

# 10. Electrons in a Magnetic Field

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Nov. 5, 2018

# Welcome to phonopy

**Phonopy** is an open source package for phonon calculations at harmonic and quasi-harmonic levels.

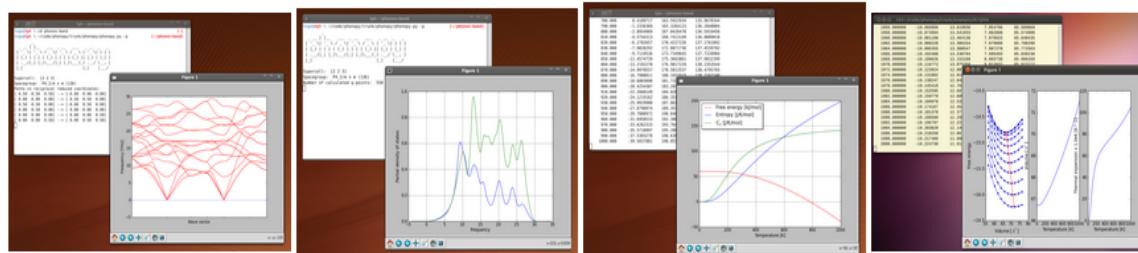
**Phono3py** is another open source package for phonon-phonon interaction and lattice thermal conductivity calculations. See the documentation at <http://atztogo.github.io/phono3py/>

**Phonon database:** A collection of first principles phonon calculations is available as open data at <http://phonondb.mtl.kyoto-u.ac.jp/>, where the raw data of phonopy & VASP results are downloaded.

The following features of phonopy are highlighted:

- Phonon band structure, phonon DOS and partial-DOS
- Phonon thermal properties: Free energy, heat capacity ( $C_v$ ), and entropy
- Phonon group velocity
- Thermal ellipsoids / Mean square displacements
- Irreducible representations of normal modes
- Dynamic structure factor for INS and IXS
- Non-analytical-term correction: LO-TO splitting (Born effective charges and dielectric constant are required.)
- Mode Grüneisen parameters
- Quasi-harmonic approximation: Thermal expansion, heat capacity at constant pressure ( $C_p$ )
- Interfaces to calculators: VASP, VASP DFPT, ABINIT, Quantu ESPRESSO, SIESTA, Elk, FHI-aims, WIEN2k, CRYSTAL, LAMMPS (external)
- Phonopy API for Python

A presentation in pdf for introduction to phonopy is download [\\*\\*\\*here\\*\\*\\*](#).



# Student projects

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Calculate the phonon dispersion and/or phonon density of states for some crystal.

Explain how to use a band structure program like Quantum Espresso or VASP to calculate the phonon dispersion.

Explain how to use Phonopy to plot phonon dispersion, densities of states, and to animate phonon modes.

