

Institute of Solid State Physics

## Review

Maxwell synthesis of electricity, magnetism and optics.

We determined the normal modes of the electromagnetic field. Each normal mode can be labeled by  $\vec{k}$  and polarization.

We quantized the normal modes and found they have quantized energies

$$\hbar\omega(j+\frac{1}{2}) \qquad j=0,1,2,3\cdots$$

We calculated the thermodynamic properties  $u, s, f, c_v, ...$ 



Institute of Solid State Physics

## Review II

The solutions to the wave equation in a periodic medium either have Bloch form

$$e^{i\vec{k}\cdot\vec{r}}u_{\vec{k}}(\vec{r})$$
 (band)

or the solutions are exponentially growing or decaying (bandgap).

### Bloch theorem

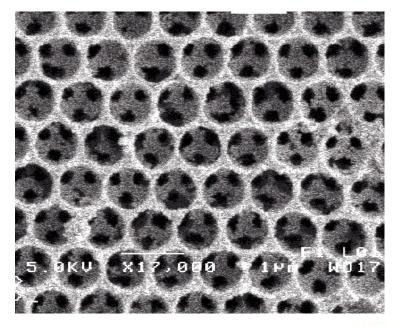
Fourier series for a periodic function.

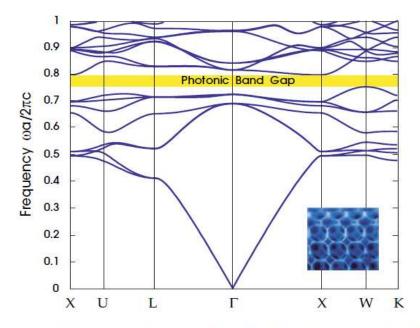
$$C(\vec{r}) = \sum_{\vec{G}} C_{\vec{G}} e^{i\vec{G}\cdot\vec{r}}$$

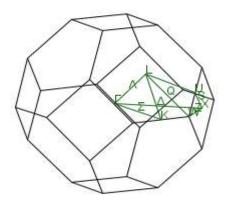
$$f_{\vec{k}}(\vec{r}) = e^{i\vec{k}\cdot\vec{r}} \sum_{\vec{G}} C_{\vec{G}} e^{i\vec{G}\cdot\vec{r}} = e^{i\vec{k}\cdot\vec{r}} e^{i\vec{G}_{0}\cdot\vec{r}} e^{-i\vec{G}_{0}\cdot\vec{r}} \sum_{\vec{G}} C_{\vec{G}} e^{i\vec{G}\cdot\vec{r}} = e^{i\left(\vec{k}+\vec{G}_{0}\right)\cdot\vec{r}} \sum_{\vec{G}} C_{\vec{G}} e^{i\left(\vec{G}-\vec{G}_{0}\right)\cdot\vec{r}}$$

It is always possible to use a k in the first Brillouin zone.

