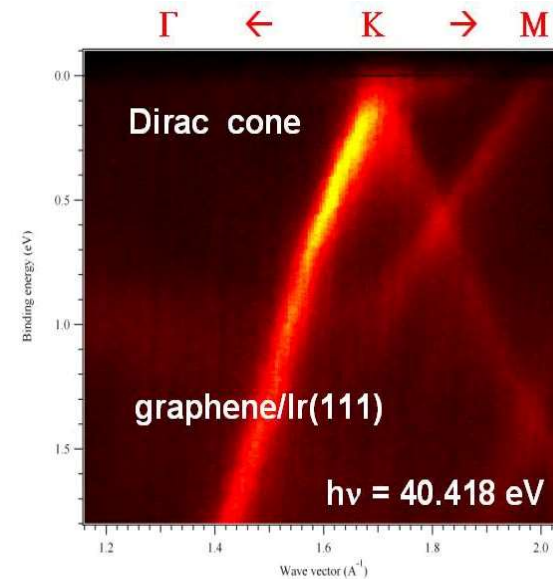


Bi_2Te_3

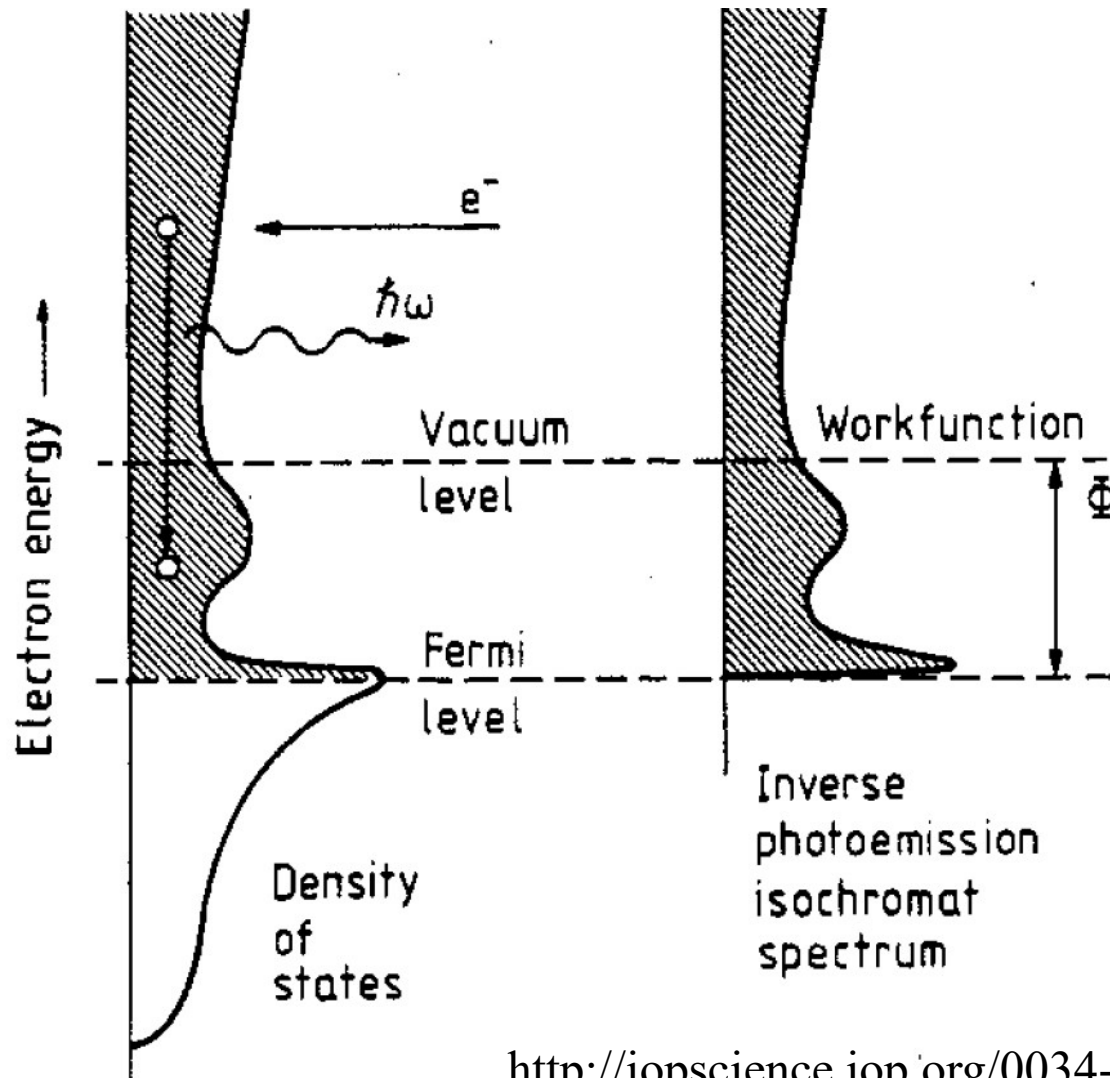


Topological insulator

Measure the dispersion relation with angle resolved photoemission



Inverse photoemission spectroscopy (IPES)



k -resolved Inverse Photoemission Spectroscopy (KRIPES)

