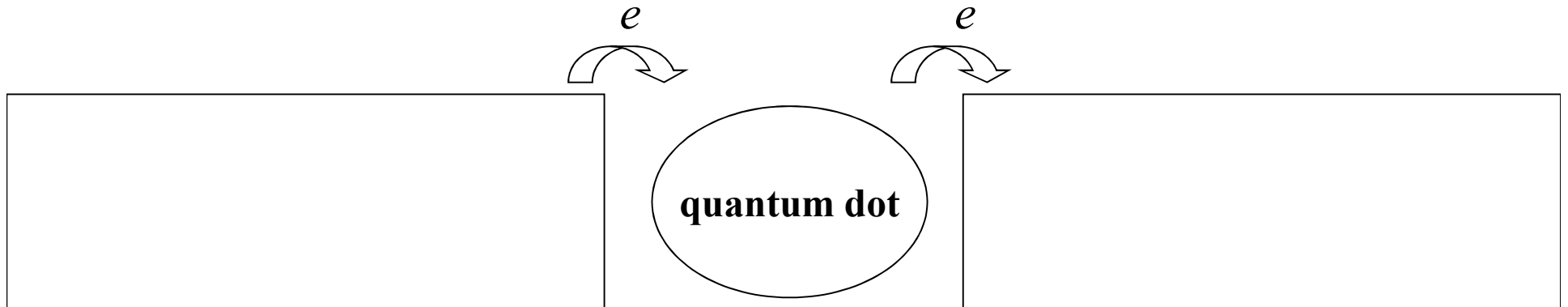


# Charging effects

---

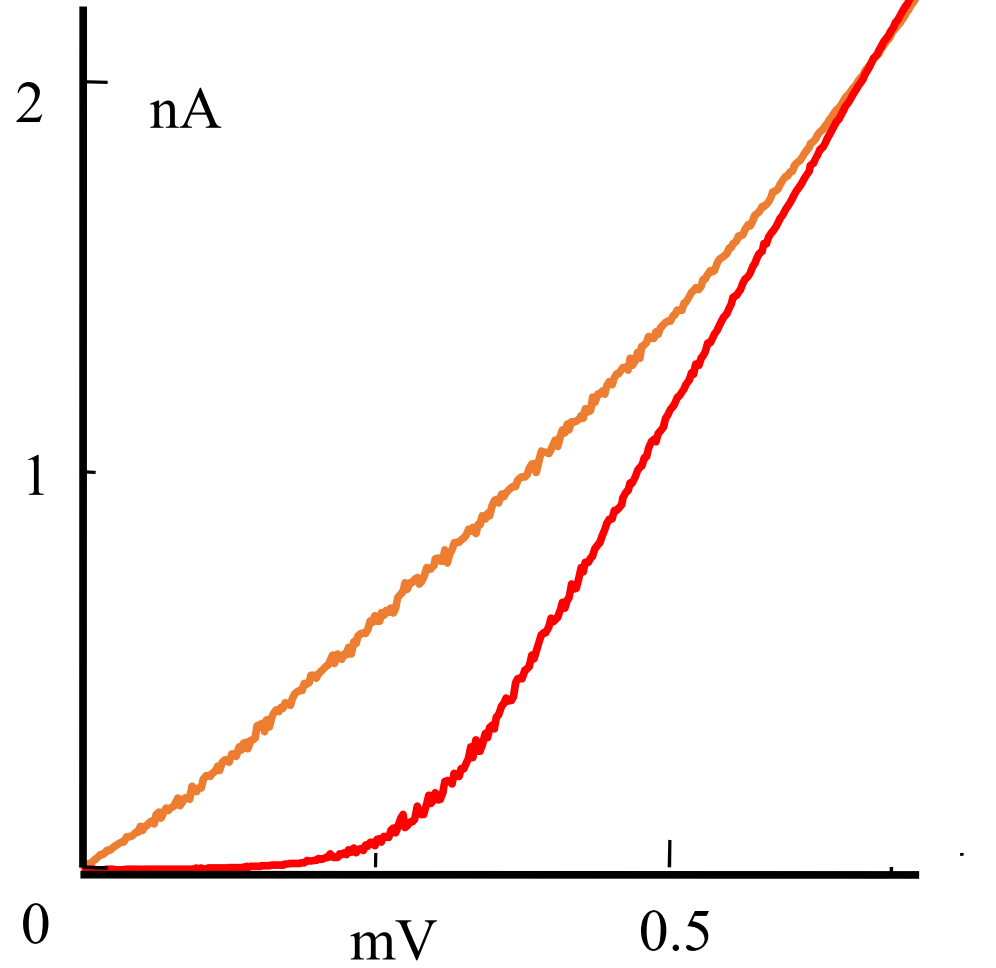
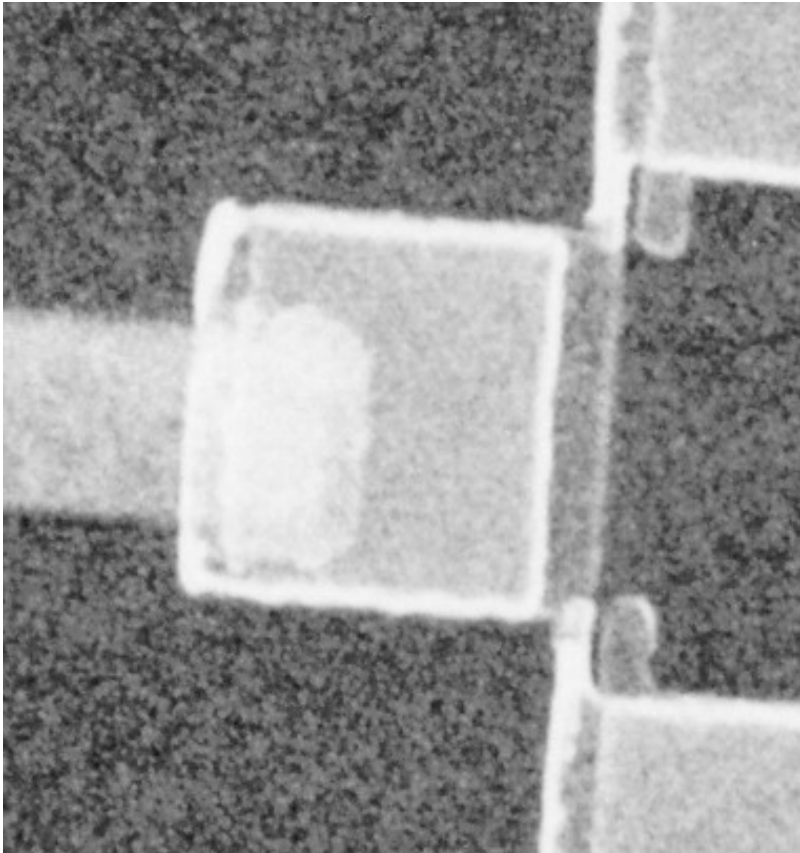
After screening, the next most simple approach to describing electron-electron interactions are charging effects.



