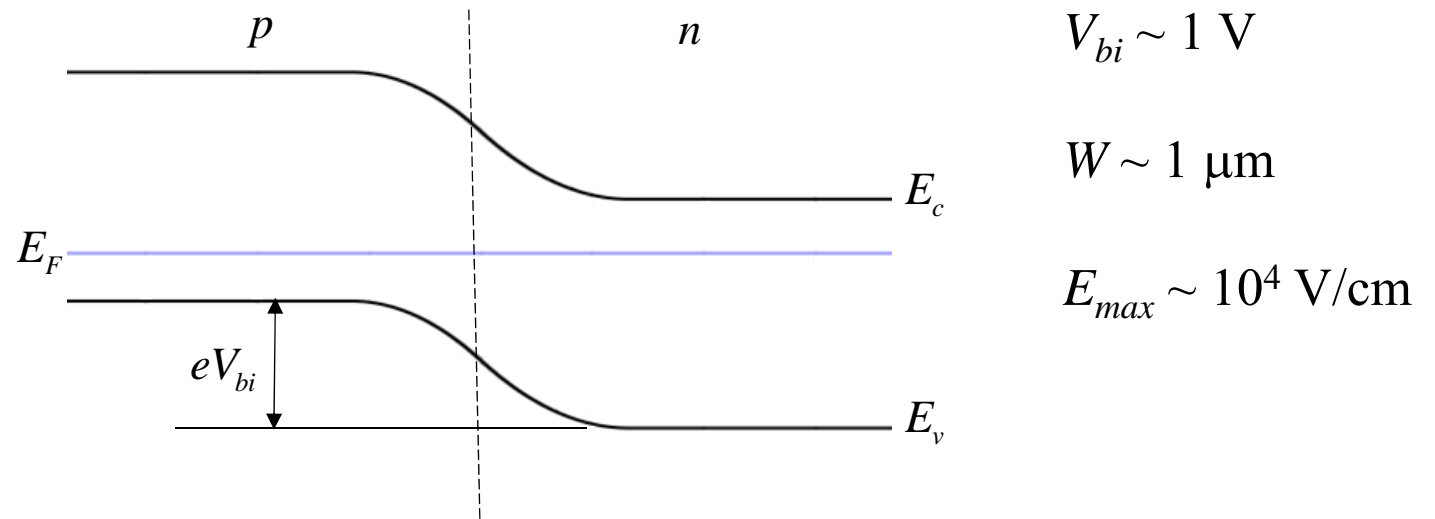


# 9. Semiconductor Devices /Phonons

---

Oct 29, 2018

# p and n profiles



$$p = N_v \exp\left(\frac{E_v - E_F}{k_B T}\right)$$

$$n = N_c \exp\left(\frac{E_F - E_c}{k_B T}\right)$$

The electric field pushes the electrons towards the n-region and the holes towards the p-region.

Diffusion sends electrons towards the p-region and holes towards the n-region.

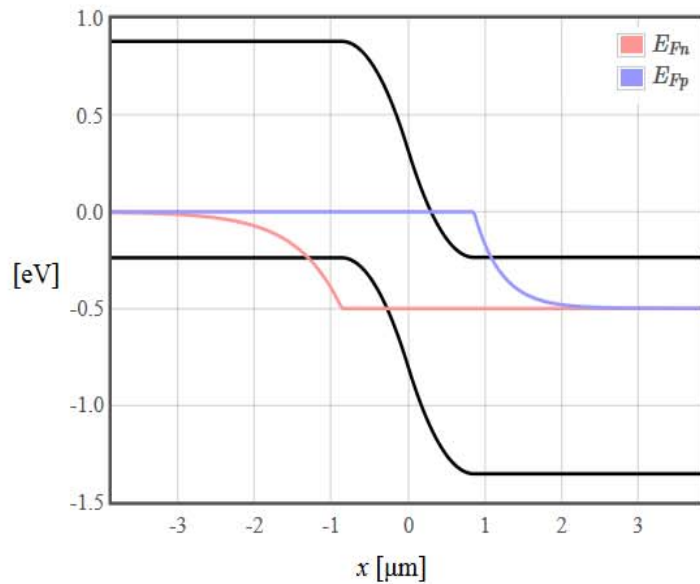
## Abrupt pn junctions in the depletion approximation

In an abrupt pn junction, the doping changes abruptly from p to n. It is common to solve for the band bending, the local electric field, the carrier concentration profiles, and the local conductivity in the depletion approximation. In this approximation it is assumed that there is a depletion width  $W$  around the transition from p to n where the charge carrier densities are negligible. Outside the depletion width the charge carrier densities are equal to the doping densities so that the semiconductor is electrically neutral outside the depletion width. Using this approximation it is possible to calculate the important properties of the pn junction.

$N_A =$ <input type="text" value="1E15"/> $1/\text{cm}^3$	$N_D =$ <input type="text" value="1E15"/> $1/\text{cm}^3$	$E_g =$ <input type="text" value="1.166-4.73E-4*T*(T+636)"/> eV
$N_v(300) =$ <input type="text" value="9.84E18"/> $1/\text{cm}^3$	$N_c(300) =$ <input type="text" value="2.78E19"/> $1/\text{cm}^3$	$\epsilon_r =$ <input type="text" value="12"/> $T =$ <input type="text" value="300"/> K
$\mu_p =$ <input type="text" value="480"/> $\text{cm}^2/\text{V s}$	$\mu_n =$ <input type="text" value="1350"/> $\text{cm}^2/\text{V s}$	$\tau_p =$ <input type="text" value="1E-10"/> s $\tau_n =$ <input type="text" value="1E-10"/> s
$V =$ <input type="text" value="-0.5"/> V		<input type="button" value="Submit"/>

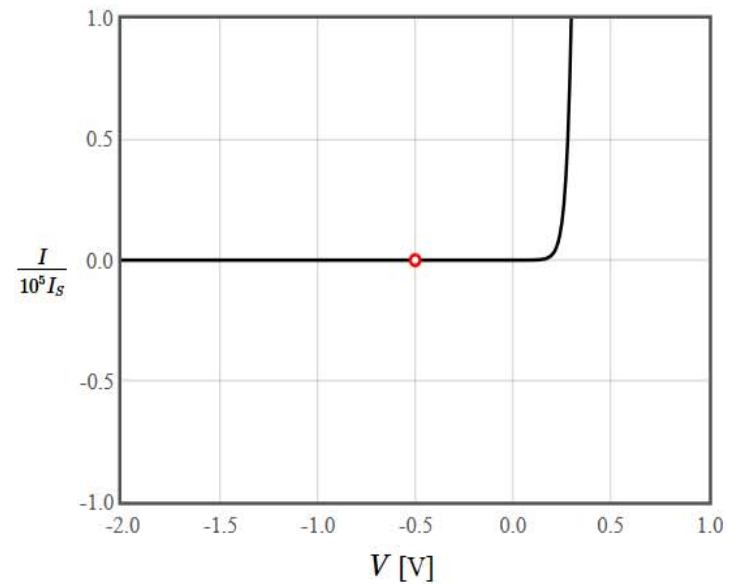
$E_g = 1.12 \text{ eV}$      $W = 1.72 \text{ } \mu\text{m}$      $x_p = -0.861 \text{ } \mu\text{m}$      $x_n = 0.861 \text{ } \mu\text{m}$      $V_{bi} = 0.618 \text{ V}$      $C_j = 6.17 \text{ nF/cm}^2$   
 $D_p = 12.4 \text{ cm}^2/\text{s}$      $D_n = 34.9 \text{ cm}^2/\text{s}$      $L_p = 0.352 \text{ } \mu\text{m}$      $L_n = 0.591 \text{ } \mu\text{m}$