### Inverse opal photonic crystal

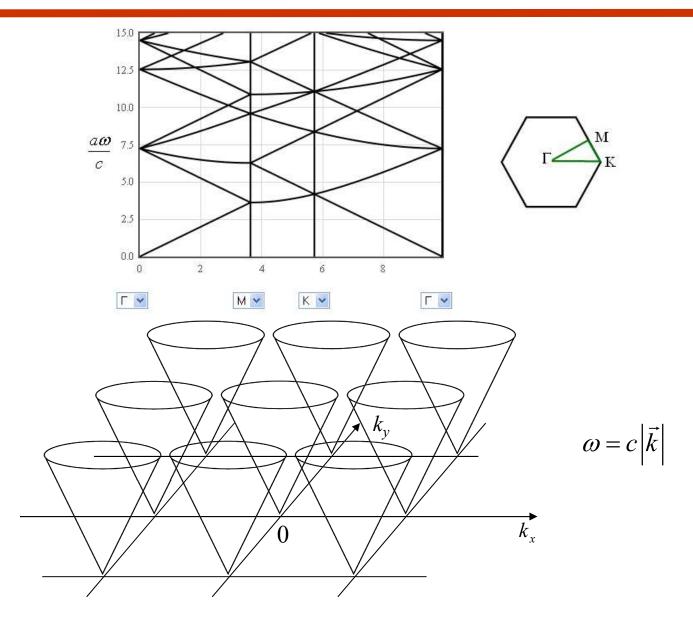




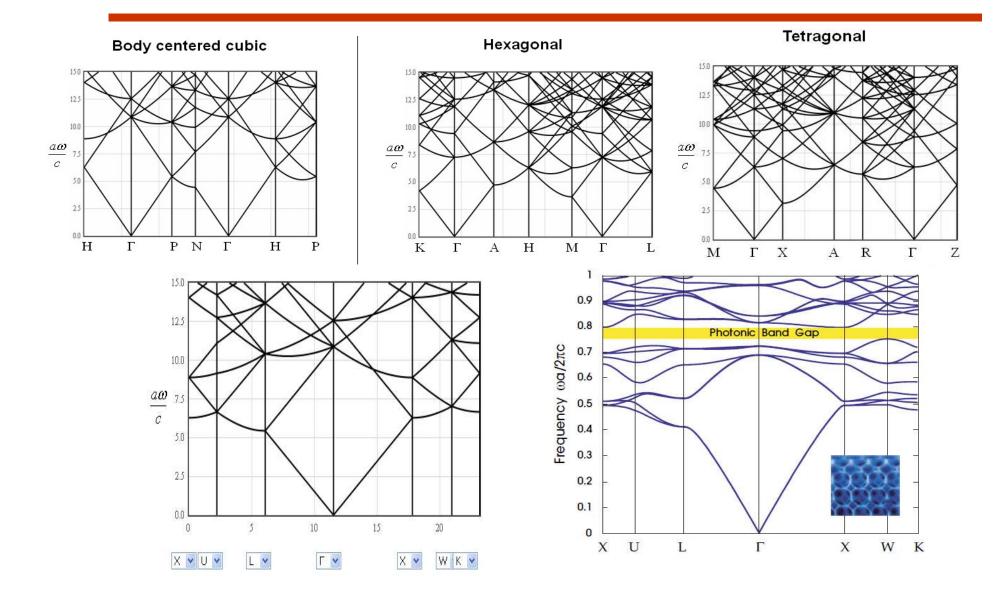


**Figure 8:** The photonic band structure for the lowest bands of an "inverse opal" structure: a face-centered cubic (fcc) lattice of close-packed air spheres in dielectric ( $\varepsilon = 13$ ). (Inset shows fabricated structure from figure 9.) There is a complete photonic band gap (yellow) between the eighth and ninth bands. The wave vector varies across the irreducible Brillouin zone between the labelled high-symmetry points; see appendix B for a discussion of the Brillouin zone of an fcc lattice.

### Empty lattice approximation



### Empty lattice approximation



## Plane wave method

$$c(\vec{r})^{2} \nabla^{2} A_{j} = \frac{d^{2} A_{j}}{dt^{2}}$$

$$c(\vec{r})^{2} = \sum_{\vec{G}} b_{\vec{G}} e^{i\vec{G}\cdot\vec{r}} \qquad A_{j} = \sum_{\vec{k}} A_{\vec{k}} e^{i\left(\vec{k}\cdot\vec{r}-\omega t\right)}$$

$$\sum_{\vec{G}} b_{\vec{G}} e^{i\vec{G}\cdot\vec{r}} \sum_{\vec{k}} \left(-\kappa^{2}\right) A_{\vec{k}} e^{i\left(\vec{\kappa}\cdot\vec{r}-\omega t\right)} = -\omega^{2} \sum_{\vec{k}} A_{\vec{k}} e^{i\left(\vec{k}\cdot\vec{r}-\omega t\right)}$$

