

Electrons

Thermodynamic properties

Free electrons

Fermi surfaces

Band structure calculations

- Empty lattice approximation

- Plane wave method

- Tight binding

Thermodynamic properties of non-interacting fermions

The grand canonical partition function is

$$Z_{gr} = \sum_q \exp\left(\frac{\mu}{k_B T}\right)^{N_q} \exp\left(-\frac{E_q}{k_B T}\right) = \sum_q \exp\left(-\frac{E_q - \mu N_q}{k_B T}\right)$$

Here q sums over the macro states. Only one fermion is allowed per microscopic quantum state.

$$N_q = \sum_i n_{qi} \quad E_q = \sum_i n_{qi} \varepsilon_i \quad n_{qi} \in 0, 1$$

n_{qi} are occupation numbers that specify if microstate i is occupied in macrostate q

Thermodynamic properties of non-interacting fermions

$$Z_{gr} = \sum_q \exp\left(-\frac{E_q - \mu N_q}{k_B T}\right) = \sum_q \exp\left(-\frac{\sum_i n_{qi} (\varepsilon_i - \mu)}{k_B T}\right) = \sum_q \prod_i \exp\left(-\frac{n_{qi} (\varepsilon_i - \mu)}{k_B T}\right)$$

The sum over all possible macrostates can also be written as the sum over all possible microstates.

$$Z_{gr} = \sum_{n_{0i}=0}^1 \sum_{n_{1i}=0}^1 \cdots \sum_{n_{q_{\max i}=0}}^1 \prod_i \exp\left(-\frac{n_{qi} (\varepsilon_i - \mu)}{k_B T}\right) = \prod_i \left(\exp\left(-\frac{(\varepsilon_i - \mu)}{k_B T}\right) + 1 \right)$$

Pull the n_{0i} factors through the other sums then write out the sum over 0 and 1.

Thermodynamic properties of non-interacting fermions

Grand potential: $\Phi = U - TS - \mu N = -k_B T \ln(Z_{gr})$

$$\Phi = -k_B T \sum_i \ln \left(\exp \left(-\frac{(\varepsilon_i - \mu)}{k_B T} \right) + 1 \right)$$

Approximate the sum by an integral over the density of states.

$$\phi = \frac{\Phi}{V} = -k_B T \int_{-\infty}^{\infty} D(E) \ln \left(\exp \left(-\frac{(E - \mu)}{k_B T} \right) + 1 \right) dE$$

Differentiate to find the number density.

$$n = -\frac{\partial \phi}{\partial \mu} = \int_{-\infty}^{\infty} \frac{D(E)}{1 + \exp \left(\frac{E - \mu}{k_B T} \right)} dE$$

Fermi function

Thermodynamic properties

Grand potential density:

$$\phi = -k_B T \int_{-\infty}^{\infty} D(E) \ln \left(\exp \left(-\frac{(E - \mu)}{k_B T} \right) + 1 \right) dE$$

Helmholtz free energy density:

$$f = \phi + \mu n = \int_{-\infty}^{\infty} D(E) \left(\frac{\mu}{1 + \exp \left(\frac{E - \mu}{k_B T} \right)} - k_B T \ln \left(\exp \left(-\frac{(E - \mu)}{k_B T} \right) + 1 \right) \right) dE$$

Entropy density:

$$s = -\frac{\partial \phi}{\partial T} = \frac{1}{T} \int_{-\infty}^{\infty} D(E) \left(\frac{(E - \mu)}{1 + \exp \left(\frac{(E - \mu)}{k_B T} \right)} - k_B T \ln \left(\exp \left(-\frac{(E - \mu)}{k_B T} \right) + 1 \right) \right) dE$$

Thermodynamic properties

Chemical potential
(implicitly defined by):

$$n = \int_{-\infty}^{\infty} \frac{D(E)}{1 + \exp\left(\frac{E - \mu}{k_B T}\right)} dE$$

DoS →
μ

Internal energy density:

$$u = \phi + Ts + \mu n = \int_{-\infty}^{\infty} \frac{ED(E)}{1 + \exp\left(\frac{E - \mu}{k_B T}\right)} dE$$

DoS →
u(T)

Energy spectral density:

$$u(E, T) = \frac{ED(E)}{1 + \exp\left(\frac{E - \mu}{k_B T}\right)}$$

DoS →
u(E)

Specific heat:

$$c_v = \frac{\partial u}{\partial T} = \int_{-\infty}^{\infty} \frac{ED(E)(E - \mu) \exp\left(\frac{E - \mu}{k_B T}\right)}{k_B T^2 \left(1 + \exp\left(\frac{E - \mu}{k_B T}\right)\right)^2} dE$$

DoS →
c_v(T)