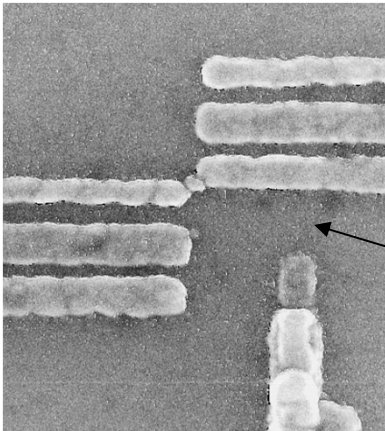
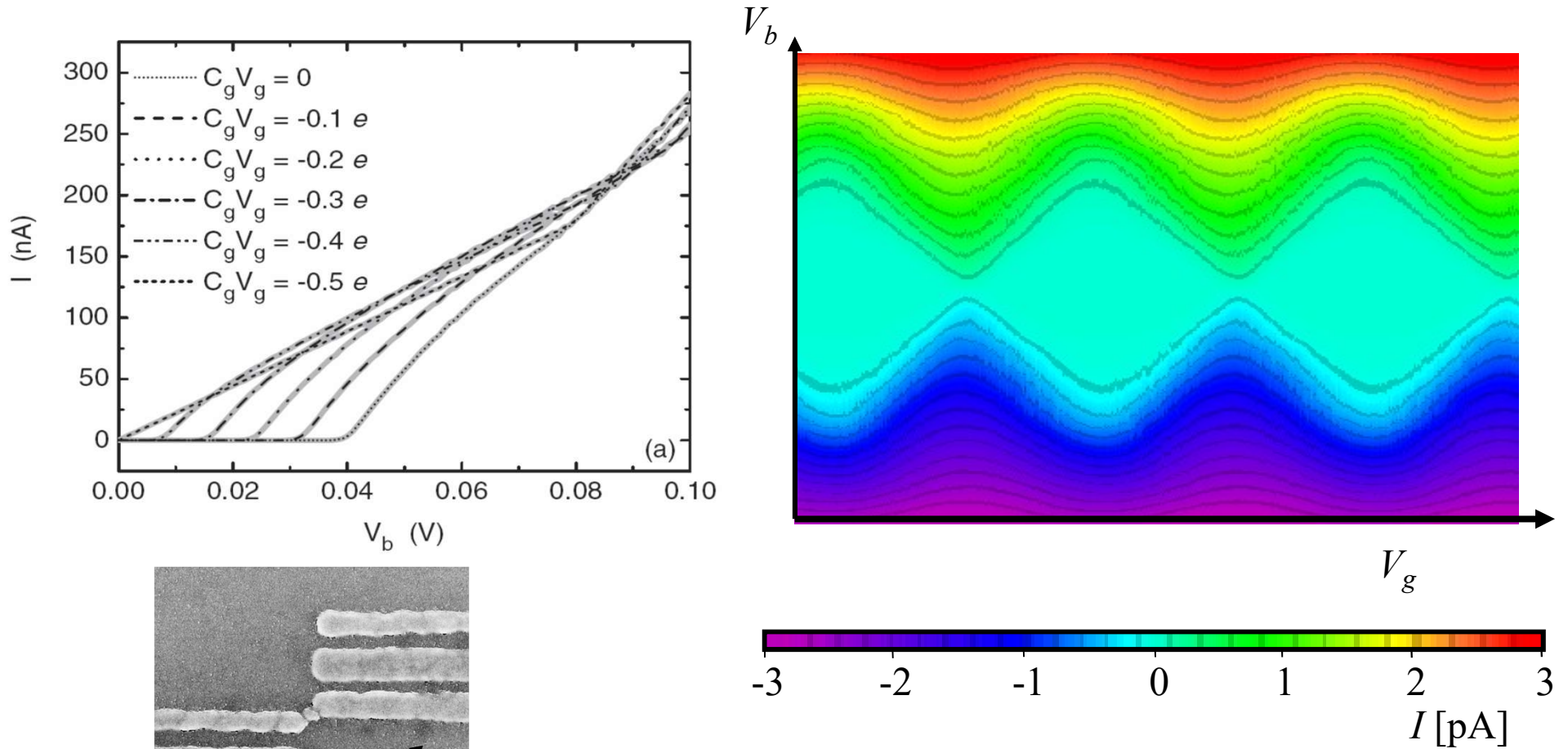
The motion of electrons through a single quantum dot is correlated.

# Single electron transistor

---

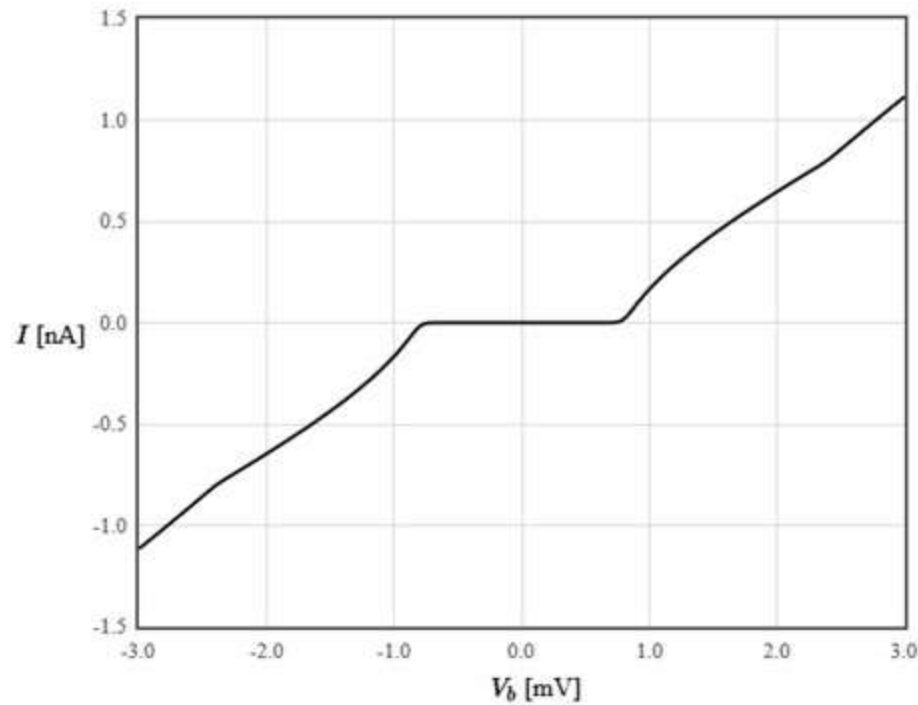
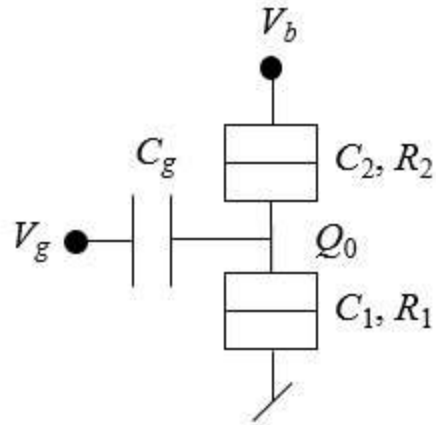