$$\sum_{\vec{k}} \sum_{\vec{G}} \left(-\kappa^{2}\right) b_{\vec{G}} A_{\vec{k}} e^{i\left(\vec{G}\cdot\vec{r}+\vec{\kappa}\cdot\vec{r}-\omega t\right)} = -\omega^{2} \sum_{\vec{k}} A_{\vec{k}} e^{i\left(\vec{k}\cdot\vec{r}-\omega t\right)}$$

collect like terms:  $\vec{G} + \vec{\kappa} = \vec{k} \implies \vec{\kappa} = \vec{k} - \vec{G}$ 

Central equations:

$$\sum_{\vec{G}} \left(\vec{k} - \vec{G}\right)^2 b_{\vec{G}} A_{\vec{k} - \vec{G}} = \omega^2 A_{\vec{k}}$$

## Plane wave method

Central equations:

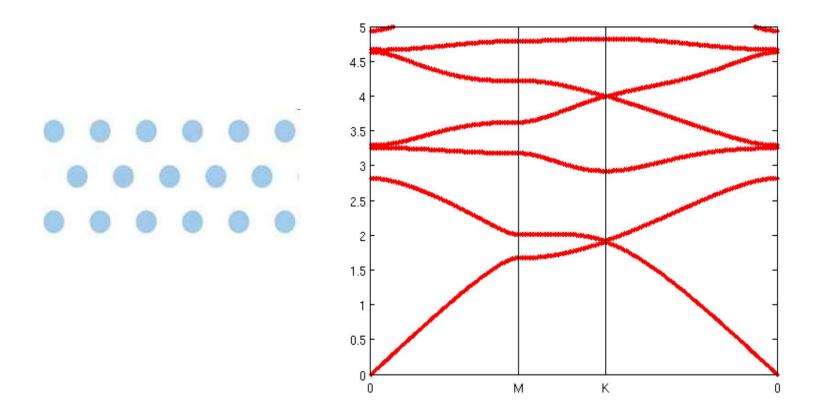
$$\sum_{\vec{G}} \left(\vec{k} - \vec{G}\right)^2 b_{\vec{G}} A_{\vec{k} - \vec{G}} = \omega^2 A_{\vec{k}}$$

Choose a k value inside the 1st Brillouin zone. The coefficient  $A_k$  is coupled by the central equations to coefficients  $A_k$  outside the 1st Brillouin zone. Write these coupled equations in matrix form.