Band diagram



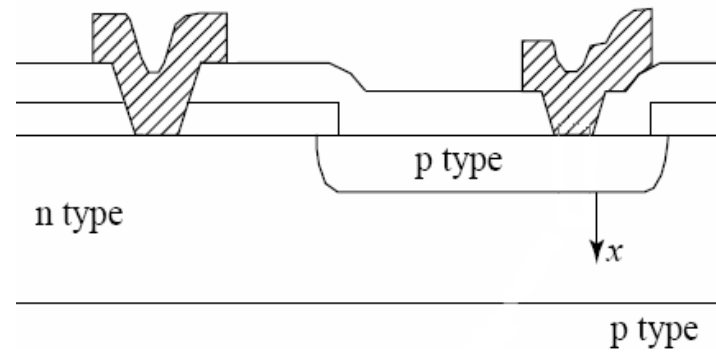
Charge density

Current-Voltage Characteristics

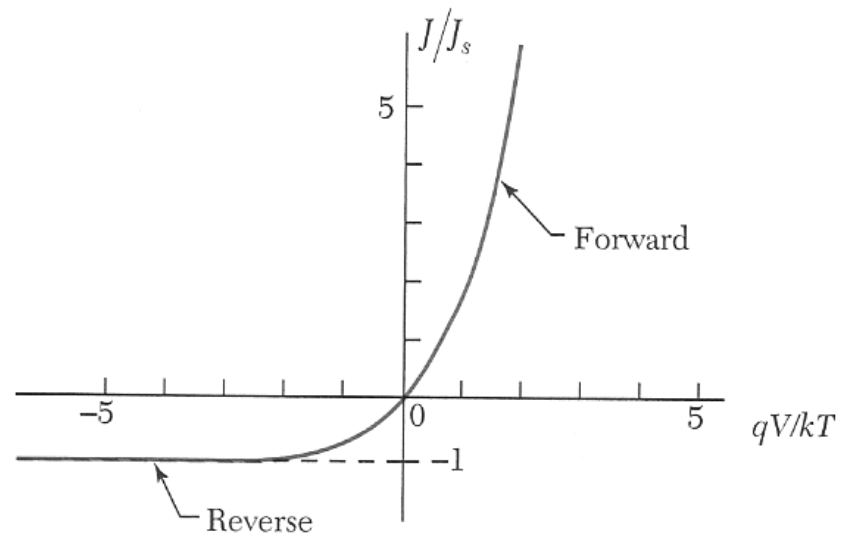


Electric field

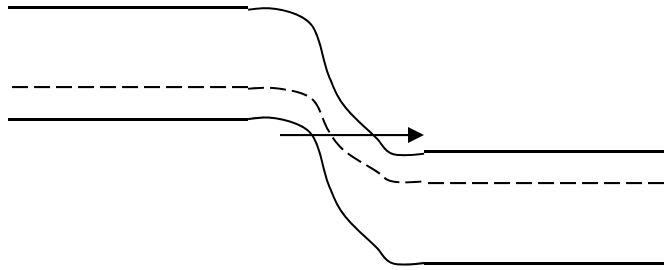
# Diode



$$I = I_s \left( \exp\left(\frac{eV}{k_B T}\right) - 1 \right)$$



# Zener tunneling

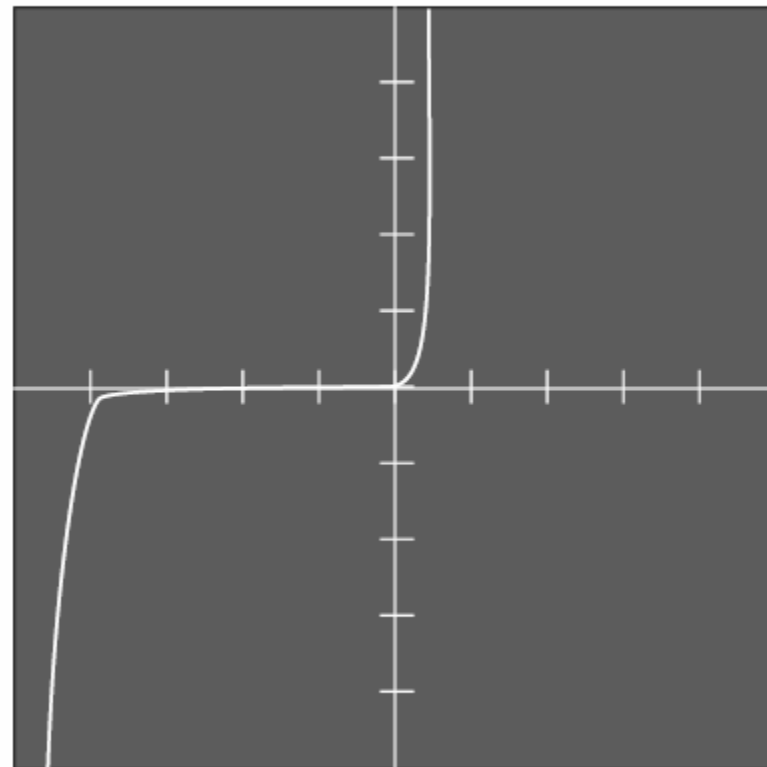


Electrons tunnel from  
valence band to  
conduction band

Occurs at high doping



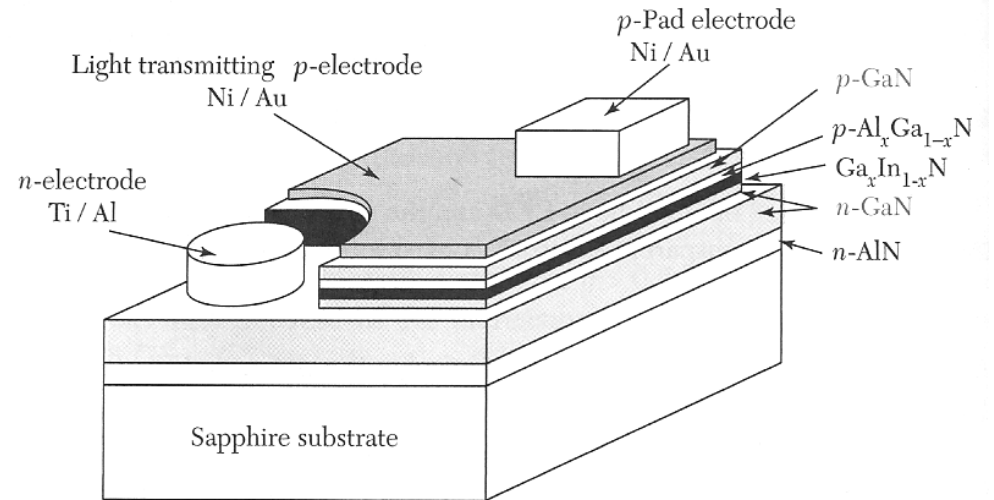
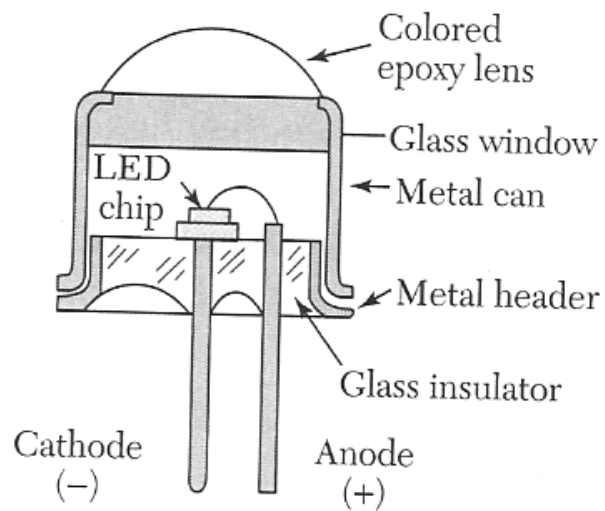
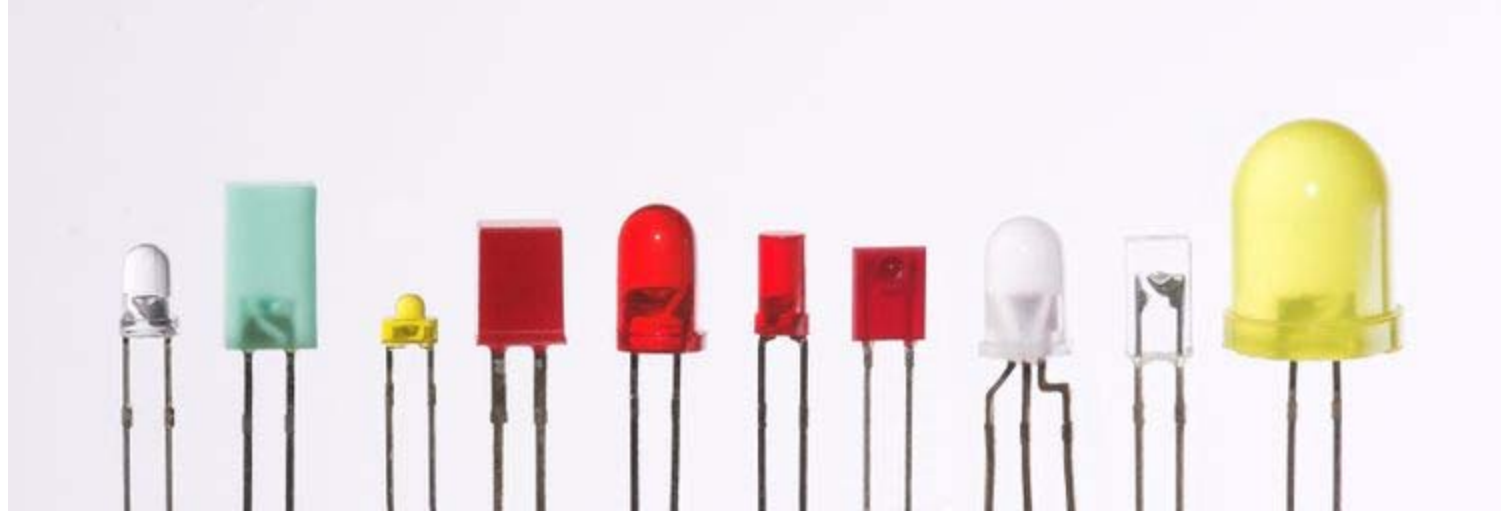
(Zener diode)



Vertical: 5 mA/div

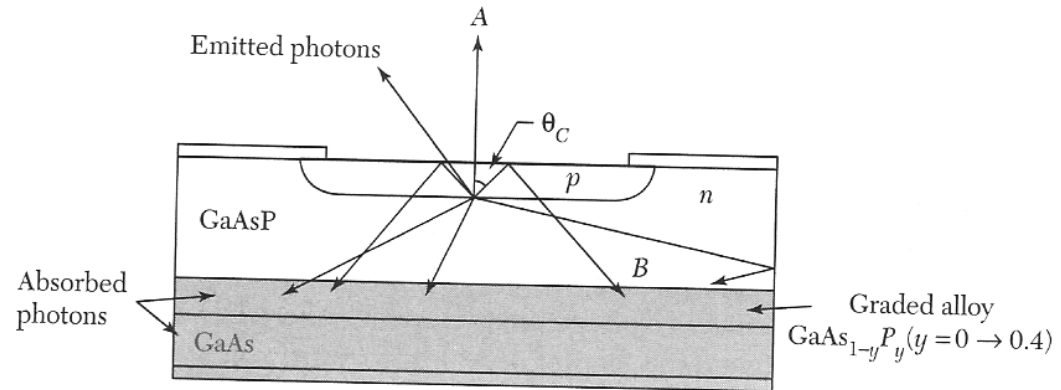
Horizontal: 5 V/div

# Light emitting diodes

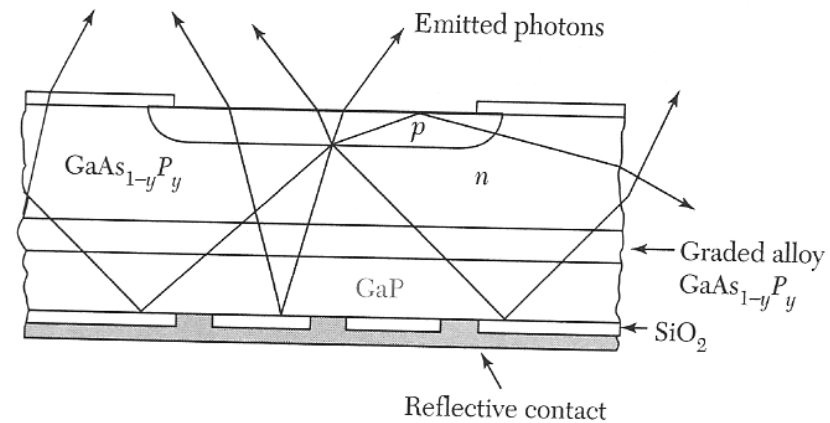


Solid state lighting is efficient.

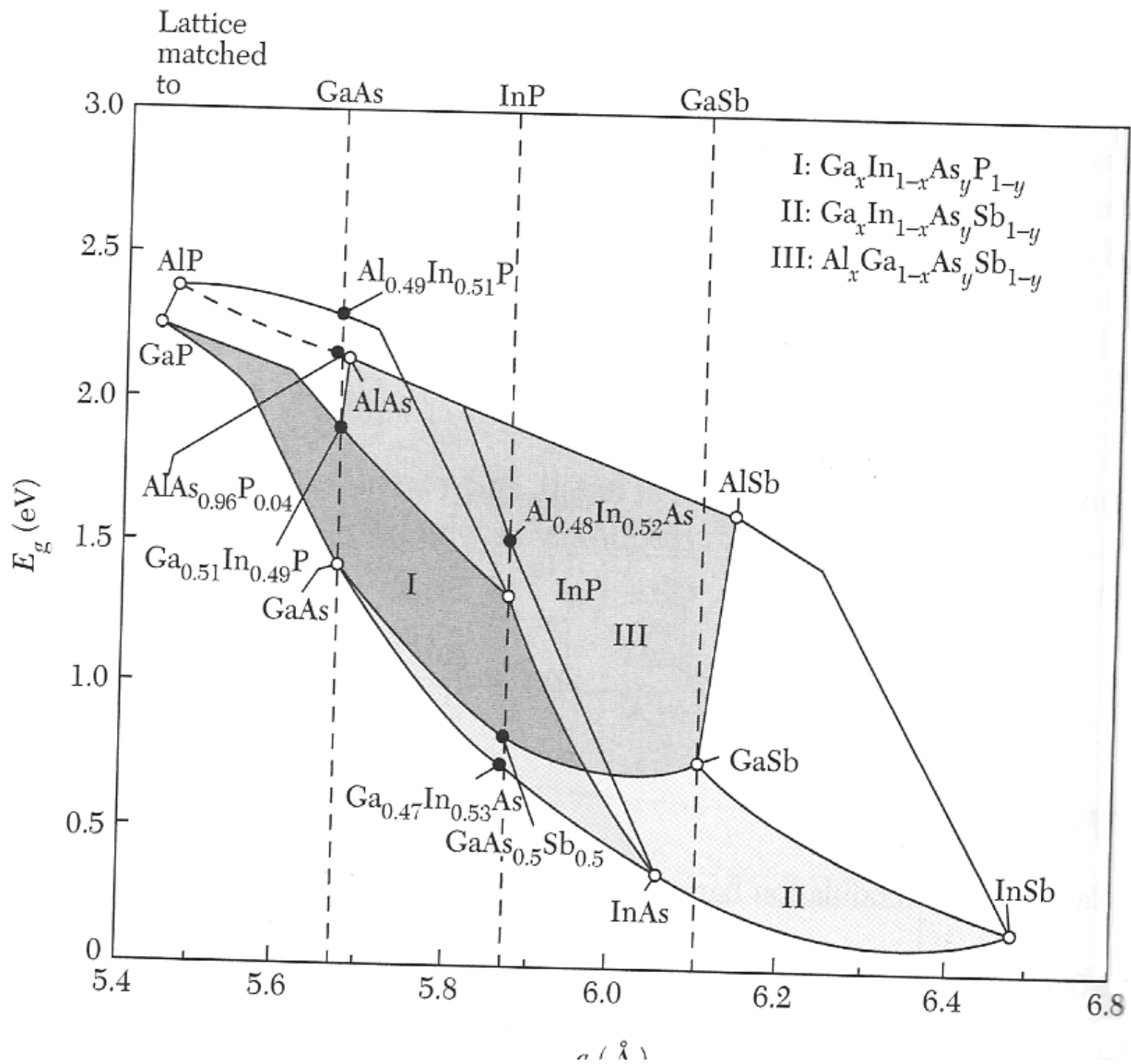
# Light emitting diodes



absorption  
reflection  
total internal reflection

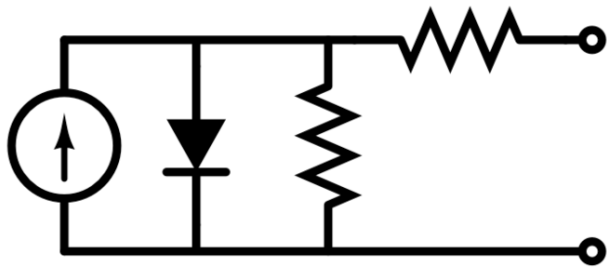


Electrons and holes are injected into the depletion region by forward biasing the junction. The electrons fall in the holes. For direct bandgap semiconductors, photons are emitted. For indirect bandgap semiconductors, phonons are emitted.