# Charged particle in a magnetic field

$$\vec{F} = -e\vec{v} \times \vec{B} = ma$$

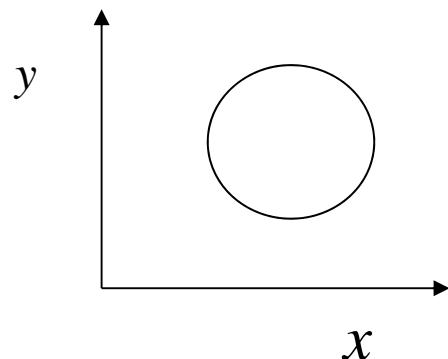
$$evB_z = \frac{mv^2}{R}$$

solve for velocity

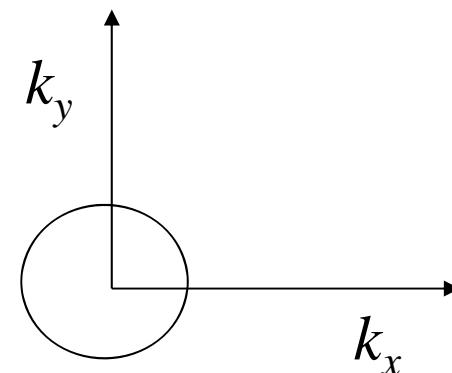
$$v = \frac{eB_z R}{m}$$

$$v = \omega_c R$$

$$\omega_c = \frac{eB_z}{m}$$



Magnetron

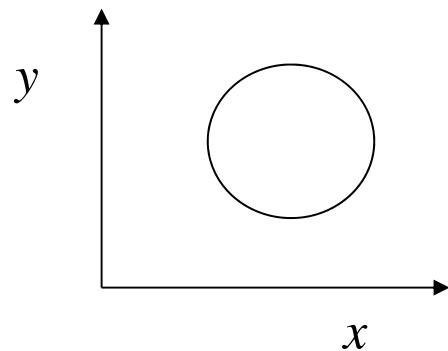


# Why don't metals in a B field radiate?

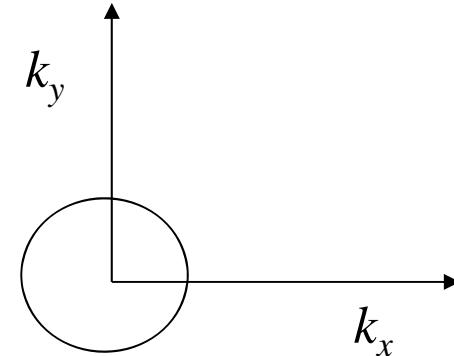
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Magnetron



$$\omega_c = \frac{eB_z}{m}$$



There are no lower lying states to fall into. We need a quantum description.

$$\frac{-\hbar^2}{2m} \frac{d^2\psi}{dx^2} + V(x)\psi = E\psi$$

Magnetic force depends on the velocity, not on the position.

# Electrons in a magnetic field

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Lorentz force law

$$\vec{F} = q(\vec{E} + \vec{v} \times \vec{B})$$

Euler Lagrange equations

$$\frac{d}{dt} \left( \frac{\partial L}{\partial \dot{x}_i} \right) - \frac{\partial L}{\partial x_i} = 0$$

Lagrangian:

$$L = \frac{1}{2} m v^2 - qV(\vec{r}, t) + q\vec{v} \cdot \vec{A}(\vec{r}, t)$$

Kittel: Appendix G

<http://lamp.tu-graz.ac.at/~hadley/ss2/IQHE/cpimf.php>

# Lagrangian

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$$\frac{d}{dt} \left( \frac{\partial L}{\partial \dot{x}_i} \right) - \frac{\partial L}{\partial x_i} = 0$$

$$L = \frac{1}{2} m v^2 - q V(\vec{r}, t) + q \vec{v} \cdot \vec{A}(\vec{r}, t)$$

$$\frac{d}{dt} \left( \frac{\partial L}{\partial v_x} \right) = \frac{d}{dt} (m v_x + q A_x) = m \frac{dv_x}{dt} + q \frac{dA_x}{dt}$$

$$= m \frac{dv_x}{dt} + q \left( \frac{dx}{dt} \frac{\partial A_x}{\partial x} + \frac{dy}{dt} \frac{\partial A_x}{\partial y} + \frac{dz}{dt} \frac{\partial A_x}{\partial z} + \frac{\partial A_x}{\partial t} \right)$$

$$= m \frac{dv_x}{dt} + q \left( v_x \frac{\partial A_x}{\partial x} + v_y \frac{\partial A_x}{\partial y} + v_z \frac{\partial A_x}{\partial z} + \frac{\partial A_x}{\partial t} \right),$$

$$\frac{\partial L}{\partial x} = -q \frac{\partial V}{\partial x} + q \left( v_x \frac{\partial A_x}{\partial x} + v_y \frac{\partial A_y}{\partial x} + v_z \frac{\partial A_z}{\partial x} \right)$$

$$m \frac{dv_x}{dt} = -q \left( \frac{\partial V}{\partial x} + \frac{\partial A_x}{\partial t} \right) + q \left( v_y \left( \frac{\partial A_y}{\partial x} - \frac{\partial A_x}{\partial y} \right) + v_z \left( \frac{\partial A_z}{\partial x} - \frac{\partial A_x}{\partial z} \right) \right)$$

# Lagrangian

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$$m \frac{dv_x}{dt} = -q \left( \frac{\partial V}{\partial x} + \frac{\partial A_x}{\partial t} \right) + q \left( v_y \left( \frac{\partial A_y}{\partial x} - \frac{\partial A_x}{\partial y} \right) + v_z \left( \frac{\partial A_z}{\partial x} - \frac{\partial A_x}{\partial z} \right) \right)$$

$$\vec{E} = -\nabla V - \frac{\partial \vec{A}}{\partial t} \quad \text{and} \quad \vec{B} = \nabla \times \vec{A}$$

$$m \frac{dv_x}{dt} = q \left( E_x + (\vec{v} \times \vec{B})_x \right)$$

$$L = \frac{1}{2} m v^2 - qV(\vec{r}, t) + q\vec{v} \cdot \vec{A}(\vec{r}, t)$$

conjugate variable:  $p_x = \frac{\partial L}{\partial v_x} = mv_x + qA_x$

kinetic momentum  $v_x = \frac{1}{m}(p_x - qA_x)$  field momentum  
(inductance)

# Hamiltonian

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$$v_x = \frac{1}{m} ( p_x - q A_x )$$

$$L = \frac{1}{2} m v^2 - q V(\vec{r}, t) + q \vec{v} \cdot \vec{A}(\vec{r}, t)$$