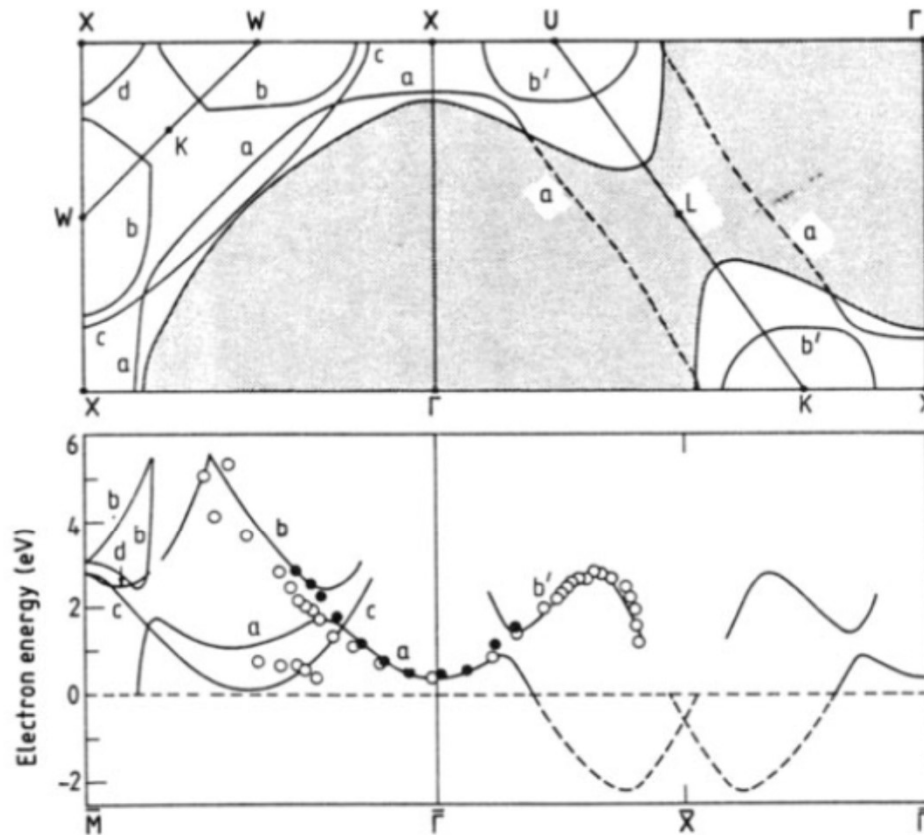
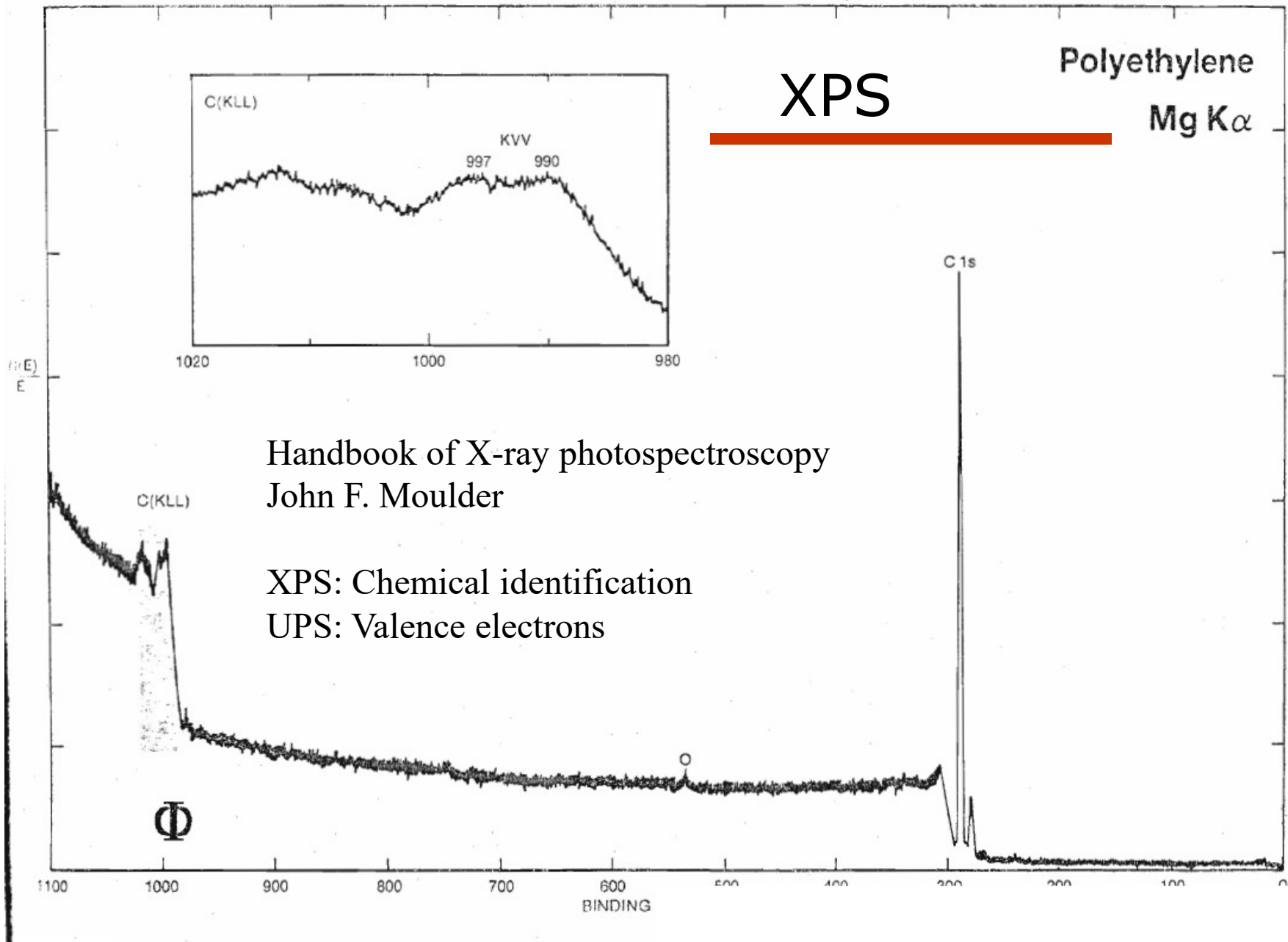