# Coulomb blockade



2 nm room temperature SET Pashkin/Tsai NEC

# Single electron transistors



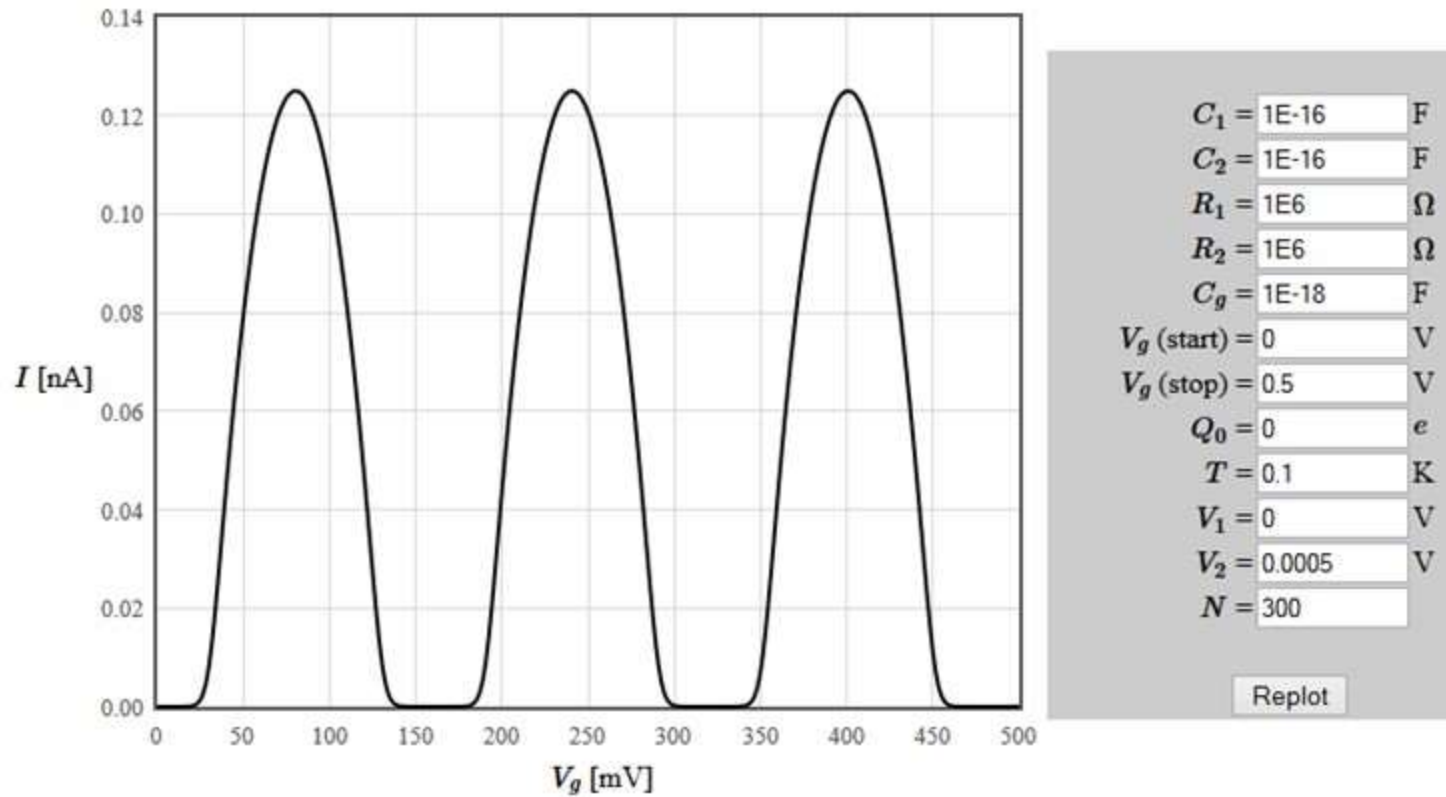
$C_1 = 1\text{E-}16$  F  
 $C_2 = 1\text{E-}16$  F  
 $R_1 = 1\text{E}6$   $\Omega$   
 $R_2 = 1\text{E}6$   $\Omega$   
 $C_g = 1\text{E-}18$  F  
 $V_g = 0$  V  
 $Q_0 = 0$  e  
 $T = 0.1$  K  
 $V_b$  (start) = -0.003 V  
 $V_b$  (stop) = 0.003 V  
 $N = 300$

Replot

<http://lamp.tu-graz.ac.at/~hadley/set/asymIV/SETIV.html>

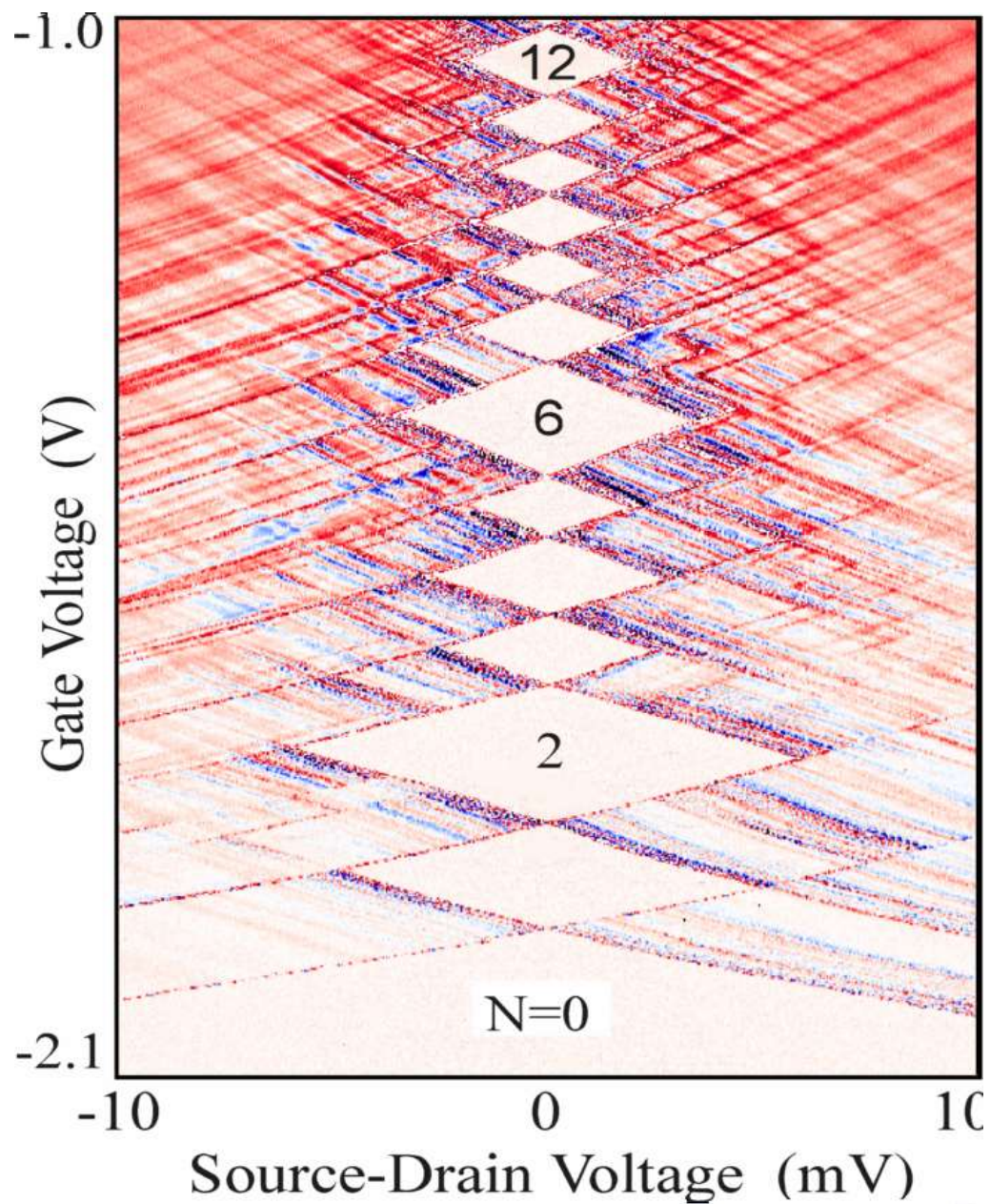
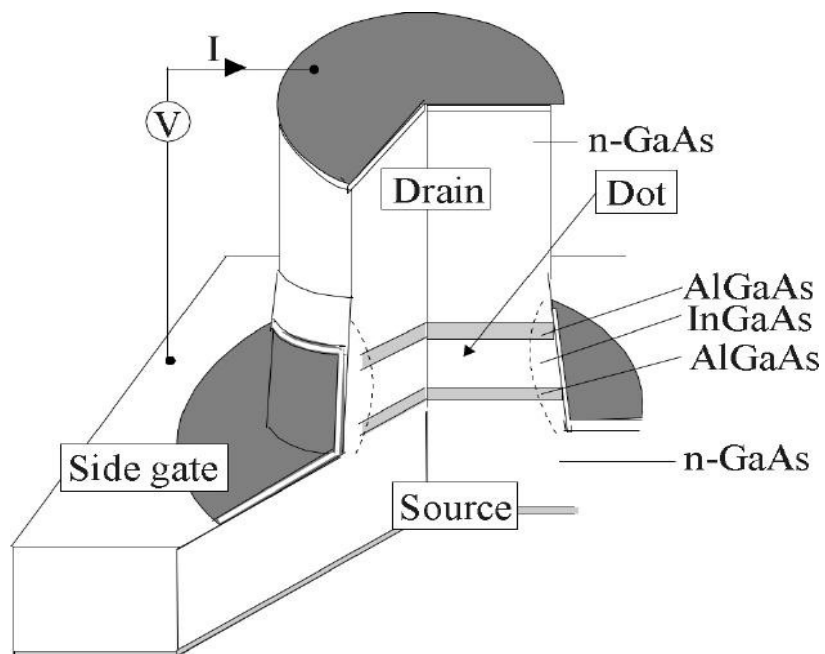
<http://lamp.tu-graz.ac.at/~hadley/set/symIV/SETIV.html>