Legendre transformation       $H = \vec{v} \cdot \vec{p} - L$

$$H = \frac{1}{2m} (\vec{p} - q \vec{A})^2 + q V$$

Classical result

$$\vec{p} \rightarrow -i\hbar \nabla$$

Schrödinger equation

$$i\hbar \frac{\partial \psi}{\partial t} = \frac{1}{2m} (-i\hbar \nabla - q \vec{A})^2 \psi + q V \psi$$

# Landau Levels

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free particles in a magnetic field

$$\frac{1}{2m} \left( -i\hbar \nabla - q \vec{A} \right)^2 \psi(\vec{r}) = E \psi(\vec{r}). \quad V=0$$

Landau gauge  $\vec{A} = B_z x \hat{y}$ .

$$\vec{B} = \nabla \times \vec{A} = B_z x \hat{y} = \left( \frac{dA_z}{dy} - \frac{dA_y}{dz} \right) \hat{x} + \left( \frac{dA_x}{dz} - \frac{dA_z}{dx} \right) \hat{y} + \left( \frac{dA_y}{dx} - \frac{dA_x}{dy} \right) \hat{z}.$$

$$\vec{B} = B_z \hat{z}.$$

# Landau Levels

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free particles in a magnetic field

$$\frac{1}{2m} \left( -i\hbar\nabla - q\vec{A} \right)^2 \psi(\vec{r}) = E\psi(\vec{r}). \quad V = 0$$

Landau gauge  $\vec{A} = B_z x \hat{y}$ .

$$\left( -i\hbar\nabla - q\vec{A} \right)^2 = \left( -i\hbar\nabla - qB_z x \hat{y} \right) \cdot \left( -i\hbar\nabla - qB_z x \hat{y} \right)$$

$$-i\hbar\nabla \cdot \left( -qB_z x \hat{y} \right) = -i\hbar \left( \frac{d}{dx} \hat{x} + \frac{d}{dy} \hat{y} + \frac{d}{dz} \hat{z} \right) \cdot \left( -qB_z x \hat{y} \right) = i\hbar q B_z x \frac{d}{dy}$$

$$\frac{1}{2m} \left( -\hbar^2 \nabla^2 + i2\hbar q B_z x \frac{d}{dy} + q^2 B_z^2 x^2 \right) \psi = E\psi.$$

The solution has the form

$$\psi = e^{ik_y y} e^{ik_z z} \phi(x).$$

# Landau Levels

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$$\frac{1}{2m} \left( -\hbar^2 \nabla^2 + i2\hbar q B_z x \frac{d}{dy} + q^2 B_z^2 x^2 \right) \psi = E\psi.$$

The solution has the form

$$\psi = e^{ik_y y} e^{ik_z z} \phi(x).$$

Substitute this into the equation

$$\frac{1}{2m} \left( -\hbar^2 \frac{\partial^2}{\partial x^2} + \hbar^2 k_z^2 + \hbar^2 k_y^2 - 2\hbar q B_z k_y x + q^2 B_z^2 x^2 \right) \phi(x) = E\phi(x).$$

# Landau Levels

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The equation for  $\phi(x)$  is

$$\frac{1}{2m} \left( -\hbar^2 \frac{\partial^2}{\partial x^2} + \underbrace{\hbar^2 k_z^2 + \hbar^2 k_y^2 - 2\hbar q B_z k_y x + q^2 B_z^2 x^2}_{(\hbar k_y - q B_z x)^2} \right) \phi(x) = E \phi(x).$$

$$\frac{1}{2m} \left( -\hbar^2 \frac{\partial^2}{\partial x^2} + q^2 B_z^2 \left( x - \frac{\hbar k_y}{q B_z} \right)^2 \right) \phi(x) = \left( E - \frac{\hbar^2 k_z^2}{2m} \right) \phi(x).$$

This is the equation for a harmonic oscillator

$$\left( \frac{-\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + \frac{K}{2} (x - x_0)^2 \right) \phi(x) = E' \phi(x).$$

# Landau Levels

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$$\frac{1}{2m} \left( -\hbar^2 \frac{\partial^2}{\partial x^2} + q^2 B_z^2 (x - x_0)^2 \right) \phi(x) = \left( E - \frac{\hbar^2 k_z^2}{2m} \right) \phi(x). \quad x_0 = \frac{\hbar k_y}{q B_z}$$
$$\left( \frac{-\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + \frac{K}{2} (x - x_0)^2 \right) \phi(x) = E' \phi(x).$$