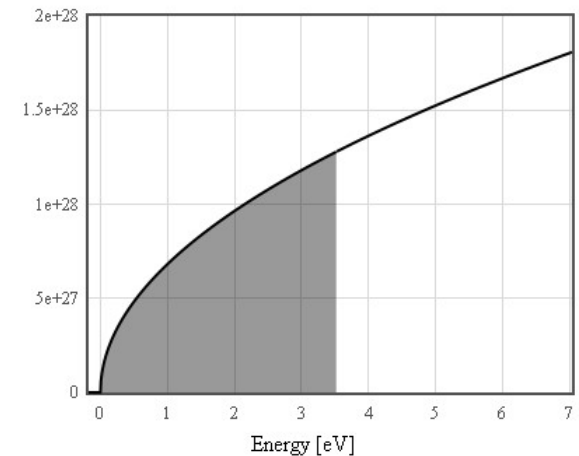
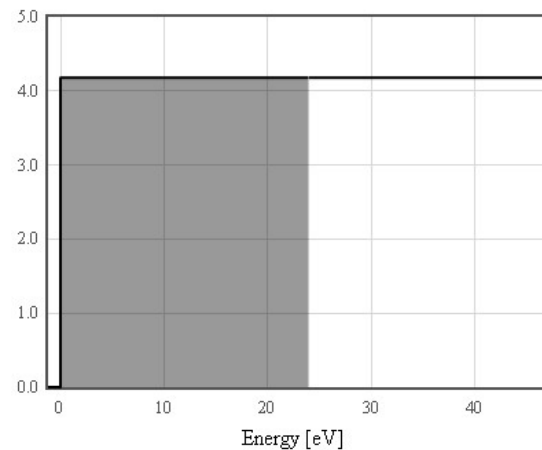
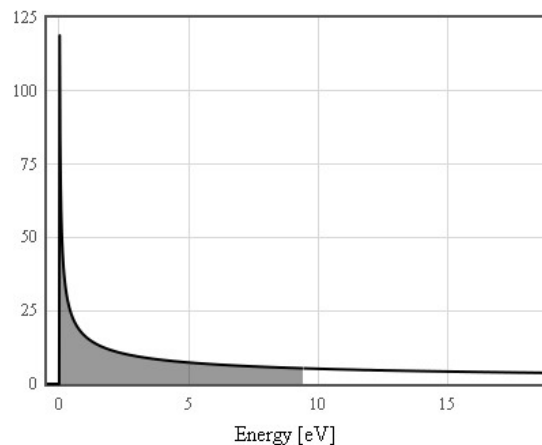
	1-D Schrödinger equation for a free particle	2-D Schrödinger equation for a free particle	3-D Schrödinger equation for a free particle
	$i\hbar \frac{d\psi}{dt} = -\frac{\hbar^2}{2m} \frac{d^2\psi}{dx^2}$	$i\hbar \frac{d\psi}{dt} = -\frac{\hbar^2}{2m} \left(\frac{d^2\psi}{dx^2} + \frac{d^2\psi}{dy^2} \right)$	$i\hbar \frac{d\psi}{dt} = -\frac{\hbar^2}{2m} \left(\frac{d^2\psi}{dx^2} + \frac{d^2\psi}{dy^2} + \frac{d^2\psi}{dz^2} \right)$
Eigenfunction solutions	$\psi_k = A_k \exp(i(kx - \omega t))$	$\psi_k = A_k \exp(i(\vec{k} \cdot \vec{r} - \omega t))$	$\psi_k = A_k \exp(i(\vec{k} \cdot \vec{r} - \omega t))$
Eigenvalues of the translation operator $T\psi_k(\vec{r}) = \psi_k(\vec{r} + \vec{R}) = \lambda_k \psi_k(\vec{r})$	$\lambda_k = \exp(ikR)$	$\lambda_{\vec{k}} = \exp(i\vec{k} \cdot \vec{R})$	$\lambda_{\vec{k}} = \exp(i\vec{k} \cdot \vec{R})$
Dispersion relation	$E = \hbar\omega = \frac{\hbar^2 k^2}{2m} \quad \text{J}$	$E = \hbar\omega = \frac{\hbar^2 k^2}{2m} \quad \text{J}$	$E = \hbar\omega = \frac{\hbar^2 k^2}{2m} \quad \text{J}$
Density of states	$D(k) = \frac{2}{\pi}$	$D(k) = \frac{k}{\pi} \quad \text{m}^{-1}$	$D(k) = \frac{k^2}{\pi^2} \quad \text{m}^{-2}$
Density of states $D(E) = D(k) \frac{dk}{dE}$	$D(E) = \frac{1}{\pi\hbar} \sqrt{\frac{2m}{E}} = \frac{n}{2\sqrt{E_F E}} \quad \text{J}^{-1}\text{m}^{-1}$	$D(E) = \frac{m}{\pi\hbar^2} = \frac{n}{E_F} \quad \text{J}^{-1}\text{m}^{-2}$	$D(E) = \frac{(2m)^{3/2}}{2\pi^2\hbar^3} \sqrt{E} = \frac{3n}{2E_F^{3/2}} \sqrt{E} \quad \text{J}^{-1}\text{m}^{-3}$
Fermi energy E_F $n = \int_{-\infty}^{E_F} D(E) dE$	$E_F = \frac{\pi^2 \hbar^2 n^2}{8m} \quad \text{J}$	$E_F = \frac{\pi \hbar^2 n}{m} \quad \text{J}$	$E_F = \frac{\hbar^2}{2m} (3\pi^2 n)^{2/3} \quad \text{J}$
$D(E_F)$	$D(E_F) = \frac{4m}{\pi^2 \hbar^2 n} \quad \text{J}^{-1}\text{m}^{-1}$	$D(E_F) = \frac{m}{\pi \hbar^2} \quad \text{J}^{-1}\text{m}^{-2}$	$D(E_F) = \frac{m(3n)^{1/3}}{\pi^3 \hbar^2} \quad \text{J}^{-1}\text{m}^{-3}$
$D'(E_F) = \frac{dD}{dE} \Big _{E=E_F}$	$D'(E_F) = \frac{-16m^2}{\pi^4 \hbar^4 n^3} \quad \text{J}^2\text{m}^{-1}$	$D'(E_F) = 0 \quad \text{J}^2\text{m}^{-2}$	$D'(E_F) = \frac{m^2}{\hbar^4 \sqrt[3]{3\pi^8 n}} \quad \text{J}^2\text{m}^{-3}$
Chemical potential μ $n = \int_{-\infty}^{\mu} D(E) f(E) dE$	$\mu \approx E_F - \frac{\pi^2}{6} (k_B T)^2 \frac{D'(E_F)}{D(E_F)} \quad \text{J}$ $\approx \frac{\pi^2 \hbar^2 n^2}{8m} + \frac{2m}{3\hbar^2 n^2} (k_B T)^2 \quad \text{J}$	$\mu = k_B T \ln \left(\exp\left(\frac{E_F}{k_B T}\right) - 1 \right) \quad \text{J}$ $= k_B T \ln \left(\exp\left(\frac{\pi \hbar^2 n}{m k_B T}\right) - 1 \right) \quad \text{J}$	$\mu \approx E_F - \frac{\pi^2}{6} (k_B T)^2 \frac{D'(E_F)}{D(E_F)} \quad \text{J}$ $\approx \frac{\hbar^2}{2m} (3\pi^2 n)^{2/3} - \frac{\pi^{10/3} m}{2\hbar^2 3^{2/3} n^{1/3}} (k_B T)^2 \quad \text{J}$
Internal energy distribution $u(E) = E \frac{D(E)}{\exp\left(\frac{E-\mu}{k_B T}\right) + 1}$	$u(E) = \frac{n}{2} \sqrt{\frac{E}{E_F}} \frac{1}{\exp\left(\frac{E-\mu}{k_B T}\right) + 1} \quad \text{m}^{-1}$ $= \frac{1}{\pi\hbar} \sqrt{2mE} \frac{1}{\exp\left(\frac{E-\mu}{k_B T}\right) + 1} \quad \text{m}^{-1}$	$u(E) = \frac{n}{E_F} \frac{E}{\exp\left(\frac{E-\mu}{k_B T}\right) + 1} \quad \text{m}^{-2}$ $= \frac{m}{\pi\hbar^2} \frac{E}{\exp\left(\frac{E-\mu}{k_B T}\right) + 1} \quad \text{m}^{-2}$	$u(E) = \frac{3n}{2} \left(\frac{E}{E_F}\right)^{3/2} \frac{1}{\exp\left(\frac{E-\mu}{k_B T}\right) + 1} \quad \text{m}^{-3}$ $= \frac{1}{2\pi^2 \hbar^3} (2mE)^{3/2} \frac{1}{\exp\left(\frac{E-\mu}{k_B T}\right) + 1} \quad \text{m}^{-3}$