# Single electron transistors $I-V_g$



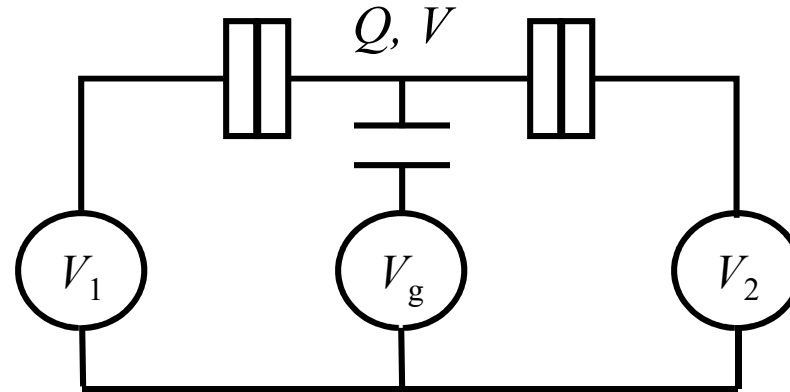
<http://lamp.tu-graz.ac.at/~hadley/set/ivg/ivg.html>

# Quantum dot



# Single electron transistor

---



$$Q = C_1(V - V_1) + C_2(V - V_2) + C_g(V - V_g)$$

$$Q = -ne$$

$$V(n) = (-ne + C_1V_1 + C_2V_2 + C_gV_g) / C_\Sigma$$

$$C_\Sigma = C_1 + C_2 + C_g$$

# Single electron transistor

---

The potential of the island with  $n$  electrons on it:

$$V(n) = (-ne + C_1V_1 + C_2V_2 + C_g) / C_\Sigma$$

The energy needed to add an infinitesimal charge  $dq$  to an island at voltage  $V(n)$  is  $V(n)dq$ . The energy needed to add a whole electron is:

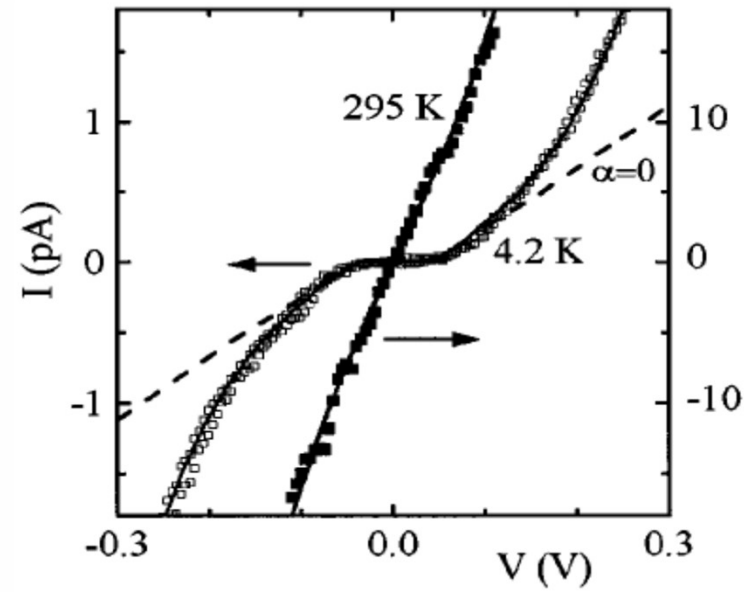
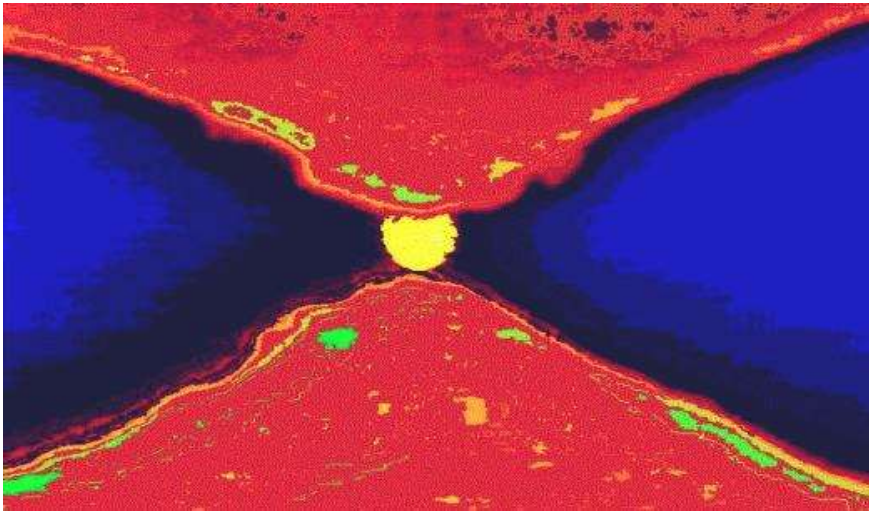
$$\Delta E = \int_0^{-e} V(n) dq = -eV(n) + \frac{e^2}{2C_\Sigma}$$