This is the equation for a harmonic oscillator

$$\frac{K}{2} \Leftrightarrow \frac{q^2 B_z^2}{2m}$$

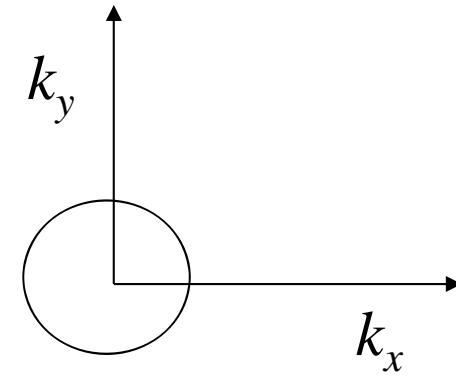
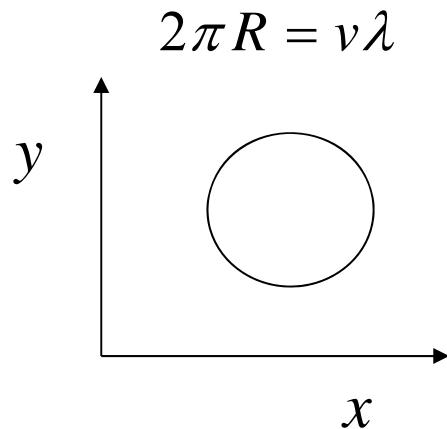
$$\omega_c = \sqrt{\frac{K}{m}} \Leftrightarrow \frac{q B_z}{m}$$

$$E_{k_z, v} = \frac{\hbar^2 k_z^2}{2m} + \hbar \omega_c \left( v + \frac{1}{2} \right) \quad \omega_c = \frac{q B_z}{m}$$

# Charged particle in a magnetic field

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Bohr - Sommerfeld quantization



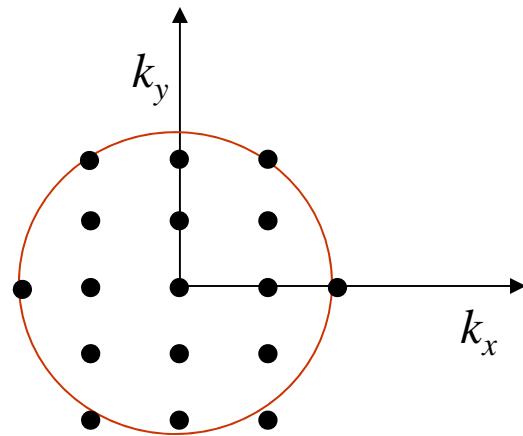
Circular motion is harmonic motion. Harmonic motion is quantized.

$$E_v = \hbar\omega_c \left(v + \frac{1}{2}\right) = \frac{\hbar^2}{2m} \left(k_x^2 + k_y^2\right)$$

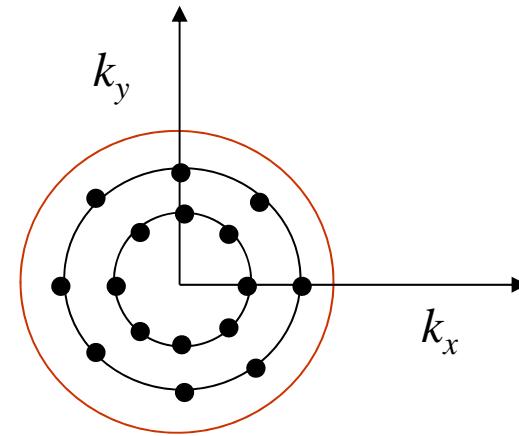
$v$  labels the Landau level

# Landau levels

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$$B = 0$$



$$B \neq 0$$

The number of solutions is conserved

$$\psi = e^{ik_y y} \phi(x - x_0). \quad x_0 = \frac{\hbar k_y}{q B_z}$$

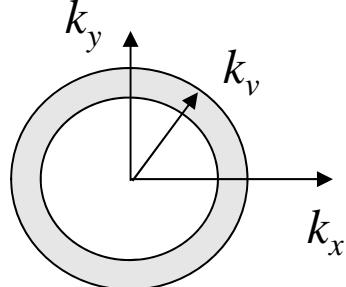
In 2-D, the  $k$ -volume per  $k$  state is:  $\left(\frac{2\pi}{L}\right)^2$

# Density of states 2D

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$$E_v = \hbar\omega_c \left(v + \frac{1}{2}\right)$$

The number of states between ring  $v-1$  and ring  $v$  is



$$\frac{\pi \left( k_v^2 - k_{v-1}^2 \right)}{\left( \frac{2\pi}{L} \right)^2} \quad \frac{\hbar^2 k_v^2}{2m} = \hbar\omega_c \left(v + \frac{1}{2}\right)$$

$$k_v^2 - k_{v-1}^2 = \frac{2m\omega_c}{\hbar} \left[ \left(v + \frac{1}{2}\right) - \left(v - 1 + \frac{1}{2}\right) \right] = \frac{2m\omega_c}{\hbar}$$