Figure 9. Band calculations and data for bulk direct transitions in the two principal azimuths $\Gamma\bar{M}$ and $\Gamma\bar{X}$ and Cu(001). Upper panel shows the Fermi surface and isochromat curves at $\hbar\omega = 9.7$ eV for transitions into band 6. Lower panel shows the corresponding $E_t(k_{||})$ projections. Computations and filled data circles are from Woodruff *et al* (1982); open circles are data from Jacob *et al* (1986).

Polyethylene
Mg K α

XPS



Handbook of X-ray photospectroscopy
John F. Moulder

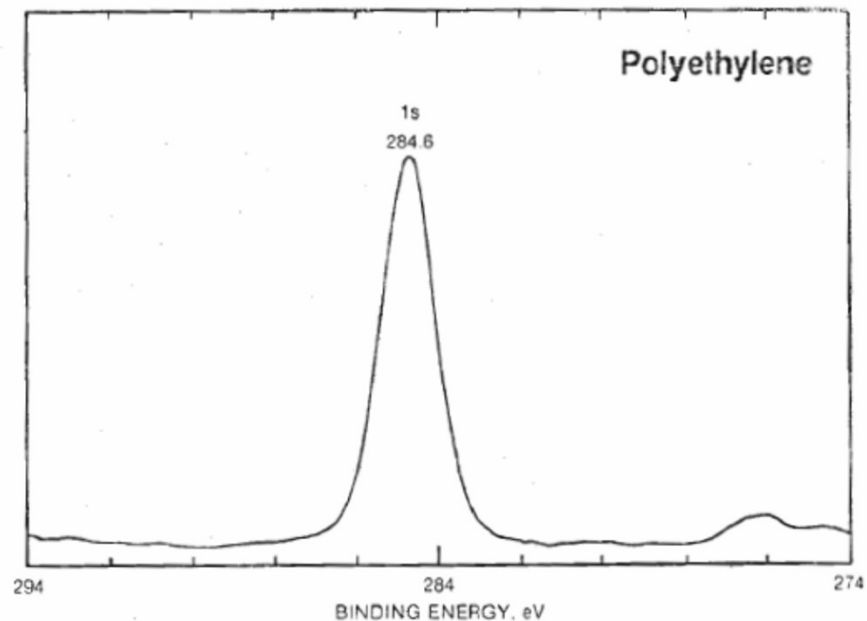
XPS: Chemical identification
UPS: Valence electrons

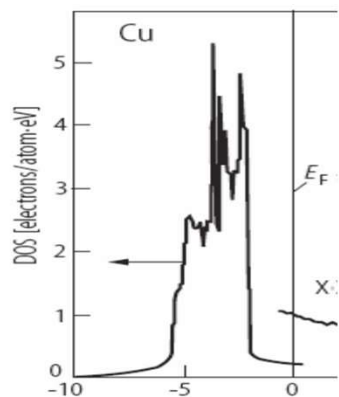
XPS

Carbon, C Atomic Number 6

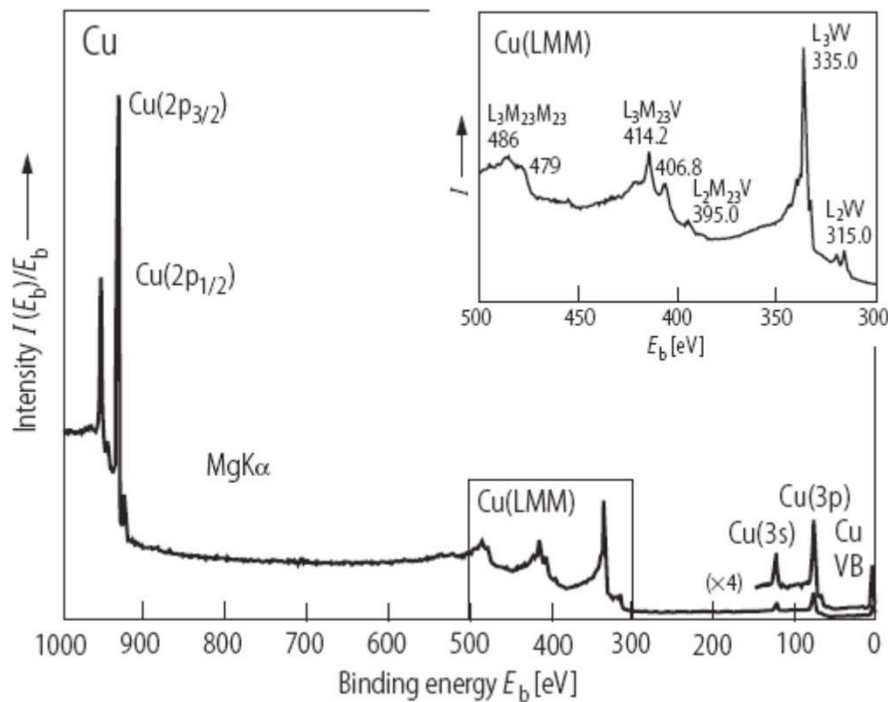
HANDBOOK OF X-RAY PHOTOELECTRON SPECTROSCOPY