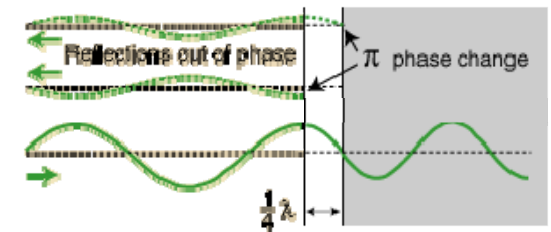
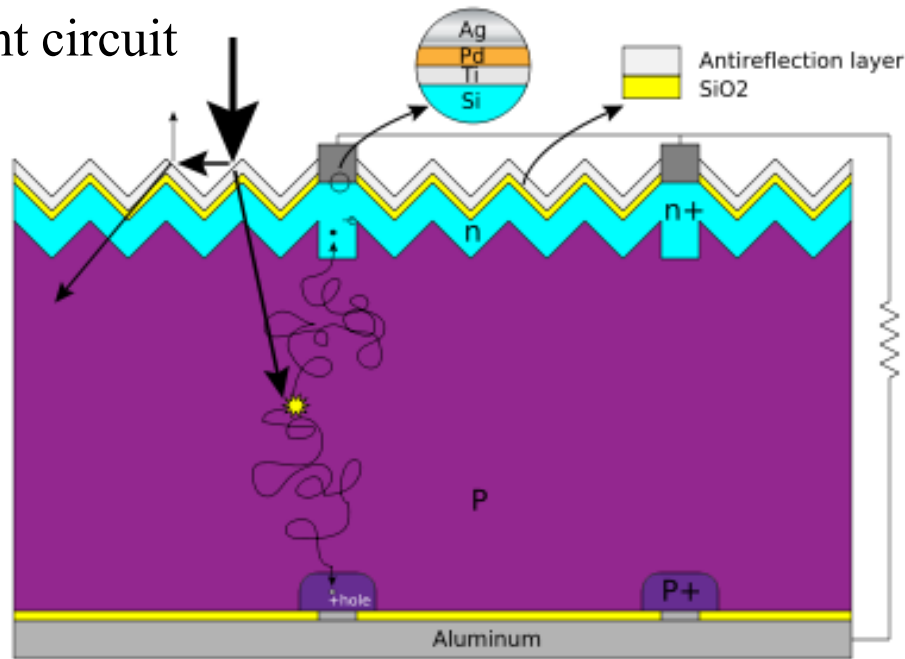