The number of states between ring  $v-1$  and ring  $v$  is  $\frac{m\omega_c}{2\pi\hbar} L^2$

The density of states per spin is  $\frac{m\omega_c}{2\pi\hbar}$

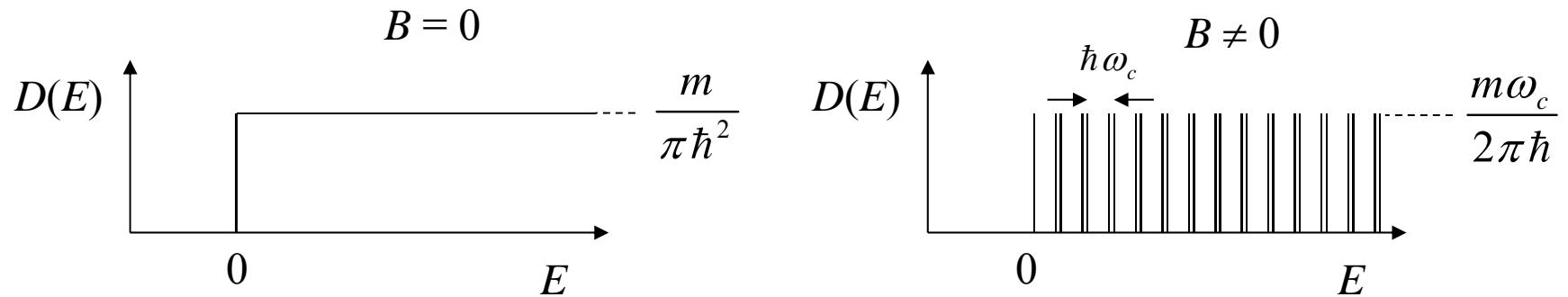
# Spin

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In a magnetic field, there is a shift of the energy of the electrons because of their spin.

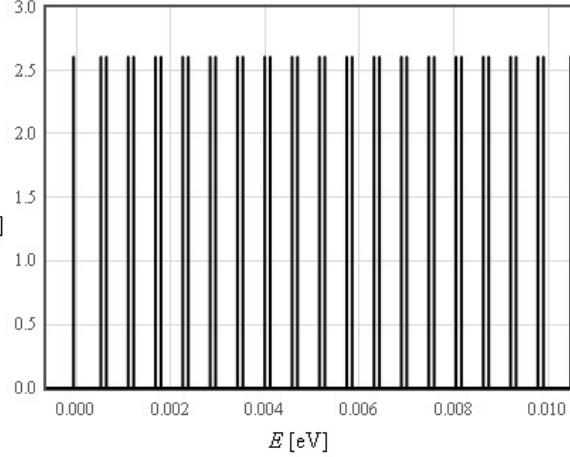
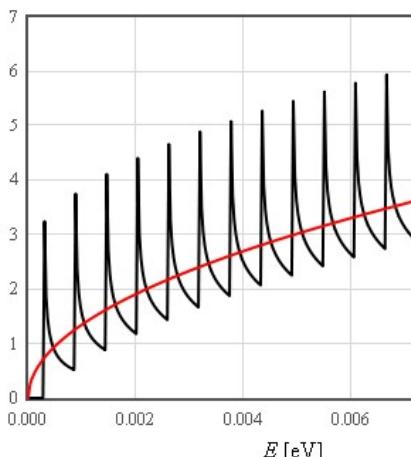
$$E = -\vec{\mu} \cdot \vec{B} = \pm \frac{g}{2} \mu_B B$$

Bohr magneton  $\mu_B = \frac{e\hbar}{2m_e}$       g-factor  $g \approx 2$        $\hbar\omega_c = \frac{\hbar e B}{m} = 2\mu_B B$