Free electron Fermi gas

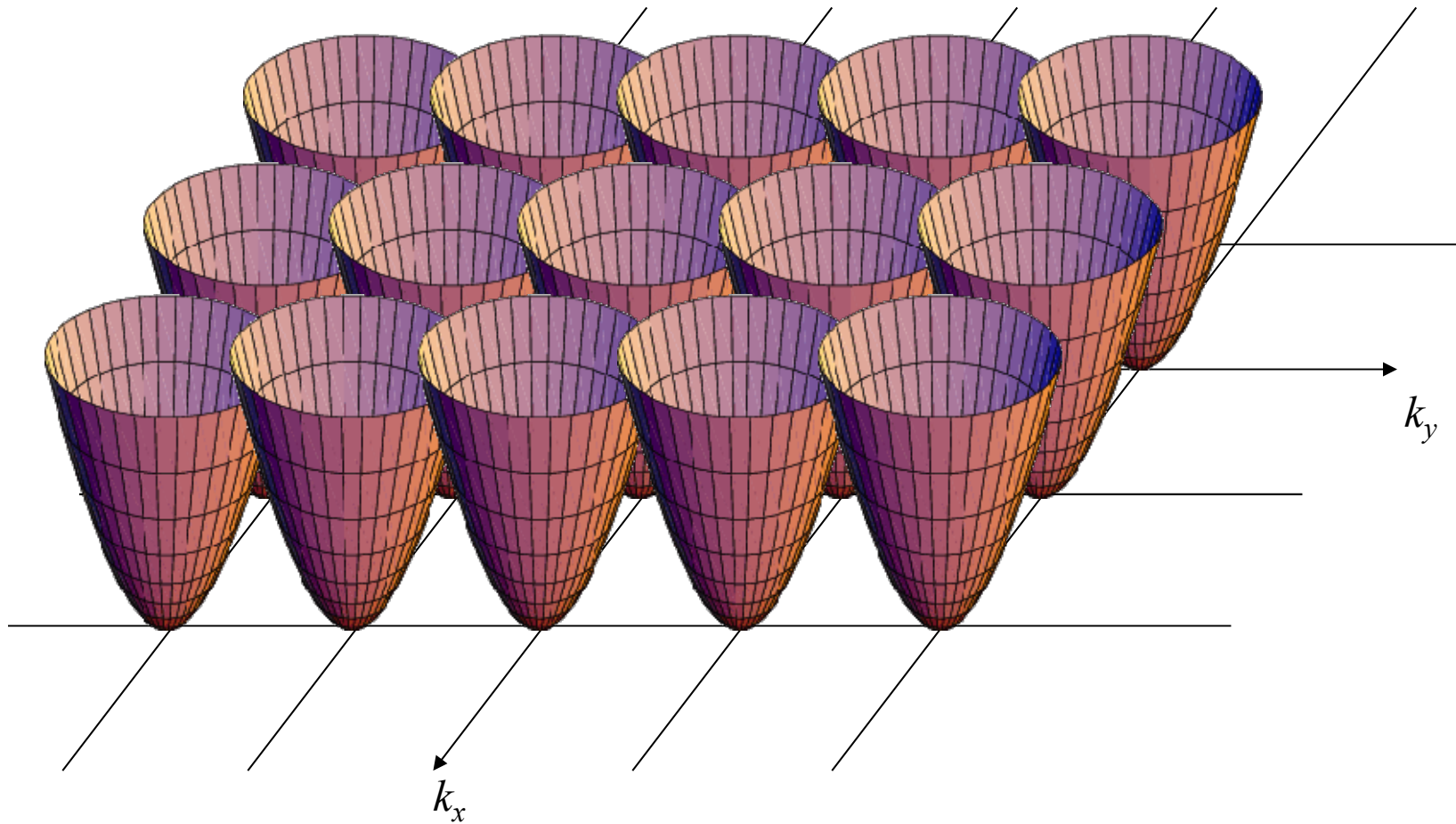
$$1 - d \quad D(E) = \sqrt{\frac{2m}{\hbar^2 \pi^2 E}} = \frac{n}{2\sqrt{E_F E}} \quad \text{J}^{-1} \text{m}^{-1}$$

$$2 - d \quad D(E) = \frac{m}{\hbar^2 \pi} = \frac{n}{E_F} \quad \text{J}^{-1} \text{m}^{-2}$$

$$3 - d \quad D(E) = \frac{\pi}{2} \left(\frac{2m}{\hbar^2 \pi^2} \right)^{3/2} \sqrt{E} = \frac{3n}{2E_F^{3/2}} \sqrt{E} \quad \text{J}^{-1} \text{m}^{-3}$$