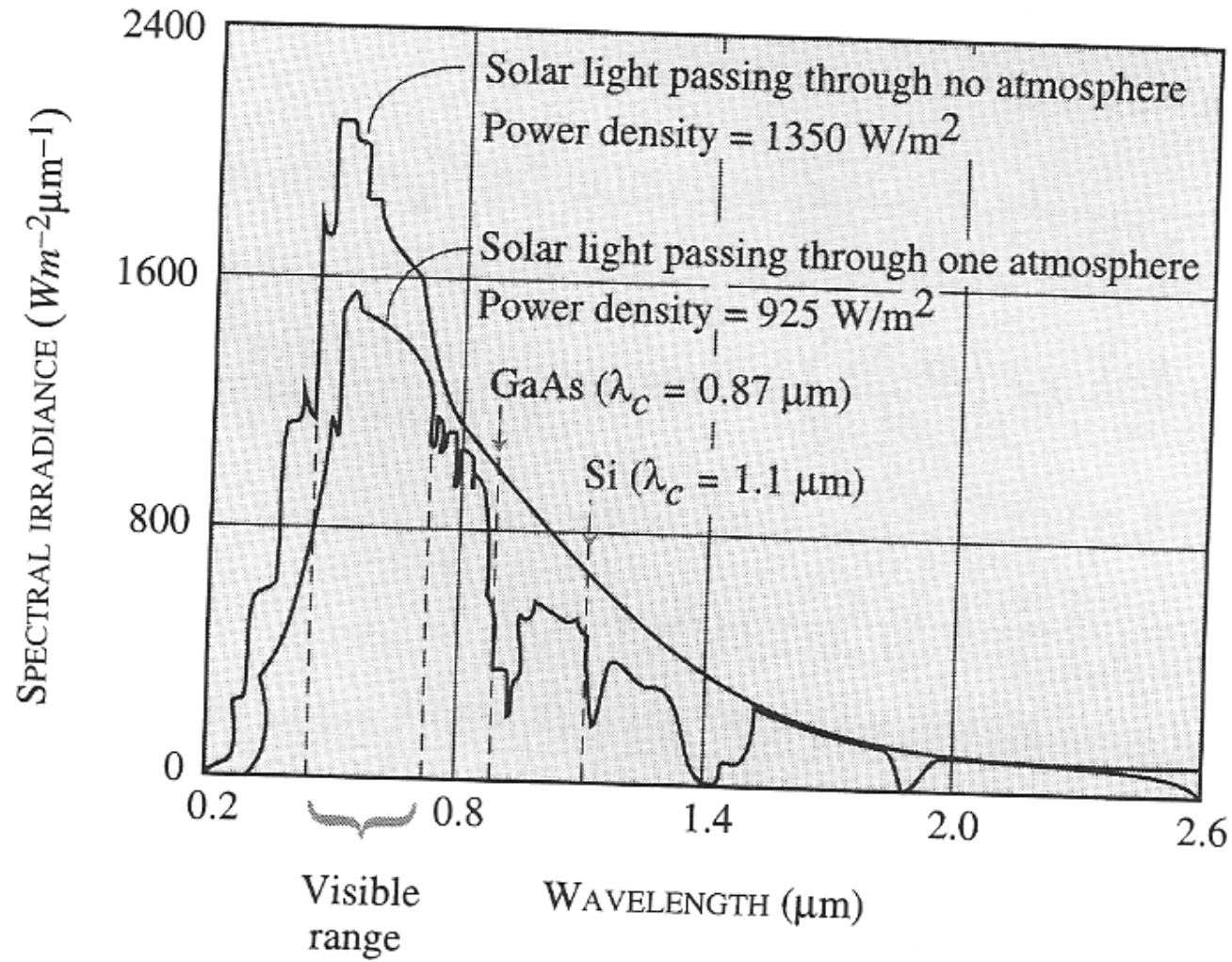
# Solar cell



Equivalent circuit



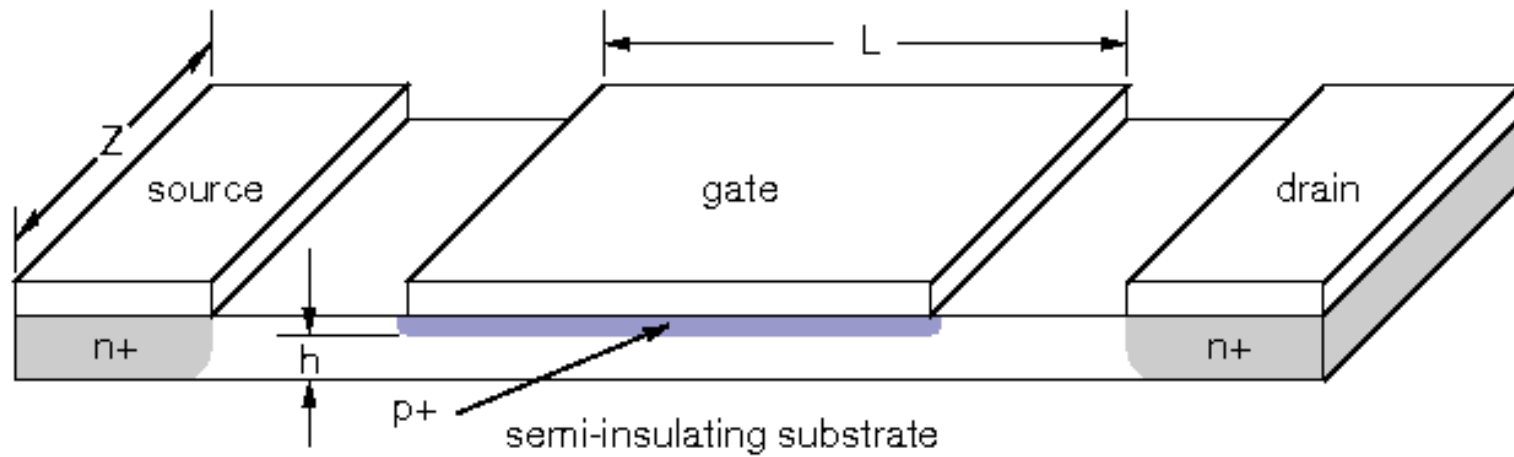
# Solar spectrum



# JFETs

---

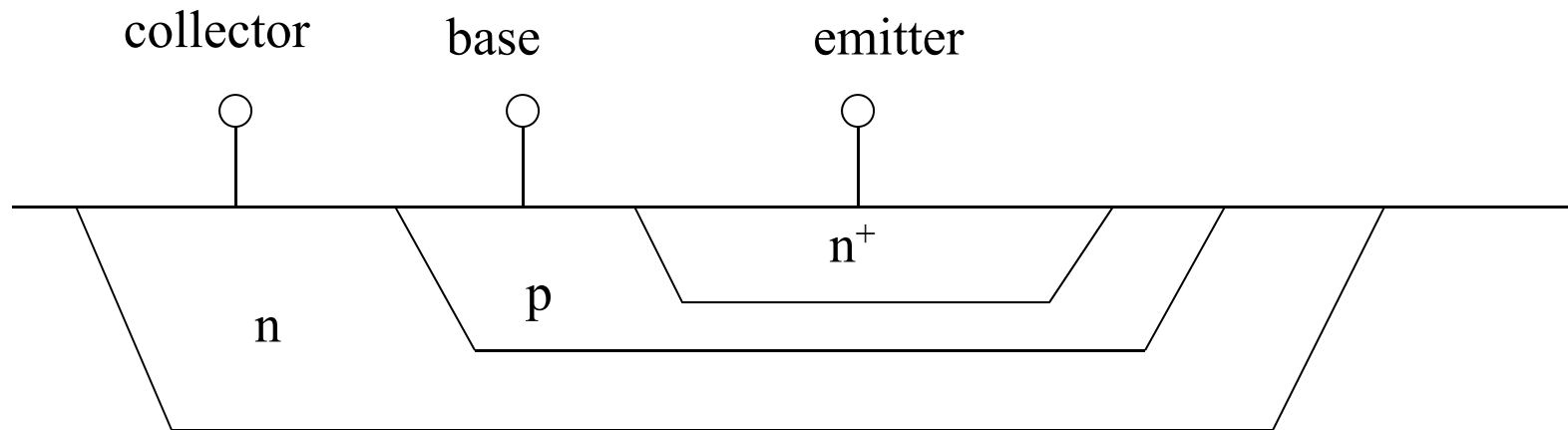
## Junction Field Effect Transistors



low noise

# Bipolar transistor

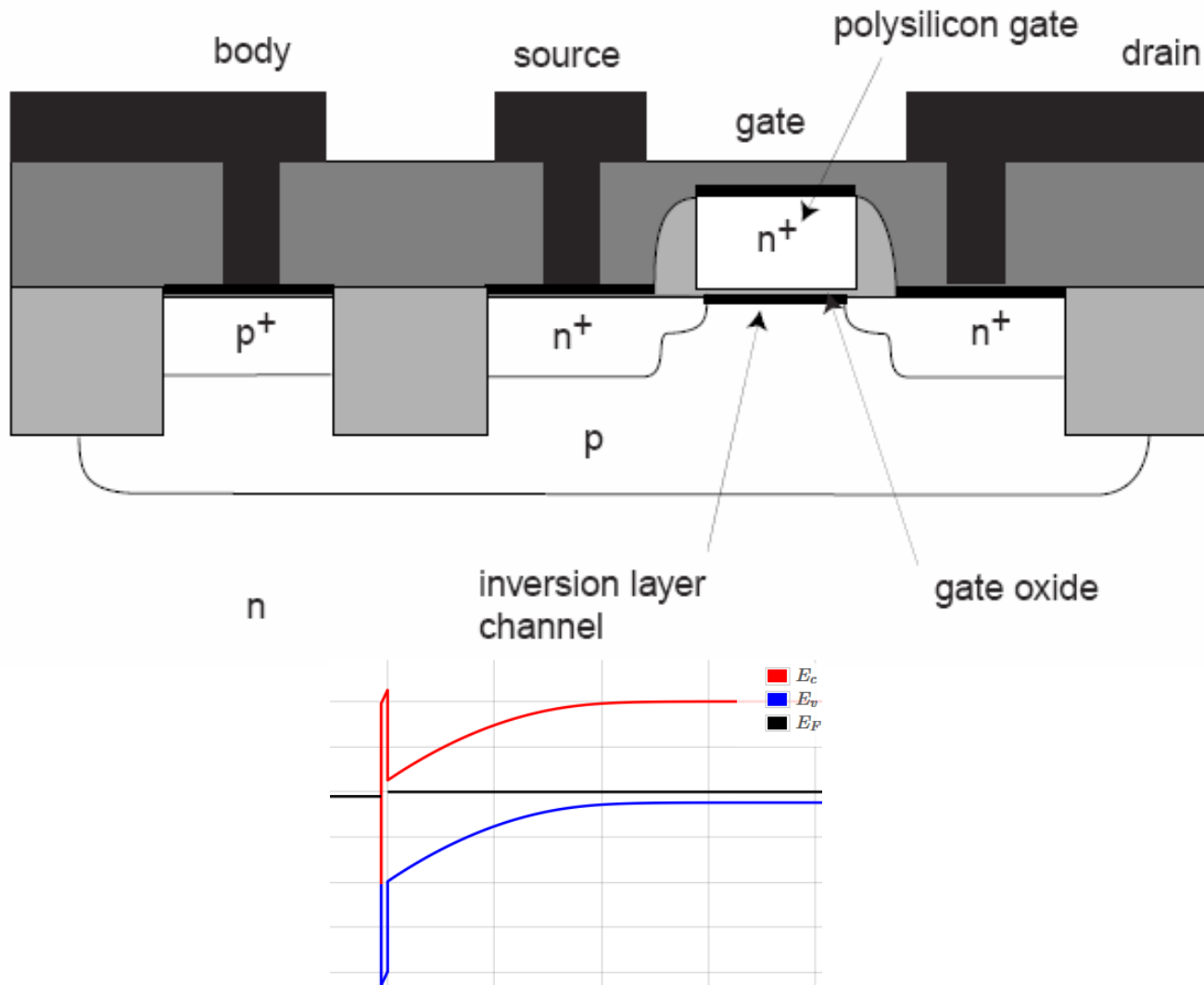
---



lightly doped p substrate

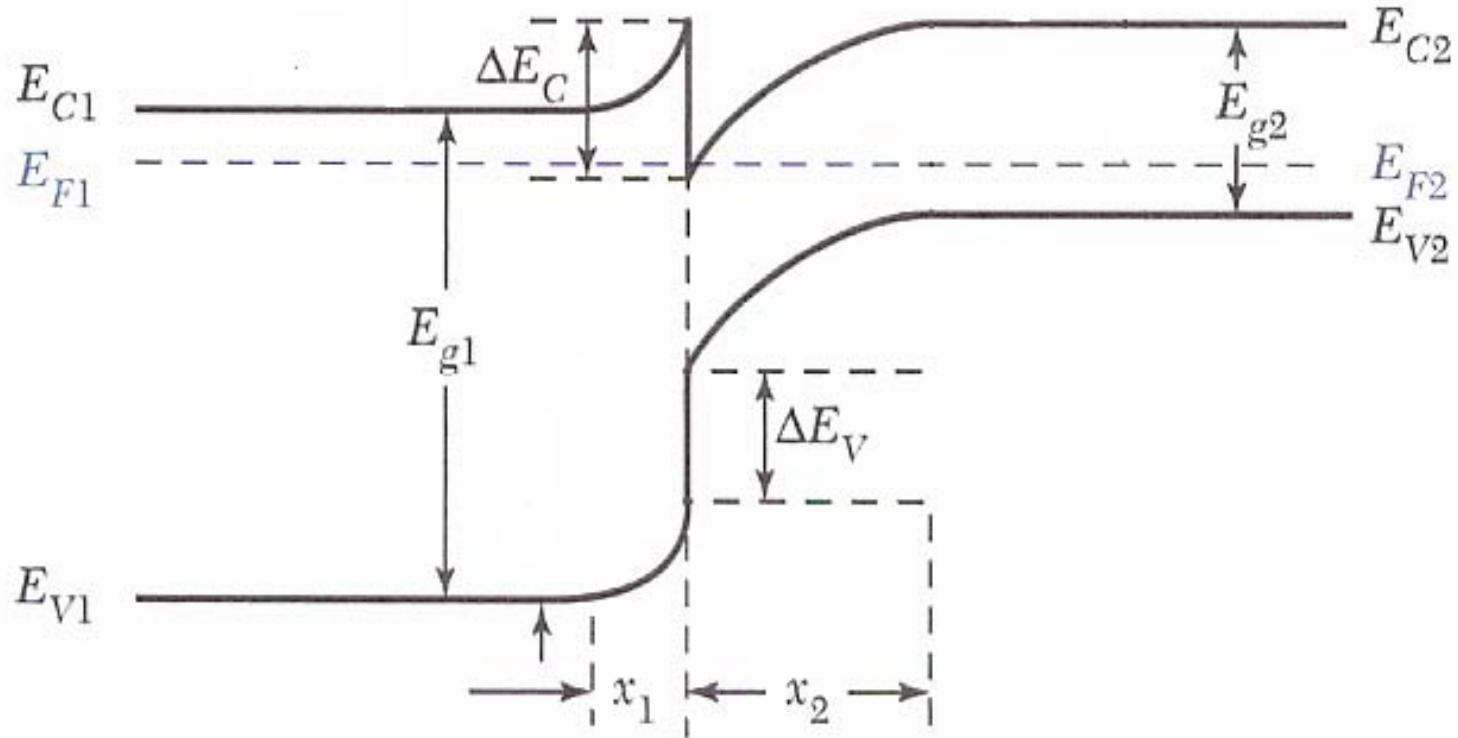
# MOSFETs

Metal-oxide semiconductor field effect transistors



# Heterojunctions

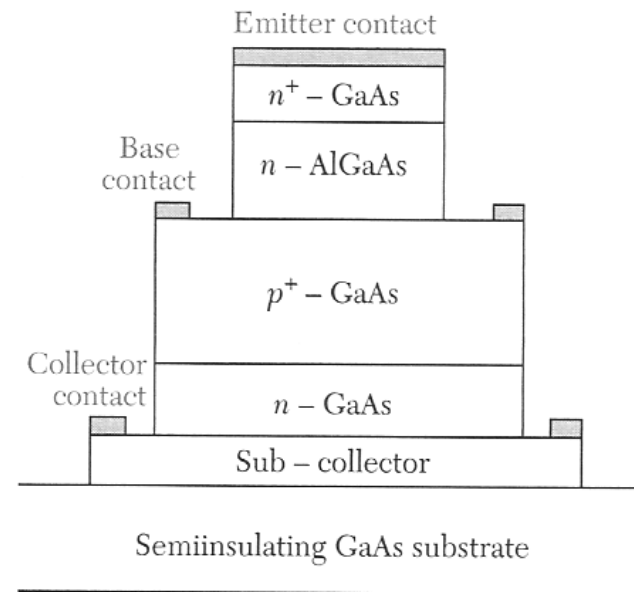
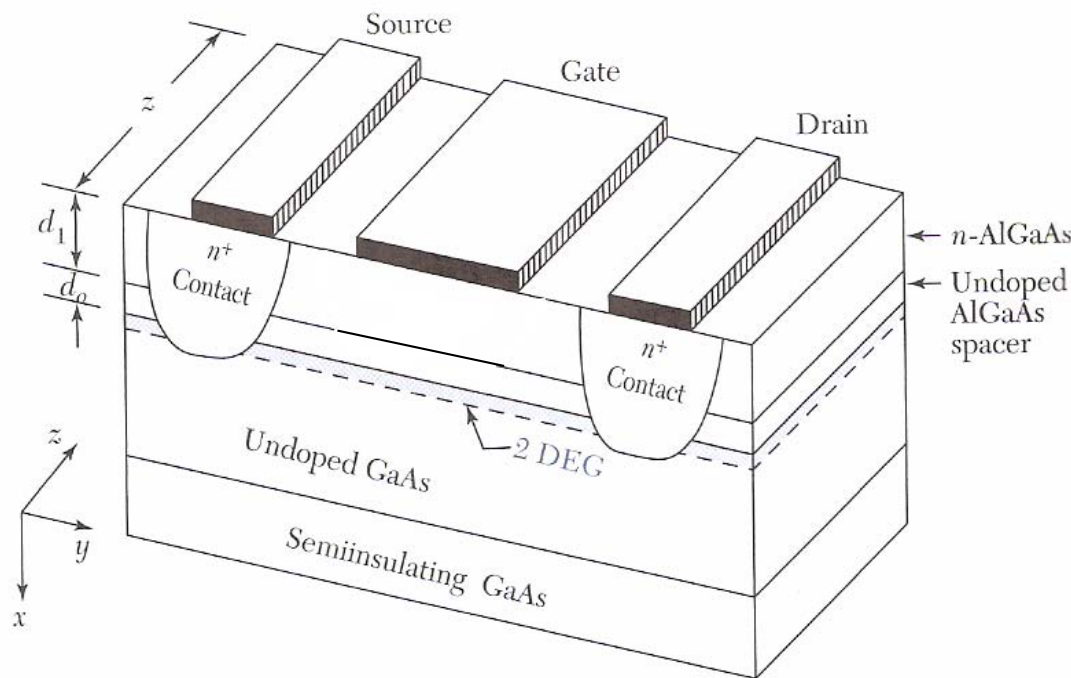
---



Quantum hall effect  
Quantized conductance  
HBTs  
HEMTs

# HEMT High electron mobility transistor

# HBT Hetero junction bipolar transistor



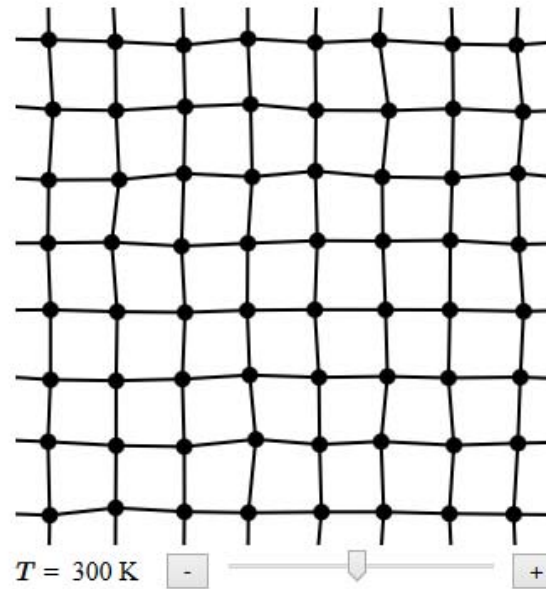
# Phonons

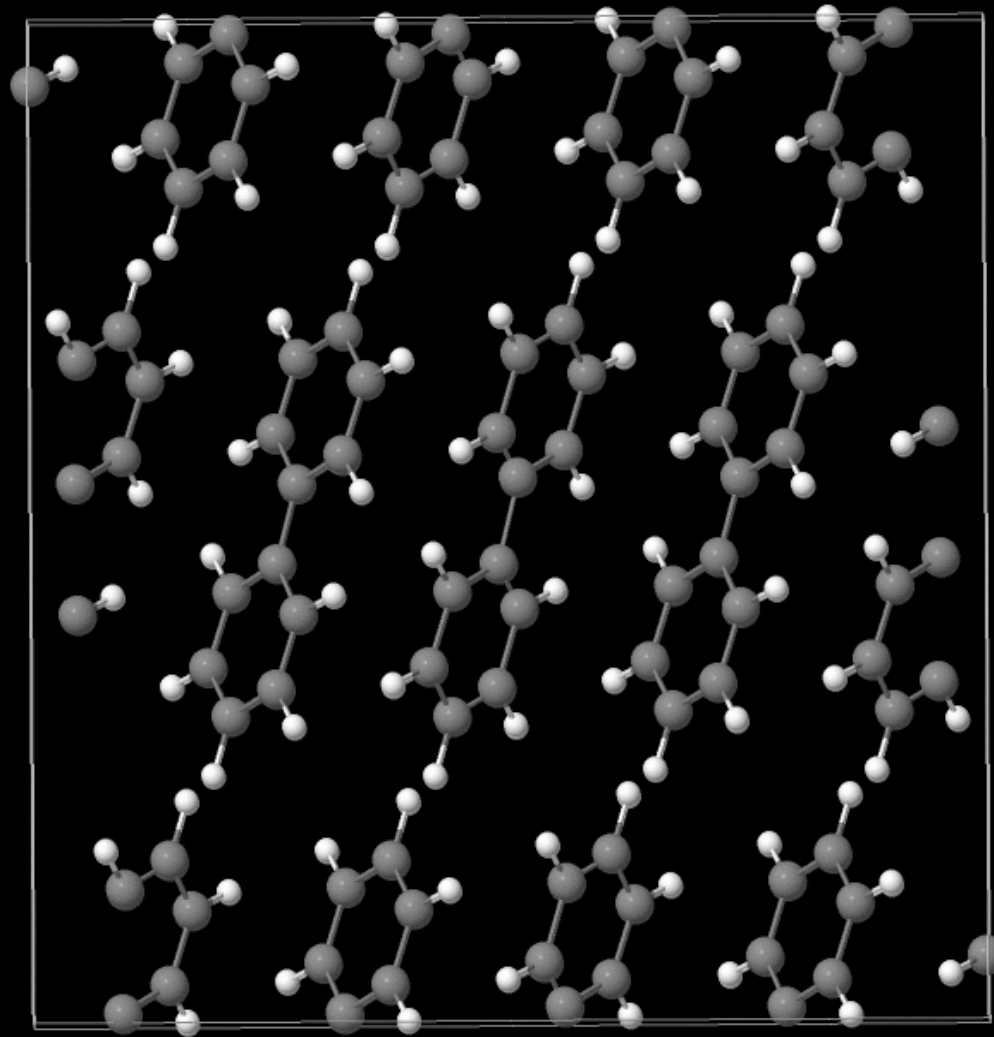
---



## Normal Modes and Phonons

At finite temperatures, the atoms in a crystal vibrate. In the simulation below, the atoms move randomly around their equilibrium positions.