$$\begin{bmatrix} \left(\vec{k}+\vec{G}_{2}\right)^{2}b_{0} & \left(\vec{k}+\vec{G}_{2}-\vec{G}_{1}\right)^{2}b_{\vec{G}_{1}} & k^{2}b_{\vec{G}_{2}} & \left(\vec{k}+\vec{G}_{2}-\vec{G}_{3}\right)^{2}b_{\vec{G}_{3}} & \left(\vec{k}+\vec{G}_{2}-\vec{G}_{4}\right)^{2}b_{\vec{G}_{4}} \\ \left(\vec{k}+2\vec{G}_{1}\right)^{2}b_{-\vec{G}_{1}} & \left(\vec{k}+\vec{G}_{1}\right)^{2}b_{0} & k^{2}b_{\vec{G}_{1}} & \left(\vec{k}+\vec{G}_{1}-\vec{G}_{2}\right)^{2}b_{\vec{G}_{2}} & \left(\vec{k}+\vec{G}_{1}-\vec{G}_{3}\right)^{2}b_{\vec{G}_{3}} \\ \left(\vec{k}+\vec{G}_{2}\right)^{2}b_{-\vec{G}_{2}} & \left(\vec{k}+\vec{G}_{1}\right)^{2}b_{-\vec{G}_{1}} & k^{2}b_{0} & \left(\vec{k}-\vec{G}_{1}\right)^{2}b_{\vec{G}_{1}} & \left(\vec{k}-\vec{G}_{2}\right)^{2}b_{\vec{G}_{2}} \\ \left(\vec{k}-\vec{G}_{1}+\vec{G}_{3}\right)^{2}b_{-\vec{G}_{3}} & \left(\vec{k}-\vec{G}_{1}+\vec{G}_{2}\right)^{2}b_{-\vec{G}_{2}} & k^{2}b_{-\vec{G}_{1}} & \left(\vec{k}-\vec{G}_{1}\right)^{2}b_{0} & \left(\vec{k}-2\vec{G}_{1}\right)^{2}b_{\vec{G}_{1}} \\ \left(\vec{k}-\vec{G}_{2}+\vec{G}_{4}\right)^{2}b_{-\vec{G}_{4}} & \left(\vec{k}-\vec{G}_{2}+\vec{G}_{3}\right)^{2}b_{-\vec{G}_{3}} & k^{2}b_{-\vec{G}_{2}} & \left(\vec{k}-\vec{G}_{2}+\vec{G}_{1}\right)^{2}b_{-\vec{G}_{1}} & \left(\vec{k}-\vec{G}_{2}\right)^{2}b_{0} \\ \left(\vec{k}-\vec{G}_{2}+\vec{G}_{4}\right)^{2}b_{-\vec{G}_{4}} & \left(\vec{k}-\vec{G}_{2}+\vec{G}_{3}\right)^{2}b_{-\vec{G}_{3}} & k^{2}b_{-\vec{G}_{2}} & \left(\vec{k}-\vec{G}_{2}+\vec{G}_{1}\right)^{2}b_{-\vec{G}_{1}} & \left(\vec{k}-\vec{G}_{2}\right)^{2}b_{0} \\ \end{array} \right]^{-1}$$

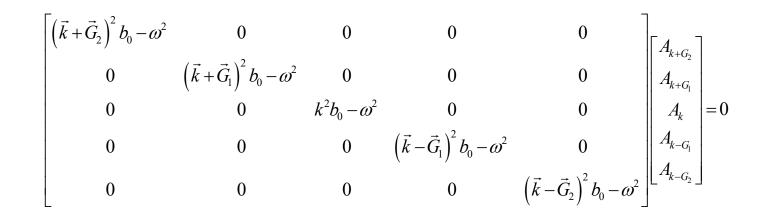
There is a matrix like this for every *k* value in the 1st Brillouin zone.

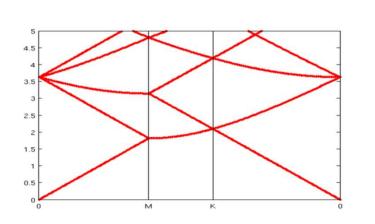
# Close packed circles in 2-D

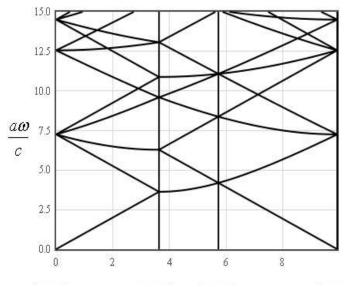


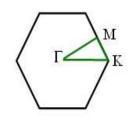
### Solved by a student with the plane wave method