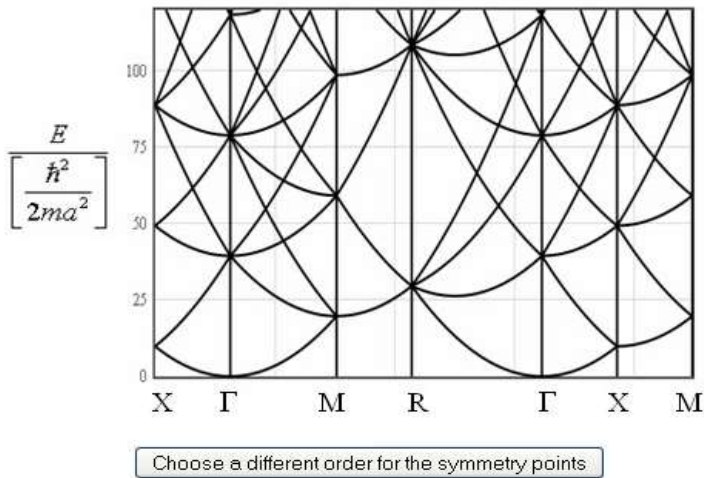


Empty lattice approximation

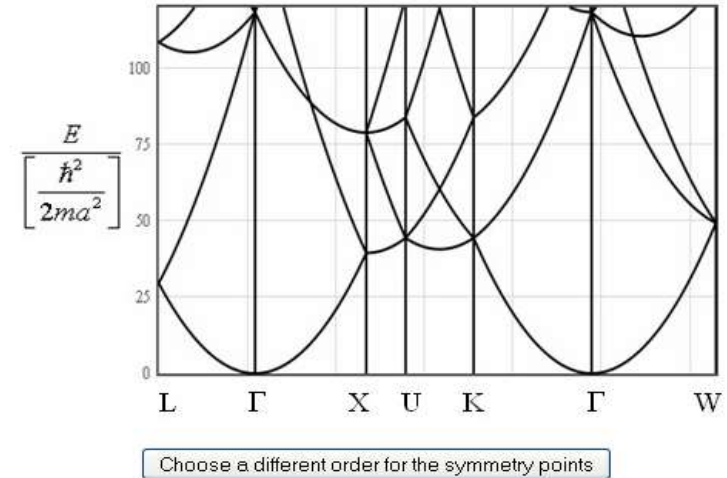


Empty lattice approximation

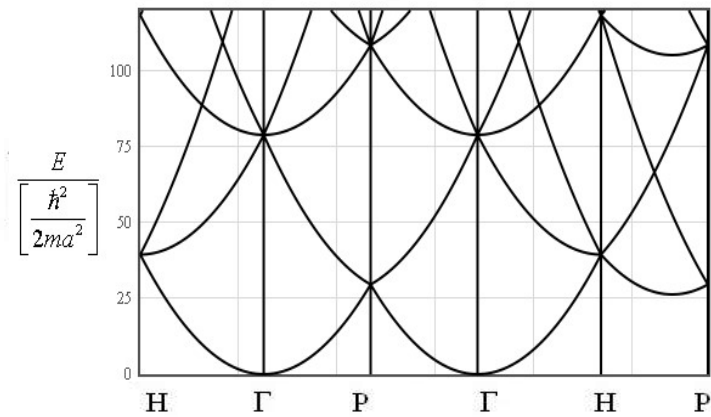
Simple cubic



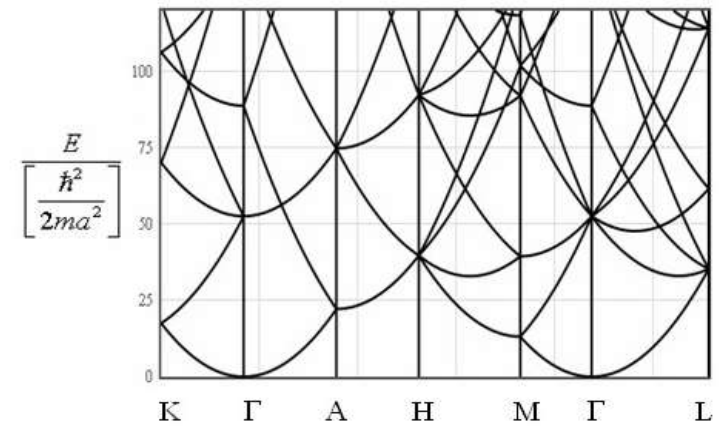
Face centered cubic



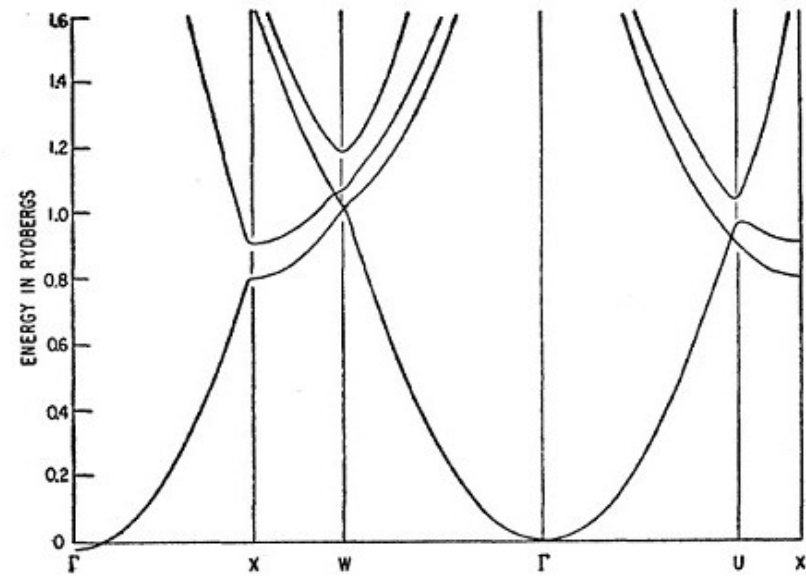
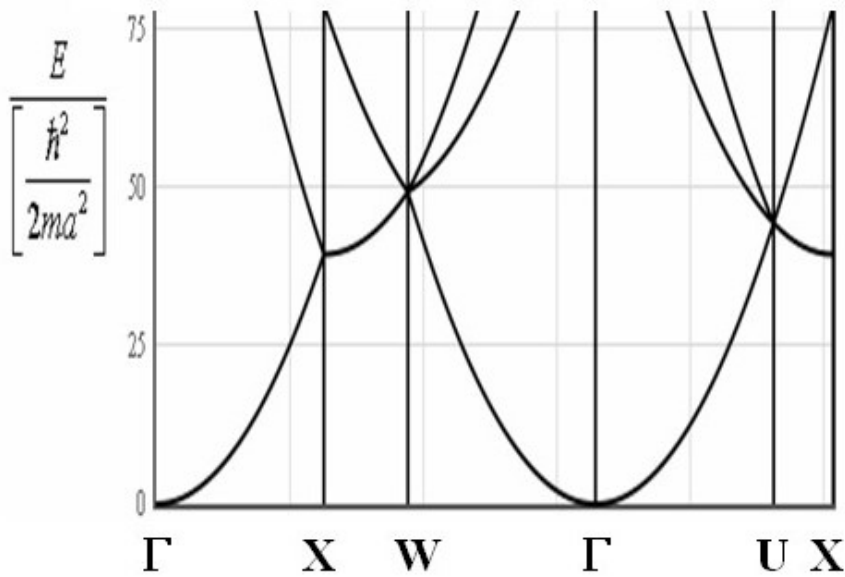
Body centered cubic