| COMPOUND | 1s BINDING ENERGY, eV | | | | | REF. |
|---|-----------------------|-----|-----|-----|-----|------|
| | 280 | 284 | 288 | 292 | 296 | |
| HfC | | | | | | RH1 |
| TiC | | | | | | RH1 |
| WC | | | | | | RH1 |
| C (graphite) | | | | | | HJG |
| (CH ₂) _n | | | | | | Φ |
| Mn(C ₅ H ₅) ₂ | | | | | | BCD |
| SnPh ₄ | | | | | | BAL |
| MeCH ₂ NH ₂ | | | | | | GHH |
| Cr(C ₆ H ₆) ₂ | | | | | | PFD |
| MeCH ₂ Cl | | | | | | GHH |
| MeCH ₂ OH | | | | | | GHH |
| MeCH ₂ OEt | | | | | | GHH |
| MeCH ₂ OOCMe | | | | | | GHH |
| CS ₂ | | | | | | GHH |
| Fe(CO) ₅ | | | | | | BC1 |
| Me ₂ CO | | | | | | GHH |
| (NH ₂) ₂ CO | | | | | | GHH |
| C ₅ F ₆ | | | | | | GHH |
| MeCOONa | | | | | | GHH |
| MeCOOEt | | | | | | GHH |
| MeCOOH | | | | | | GHH |
| Na ₂ CO ₃ | | | | | | GHH |
| NaHCO ₃ | | | | | | GHH |
| CO | | | | | | BC1 |
| CO ₂ | | | | | | GHH |
| (CHFCH ₂) _n | | | | | | CFK |
| (CHFCHF) _n | | | | | | CFK |
| (CHFCH ₂) _n | | | | | | CFK |
| (CF ₂ CH ₂) _n | | | | | | CFK |
| (CF ₂ CHF) _n | | | | | | CFK |
| (CF ₂) _n | | | | | | CFK |
| CF ₃ COONa | | | | | | GHH |
| CCl ₄ | | | | | | GHH |
| CF ₃ COMe | | | | | | GHH |
| CF ₃ COOEt | | | | | | GHH |

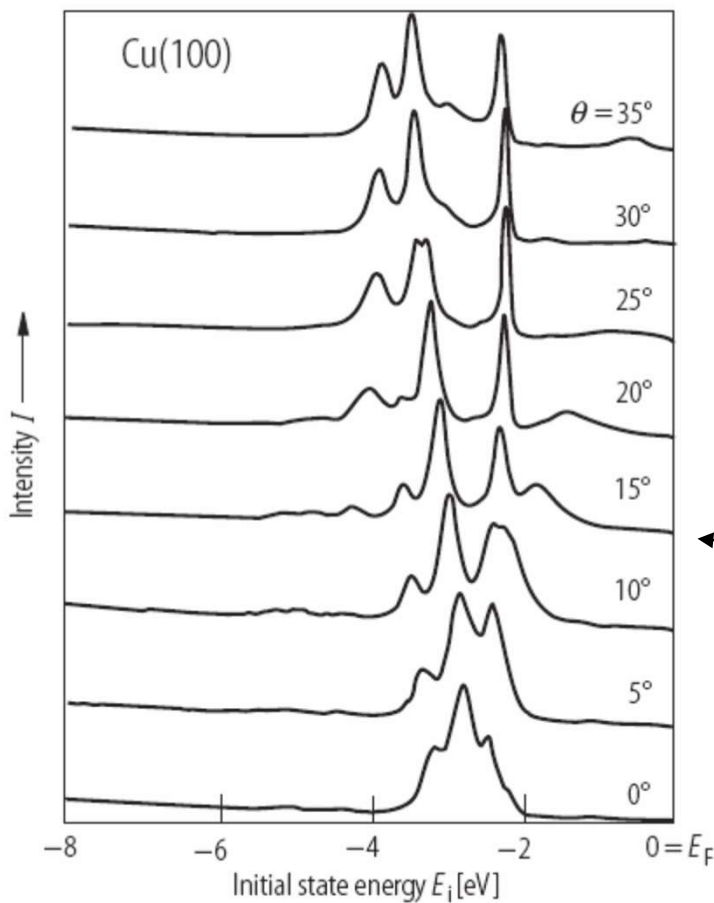




Cu



XPS



ARPES

Fig. 28. Cu(100). Angle-resolved photoelectron spectra taken at different polar angles θ along the $\Gamma X U L$ bulk mirror plane. Photon energy $h\nu = 21.2$ eV, sample temperature $T = 50$ K [93M1]. For further data taken at room temperature see [79H1]. For data taken with linear-polarized photons at $h\nu = 40^\circ$ see [83G].

