### Landau theory of phase transitions

A phase transition is associated with a broken symmetry.

magnetism direction of magnetization and au theory of phase transitions<br>
whase transition is associated with a broken symmetry.<br>
magnetism<br>
cubic - tetragonal different point group<br>
water - ice translational symmetry<br>
ferroelectric direction of polarization<br> and au theory of phase transitions<br>
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Magnetism<br>
eubic - tetragonal different point group<br>
water - ice translational symmetry<br>
ferroelectric direction of polarization<br>
gauge symmet superconductivity gauge symmetry Lev Landau



At a phase transition, an order parameter can be defined that is zero above the phase transition and nonzero below the phase transition.



# 1st and 2nd order phase transitions  $\begin{array}{c}\n\mathbf{chase \: transitions} \\
\hline\n\text{water - ice} \\
L = T(S_A - S_B)\n\end{array}$

First order: There is a latent heat order parameter increases discontinuously

$$
L = T(S_A - S_B)
$$

#### Second order:

No latent heat order parameter increases continuously from zero

superfluidity superconductivity ferromagnetism ferroelectricity Peierls transition

#### Landau theory of 2nd order phase transitions

Since the order parameter goes to zero at the phase transition, expand the free energy in terms of the order parameter.

$$
f = f_0 + \alpha m^2 + \frac{1}{2} \beta m^4 + \cdots \qquad \beta > 0
$$

Minimize the free energy.

$$
\frac{df}{dm} = 2\alpha m + 2\beta m^3 + \dots = 0
$$

$$
m = \pm \sqrt{\frac{-\alpha}{\beta}} \qquad T < T_c
$$
\n
$$
m = 0 \qquad T > T_c
$$



#### Temperature dependence of the order parameter



At 
$$
T=T_c \alpha = 0
$$

Expand  $\alpha$  interms of  $T - T_c$ . Keep only the linear<br>term. *m* and  $T - T_c$  are both small near  $T_c$ .<br> $f = f_0 + \alpha_0 (T - T_c) m^2 + \frac{1}{2} \beta m^4 + \cdots$ <br>The temperature dependence of the<br>magnetization is<br> $\frac{0(T_c - T)}{\beta}$   $T < T_c$ The order parameter<br>At  $T=T_c$   $\alpha = 0$ <br>Expand  $\alpha$  interms of  $T$  -  $T_c$ . Keep only the linear<br>term. *m* and  $T$  -  $T_c$  are both small near  $T_c$ . Expand  $\alpha$  interms of T -  $T_c$ . Keep only the linear The order parameter<br>At  $T=T_c$   $\alpha = 0$ <br>Expand  $\alpha$  interms of  $T$  -  $T_c$ . Keep only the linear<br>term. *m* and  $T$  -  $T_c$  are both small near  $T_c$ . term. *m* and  $T - T_c$  are both small near Tc.

$$
f = f_0 + \alpha_0 \left( T - T_c \right) m^2 + \frac{1}{2} \beta m^4 + \cdots
$$

The temperature dependence of the magnetization is

$$
m = \pm \sqrt{\frac{\alpha_0 (T_c - T)}{\beta}} \qquad T < T_c
$$



#### Landau theory of phase transitions



ferroelectricity

superconductivity

The gap in a Peierls transition has this form

#### Landau theory of phase transitions



## Free energy



$$
f = f_0 - \frac{\alpha_0^2 (T - T_c)^2}{\beta} + \cdots
$$

$$
f=f_0+\alpha_0\left(T-T_c\right)m^2+\tfrac{1}{2}\beta m^4+\cdots
$$

$$
\mathbf{J} \mathbf{Y}
$$
\n
$$
= f_0 + \alpha_0 \left( T - T_c \right) m^2 + \frac{1}{2} \beta m^4 + \cdots
$$
\n
$$
m = \pm \sqrt{\frac{\alpha_0 \left( T_c - T \right)}{\beta}} \qquad T < T_c
$$



## Entropy









# Specific heat

**5pecific heat**  
\nEntropy 
$$
s = -\frac{\partial f}{\partial T} = s_0(T) + \frac{2\alpha_0^2 (T - T_c)}{\beta} + \cdots
$$
  
\nSpecific heat  $c_v = T \frac{\partial s}{\partial T} = c_0(T) + \frac{2\alpha_0^2 T}{\beta} + \cdots$   $T < T_c$   
\nThere is a jump in the specific heat at the phase transition and then a linear dependence after the jump.  
\n
$$
\Delta c_v = \frac{2\alpha_0^2 T_c}{\beta}
$$



Introduction to Superconductivity, Tinkham



#### **Advanced Solid State Physics**

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#### Landau theory of second order phase transitions

Normally, to calculate thermodynamic properties like the free energy, the entropy, or the specific heat, it is necessary to determine the microscopic states of system by solving the Schrödinger equation. For crystals, the microscopic states are labeled by k and the solutions of the Schrödinger equation are typically expressed as a dispersion relation where the energy is given for each k. The dispersion relation can be used to calculate the density of states and the density of states can be used to calculate the thermodynamic properties. This is typically a long and numerically intensive calculation.



Lev Landau

Landau realized that near a phase transition an approximate form for the free energy can be constructed without first calculating the microscopic states. He recognized it is always possible to identify an order parameter the is zero on the high temperature side of the phase transition and nonzero on the low temperature side of the phase transition. For instance, the magnetization can be considered the order parameter at a ferromagnetic paramagnetic phase transition. For a structural phase transistion from a cubic phase to a tetragonal phase, the order parameter can be taken to be  $c/a$  - 1 where c is the length of the long side of the tetragonal unit cell and a is the length of the short side of the tetragoal unit cell.

At a second order phase transition, the order parameter increases continuously from zero starting at the critical temperature of the phase transition. An example of this is the continuous increase of the magnetization at a ferromagnetic - paramagnetic phase transition. Since the order parameter is small near the phase transition, to a good approximation the free energy of the system can be approximated by the first few terms of a Taylor expansion of the free energy in the order parameter.

$$
f(T) = f_0(T) + \alpha m^2 + \frac{1}{2}\beta m^4 \qquad \alpha_0 > 0, \quad \beta > 0.
$$

Here m is the order parameter,  $\alpha$  and  $\beta$  are parameters, and  $f_0(T)$  describes the temperature dependence of the high temperature phase near the phase transition. It is assumed that  $\beta > 0$  so that the free energy has a minimum for finite values of the order parameter. When  $\alpha > 0$ , there is only one minimum at  $m = 0$ . When  $\alpha < 0$ there are two minima with  $m \neq 0$ .





# Specific heat



#### Specific heat