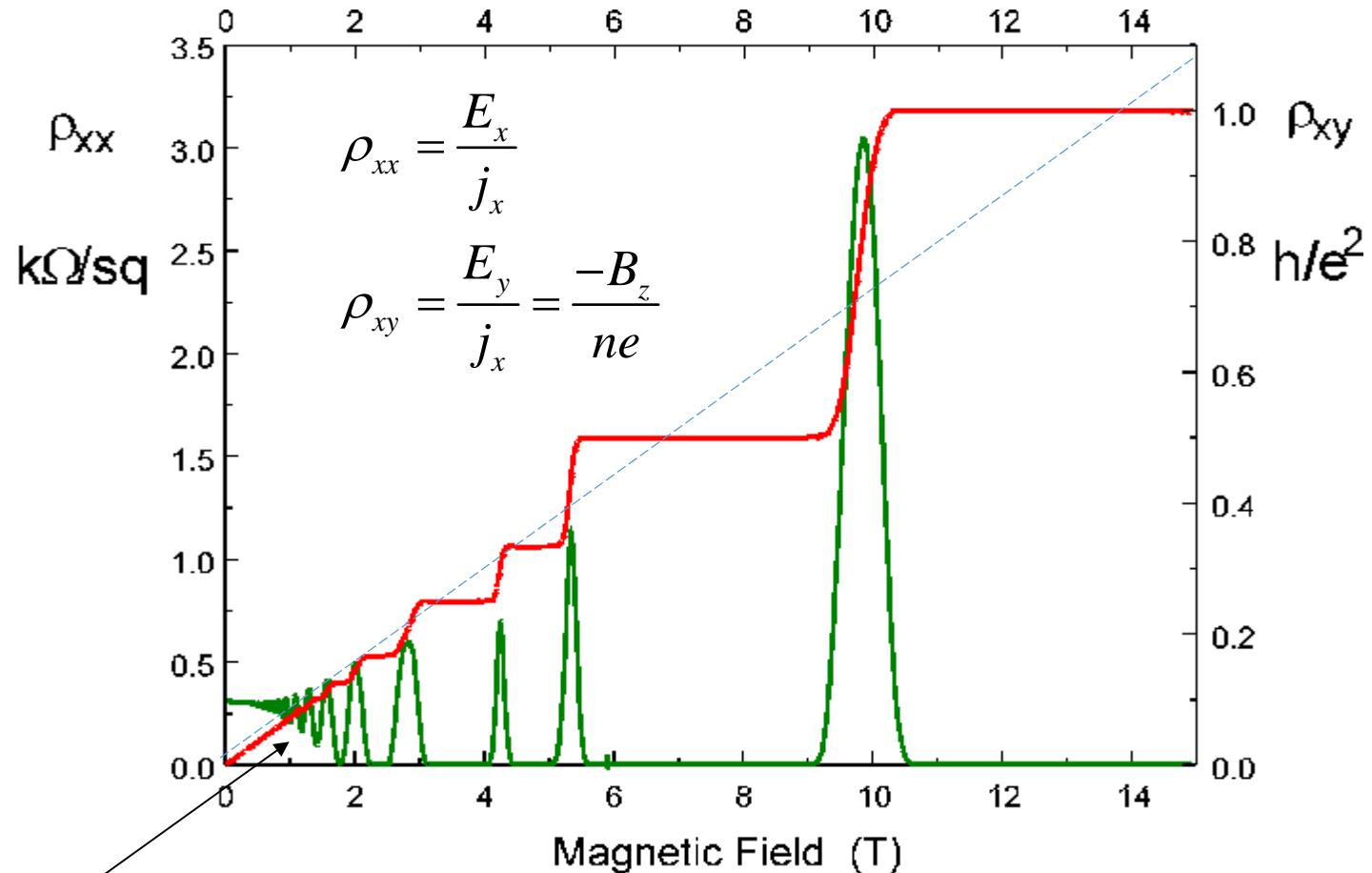


$$D(E) = \frac{m\omega_c}{2\pi\hbar} \sum_{v=0}^{\infty} \delta\left(E - \hbar\omega_c \left(v + \frac{1}{2} - \frac{g}{4}\right)\right) + \delta\left(E - \hbar\omega_c \left(v + \frac{1}{2} + \frac{g}{4}\right)\right)$$

ization of the Schrödinger equation for free electrons a magnetic field in 2 and 3 dimensions.