Charging energy

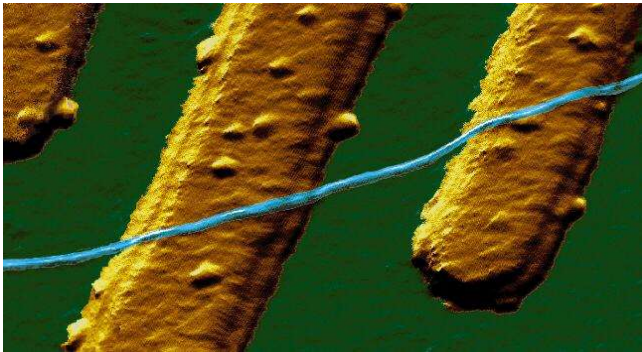
The energy needed to remove a whole electron is:

$$\Delta E = \int_0^e V(n) dq = eV(n) + \frac{e^2}{2C_\Sigma}$$

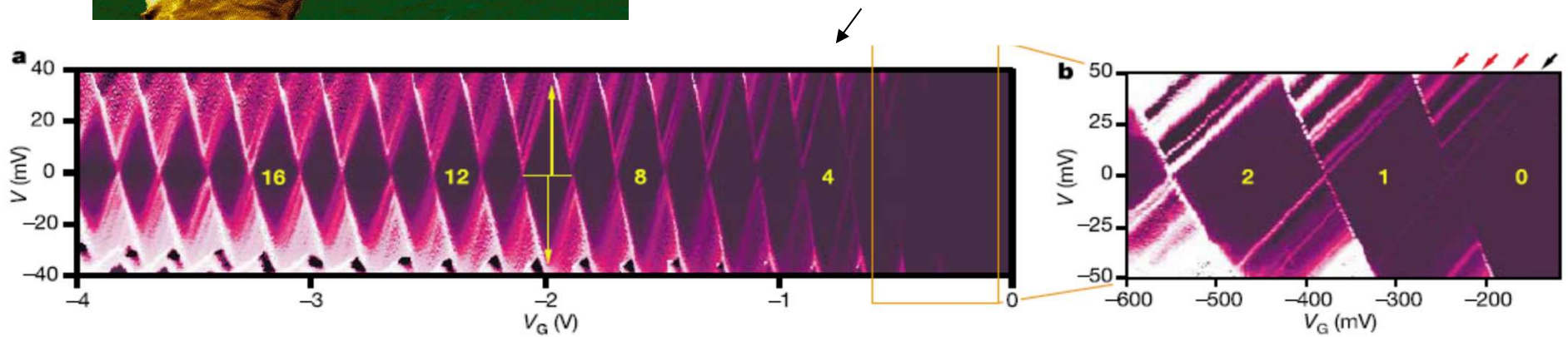




A. Bezryadin et al. Appl. Phys. Lett., 71, p. 1273.



Jarillo-Herrero, et al., Nature 429, 389 (2004).



# Coulomb blockade suppressed by thermal and quantum fluctuations

---

Thermal fluctuations  $\frac{e^2}{2C_\Sigma} \gg k_B T$

Quantum fluctuations  $\Delta E \Delta t > \hbar$

Duration of a quantum fluctuation:

$$\Delta t \sim \frac{\hbar 2C_\Sigma}{e^2}$$

$RC$  charging time of the capacitance:

$$RC_\Sigma$$

Charging faster than a quantum fluctuation

$$RC_\Sigma < \frac{\hbar 2C_\Sigma}{e^2}$$

$$R < \frac{2\hbar}{e^2} \approx 8 \text{ k}\Omega$$

$$\frac{h}{e^2} \approx 25.5 \text{ k}\Omega$$

Resistance quantum

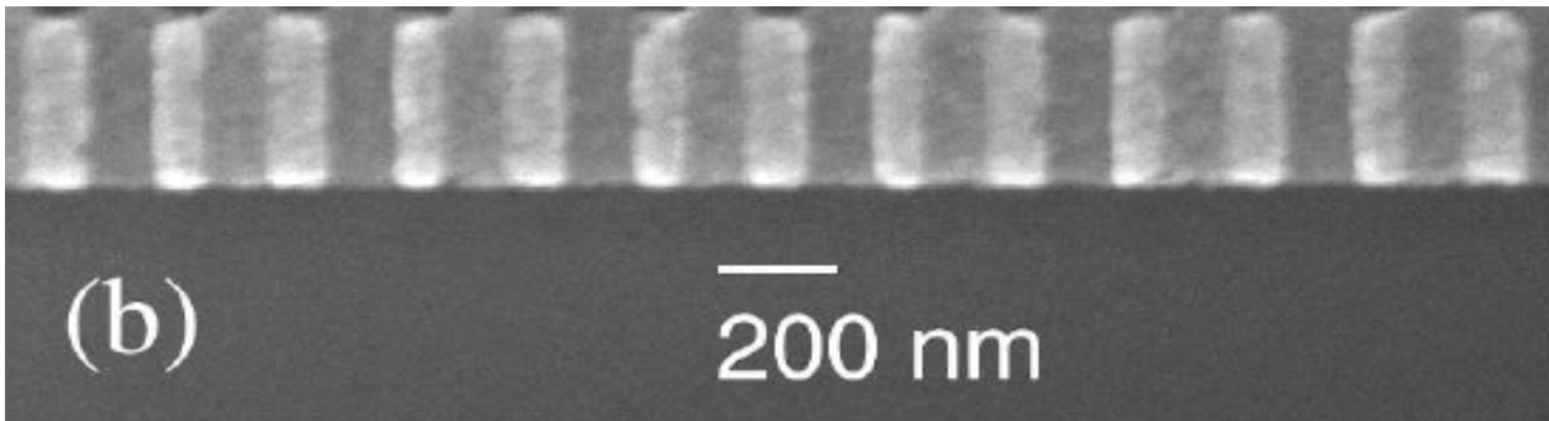
# Metal - insulator transition in 1-d arrays

---

Charging energy  $\Delta E = e^2/2C$

$$\Delta t = \frac{\hbar}{\Delta E} = \frac{2C\hbar}{e^2} > \frac{1}{\Gamma} = RC$$

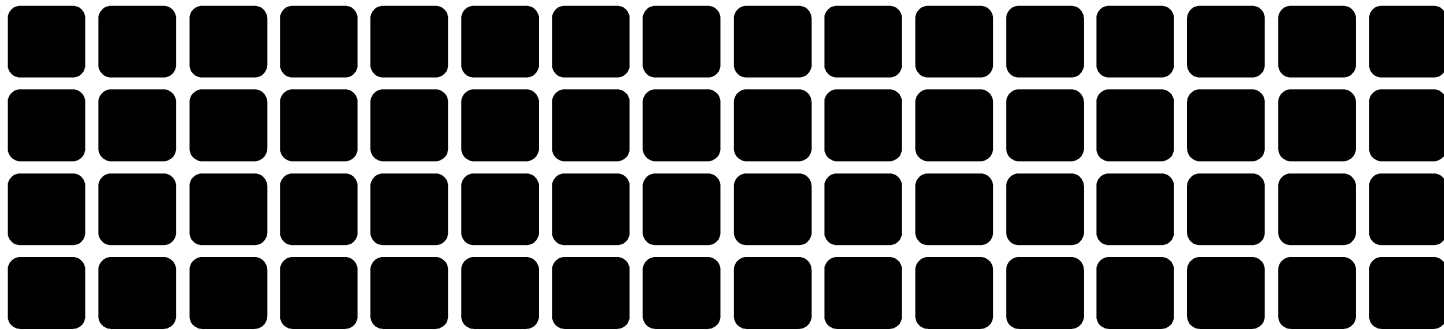
$$R < \frac{2\hbar}{e^2} \quad \text{extended state}$$