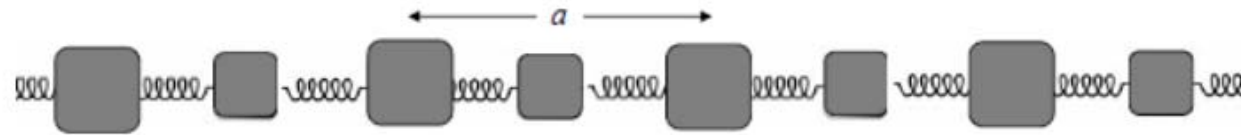




Natalia Bedoya

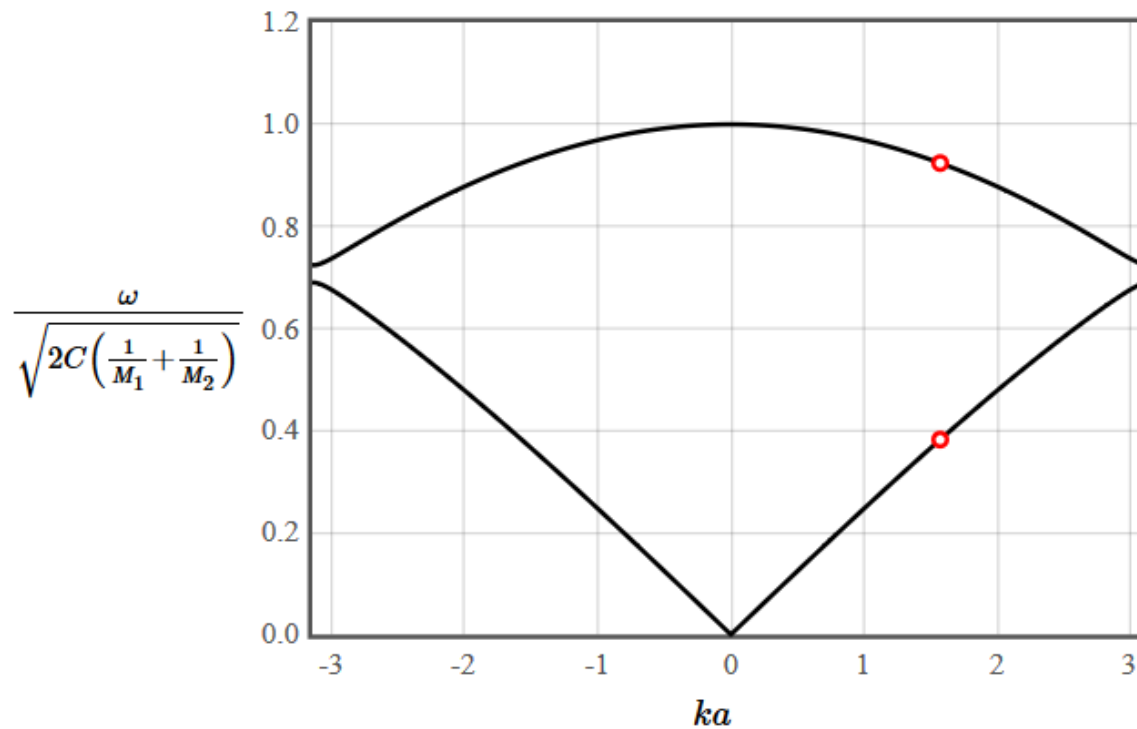
In a normal mode, all of the atoms oscillate at the same frequency.

### 1-d chain of atoms with two different masses



$$M_1 \frac{d^2 u_l}{dt^2} = C(v_{l-1} - 2u_l + v_l)$$

$$M_2 \frac{d^2 v_l}{dt^2} = C(u_l - 2v_l + u_{l+1})$$



# Phonons

---

$N_{\text{atom}}$  atoms in crystal

$3N_{\text{atom}}$  normal modes

$p$  atoms in the basis

$N_{\text{atom}}/p$  unit cells

$N_{\text{atom}}/p$  translational symmetries

$N_{\text{atom}}/p$   $k$ -vectors

$3p$  modes for every  $k$  vector

3 acoustic branches and  $3p-3$  optical branches

# Normal modes are eigenfunctions of T

---

$$u_{lmn}^x = u_k^x \exp\left(i\left(l\vec{k} \cdot \vec{a}_1 + m\vec{k} \cdot \vec{a}_2 + n\vec{k} \cdot \vec{a}_3 - \omega t\right)\right)$$

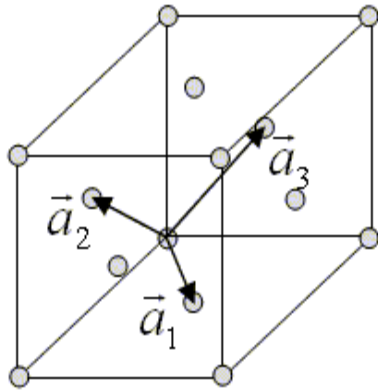
$$u_{lmn}^y = u_k^y \exp\left(i\left(l\vec{k} \cdot \vec{a}_1 + m\vec{k} \cdot \vec{a}_2 + n\vec{k} \cdot \vec{a}_3 - \omega t\right)\right)$$

$$u_{lmn}^z = u_k^z \exp\left(i\left(l\vec{k} \cdot \vec{a}_1 + m\vec{k} \cdot \vec{a}_2 + n\vec{k} \cdot \vec{a}_3 - \omega t\right)\right)$$

These are eigenfunctions of T.

$$\begin{aligned} T_{pqr} u_{lmn}^x &= u_k^x \exp\left(i\left(l\vec{k} \cdot (\vec{a}_1 + p\vec{a}_1) + m\vec{k} \cdot (\vec{a}_2 + q\vec{a}_2) + n\vec{k} \cdot (\vec{a}_3 + r\vec{a}_3) - \omega t\right)\right) \\ &= \exp\left(i\left(lp\vec{k} \cdot \vec{a}_1 + qm\vec{k} \cdot \vec{a}_2 + rn\vec{k} \cdot \vec{a}_3\right)\right) u_k^x \exp\left(i\left(l\vec{k} \cdot \vec{a}_1 + m\vec{k} \cdot \vec{a}_2 + n\vec{k} \cdot \vec{a}_3 - \omega t\right)\right) \\ &= \exp\left(i\left(lp\vec{k} \cdot \vec{a}_1 + qm\vec{k} \cdot \vec{a}_2 + rn\vec{k} \cdot \vec{a}_3\right)\right) u_{lmn}^x \end{aligned}$$

# fcc



$$\vec{a}_1 = \frac{a}{2} \hat{x} + \frac{a}{2} \hat{y}$$

$$\vec{a}_2 = \frac{a}{2} \hat{x} + \frac{a}{2} \hat{z}$$

$$\vec{a}_3 = \frac{a}{2} \hat{y} + \frac{a}{2} \hat{z}$$

$$\vec{b}_1 = \frac{2\pi}{a} (\hat{k}_x + \hat{k}_y - \hat{k}_z)$$

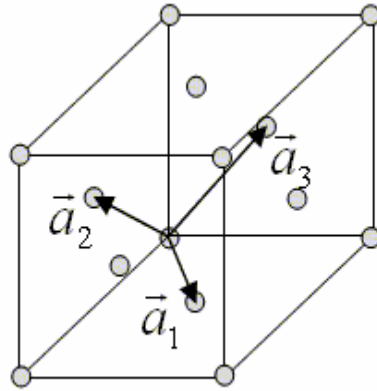
$$\vec{b}_2 = \frac{2\pi}{a} (\hat{k}_x - \hat{k}_y + \hat{k}_z)$$

$$\vec{b}_3 = \frac{2\pi}{a} (-\hat{k}_x + \hat{k}_y + \hat{k}_z)$$

$$\begin{aligned} m \frac{d^2 u_{lmn}^x}{dt^2} = & \frac{C}{2} \left[ (u_{l+1mn}^x - u_{lmn}^x) + (u_{l-1mn}^x - u_{lmn}^x) + (u_{lm+1n}^x - u_{lmn}^x) + (u_{lm-1n}^x - u_{lmn}^x) \right. \\ & + (u_{l+1mn-1}^x - u_{lmn}^x) + (u_{l-1mn+1}^x - u_{lmn}^x) + (u_{lm+1n-1}^x - u_{lmn}^x) + (u_{lm-1n+1}^x - u_{lmn}^x) \\ & + (u_{l+1mn}^y - u_{lmn}^y) + (u_{l-1mn}^y - u_{lmn}^y) - (u_{lm+1n-1}^y - u_{lmn}^y) - (u_{lm-1n+1}^y - u_{lmn}^y) \\ & \left. + (u_{lm+1n}^z - u_{lmn}^z) + (u_{lm-1n}^z - u_{lmn}^z) - (u_{l+1mn-1}^z - u_{lmn}^z) - (u_{l-1mn+1}^z - u_{lmn}^z) \right] \end{aligned}$$

and similar expressions for the y and z motion

# fcc



$$\vec{a}_1 = \frac{a}{2} \hat{x} + \frac{a}{2} \hat{y}$$

$$\vec{a}_2 = \frac{a}{2} \hat{x} + \frac{a}{2} \hat{z}$$

$$\vec{a}_3 = \frac{a}{2} \hat{y} + \frac{a}{2} \hat{z}$$

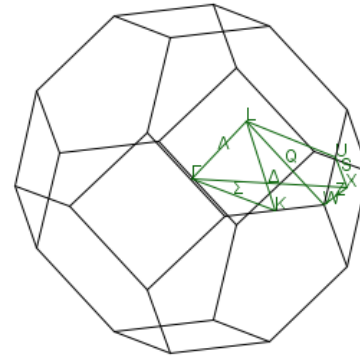
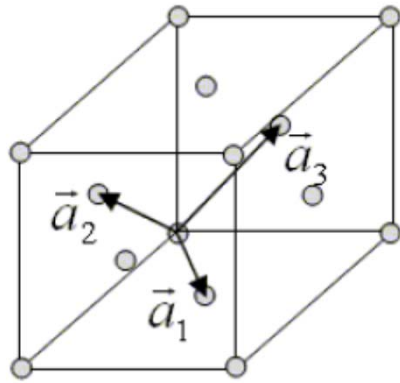
Substitute the eigenfunctions of  $T$  into Newton's laws.

$$u_{lmn}^x = u_k^x \exp\left(i\left(l\vec{k} \cdot \vec{a}_1 + m\vec{k} \cdot \vec{a}_2 + n\vec{k} \cdot \vec{a}_3\right)\right) = u_k^x \exp\left(i\left(\frac{(l+m)k_x a}{2} + \frac{(l+n)k_y a}{2} + \frac{(m+n)k_z a}{2}\right)\right).$$