	<b>2-D Schrödinger equation</b> $i\hbar \frac{d\psi}{dt} = \frac{1}{2m} (-i\hbar \nabla -  e \vec{A})^2 \psi$	<b>3-D Schrödinger equation</b> $i\hbar \frac{d\psi}{dt} = \frac{1}{2m} (-i\hbar \nabla -  e \vec{A})^2 \psi$
Eigenfunction solutions	$\psi = g_v(x) \exp(ik_y y)$ $g_v(x)$ is a harmonic oscillator wavefunction	$\psi = g_v(x) \exp(ik_y y) \exp(ik_z z)$ $g_v(x)$ is a harmonic oscillator wavefun
Energy eigenvalues	$E = \hbar \omega_c (v + \frac{1}{2}) \text{ J}$ $v = 0, 1, 2, \dots$ $\omega_c = \frac{ eB_z }{m}$	$E = \frac{\hbar^2 k_z^2}{2m} + \hbar \omega_c (v + \frac{1}{2}) \text{ J}$ $v = 0, 1, 2, \dots$ $\omega_c = \frac{ eB_z }{m}$
Density of states	$D(E) = \frac{m\omega_c}{2\pi\hbar} \sum_{v=0}^{\infty} \delta\left(E - \hbar\omega_c(v + \frac{1}{2}) - \frac{e\mu_B}{2}B\right) + \delta\left(E - \hbar\omega_c(v + \frac{1}{2}) + \frac{e\mu_B}{2}B\right) \text{ J}^{-1}\text{m}^{-2}$  <input type="button" value="Calculate DoS"/>	$D(E) = \frac{(2m)^{3/2}}{4\pi^2\hbar^2} \omega_c \sum_{v=0}^{\infty} \frac{H(E - \hbar\omega_c(v + \frac{1}{2}))}{\sqrt{E - \hbar\omega_c(v + \frac{1}{2})}} \text{ J}^{-1}\text{m}^{-3}$  <input type="button" value="Calculate DoS"/>
	$E_F = \hbar \omega_c \left( \text{Int}\left(\frac{\pi\hbar n}{m\omega_c}\right) + \frac{1}{2} \right)$ 	

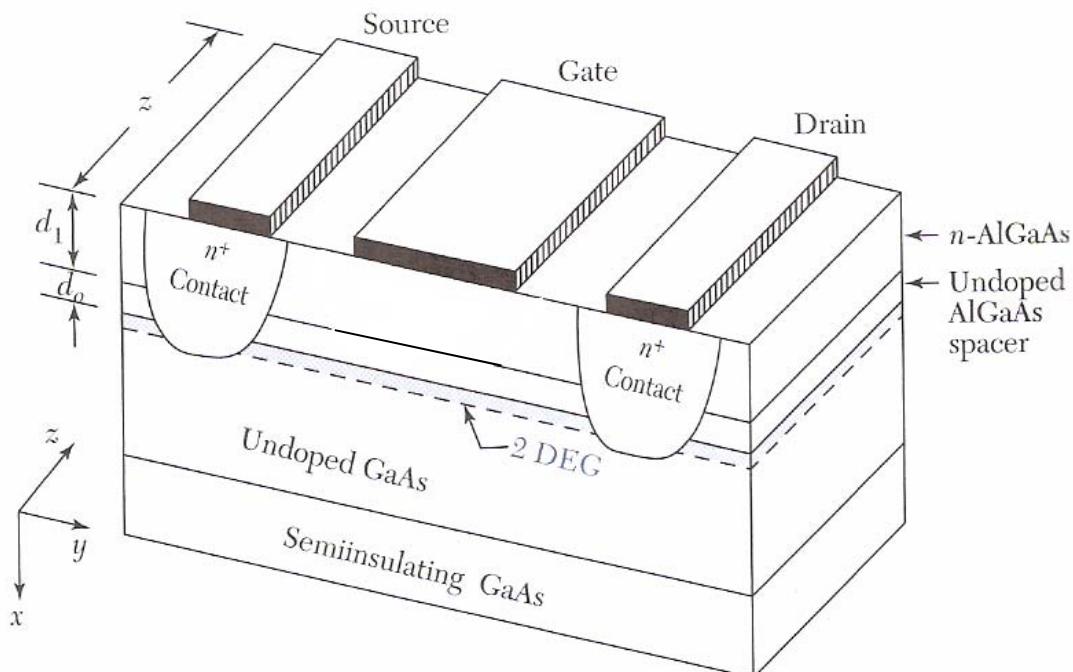
# Quantum Hall Effect



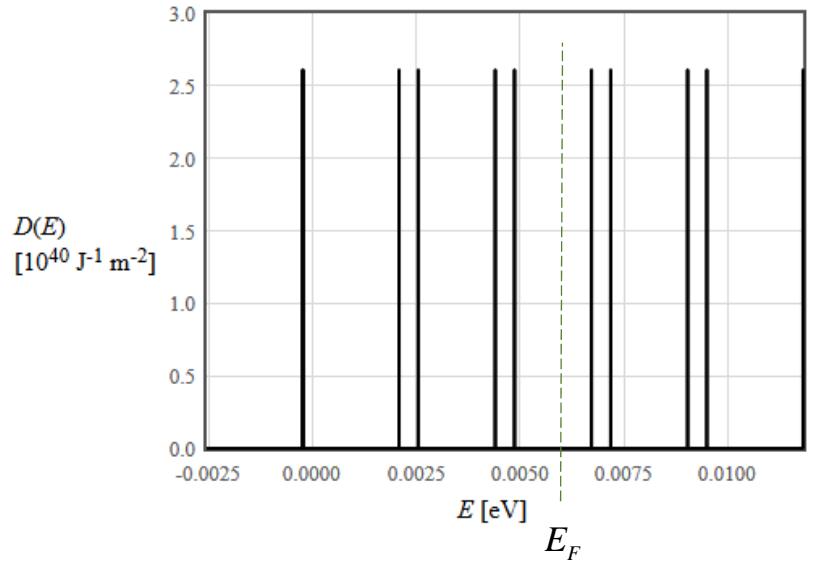
Shubnikov-De Haas oscillations

Resistance standard  
25812.807557(18)  $\Omega$

# HEMT High electron mobility transistor



# Quantum hall effect



Each Landau level can hold the same number of electrons.