# Uniform speed of light

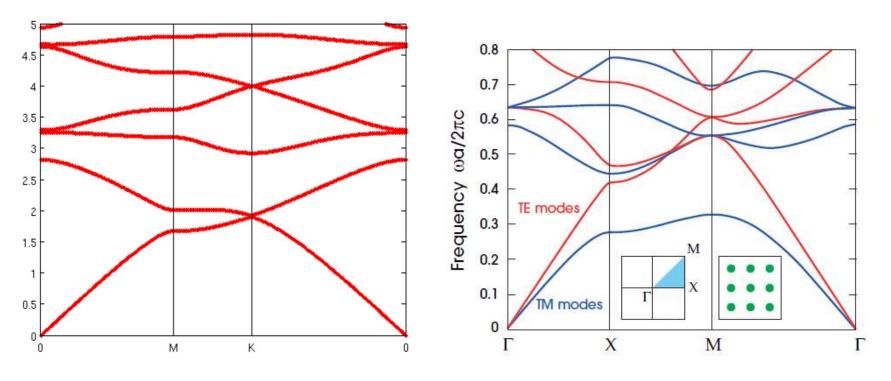


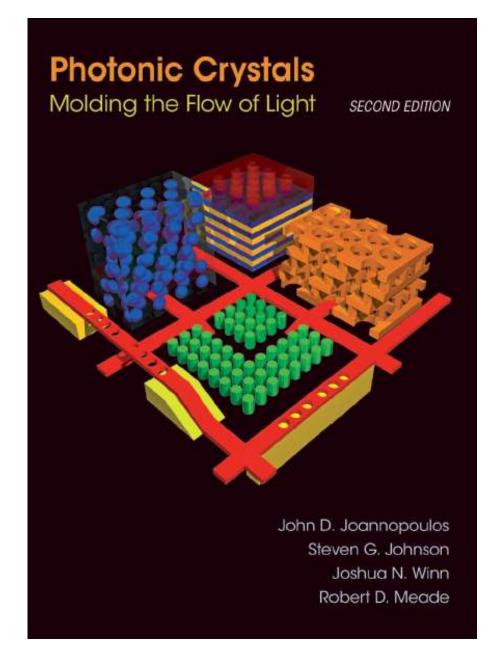






TM and TE modes

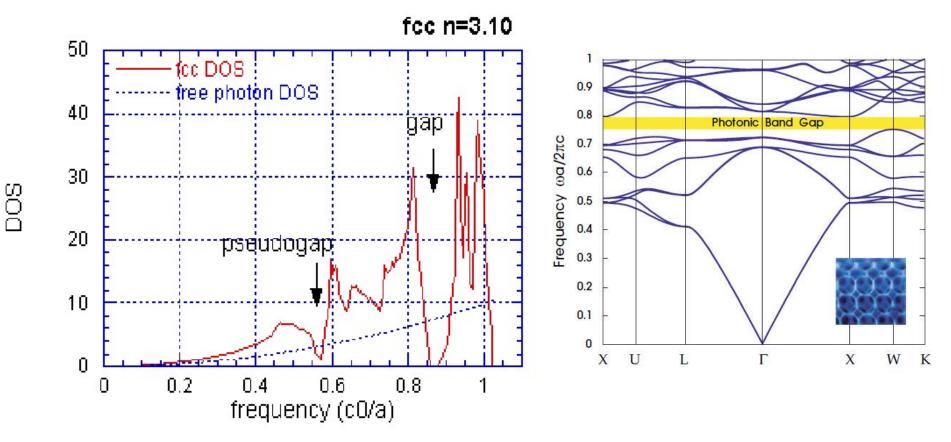




Use the plane wave method to calculate photon dispersion relations and densities of states.