Thermodynamic properties

$$n = \int_{-\infty}^{\infty} D(E) f(E) dE \quad \text{Implicitly defines } \mu.$$

$$u = \int_{-\infty}^{\infty} E D(E) f(E) dE \quad c_v = \left. \frac{\partial u}{\partial T} \right|_{N,V}$$

$$f = \mu n - k_B T \int_{-\infty}^{\infty} D(E) \ln \left(1 + \exp \left(\frac{\mu - E}{k_B T} \right) \right) dE \quad P = - \left. \frac{\partial F}{\partial V} \right|_{N,T}$$

$$s = - \left. \frac{\partial f}{\partial T} \right|_{N,V} \quad B = -V \frac{\partial P}{\partial V}$$

Thermodynamic properties

$$n = \int_{-\infty}^{\infty} D(E) f(E) dE$$

$$u = \int_{-\infty}^{\infty} ED(E) f(E) dE$$

Have a form that can be integrated by parts (Partielle Integration)

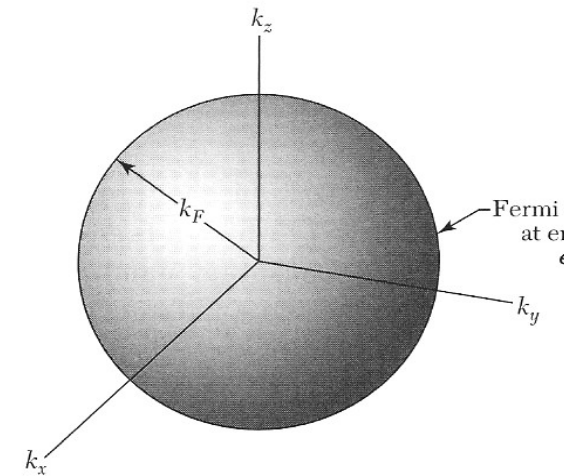
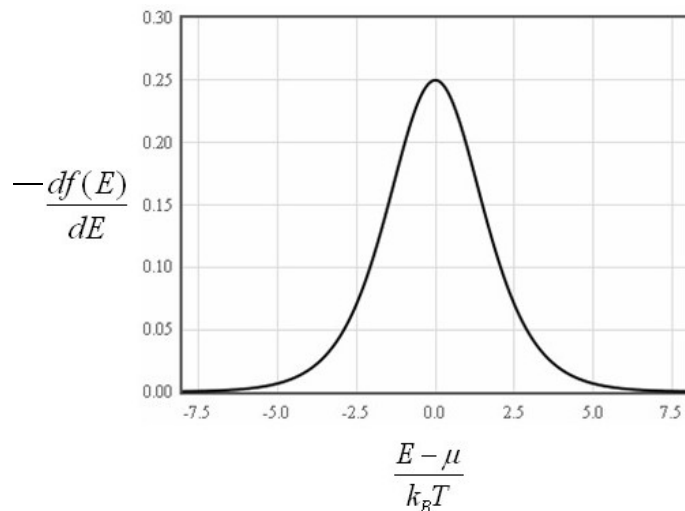
$$\int_{-\infty}^{\infty} H(E) f(E) dE = K(\infty) f(\infty) - K(-\infty) f(-\infty) - \int_{-\infty}^{\infty} K(E) \frac{df(E)}{dE} dE$$

$$K(E) = \int_{-\infty}^E H(E') dE'$$

Thermodynamic properties

$$\int_{-\infty}^{\infty} H(E) f(E) dE = - \int_{-\infty}^{\infty} K(E) \frac{df(E)}{dE} dE$$

$$\frac{-df(E)}{dE} = \frac{\frac{1}{k_B T} \exp\left(\frac{E - \mu}{k_B T}\right)}{\left(1 + \exp\left(\frac{E - \mu}{k_B T}\right)\right)^2}$$



Chemical potential

$$n = \int_{-\infty}^{\infty} D(E) f(E) dE = \int_{-\infty}^{\infty} \frac{D(E)}{\exp\left(\frac{E - \mu}{k_B T}\right) + 1} dE$$

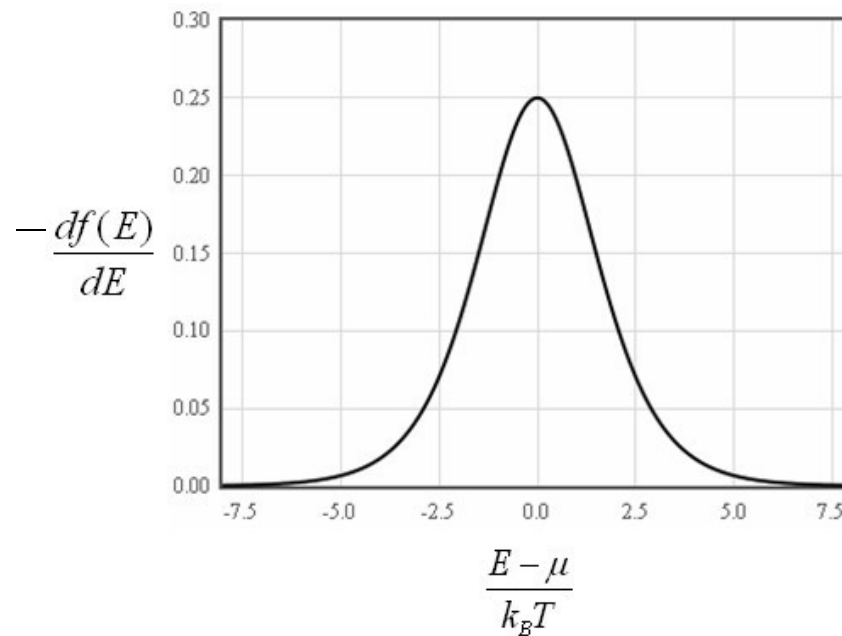
$$K(E) = \int_{-\infty}^E D(E') dE'$$

$$n = - \int_{-\infty}^{\infty} K(E) \frac{df(E)}{dE} dE = - \int_{-\infty}^{\infty} \frac{K(E) \exp\left(\frac{E - \mu}{k_B T}\right)}{k_B T \left(\exp\left(\frac{E - \mu}{k_B T}\right) + 1 \right)^2} dE$$