Hexagonal

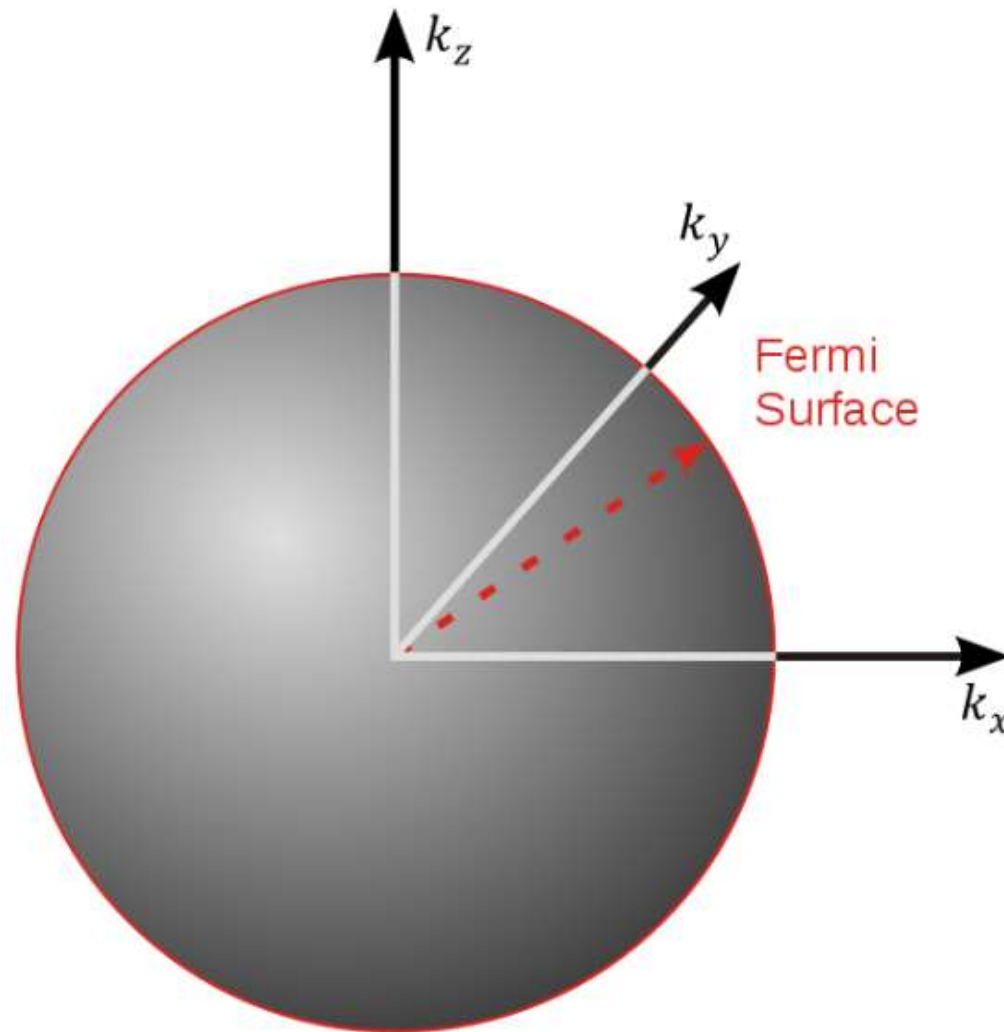


Empty lattice approximation



aluminum

Fermi surface for free electrons



2N states per Brillouin zone

A crystal $L \times L \times L$ has $N = \frac{L^3}{a^3}$ unit cells.

The first Brillouin zone contains $N = \frac{\left(\frac{2\pi}{a}\right)^3}{\left(\frac{2\pi}{L}\right)^3} = \frac{L^3}{a^3}$ k points.

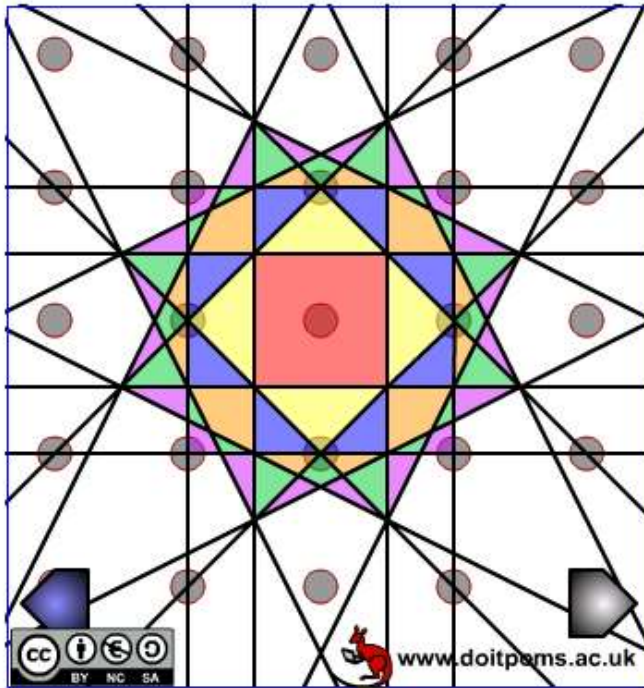
There are N translational symmetries.

Each k state can hold 2 electrons (spin).