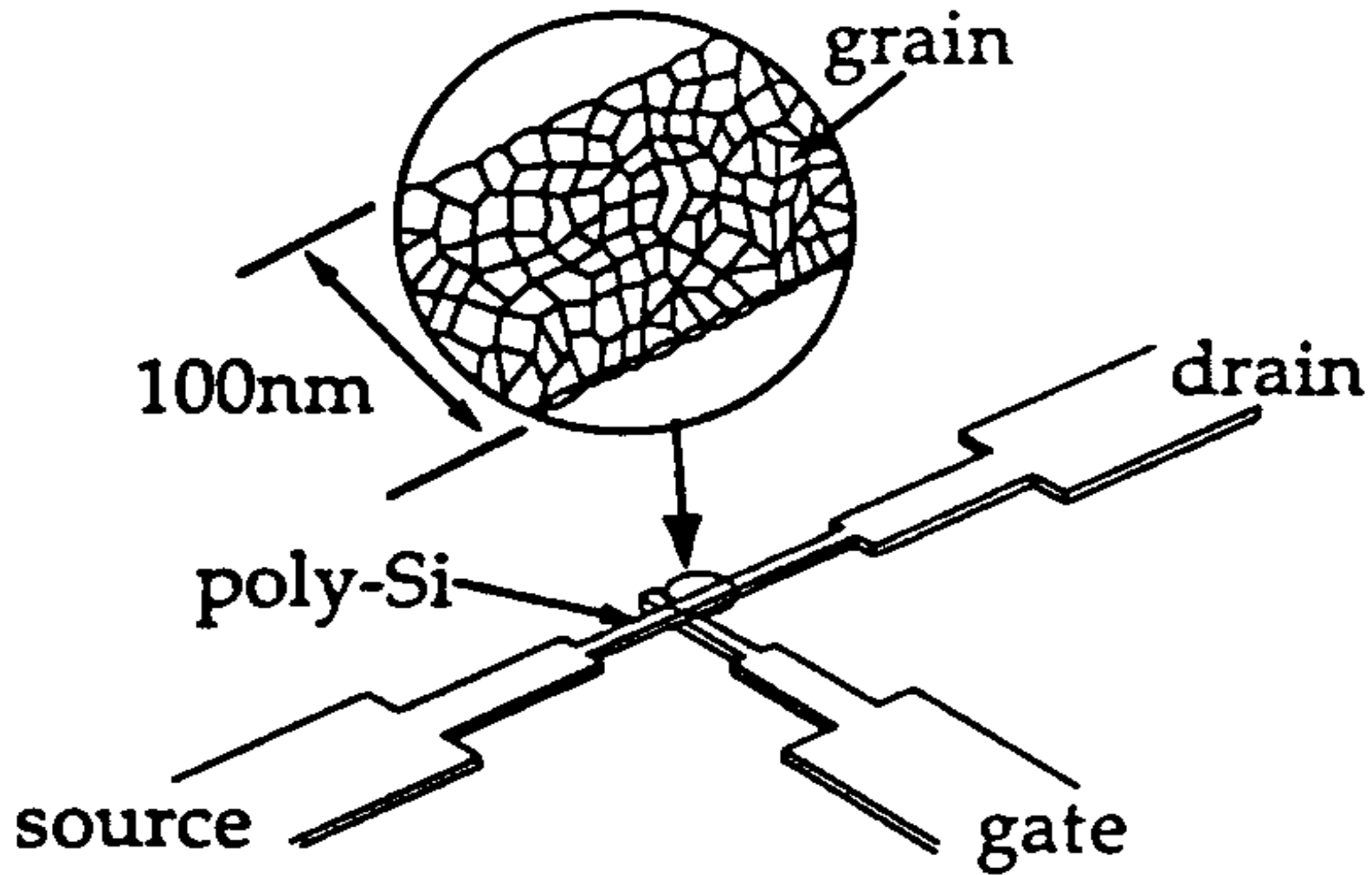
# Metal insulator transition

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If the tunnel resistances between the crystals is  $> 25 \text{ k}\Omega$ , the material will be an insulator at low temperature



Strong coupling of metal particles results in a metal.  
Weak coupling of metal particle results in an insulator.

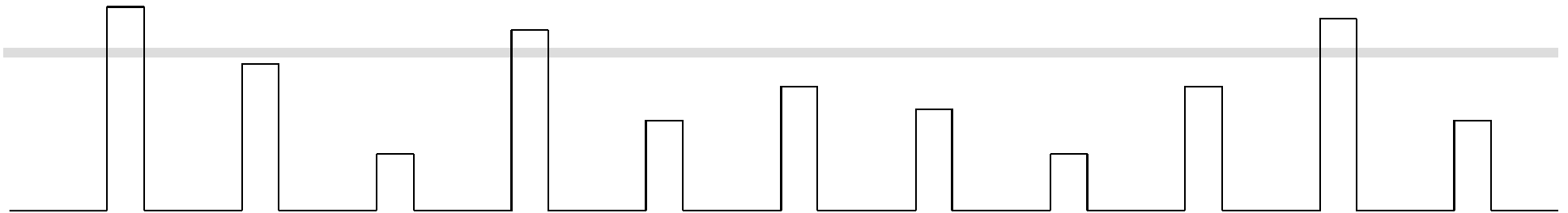
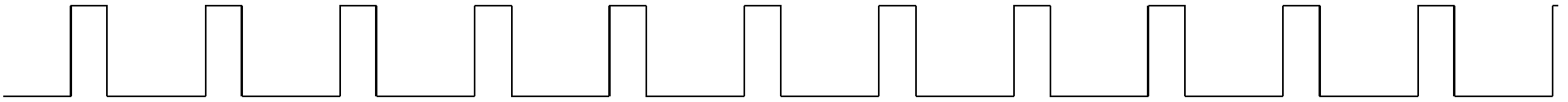