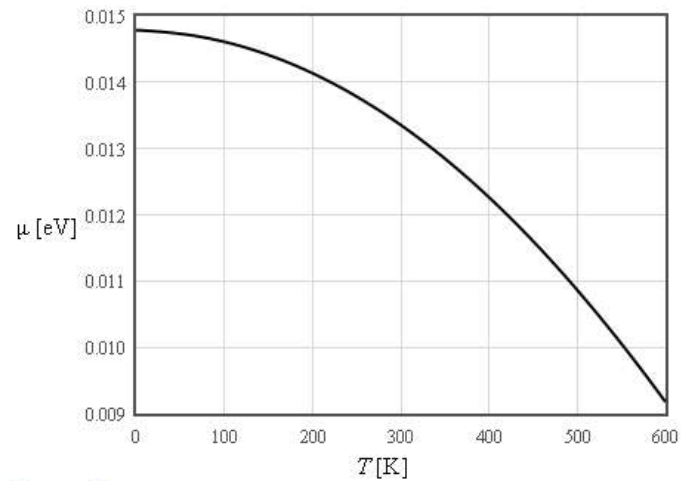
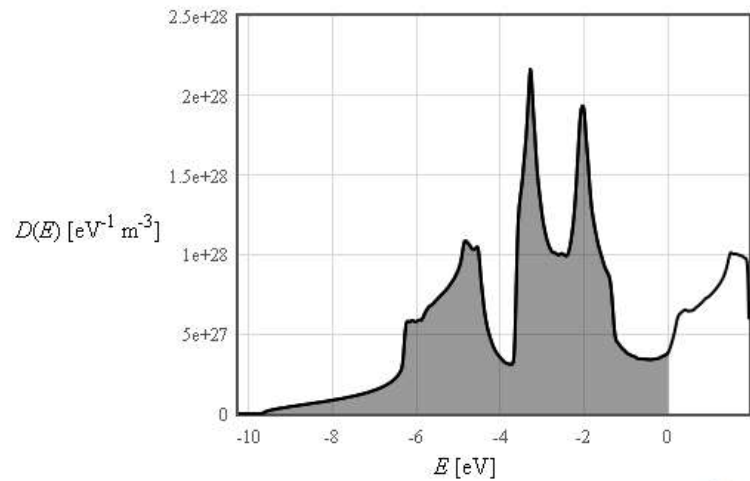
Chemical potential

$$n = \int_{-\infty}^{\infty} D(E) f(E) dE \approx - \int_{\mu-10k_B T}^{\mu+10k_B T} \frac{K(E) \exp\left(\frac{E - \mu}{k_B T}\right)}{k_B T \left(\exp\left(\frac{E - \mu}{k_B T}\right) + 1 \right)^2} dE$$

$$K(E) = \int_{-\infty}^E D(E') dE'$$



Chemical potential



Steps in the plot of μ vs. T are an artifact of the calculation that occurs when the chemical potential is nearly constant.



dimensionality: 1 2 3

number of electrons per primitive unit cell: volume of a primitive unit cell: m³ electron density = 5.6290e+28 m⁻³

T_{min} : K T_{max} : K

Density of states: E [eV], $D(E)$ [$eV^{-1} m^{-3}$]

| | |
|-----------|---|
| -10.23143 | 0 |
| -10.21782 | 0 |
| -10.20421 | 0 |
| -10.19061 | 0 |
| -10.177 0 | 0 |
| -10.1634 | 0 |
| -10.14979 | 0 |
| -10.13618 | 0 |
| -10.12258 | 0 |
| -10.10897 | 0 |
| -10.09537 | 0 |

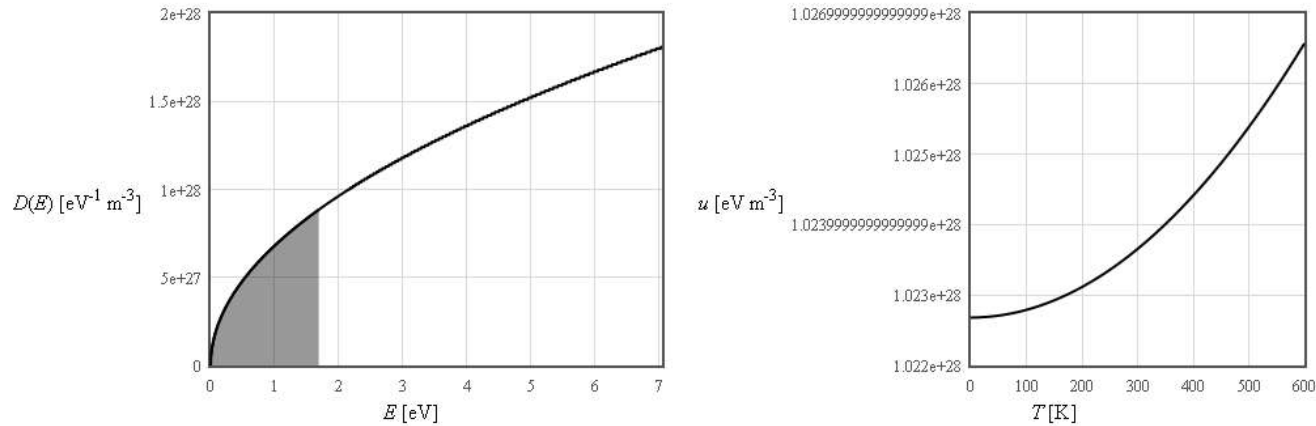
Chemical potential: T [K], $\mu(T)$ [eV]

| | |
|----|----------------------|
| 0 | 0.014783123488097162 |
| 2 | 0.014783123488097162 |
| 4 | 0.014783123488097162 |
| 6 | 0.014783123488097162 |
| 8 | 0.014780672725024467 |
| 10 | 0.01477912139065327 |
| 12 | 0.01477737382901395 |
| 14 | 0.014775311805457247 |
| 16 | 0.014773623691964513 |
| 18 | 0.014771823353458053 |
| 20 | 0.014769646557014536 |