$$D_0 = \frac{m\omega_c}{2\pi\hbar} = \frac{eB_z}{h}$$

$$\omega_c = \frac{eB_z}{m}$$

$$B_z = \frac{hD_0}{e}$$

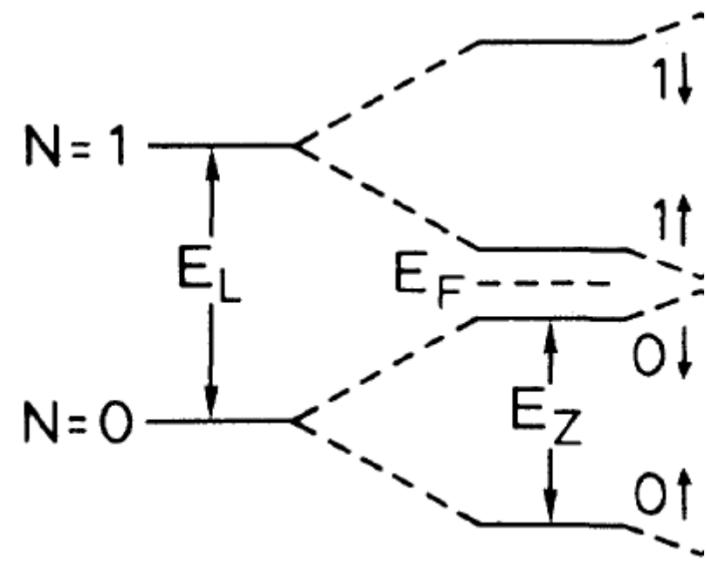
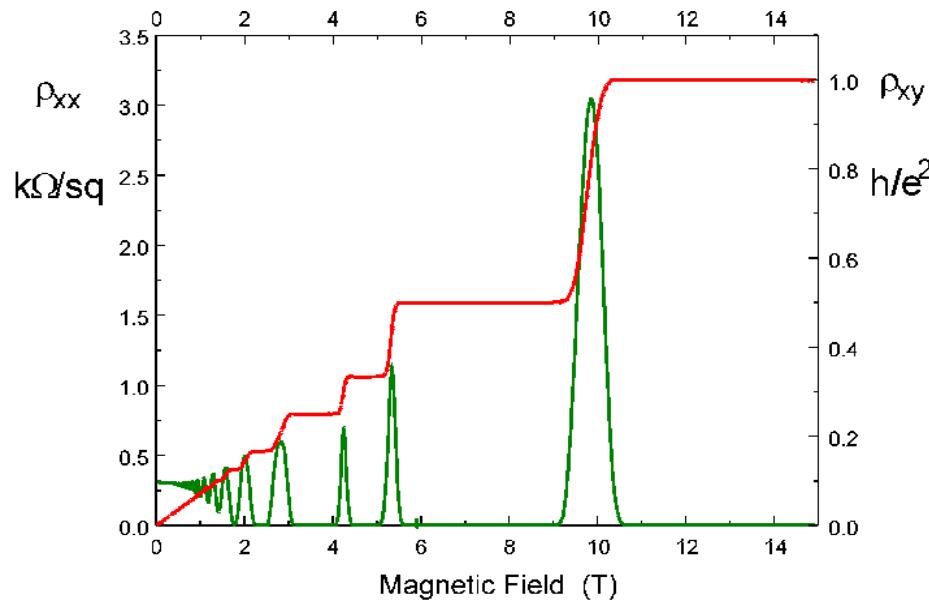
If the Fermi energy is between Landau levels, the electron density  $n$  is an integer  $v$  times the degeneracy of the Landau level  $n = D_0v$

$$\rho_{xy} = \frac{E_y}{j_x} = \frac{-B_z}{ne}$$

$$\rho_{xy} = \frac{-B_z}{ne} = \frac{-hD_0}{ve^2D_0} = \frac{-h}{ve^2}$$

# Quantum hall effect

$$\rho_{xy} = \frac{h}{ve^2}$$



S. Koch, R. J. Haug, and K. v. Klitzing,  
Phys. Rev. B 47, 4048–4051 (1993)