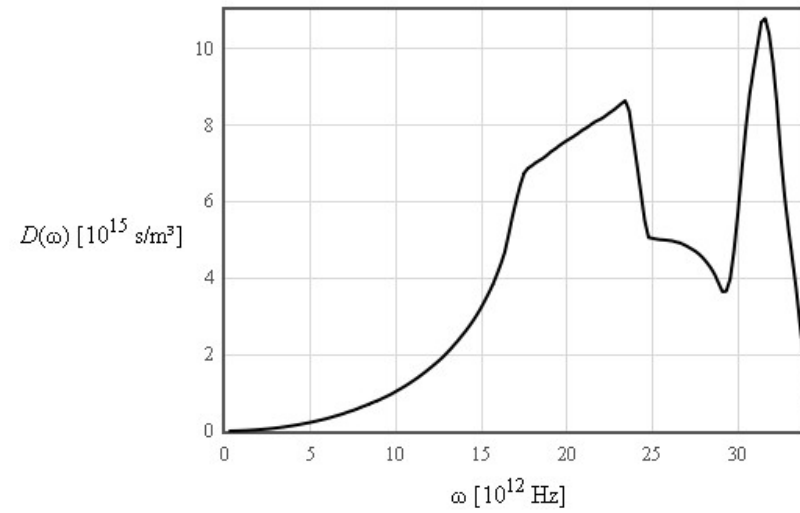
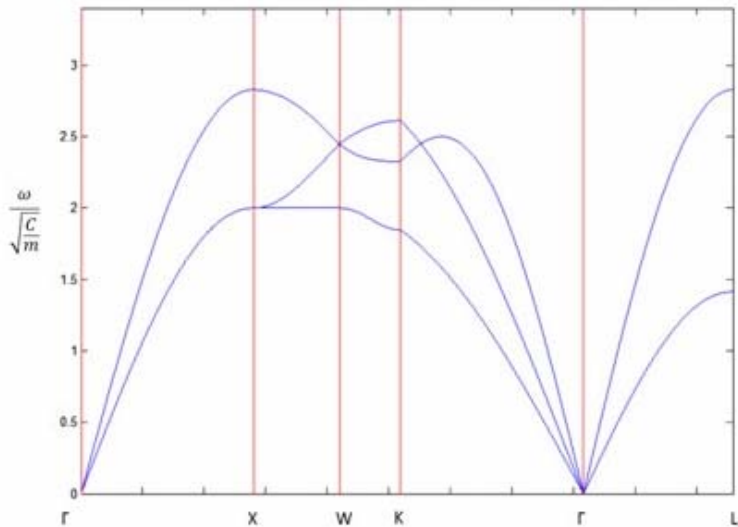
$$\begin{vmatrix} 4 - \cos\left(\frac{k_x a}{2} + \frac{k_y a}{2}\right) - \cos\left(\frac{k_x a}{2} + \frac{k_z a}{2}\right) - \cos\left(\frac{k_x a}{2} - \frac{k_y a}{2}\right) - \cos\left(\frac{k_x a}{2} - \frac{k_z a}{2}\right) - \frac{m\omega^2}{\sqrt{2}C} & -\cos\left(\frac{k_x a}{2} + \frac{k_y a}{2}\right) + \cos\left(\frac{k_x a}{2} - \frac{k_y a}{2}\right) & -\cos\left(\frac{k_x a}{2} + \frac{k_z a}{2}\right) + \cos\left(\frac{k_x a}{2} - \frac{k_z a}{2}\right) \\ -\cos\left(\frac{k_x a}{2} + \frac{k_y a}{2}\right) + \cos\left(\frac{k_x a}{2} - \frac{k_y a}{2}\right) & 4 - \cos\left(\frac{k_y a}{2} + \frac{k_z a}{2}\right) - \cos\left(\frac{k_y a}{2} + \frac{k_x a}{2}\right) - \cos\left(\frac{k_y a}{2} - \frac{k_z a}{2}\right) - \cos\left(\frac{k_y a}{2} - \frac{k_x a}{2}\right) - \frac{m\omega^2}{\sqrt{2}C} & -\cos\left(\frac{k_y a}{2} + \frac{k_z a}{2}\right) + \cos\left(\frac{k_y a}{2} - \frac{k_z a}{2}\right) \\ -\cos\left(\frac{k_x a}{2} + \frac{k_z a}{2}\right) + \cos\left(\frac{k_x a}{2} - \frac{k_z a}{2}\right) & -\cos\left(\frac{k_y a}{2} + \frac{k_z a}{2}\right) + \cos\left(\frac{k_y a}{2} - \frac{k_z a}{2}\right) & 4 - \cos\left(\frac{k_z a}{2} + \frac{k_x a}{2}\right) - \cos\left(\frac{k_z a}{2} + \frac{k_y a}{2}\right) - \cos\left(\frac{k_z a}{2} - \frac{k_x a}{2}\right) - \cos\left(\frac{k_z a}{2} - \frac{k_y a}{2}\right) - \frac{m\omega^2}{\sqrt{2}C} \end{vmatrix} = 0$$

<http://lamp.tu-graz.ac.at/~hadley/ss1/phonons/fcc/fcc.html>

# fcc phonons



$3N$  degrees of freedom





# Phonon dispersion Au

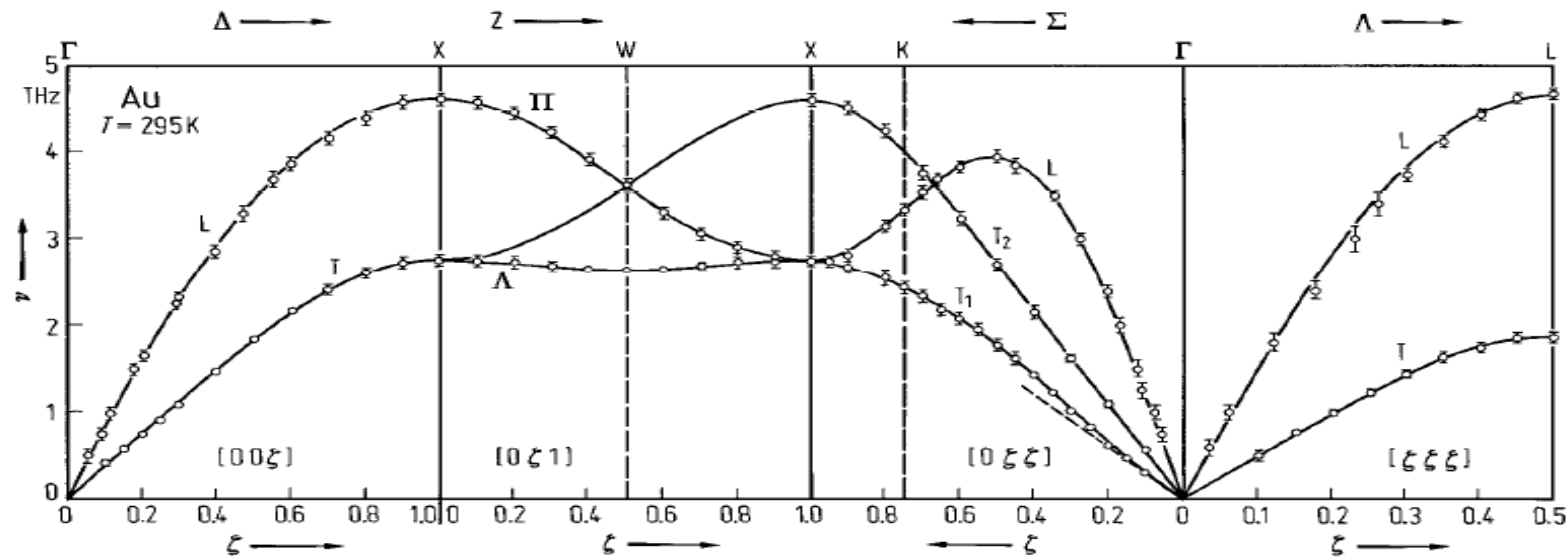
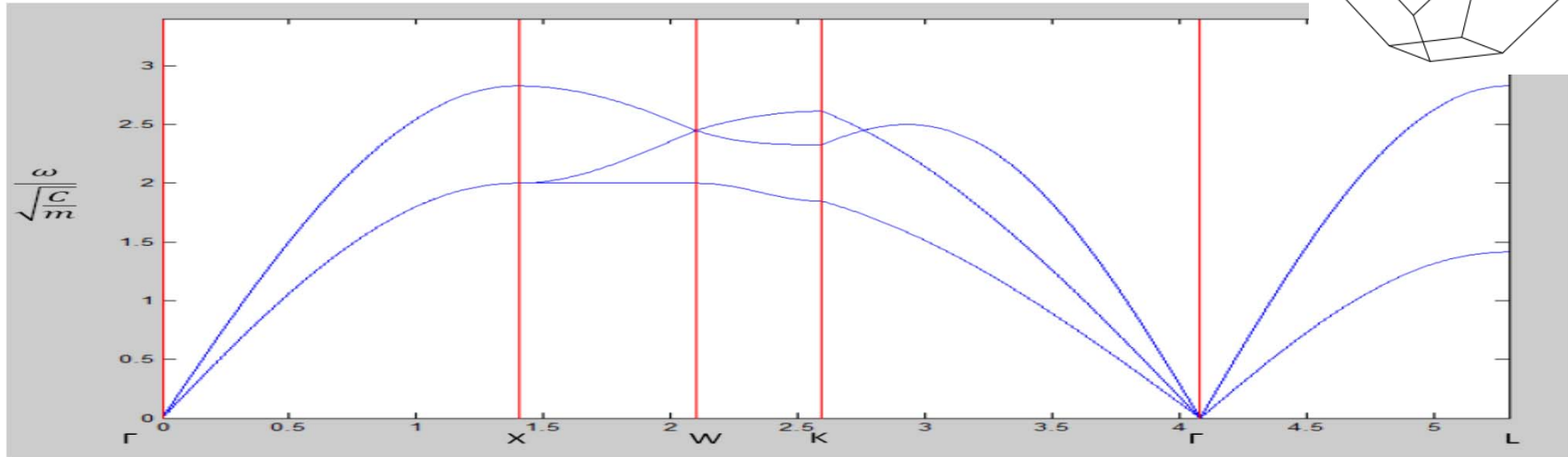
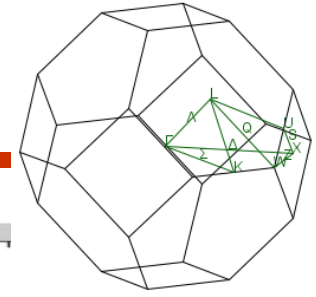
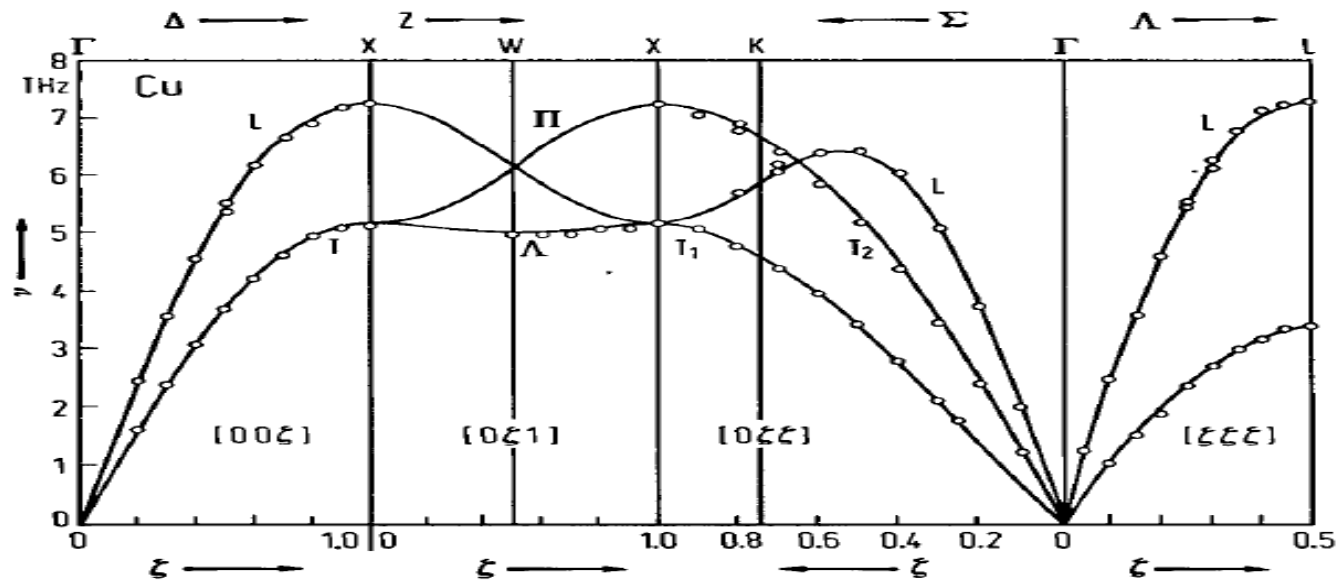
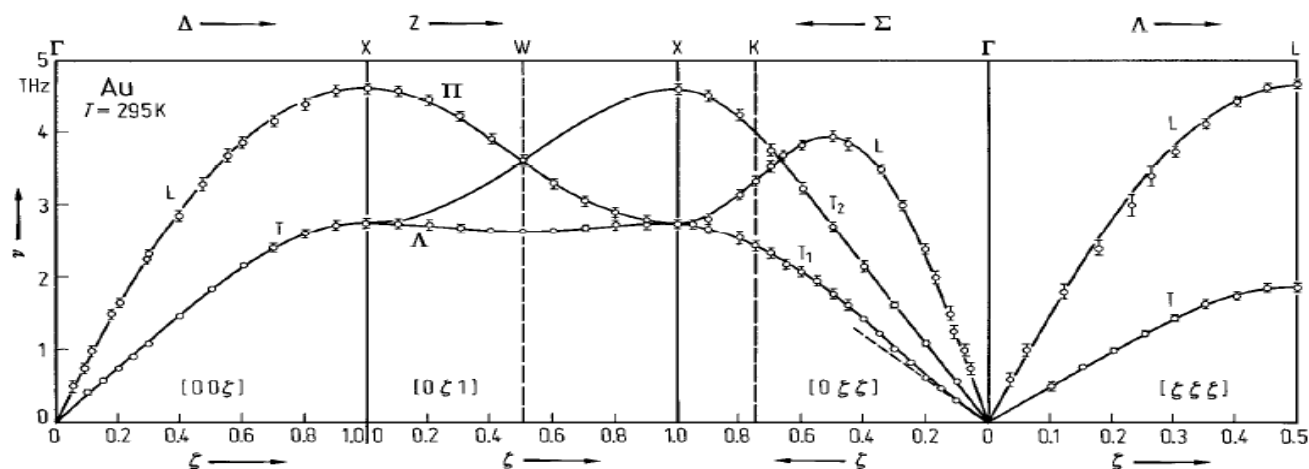