Free electron model:

Tight binding:

Internal energy density



dimensionality: 1 2 3

number of electrons per primitive unit cell: volume of a primitive unit cell: m^3 electron density = $1.0000\text{e}+28 \text{ m}^{-3}$

T_{min} : K T_{max} : K

Density of states: E [eV], $D(E)$ [$\text{eV}^{-1} \text{m}^{-3}$]

| | |
|-------------|----------|
| 0 | 0 |
| 0.007055332 | 5.72E+26 |
| 0.014110664 | 8.09E+26 |
| 0.021165996 | 9.91E+26 |
| 0.028221327 | 1.14E+27 |
| 0.035276659 | 1.28E+27 |
| 0.042331991 | 1.40E+27 |
| 0.049387323 | 1.51E+27 |
| 0.056442655 | 1.62E+27 |
| 0.063497987 | 1.72E+27 |
| 0.070553319 | 1.81E+27 |

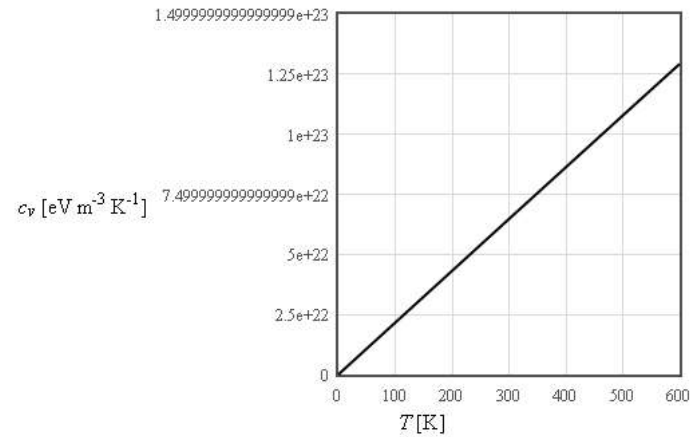
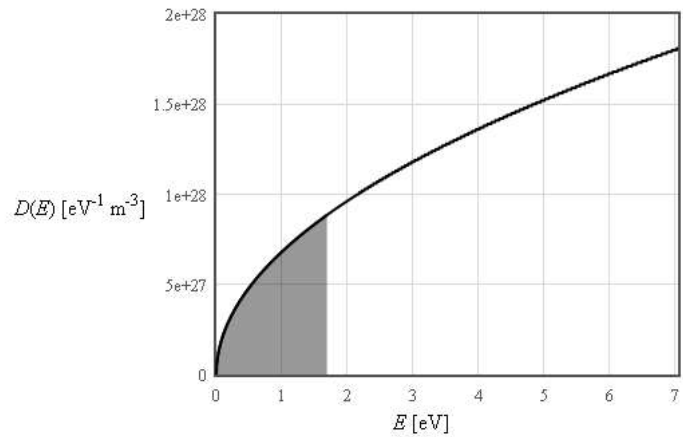
Internal energy density: T [K], $u(T)$ [eV m^{-3}]

| | |
|----|------------------------|
| 0 | 1.02267879040933e+28 |
| 2 | 1.022678822141036e+28 |
| 4 | 1.0226792022442763e+28 |
| 6 | 1.0226795798137936e+28 |
| 8 | 1.0226801885097565e+28 |
| 10 | 1.0226808423662643e+28 |
| 12 | 1.0226815061580476e+28 |
| 14 | 1.022682273988769e+28 |
| 16 | 1.0226830705571504e+28 |
| 18 | 1.0226838808354095e+28 |
| 20 | 1.0226847146896216e+28 |

Free electron model:

Tight binding:

Specific heat



dimensionality: 1 2 3

number of electrons per primitive unit cell: volume of a primitive unit cell: m³ electron density = 1.0000e+28 m⁻³

T_{min} : K T_{max} : K

Density of states: E [eV], $D(E)$ [$eV^{-1} m^{-3}$]

| | |
|-------------|----------|
| 0 | 0 |
| 0.007055332 | 5.72E+26 |
| 0.014110664 | 8.09E+26 |
| 0.021165996 | 9.91E+26 |
| 0.028221327 | 1.14E+27 |
| 0.035276659 | 1.28E+27 |
| 0.042331991 | 1.40E+27 |
| 0.049387323 | 1.51E+27 |
| 0.056442655 | 1.62E+27 |
| 0.063497987 | 1.72E+27 |
| 0.070553319 | 1.81E+27 |