.. Yano

# Disorder => Favors insulating state

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Uniform tunnel barriers



Random tunnel barriers, some with resistances above the resistance quantum

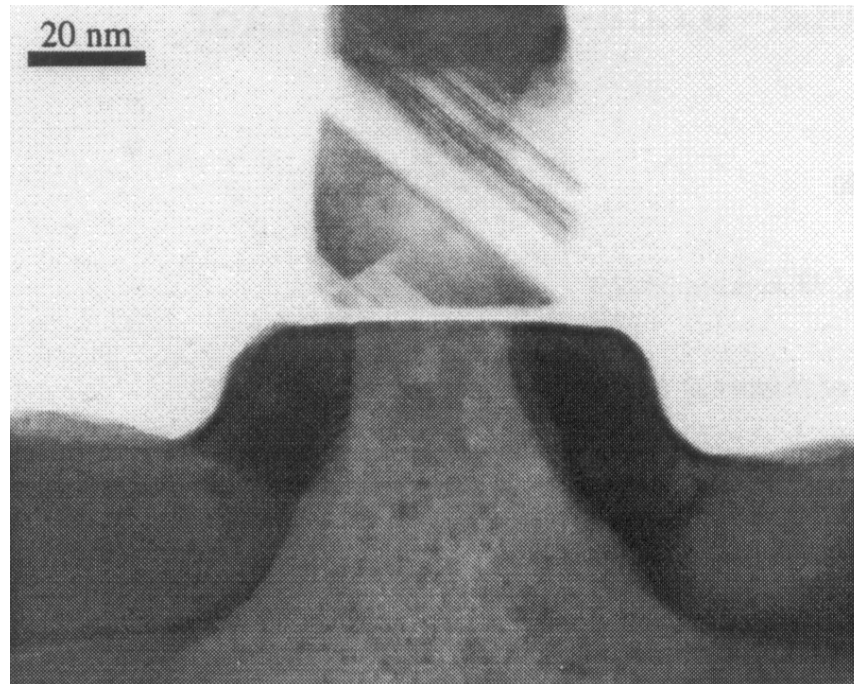
For bigger conducting regions, lower temperatures are needed to see insulating behavior.

# Single electron effects

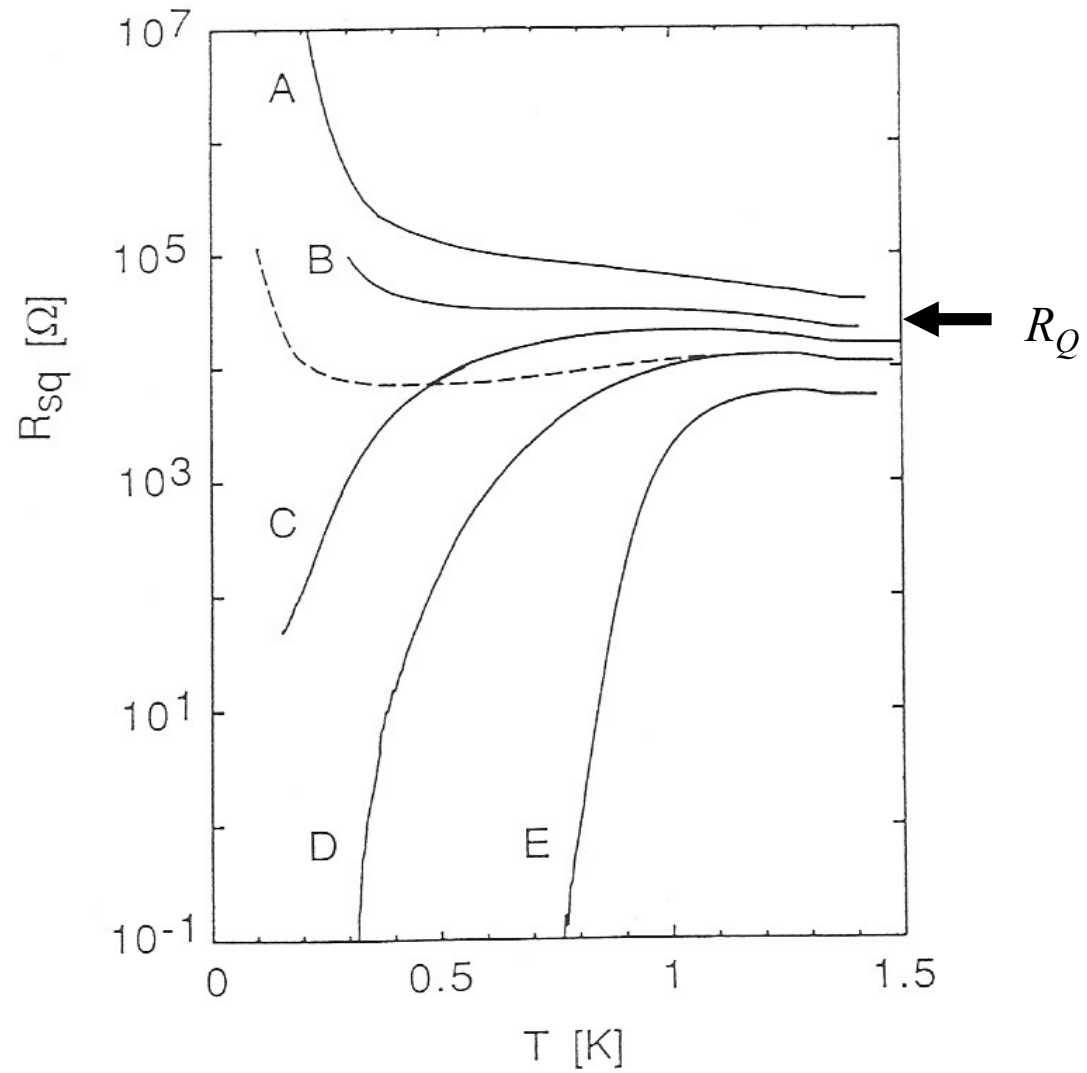
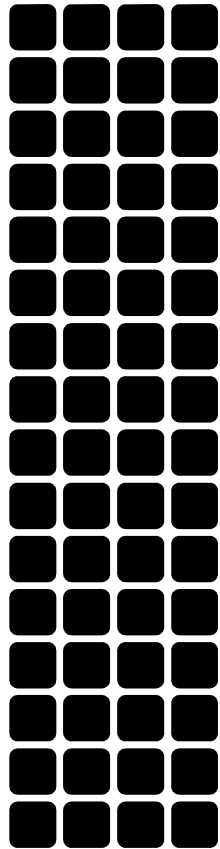
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Single-electron effects will be present in any molecular scale circuit

Usually considered undesirable and are avoided by keeping the resistance below the resistance quantum.

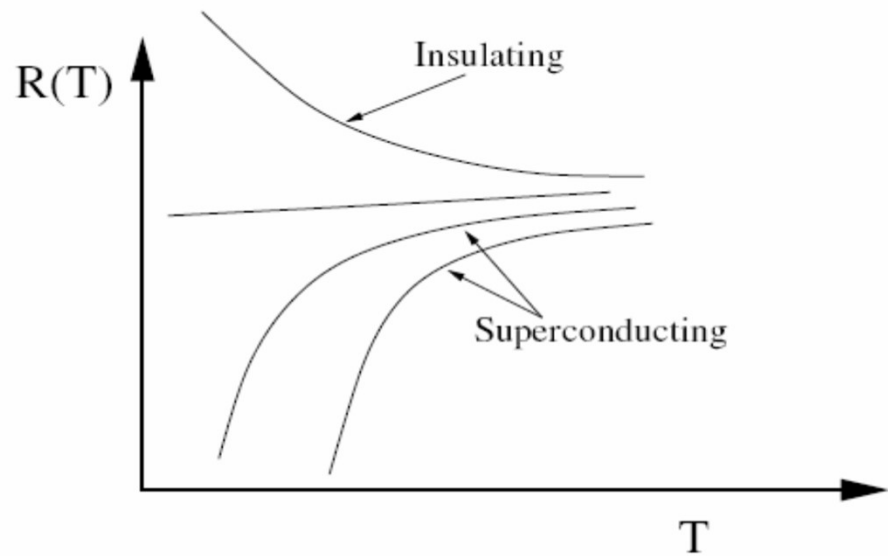


# Josephson junction array



Geerligs PRL 63, p. 326 (1989).