Fig. 1. Au. Phonon dispersion relations in the principal symmetry directions according to [73Ly1]. The solid curves represent both the fourth neighbour general force model (M1) and the fifth neighbour axially symmetric model (M2) of Table 3 Au. The dotted line in the  $\Sigma$  direction is corresponding to the velocity of sound appropriate to the  $[0\xi\xi] T_1$  branch.

# Materials with the same crystal structure will have similar phonon dispersion relations



Cu

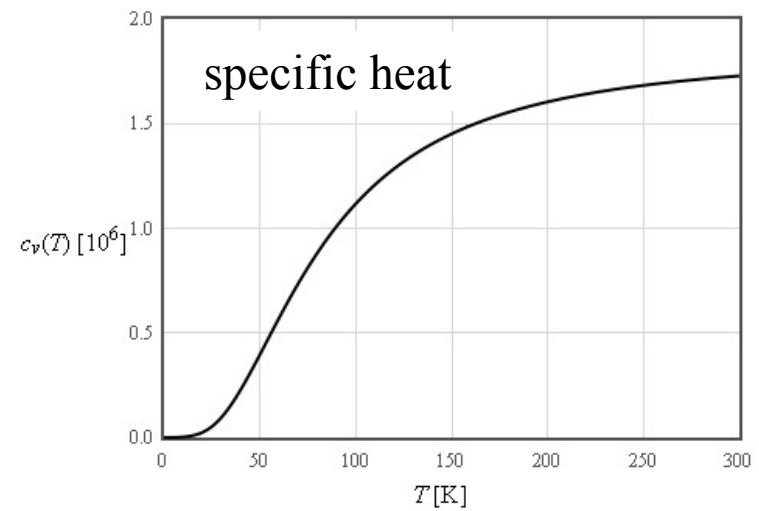
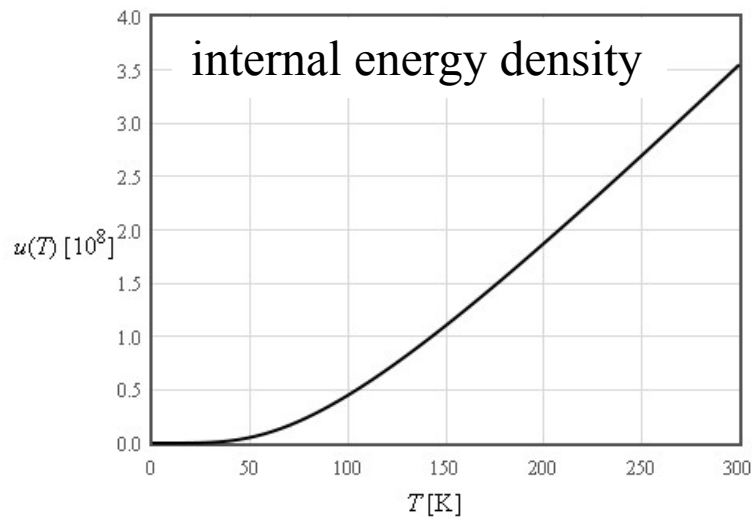
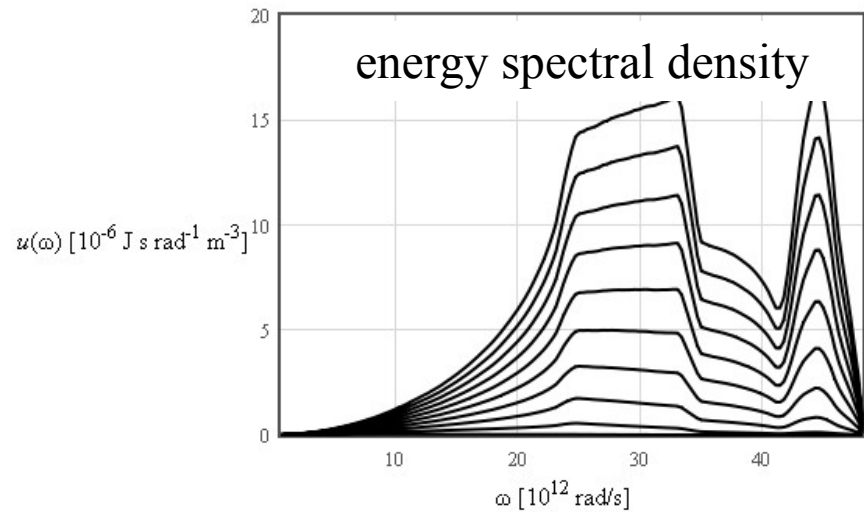
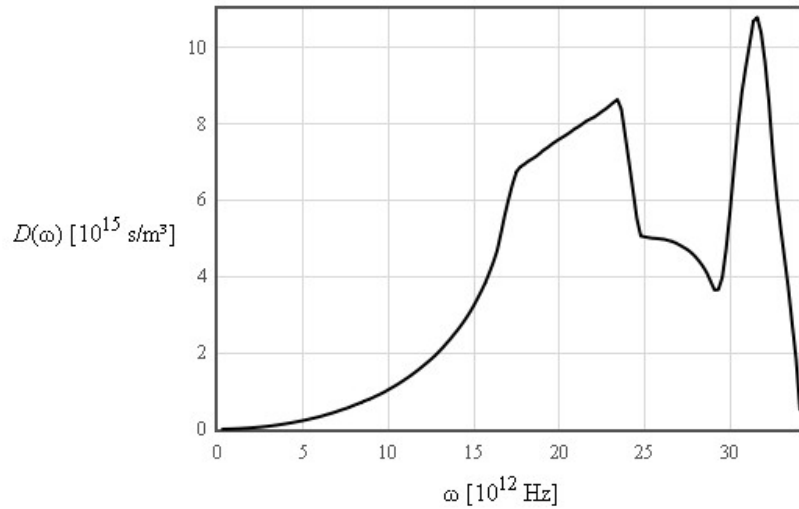


Au

Fig. 1. Au. Phonon dispersion relations in the principal symmetry directions according to [73Ly1]. The solid curves represent both the fourth neighbour general force model (M1) and the fifth neighbour axially symmetric model (M2) of Table 3 Au. The dotted line in the  $\Sigma$  direction is corresponding to the velocity of sound appropriate to the  $[0\xi\xi] T_1$  branch.

# fcc phonons

---

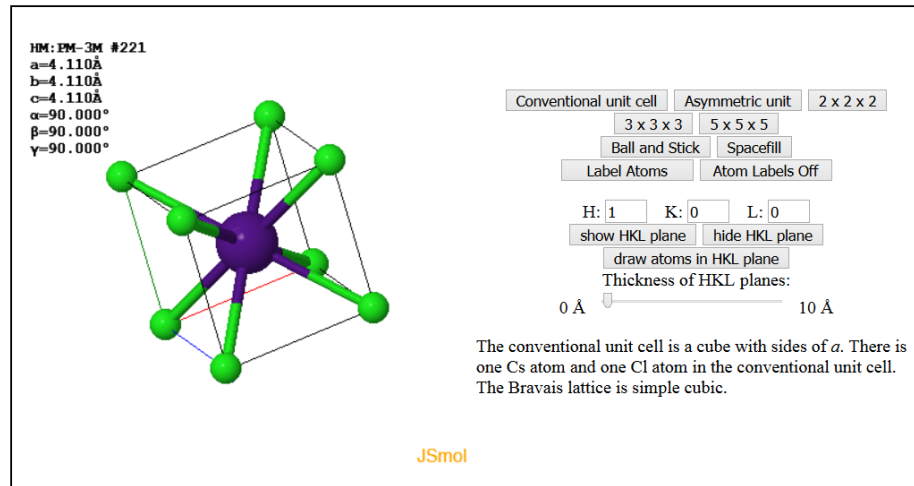


# Table summarizing the thermodynamic properties of phonons

<p><math>\omega = c \vec{k} </math></p>	$\omega^2 = C \left( \frac{1}{M_1} + \frac{1}{M_2} \right) \pm C \sqrt{\left( \frac{1}{M_1} + \frac{1}{M_2} \right)^2 - \frac{4}{M_1 M_2} \cos^2 \frac{ka}{2}}$ <p>Calculate <math>\omega(k)</math></p>	<p>Simple cubic</p>	$\begin{aligned} & \frac{1}{2} (u_{i-1,m-1,n-1}^2 - u_{i,m,n}^2) + \frac{1}{2} (u_{i-1,m-1,n-1}^2 - u_{i,m,n}^2) \\ & \frac{1}{2} (u_{i-1,m-1,n-1}^2 - u_{i,m,n}^2) + \frac{1}{2} (u_{i+1,m+1,n+1}^2 - u_{i,m,n}^2) \\ & \frac{1}{2} (u_{i+1,m+1,n+1}^2 - u_{i,m,n}^2) + \frac{1}{2} (u_{i+1,m+1,n+1}^2 - u_{i,m,n}^2) \end{aligned}$ $+ \begin{cases} C_{11}(u_{i+1,m+1,n}^2 - 2u_{i,m,n}^2 + u_{i-1,m-1,n}^2) \\ C_{12}(u_{i+1,m,n+1}^2 - 2u_{i,m,n}^2 + u_{i-1,m,n-1}^2) \\ C_{13}(u_{i,m+1,n+1}^2 - 2u_{i,m,n}^2 + u_{i,m-1,n-1}^2) \end{cases}$ <p>nächst-nächste Nachbarn</p> <p>Body centered</p> <p>Calculate <math>\omega(k)</math></p>
$D(k) = \frac{3k^2}{2\pi^2}$ $v(\omega) = \begin{cases} \frac{3\omega^2}{2\pi^2 c^3} & \text{for } \omega < \omega_D = (6n\pi^2 c^3)^{1/3} \\ 0 & \text{for } \omega > \omega_D \end{cases} \quad [\text{s rad}^{-1} \text{m}^{-3}]$ <p>Calculate DoS</p>	$D(k) = \frac{1}{\pi}$ <p>Calculate DoS</p>	$D(k) = \frac{3k^2}{2\pi^2}$ <p>Calculate DoS</p>	$D(k) = \frac{3k^2}{2\pi^2}$ <p>Calculate DoS</p>
$\mu(\omega) = \frac{3\omega^2}{2\pi^2 c^3} \frac{\hbar \omega}{\exp\left(\frac{\hbar \omega}{k_B T}\right) - 1} \quad [\text{J s m}^{-3}]$			