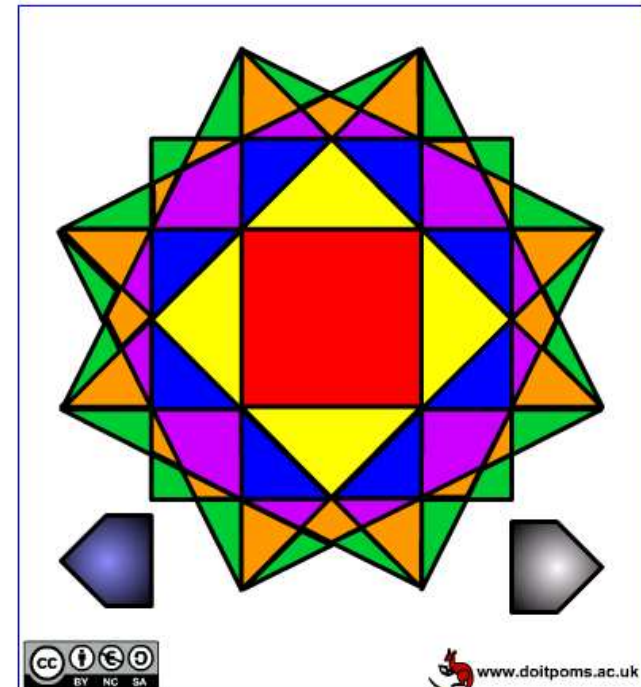
There are $2N$ per Brillouin zone.