## The Bose-Hubbard Model: From Josephson Junction Arrays to Optical Lattices

C. Bruder<sup>\*1</sup>, Rosario Fazio<sup>\*\*2</sup>, and Gerd Schön<sup>\*\*\*3</sup>

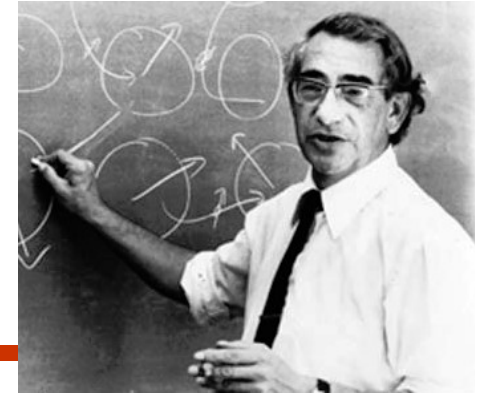
<sup>1</sup> Department of Physics and Astronomy, University of Basel, Klingelbergstr. 82, 4056 Basel, Switzerland

<sup>2</sup> NEST-INFM & Scuola Normale Superiore, Piazza dei Cavalieri 7, 56126 Pisa, Italy

<sup>3</sup> Institut für Theoretische Festkörperphysik, Universität Karlsruhe, 76128 Karlsruhe, Germany

# The Hubbard model

---



John Hubbard

The Hubbard model is an approximate model used, especially in solid state physics, to describe the transition between conducting and insulating systems. -Wikipedia

$$H = -t \sum_{\langle i,j \rangle, \sigma} c_{i,\sigma}^\dagger c_{j,\sigma} + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

It is widely believed to be a good model for correlated electron systems including high temperature superconductors. The Hubbard model is solvable for a few electrons and a few sites but is extremely difficult to solve for many electrons on many sites.

<http://nerdwisdom.com/tutorials/the-hubbard-model/>

# The Hubbard model

---

$$H = -t \sum_{\langle i,j \rangle, \sigma} c_{i,\sigma}^\dagger c_{j,\sigma} + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

Consider 2 electrons and two sites. If the electrons have the same spin:

$$\uparrow, \uparrow \quad \text{or} \quad \downarrow, \downarrow$$

They can't hop and the energy is zero.

If the electrons have opposite spin

$$\uparrow, \downarrow \quad \text{or} \quad \uparrow, \downarrow \quad \text{or} \quad \uparrow\downarrow, 0 \quad \text{or} \quad 0, \uparrow\downarrow$$

the states couple together.

# The Hubbard model

---

$$|\psi\rangle = a|\uparrow\downarrow, 0\rangle + b|\uparrow, \downarrow\rangle + c|\downarrow, \uparrow\rangle + d|0, \uparrow\downarrow\rangle$$

$$H|\psi\rangle = E|\psi\rangle$$

$$H = -t \sum_{\langle i,j \rangle, \sigma} c_{i,\sigma}^\dagger c_{j,\sigma} + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

$$\langle \uparrow\downarrow, 0 | H | \psi \rangle = Ua - tb - tc$$

$$\begin{bmatrix} U & -t & -t & 0 \\ -t & 0 & 0 & -t \\ -t & 0 & 0 & -t \\ 0 & -t & -t & U \end{bmatrix} \begin{bmatrix} a \\ b \\ c \\ d \end{bmatrix} = E \begin{bmatrix} a \\ b \\ c \\ d \end{bmatrix}$$

States where electrons have opposite spin have lower energy (antiferromagnetic).

# The Hubbard model

*with(LinearAlgebra) :*

*U := 1 :*

*t := 1 :*

*Hubbard := Matrix([[U, -t, -t, 0], [-t, 0, 0, -t], [-t, 0, 0, -t], [0, -t, -t, U]]);*

$$\begin{bmatrix} 1 & -1 & -1 & 0 \\ -1 & 0 & 0 & -1 \\ -1 & 0 & 0 & -1 \\ 0 & -1 & -1 & 1 \end{bmatrix}$$

*Eigenvectors(Hubbard);*

$$\begin{bmatrix} 0 \\ \frac{1}{2} + \frac{1}{2}\sqrt{17} \\ \frac{1}{2} - \frac{1}{2}\sqrt{17} \\ 1 \end{bmatrix}, \begin{bmatrix} 0 & \frac{4}{\left(\frac{1}{2} + \frac{1}{2}\sqrt{17}\right)\left(-\frac{1}{2} + \frac{1}{2}\sqrt{17}\right)} & \frac{4}{\left(\frac{1}{2} - \frac{1}{2}\sqrt{17}\right)\left(-\frac{1}{2} - \frac{1}{2}\sqrt{17}\right)} & -1 \\ -1 & -\frac{2}{\frac{1}{2} + \frac{1}{2}\sqrt{17}} & -\frac{2}{\frac{1}{2} - \frac{1}{2}\sqrt{17}} & 0 \\ 1 & -\frac{2}{\frac{1}{2} + \frac{1}{2}\sqrt{17}} & -\frac{2}{\frac{1}{2} - \frac{1}{2}\sqrt{17}} & 0 \\ 0 & 1 & 1 & 1 \end{bmatrix}$$

# Eigenvectors

---

$$E = 0 \quad \begin{bmatrix} 1 & -1 & -1 & 0 \\ -1 & 0 & 0 & -1 \\ -1 & 0 & 0 & -1 \\ 0 & -1 & -1 & 1 \end{bmatrix} \begin{bmatrix} 0 \\ -1 \\ 1 \\ 0 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \end{bmatrix}$$

$$E = 2.56 \quad \begin{bmatrix} 1 & -1 & -1 & 0 \\ -1 & 0 & 0 & -1 \\ -1 & 0 & 0 & -1 \\ 0 & -1 & -1 & 1 \end{bmatrix} \begin{bmatrix} 1 \\ -0.780776466 \\ -0.780776466 \\ 1 \end{bmatrix} = \begin{bmatrix} 2.5615529319999997 \\ -2 \\ -2 \\ 2.5615529319999997 \end{bmatrix}$$

$$E = -1.56 \quad \begin{bmatrix} 1 & -1 & -1 & 0 \\ -1 & 0 & 0 & -1 \\ -1 & 0 & 0 & -1 \\ 0 & -1 & -1 & 1 \end{bmatrix} \begin{bmatrix} 1 \\ 1.2807764064 \\ 1.2807764064 \\ 1 \end{bmatrix} = \begin{bmatrix} -1.5615528128 \\ -2 \\ -2 \\ -1.5615528128 \end{bmatrix}$$

One eigenvalue  
is less than zero

$$E = 1 \quad \begin{bmatrix} 1 & -1 & -1 & 0 \\ -1 & 0 & 0 & -1 \\ -1 & 0 & 0 & -1 \\ 0 & -1 & -1 & 1 \end{bmatrix} \begin{bmatrix} -1 \\ 0 \\ 0 \\ 1 \end{bmatrix} = \begin{bmatrix} -1 \\ 0 \\ 0 \\ 1 \end{bmatrix}$$

The ground state of a half-filled band is antiferromagnetic.  
The Hubbard model rapidly becomes intractable for more sites.