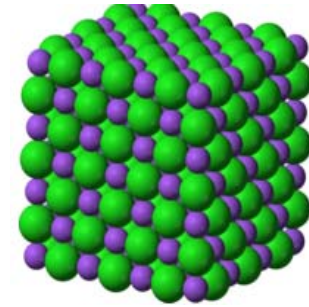
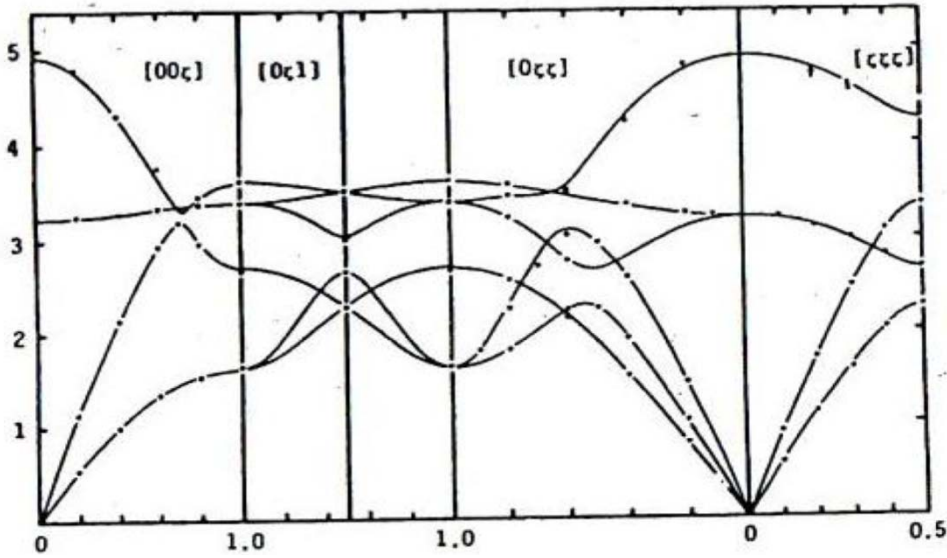
<http://lampx.tugraz.at/~hadley/ss1/phonons/phonontable.html>

## Phonon dispersion of CsCl

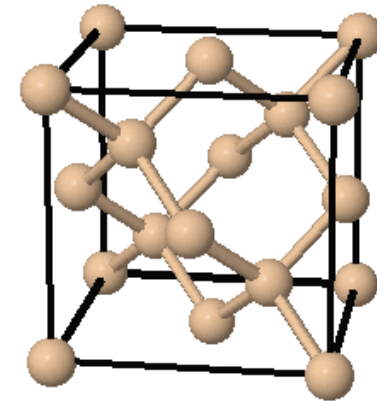
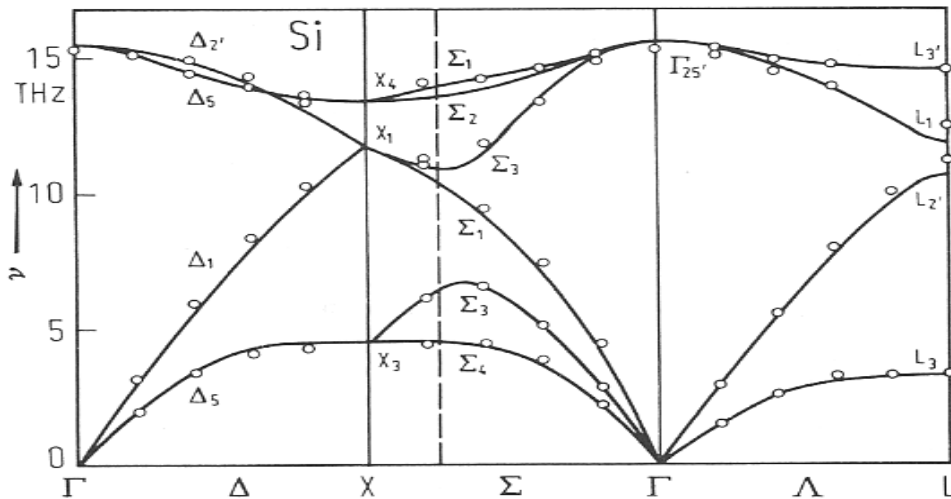


$$\begin{aligned}
 M_{\text{Cs}} \frac{d^2 u_{lmn}^x}{dt^2} = & \frac{C_{\text{Cs-Cl}}}{3} \left[ + (v_{lmn}^x - u_{lmn}^x) + (v_{lmn}^y - u_{lmn}^y) + (v_{lmn}^z - u_{lmn}^z) \right. \\
 & + (v_{(l-1)mn}^x - u_{lmn}^x) - (v_{(l-1)mn}^y - u_{lmn}^y) - (v_{(l-1)mn}^z - u_{lmn}^z) \\
 & + (v_{(l-1)(m-1)n}^x - u_{lmn}^x) + (v_{(l-1)(m-1)n}^y - u_{lmn}^y) - (v_{(l-1)(m-1)n}^z - u_{lmn}^z) \\
 & + (v_{l(m-1)n}^x - u_{lmn}^x) - (v_{l(m-1)n}^y - u_{lmn}^y) + (v_{l(m-1)n}^z - u_{lmn}^z) \\
 & + (v_{lm(n-1)}^x - u_{lmn}^x) + (v_{lm(n-1)}^y - u_{lmn}^y) - (v_{lm(n-1)}^z - u_{lmn}^z) \\
 & + (v_{(l-1)m(n-1)}^x - u_{lmn}^x) - (v_{(l-1)m(n-1)}^y - u_{lmn}^y) + (v_{(l-1)m(n-1)}^z - u_{lmn}^z) \\
 & + (v_{(l-1)(m-1)(n-1)}^x - u_{lmn}^x) + (v_{(l-1)(m-1)(n-1)}^y - u_{lmn}^y) + (v_{(l-1)(m-1)(n-1)}^z - u_{lmn}^z) \\
 & \left. + (v_{l(m-1)(n-1)}^x - u_{lmn}^x) - (v_{l(m-1)(n-1)}^y - u_{lmn}^y) - (v_{l(m-1)(n-1)}^z - u_{lmn}^z) \right] \\
 & + C_{\text{Cs-Cs}} \left( -2u_{lmn}^x + u_{(l-1)mn}^x + u_{(l+1)mn}^x \right),
 \end{aligned}$$

# Two atoms per primitive unit cell



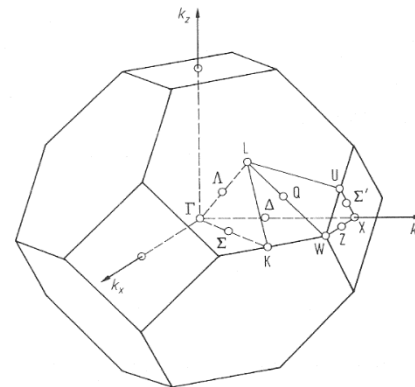
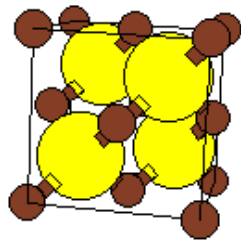
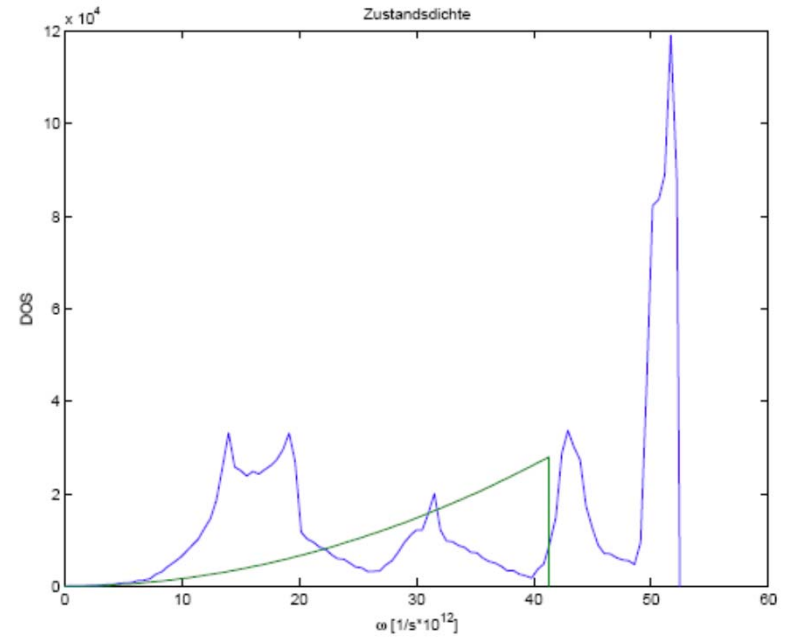
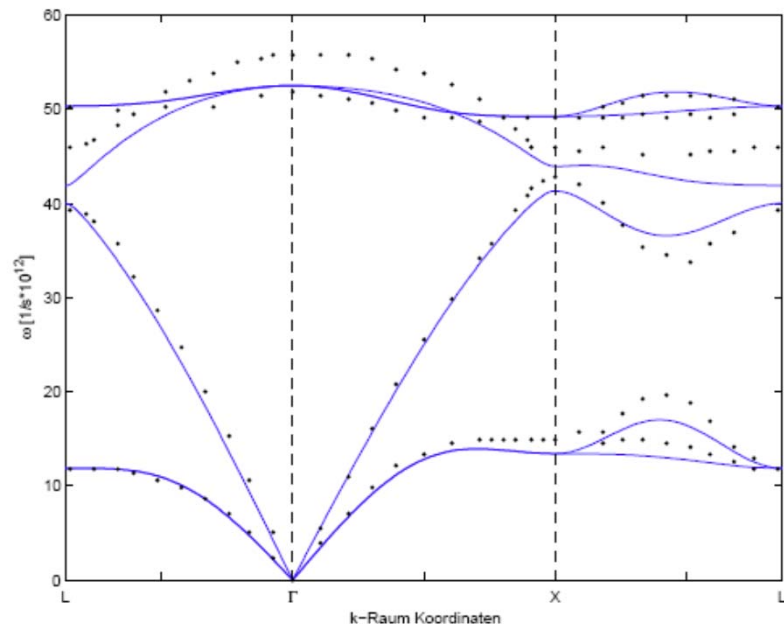
NaCl



Si

# GaAs

Hannes Brandner



	<p><b>Linear Chain</b></p> $m \frac{d^2 u_s}{dt^2} = C(u_{s+1} - 2u_s + u_{s-1})$	<p><b>Linear chain 2 masses</b></p> $M_1 \frac{d^2 u_s}{dt^2} = C(v_{s-1} - 2u_s + v_s)$ $M_2 \frac{d^2 v_s}{dt^2} = C(u_s - 2v_s + u_{s+1})$	<p><b>Linear chain 2 spring constants</b></p> $M \frac{d^2 u_s}{dt^2} = C_1(v_{s-1} - 2u_s + v_s)$ $M \frac{d^2 v_s}{dt^2} = C_2(u_s - 2v_s + u_{s+1})$
<b>Eigenfunction solutions</b>	$u_s = A_k e^{i(ksa - \omega t)}$	$u_s = u e^{i(ksa - \omega t)}$ $v_s = v e^{i(ksa - \omega t)}$	$u_s = u e^{i(ksa - \omega t)}$ $v_s = v e^{i(ksa - \omega t)}$
<b>Dispersion relation</b>	$\omega = \sqrt{\frac{4C}{m}} \left  \sin\left(\frac{ka}{2}\right) \right $	$\omega^2 = C \left( \frac{1}{M_1} + \frac{1}{M_2} \right) \pm C \sqrt{\left( \frac{1}{M_1} + \frac{1}{M_2} \right)^2 - \frac{4 \sin^2 ka}{M_1 M_2}}$	



# Phonon quasiparticle lifetime

---

Phonons are the eigenstates of the linearized equations, not the full equations.

Phonons have a finite lifetime that can be calculated by Fermi's golden rule.

$$\Gamma_{i \rightarrow f} = \frac{2\pi}{\hbar} \left| \langle f | H_{ph-ph} | i \rangle \right|^2 \delta(E_f - E_i)$$

Occupation is determined by a master equation (not the Bose-Einstein function).

$$\begin{bmatrix} \frac{dP_0}{dt} \\ \frac{dP_1}{dt} \\ \vdots \\ \frac{dP_N}{dt} \end{bmatrix} = \begin{bmatrix} -\sum_{i \neq 0} \Gamma_{0 \rightarrow i} & \Gamma_{1 \rightarrow 0} & \cdots & \Gamma_{N \rightarrow 0} \\ \Gamma_{0 \rightarrow 1} & -\sum_{i \neq 1} \Gamma_{1 \rightarrow i} & \cdots & \Gamma_{N \rightarrow 1} \\ \vdots & \vdots & \ddots & \vdots \\ \Gamma_{0 \rightarrow N} & \Gamma_{1 \rightarrow N} & \cdots & -\sum_{i \neq N} \Gamma_{N \rightarrow i} \end{bmatrix} \begin{bmatrix} P_0 \\ P_1 \\ \vdots \\ P_N \end{bmatrix}$$

# Acoustic attenuation

---

The amplitude of a monochromatic sound wave decreases as the wave propagates through the crystal as the phonon quasiparticles decay into phonons with other frequencies and directions.