Constructing Brillouin zones

2-D square lattice



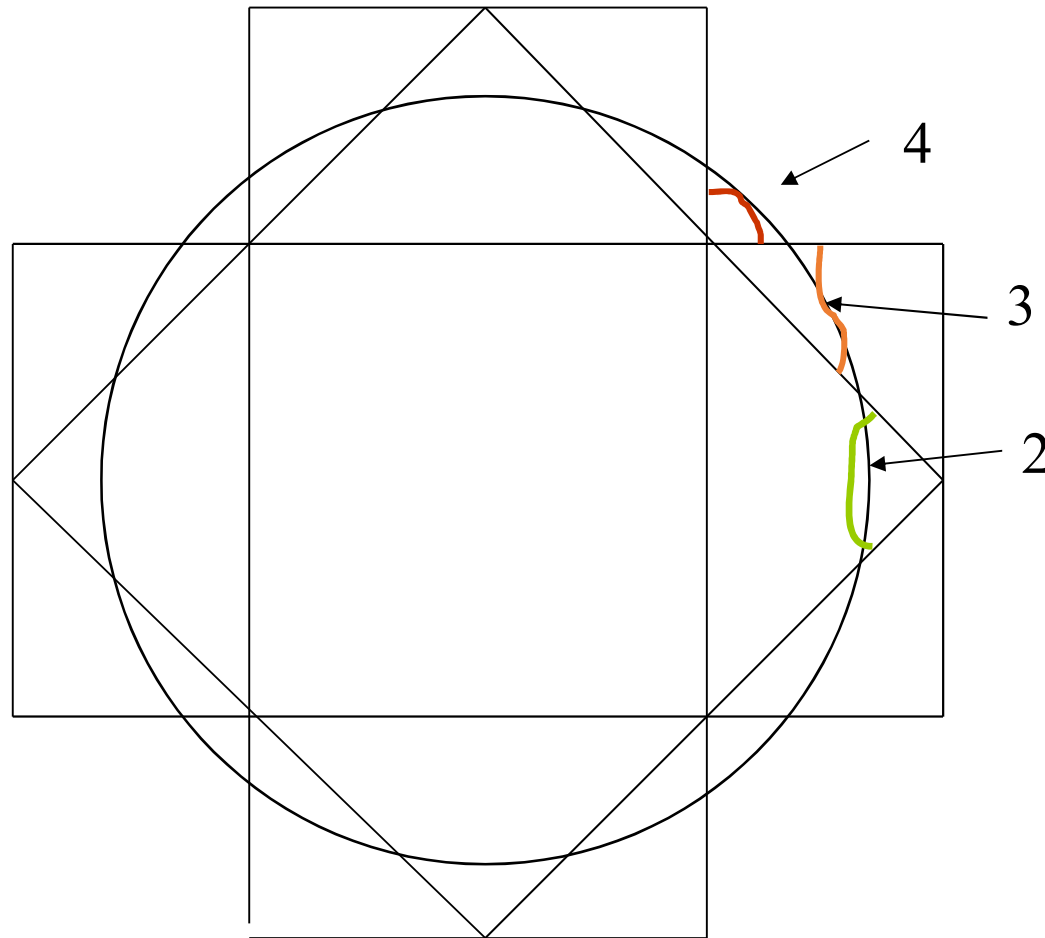
2-D square Zone folding



<http://lamp.tu-graz.ac.at/~hadley/ss1/crystaldiffraction/construct.php>

<http://lamp.tu-graz.ac.at/~hadley/ss1/crystaldiffraction/folding.php>

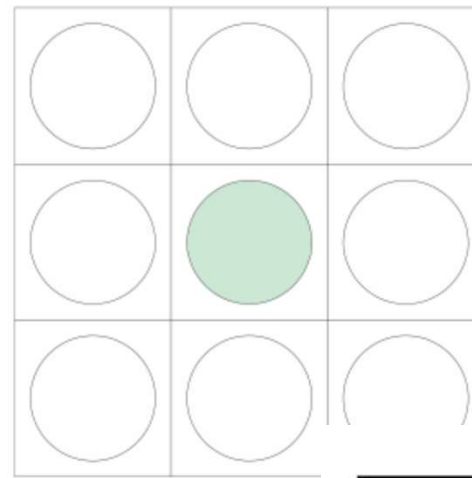
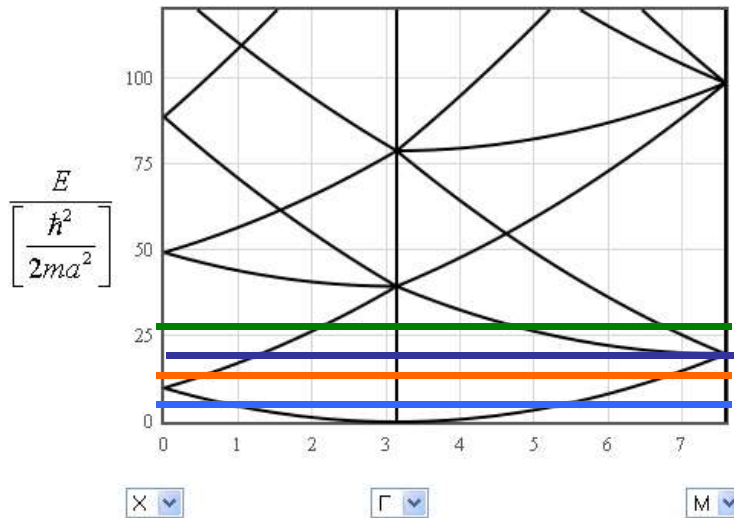
Constructing Fermi surface



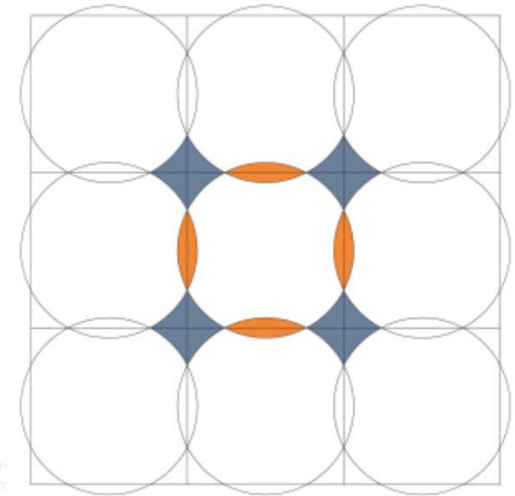