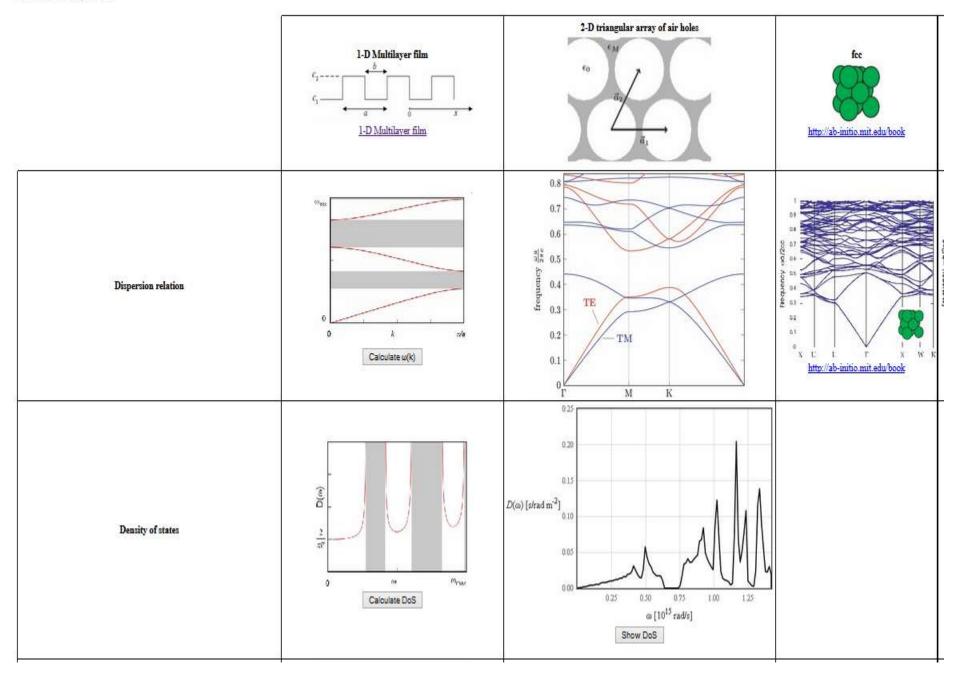
## Photon density of states

Diffraction causes gaps in the density of modes for k vectors near the planes in reciprocal space where diffraction occurs.

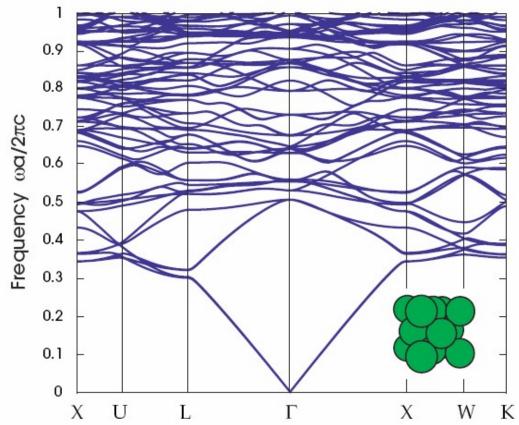


photon density of states for voids in an fcc lattice http://www.public.iastate.edu/~cmpexp/groups/PBG/pres\_mit\_short/sld002.htm