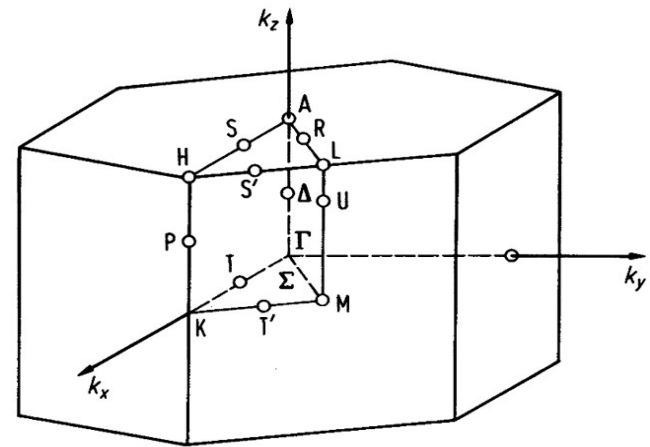
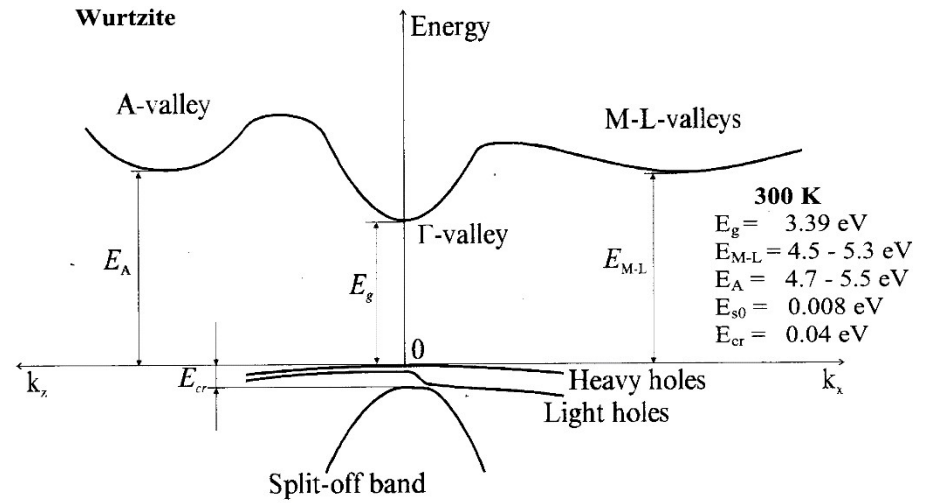
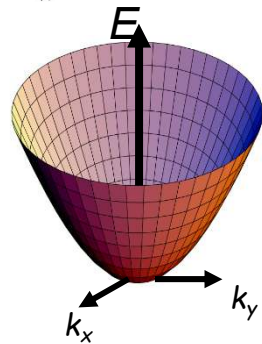
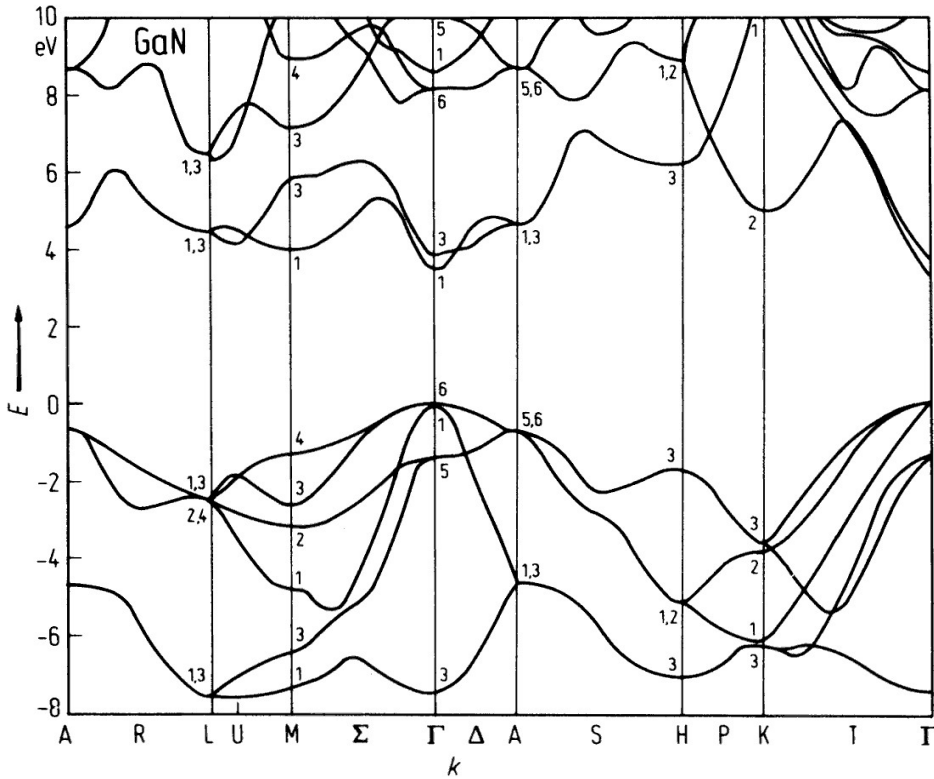
Internal energy density: T [K], $u(T)$ [$eV m^{-3}$]

| | |
|----|------------------------|
| 0 | 0 |
| 2 | 431433887775866100000 |
| 4 | 862897311767092800000 |
| 6 | 1.294401219141122e+21 |
| 8 | 1.7259446382160304e+21 |
| 10 | 2.157524280009776e+21 |
| 12 | 2.589133843197587e+21 |
| 14 | 3.0207659535567857e+21 |
| 16 | 3.452413471457561e+21 |
| 18 | 3.884070539353225e+21 |
| 20 | 4.315732794885769e+21 |

Free electron model:

Tight binding:

GaN



1st Brillouin zone of hcp