No Fermi surface in the 1st Brillouin zone

2d square

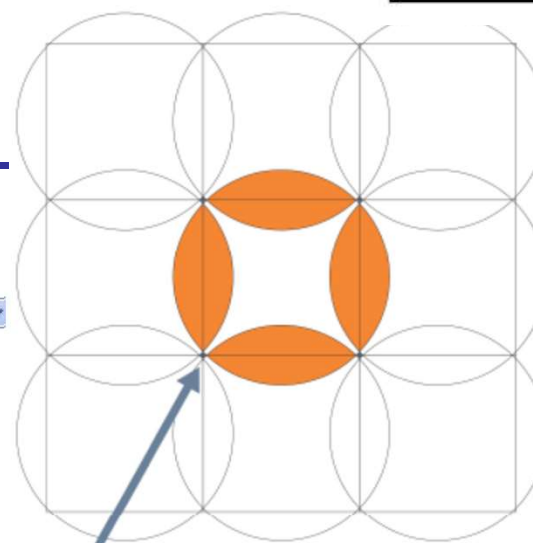
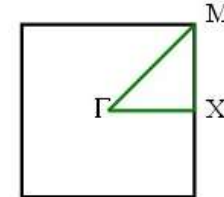
$2N$ electron states in a Brillouin zone



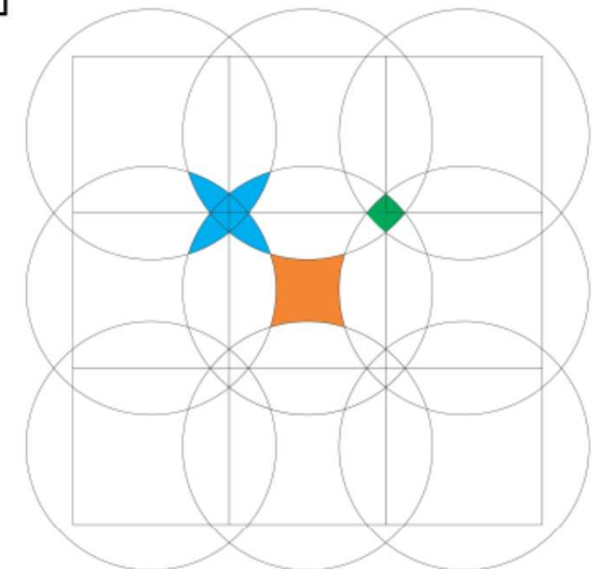
1st zone electrons



1st zone holes
2nd zone electrons



1st zone holes
2nd zone electrons



2nd zone holes
3rd zone electrons
4th zone electrons

The Fermi surface strikes the Brillouin zone boundary at 90° .