#### Photonic crystals

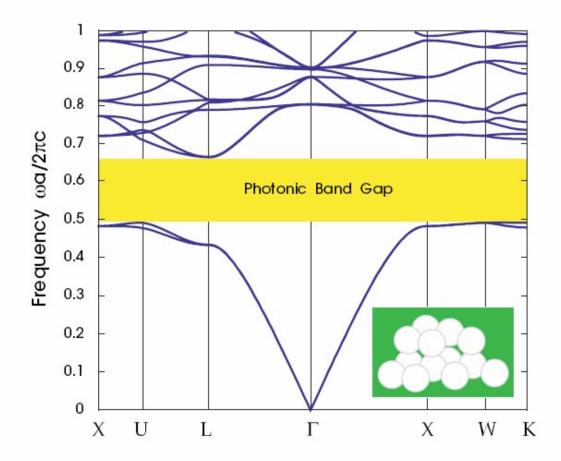






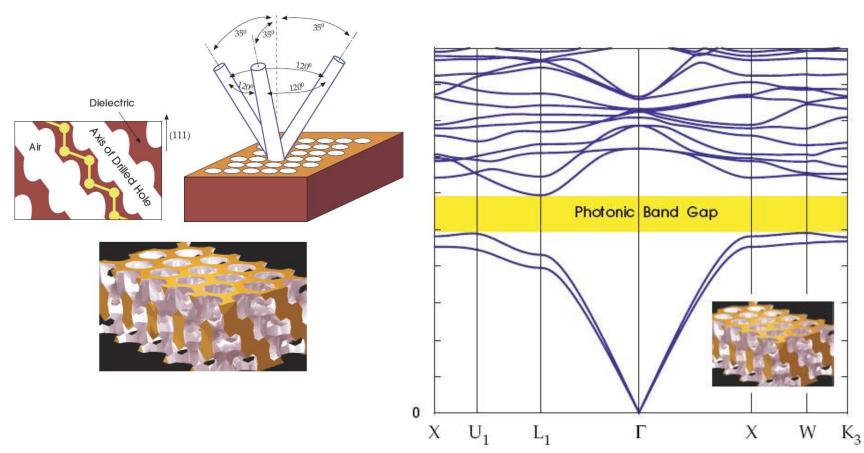
**Figure 2:** The photonic band structure for the lowest-frequency electromagnetic modes of a face-centered cubic (fcc) lattice of close-packed dielectric spheres ( $\varepsilon = 13$ ) in air (inset). Note the *absence* of a complete photonic band gap. The wave vector varies across the irreducible Brillouin zone between the labelled high-symmetry points; see appendix B for a discussion of the Brillouin zone of an fcc lattice.

## diamond



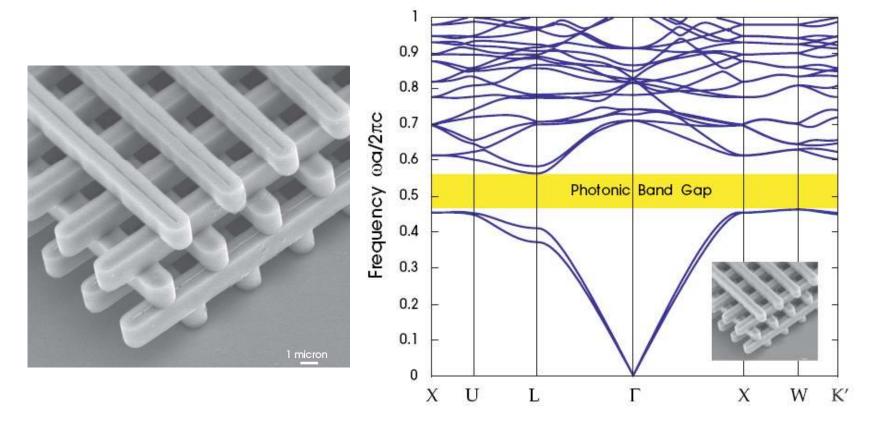
**Figure 3:** The photonic band structure for the lowest bands of a diamond lattice of air spheres in a high dielectric ( $\epsilon = 13$ ) material (inset). A complete photonic band gap is shown in yellow. The wave vector varies across the irreducible Brillouin zone between the labelled high-symmetry points; see appendix B for a discussion of the Brillouin zone of an fcc lattice.

### Yablonovite



**Figure 5:** The photonic band structure for the lowest bands of Yablonovite (inset, from figure 4). Wave vectors are shown for a portion of the irreducible Brillouin zone that includes the edges of the complete gap (yellow). A detailed discussion of this band structure can be found in Yablonovitch et al. (1991*a*).

## Woodpile



**Figure 7:** The photonic band structure for the lowest bands of the woodpile structure (inset, from figure 6) with  $\varepsilon = 13$  logs in air. The irreducible Brillouin zone is larger than that of the fcc lattice described in appendix B, because of reduced symmetry—only a portion is shown, including the edges of the complete photonic band gap (yellow).

### Student projects

Describe the plane wave method.

Calculate the band structure and density of states for a photonic crystal.

Help complete the table of the empty lattice approximation

Plot the thermodynamic properties of some photonic crystal (you need the density of states)

